

City of Carpinteria
City Council Regular Meeting Agenda
Monday, March 28, 2016
Council Chamber, 5775 Carpinteria Avenue

5:30 p.m. Regular Session

INFORMATION ABOUT CITY COUNCIL MEETINGS:

- The time at which an item on the agenda is scheduled to be heard is an estimate only. The City Council may consider and act on an agenda item in any order it deems appropriate. In addition, an item may be considered earlier or later than the Estimated Time, depending on the length of time which is devoted to other agenda items. All persons interested in an item listed on the agenda are advised to be present throughout the meeting to ensure that they are present when the item is called.
- The regular meeting will be broadcast live over Government Access Television Channel 21. The City Council meetings will be rebroadcast on Wednesdays at 8:00 p.m. and Saturdays at 5:00 p.m.
- Materials related to an Agenda item submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office at 5775 Carpinteria Avenue, Carpinteria, during normal business hours. Such documents are also available on the City of Carpinteria website at www.carpinteria.ca.us subject to staff's ability to post the documents before the meeting.
- Any written or printed material and/or exhibits presented at a City Council meeting or work session become the property of the City.
- Any information presented to the City Council by the public on any agenda item and during Public Comment using electronic media to be displayed on the overhead projector must be arranged with staff for review of materials in advance of the meeting and no later than close of business Wednesday prior to the Council meeting.
- As a courtesy to others and to avoid interference with the public address system, please refrain from using cell phones in the Council Chambers and please turn off all audible pagers and rings.
- If you wish to speak on a matter scheduled for consideration in a closed session, you will have an opportunity to address the City Council before the Council retires to its closed session deliberations.

**ESTIMATED
TIME:**

5:30 p.m. **CALL TO ORDER**

5:30 p.m. **ROLL CALL**

5:31 p.m. **PLEDGE OF ALLEGIANCE**

5:32 p.m. **INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS**

1. Proclamation designating April 2016 as California Beautiful Month to be received by Harry and Patty Manuras.
2. Proclamation designating April 2016 as Child Abuse Prevention Month.

5:43 p.m. **PUBLIC INFORMATION REPORTS AND ANNOUNCEMENTS**

5:43 p.m. **CITY MANAGER'S REPORT**

The City Manager will report to the City Council regarding various City and/or community events and/or matters of interest. There will be no City Council discussion except to ask questions or refer matters to staff; and no action will be taken unless listed on a subsequent agenda.

5:45 p.m. **PRESENTATIONS BY CITIZENS/PUBLIC COMMENT**

This is a time for public comments on matters not otherwise on the agenda but within the subject matter jurisdiction of the City Council. The Public Comment period is limited to 15 minutes and divided among those persons desiring to speak. No person shall speak longer than three minutes; however, the Mayor may establish shorter periods based on the time available and/or the number of persons waiting to speak. Persons wishing to speak on a specific item will be recognized at the time the agenda item is considered.

Please be aware that items on the consent calendar are considered to be routine and are normally enacted by one unanimous vote of the Council. If you wish to speak on a consent calendar item, or on a matter scheduled for consideration in a closed session at the end of the agenda, please do so during the Presentations by Citizens/Public Comment portion of the meeting.

The City and the City Council are not responsible for the content of statements made during the public comment period, or the factual accuracy of any such statements.

AGENDA MODIFICATIONS: The City Council may modify the regular agenda by tabling items, adding urgency items to the agenda or changing the order in which items are considered. Changes to the Consent Calendar are considered at the time the Consent Calendar is considered.

6:00 p.m.

CONSENT CALENDAR: Individual items may be removed and considered separately at the request of a Councilmember. Any item, which is removed from the Consent Calendar, will be added to the end of the agenda under Other Business.

3. Approve the minutes of the regular meeting held March 14, 2016.
4. Accept the Expenditures Report for the period beginning March 9, 2016 and ending March 22, 2016.
5. Authorize the City Manager to execute and issue a Purchase order in the amount of \$35,348.40 to BEGA to purchase replacement lights for the Downtown "T" and community garden.
6. Adopt Resolution No. 5646 entitled, A Resolution of the City Council of the City of Carpinteria Authorizing the Filing of a Claim with the Santa Barbara County Association of Governments for Allocation of Transportation Development Act Fund for Fiscal Year 2016-17.
7. Adopt Resolution No. 5649 entitled, A Resolution of the City Council of the City of Carpinteria Ordering the City Manager to Prepare an Assessment Diagram, Plans, Specifications, Estimate and Report, Pursuant to the Provisions of Division 14, Part 1, Chapter 8.5, Street and Highways Code, Street Lighting Act of 1919, as amended, for the Furnishing of Electric Current for the Maintenance of Certain Lighting Fixtures and Appliances in all Portions of the City in Street Lighting Assessment District No. 1 for the Period Beginning July 1, 2016 and ending in June 30, 2016.
8. Adopt Resolution No. 5650 entitled, A Resolution of the City Council of the City of Carpinteria Ordering the City Engineer to Prepare and File a Report in Accordance with Chapter 3, Part 2, Landscaping and Lighting Act of 1972, Commencing with Section 22620, California Streets and Highways Code, for the Purpose of Considering the Levy of an Assessment for Carpinteria Lighting,

Landscaping and Right-of-Way Improvement District No. 3 for the Fiscal Year 2016-17.

9. Resolution No. 5651 entitled, A Resolution of the City Council of the City of Carpinteria Ordering that a Report be Prepared in Accordance with Chapters 3 and 4 of the "Parking and Business Improvement Area Law of 1989" of the California Streets and Highways Code, as Amended, Commencing with Section 36500, for the Acquisition, Construction or Maintenance of Parking Facilities and Other Eligible Improvements and Business Promotion Activities in the Central Business District of the City of Carpinteria, for Fiscal Year Commencing July 1, 2016 and Ending June 30, 2017, Carpinteria Parking and Business Improvement Area District No. 4.
10. Resolution No. 5655 entitled, A Resolution of the City Council of the City of Carpinteria Initiating Proceedings to Set the Annual Assessment for Assessment District No. 5, (Pursuant to the Municipal Improvement Act of 1913 and the Streets and Highways Code §10203).

ADMINISTRATIVE MATTER:

- 6:03 p.m.
11. Consider Making an Appointment to Fill an Existing Vacancy on the Carpinteria First Committee

Recommendation: Adopt Resolution No. 5652, appointing Marisol Alarcon to fill an existing vacancy on the Carpinteria First Committee.

(Staff: Brian Barrett)

PUBLIC HEARING: None.

OTHER BUSINESS:

- 6:05 p.m.
12. Italian Stone Pine Street Assessment & Management Plan and Recommendations from the Regular Meeting of the City of Carpinteria Tree Advisory Board

Recommendation: Receive and file the report of the assessment and management of the Italian Stone Pine street trees in the City and support the Tree Advisory Board recommendations to remove the trees listed in the Staff Report and replace with an approved species from the City Street Tree Management Plan.

Staff presenter: Melissa Angeles, Engineering Technician

- a. Staff Report
- b. Public Comment
- c. City Council Determination

- 6:25 p.m. 13. Review the 2015 Annual Report of the State Department of Housing and Community Development on the status of Housing Element and progress on Implementation of its goals, policies and objectives.

Recommendation: Receive the annual report on the status of the Housing Element Program implementation and direct the Community Development Director to submit the document to the State Department of Housing and Community Development

Staff presenter: Shanna R. Farley-Judkins, Assistant Planner

- a. Staff Report
- b. Public Comment
- c. City Council Determination

6:45 p.m. **COMMITTEE REPORTS, INQUIRIES AND OTHER MATTERS
PRESENTED BY COUNCILMEMBERS**

Informational reports from Councilmember representatives on the committees, commissions and organizations listed below. This is also an opportunity for Councilmembers to request information from staff or seek support from fellow Councilmembers for future agenda items.

- 1. Representatives to Regional Agencies and Committees
 - a. Beach Erosion Authority for Clean Oceans and Nourishment (BEACON) (Shaw/Alt. Carty)
 - b. California Joint Powers Insurance Authority (CJPIA) (Clark/Alt. Shaw)
 - c. Channel Counties Division, League of California Cities (Nomura/Alt. Shaw)
 - d. Santa Barbara County Association of Governments (SBCAG)(Clark/Alt. Nomura)
 - e. Santa Barbara County Air Pollution Control District (APCD)(Clark/Alt. Nomura)
 - f. Santa Barbara Joint Housing Task Group (Carty &Shaw)
 - g. Central Coast Collaborative on Homelessness (C3H) (Clark)

- h. South Coast Task Force on Youth Safety Leadership and Executive Council (Carty & Clark)
- 2. Joint and Standing Committees
 - a. City Council/Chamber of Commerce Board of Directors Committee (Carty & Nomura)
 - b. City Council/Public Safety Committee (Clark & Shaw)
 - c. City Council/School Board Committee (Stein & Carty)
 - d. City Council/Utilities Committee (Stein & Nomura)
 - e. City Council/First District Supervisor Committee (Stein & Carty)
 - f. City Council Finance/Budget Committee (Stein & Clark)
- 3. Ad Hoc Committees
 - a. Public Facility Site Acquisition/Development Committee (Carty & Nomura)
 - b. Transportation Committee (Stein & Shaw)
 - c. Joint City Council / Planning Committee General Plan and Coastal Plan Update Committee (Stein & Clark)
 - d. Housing Assistance Benefit Committee (Stein & Nomura)
- 4. City Representatives on other Committees
 - a. Community Media Access Center (Gary Dobbins)
 - b. Santa Barbara Coastal Vector Control District (Ronald Hurd)
 - c. Santa Barbara Metropolitan Transit District (Chuck McQuary)

ATTENDANCE OF COUNCILMEMBERS FOR FUTURE MEETINGS

6:50 p.m.

ADJOURNMENT

The next regular meeting to be held on **Monday, April 11, 2016** at **5:30 P.M.**, in the Council Chamber, City Hall, 5775 Carpinteria Avenue, Carpinteria, California.

In compliance with the Americans with Disabilities Act, if you need assistance to participate in this meeting, please contact the City Clerk's Office at 755-5405 or the California Relay Service at (866) 735-2929. Notification 2 business days prior to the meeting will enable the City to make reasonable arrangements for accessibility to this meeting.

This agenda was posted on Wednesday, March 23, 2016, in the City Clerk's Office, on the City Hall Public Notices Board, and on the Internet.



PROCLAMATION

A PROCLAMATION OF THE CARPINTERIA CITY COUNCIL DESIGNATING THE MONTH OF APRIL, 2016, AS "CARPINTERIA BEAUTIFUL MONTH"

WHEREAS, the month of April is recognized nationwide as Keep America Beautiful Month; and

WHEREAS, since 1992, the month of April has additionally been proclaimed as Carpinteria Beautiful Month and celebrated by the downtown display of the Carpinteria Beautiful banners; and

WHEREAS, the City will host the annual Household Goods and Hazardous Waste Disposal Day on April 9th for residents to dispose of their unwanted goods; and

WHEREAS, on April 29th cities across America will observe National Arbor Day, and Carpinteria will participate by renewing our commitment to maintaining a vigorous and beneficial urban forest; and

WHEREAS, April 22nd, Earth Day celebrations will provide opportunities to learn about and to renew our dedication to preserving a healthy and safe environment; and

WHEREAS, throughout the month of April, Carpinteria Beautiful Adopt-a-Spotters will redouble their already considerable efforts to keep Carpinteria graffiti-free and litter-free, and

WHEREAS, in the month of April Carpinteria's colorful bus benches will receive their annual refurbishment by volunteers from Carpinteria Beautiful and community youth groups, and

WHEREAS, the annual Carpinteria Beautiful Home & Garden tour, this year to take place on April 30th, will showcase the beauty, diversity and pride found in our residential neighborhoods.

NOW, THEREFORE, I, Gregg A. Carty, Mayor, on behalf of the Carpinteria City Council, do hereby proclaim the month of April, 2016, as

"CARPINTERIA BEAUTIFUL MONTH"

and urge all residents to share in the observance of this month by joining with neighbors, business associates, church groups, service clubs, youth organizations and others to take part in community beautification activities and by making a personal commitment to enhance the beauty of their own properties and neighborhoods for the benefit and enjoyment of all.

IN WITNESS WHEREOF, I have hereunto set my hand and caused the official seal of the City of Carpinteria, California to be affixed this 28th day of March, 2016.

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria



PROCLAMATION

A PROCLAMATION OF THE CARPINTERIA CITY COUNCIL DESIGNATING THE MONTH OF APRIL, 2016, AS "CHILD ABUSE PREVENTION MONTH"

WHEREAS, Child abuse is a critical social problem that can have damaging effects not only on the children who suffer it, but on communities that must address the aftermath of abuse; and

WHEREAS, Child abuse and neglect often occurs when families or caregivers find themselves in isolated or stressful situations, without community resources, and don't know how to cope; and

WHEREAS, The majority of child abuse cases stem from situations and conditions that are preventable when our communities are supportive, active and engaged; and

WHEREAS, Safe and healthy childhoods help produce confident, successful and independent adults; and

WHEREAS, Child abuse and neglect can be reduced by making sure every family has the support it needs and deserves to raise their children in a healthy environment; and

WHEREAS, CALM (Child Abuse Listening Mediation) a nonprofit agency has provided services since 1970 to the Carpinteria and surrounding communities focusing on preventing, assessing and treating child abuse and family violence through their comprehensive programs.

NOW, THEREFORE, the Mayor and Council of the City of Carpinteria hereby designate April 2016 as

"Child Abuse Prevention Month"

and commends CALM on their significant contribution for advocating and educating communities with their "I Will Not Be Silent Campaign" which is an ongoing public call to action in an effort to promote awareness for, and prevention of child abuse..

IN WITNESS THEREOF, I have hereunto set my hand and caused the Official Seal of the City of Carpinteria, California, to be affixed this 28th day of March 2016.

Gregg A. Carty, Mayor
City of Carpinteria

ATTEST:

Fidela Garcia, City Clerk

**City of Carpinteria
City Council Minutes
Regular Meeting
Council Chambers
Monday, March 14, 2016**

CALL TO ORDER

Mayor Carty called the meeting to order at 5:30 p.m.

ROLL CALL

Councilmembers present:

Councilmember Al Clark
Councilmember Wade Nomura
Councilmember J. Bradley Stein
Vice Mayor Fred Shaw
Mayor Gregg A. Carty

Staff members present:

Dave Durlinger, City Manager
Jenna Acos on behalf of Brownstein Hyatt Farber Schreck, LLP, acting as City Attorney of the City of Carpinteria
Fidela Garcia, City Clerk
Charles Ebeling, Public Works Director
Brian C. Barrett, Management Analyst
Melissa Angeles, Engineering Technician
Matt Roberts, Parks and Recreation Director
Erin Maker, Environmental Coordinator

PLEDGE OF ALLEGIANCE

All present were led in the salute to the flag by Mayor Carty.

INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS:

1. Presentation by South Coast Task Force on Youth Safety.

Saul Serrano, Coordinator, South Coast Task Force on Youth Gangs / Community Action Commission of Santa Barbara County presented a PowerPoint presentation of the origins of the South Coast Task Force on Youth Safety, their Mission 2020 Report, Strategy Team Work Plan, guiding principles, Task Force Initiatives, and service provider network. He shared several statistics regarding local gang activity, and the funding opportunities they are seeking. He thanked the City Council for their support.

2. Proclamation designating March 10, 2016, as California Arbor Day.

Public Works Supervisor Paul Medel noted that the City celebrated Arbor Day on March 10, 2016, with the planting of the annual Living Tree at El Carro Park. He displayed a photograph featuring City staff, Carpinteria Beautiful, West Coast Arborists, and Vice Mayor Shaw with his grandson Alex at the tree planting.

Vice Mayor Shaw read the proclamation designating March 10, 2016, as California Arbor Day.

PUBLIC INFORMATION REPORTS AND ANNOUNCEMENTS:

Vice Mayor Shaw reported that the Mental Wellness Center would be teaching Mental Health First Aid in Spanish at the Carpinteria Children's Project on April 6 and 7, 2016, from 5:00 p.m. to 9:00 p.m.

CITY MANAGER'S REPORT

- The Architectural Review Board will meet on March 17, 2016, and have their final review of the Freeway Interchange Project Construction Details prepared by Caltrans. The Architectural Review Board will ensure that the construction details are consistent with the concept plans approved by the Planning Commission and City Council. A photo simulation and drawing of the construction detail was shown. Construction is expected to begin in August.
- Special Planning Commission Meeting on March 21, 2016, at 5:30 p.m. in the Council Chambers to continue their deliberations on short-term vacation rentals.
- Carpinteria Valley Water District's Recycled Water Study, funding jointly with the City and the Carpinteria Valley Sanitation District, is nearing completion. A Special Joint Meeting is planned in April to receive the consultant's report regarding the feasibility of establishing a recycled water system and the steps to implement the system.

PRESENTATIONS BY CITIZENS / PUBLIC COMMENT:

Caroline Alarcon, owner of Reliant Notary Services, thanked the City Manager for allowing her and Alarcon Legal to host a child safety event at the Veterans' Hall on March 5. She reported that 80 identification cards were provided to children and emergency preparedness backpacks were provided to families. She recognized the Fire Department, Sheriff's Department, Family Service Agency, Children's Project of Carpinteria, the local Tae Kwon Do studio, Santa Barbara Bike Coalition, Kinderkirk, and Santa Barbara Macaroni Kid for participating in this event.

Mike Smith, resident of the Villas of Carpinteria on Cravens Lane, expressed his concern regarding parking on Cravens Lane. He acknowledged Public Works Director Charlie Ebeling and the Traffic Safety Committee for considering his concerns and for

providing a recommendation to the City Council. He stated that he had additional concerns with the removal of the no parking zone on the west side of Cravens Lane. He stated for the record that the homeowners were unaware of the change in parking and they received no notification from the City. He asked that the homeowners be allowed to provide input regarding the removal of this no parking zone.

Councilmember Clark inquired whether the removal of the no parking zone was included in the Traffic Safety Committee's report to be discussed later on the agenda. Charlie Ebeling, Public Works Director, responded that this was not included in the staff report; however, due to concerns raised by the Villa of Carpinteria homeowners, the Traffic Safety Committee agreed to revisit the removal of the red curb on the west side of Cravens Lane and potentially bring back a recommendation to the City Council.

Joyce Donaldson, representing the Carpinteria Valley Chamber of Commerce, noted that the Chamber of Commerce had gained 11 new members since the beginning of March, including Smart and Final Extra. She announced that Smart and Final Extra would hold its ribbon-cutting ceremony on March 15 at noon. She noted that Smart and Final Extra distributed coupons to Chamber of Commerce members and nearby business owners for \$50 off \$100 valid from 8:00 a.m. to 5:00 p.m. on March 15. She also noted that the store would open to the public on March 16. She distributed invitations to the State of the Community event to be held April 22, 2016. She noted that Mayor Carty would be the featured speaker; Dr. Peter Rupert from the University of California Santa Barbara would provide an economic forecast of the region; the new school superintendent would be introduced and provide the State of the School System; Interim Fire Chief Jim Rampton of the Carpinteria/Summerland Fire Protection District would provide an update; and the Sheriff's Department would provide an update. She acknowledged Cox Communications for its sponsorship of this event. She also announced that the March Mixer would be held at the Santa Barbara Airport on March 23. She acknowledged Caroline Alarcon and her sister, Marisol, for the child safety event.

Councilmember Clark expressed his appreciation for Smart and Final Extra opening three months earlier than expected.

AGENDA MODIFICATIONS: None

CONSENT CALENDAR

Motion by Councilmember Stein, seconded by Councilmember Clark, to approve the Arbor Day Proclamation as read by title only, and the Consent Calendar as presented, including the reading of Resolution No. 5747 by title only, and waive reading, adopt, and read Ordinance No. 710 by title only.

Councilmember Clark noted a correction to the Minutes of February 22, 2016, Page 4, second paragraph should indicate Councilmember Clark, not Councilmember Carty.

Upon voice vote, motion carried unanimously, including the correction to the Minutes of February 22, 2016.

3. Minutes of the regular meeting held February 22, 2016.
4. Expenditures for the period beginning February 18, 2016 and ending March 8, 2016.
5. Waive second reading in full and adopt as read by title only Ordinance No. 710, an Ordinance of the City Council, of the City of Carpinteria, California, Adding Chapter 9.46 of Title 9 (Public Peace, Morals and Welfare) of the City of Carpinteria Municipal Code Regarding Synthetic Drugs. This ordinance would prohibit the storage, provision, sale or distribution of synthetic drugs from any property within the City, and would define a violation of the ordinance as a public nuisance, punishable through administrative remedies and business license suspension or revocation.
6. Adopt Resolution No. 5747, authorizing the destruction of certain obsolete records in the City Clerk's Office as specified in Exhibit A of the Staff Report.

ADMINISTRATIVE MATTERS: None

PUBLIC HEARINGS:

7. Measure A Five-Year Local Program of Projects for Fiscal Years 2016-17 through 2020-21

Recommendation: Receive the report and adopt Resolution No. 5645, approving the Measure A Five-Year Local Program of Projects for Fiscal Years 2016-17 through 2020-21, and authorizing submittal to the Santa Barbara County Association of Governments.

Brian C. Barrett, Management Analyst, presented the staff report.

Councilmember Stein referred to the Fifth Street Pedestrian Bridge Feasibility Study and inquired regarding the location of the bridge. Public Works Director Charlie Ebeling responded that the feasibility study would include looking at more than one location; however, they were looking in the vicinity of the northside of the Union Pacific overcrossing off of Franklin Creek. City Manager Dave Durflinger noted that Agenda Item 9 was for the approval of a consultant contract for the feasibility study for this project.

Mayor Carty inquired regarding the flexibility to change Measure A granted projects in the future. Mr. Ebeling responded that Measure A granted projects are not flexible and the City would need to stay within the agreed upon scope of work. Mr. Durflinger added that the Annual Program of Projects is updated every five years and submitted annually

to SBCAG; however, it includes a rolling list of five-year projects that could be amended annually.

Mayor Carty opened the Public Hearing at 6:30 p.m. There were no public comments. Mayor Carty closed the Public Hearing at 6:30 p.m.

Motion by Councilmember Stein, seconded by Vice Mayor Shaw, to adopt Resolution No. 5645 approving the Measure A Five-Year Local Program of Projects for Fiscal Years 2016-17 through 2020-21, and authorize submittal to the Santa Barbara County Association of Governments.

Upon voice vote, motion carried unanimously.

OTHER BUSINESS:

8. Traffic Safety Committee Recommendations

Recommendation: Adopt Resolution No. 5648, approving the recommendation of the City's Traffic Safety Committee from its meeting on February 25, 2016.

Melissa Angeles, Engineering Technician, presented the staff report.

Councilmember Stein inquired whether the red curb on the west side of Cravens Lane was removed from the recommendation. Ms. Angeles confirmed that this request was removed.

There were no Public Comments.

Motion by Vice Mayor Shaw, seconded by Councilmember Stein, to adopt Resolution No. 5648 approving the recommendation of the City's Traffic Safety Committee from its meeting on February 25, 2016.

Upon voice vote, motion carried unanimously.

9. A Consultant Agreement for the Preparation of a Feasibility Study for a Railroad Undercrossing and Trail at Holly Avenue or Ash Avenue and Connecting Trail Over Franklin Creek to Seventh Street

Recommendation: Authorize the City Manager to execute a Consultant Agreement in an amount not to exceed \$33,000.00 for Bengal Engineering, Inc. to study the feasibility of a trail undercrossing at the railroad tracks at Holly Avenue or Ash Avenue and to prepare concept plans for the undercrossing and connecting trail to Seventh Street.

Matt Roberts, Parks and Recreation Director, presented the staff report and PowerPoint presentation.

Councilmember Clark inquired whether Union Pacific Railroad had been approached to seek their approval for going under their railroad tracks. Mr. Roberts responded that this had been discussed with Union Pacific Railroad, which would consider whether an undercrossing would interfere with their operations and utilities.

Vice Mayor Shaw asked that drainage issues be considered for the area of Holly Avenue. Mr. Roberts noted that pumping water out of the areas is anticipated and would be addressed in the study.

Mayor Carty noted that a project would be considered for a trail to Santa Claus Lane and it appeared that this project includes a trail that ends at Seventh Street. He indicated that he believed the study should include the trail to Santa Claus Lane. Mr. Roberts responded that he believed there is a Class 2 Bike Path at Seventh Street to the west end of town, and the Santa Claus Lane Bike Path study would look at how to connect with the Class 2 Bike Path; however, he would relay this concern.

Marilynn Jenkins inquired whether the City had considered a vehicle passage over the railroad tracks for purposes of evacuation and due to increased traffic. Councilmember Stein noted that the Public Utilities Commission is trying to eliminate grade crossings as quickly as possible statewide. Additionally, a crossing is not placed at a curve.

Mayor Carty inquired whether an undercrossing would be suitable for a vehicle. Mr. Roberts responded that passage by smaller vehicles would be addressed in the feasibility study.

Motion by Councilmember Stein, seconded by Councilmember Clark, to authorize the City Manager to execute a Consultant Agreement in an amount not to exceed \$33,000 for Bengal Engineering, Inc. to study the feasibility of a trail undercrossing at the railroad tracks at Holly Avenue or Ash Avenue and to prepare concept plans for the undercrossing and connecting trail to Seventh Street.

Upon voice vote, motion carried unanimously.

10. Engagement of a Consultant to Prepare a Feasibility Study for an Inn and Restaurant on City Owned Property at Parking Lot No. 3

Recommendation: Authorize the City Manager to engage Keyser Marston Associates to evaluate the feasibility of an inn and restaurant proposed to be located at Parking Lot No. 3.

Matt Roberts, Parks and Recreation Director, presented the staff report and PowerPoint presentation.

Councilmember Clark inquired how the City would gauge whether this proposal would be acceptable to City residents. Mr. Roberts responded that it would first need to be

determined whether the project is economically viable, and then the City would solicit a private partner for the project. City Manager Dave Durflinger added that public meetings would be held that would provide an opportunity for public input.

Marilyn Jenkins inquired regarding the location of parking spaces. She noted that she resides near the beach and many motorists park and block her driveway. Mr. Roberts responded that replacement parking would be included in the study in accordance with parking standards.

Motion by Councilmember Clark, seconded by Councilmember Nomura, to authorize the City Manager to engage Keyser Martson Associates to evaluation the feasibility of an inn and restaurant proposed to be located at Parking Lot No. 3.

Upon voice vote, motion carried unanimously.

11. 2016 South Coast County Efficiency Partnership Agreement Extension

Recommendation: Authorize the City Manager to sign the South Coast Energy Efficiency Partnership Agreement Extension.

Erin Maker, Environmental Coordinator, presented the staff report.

There were no Public Comments.

Motion by Councilmember Stein, seconded by Councilmember Nomura, to authorize the City Manager to sign the South Coast County Energy Efficiency Partnership Agreement Extension.

Upon voice vote, motion carried unanimously.

12. Correction of the Minutes of the Regular City Council Meeting of February 8, 2016

Recommendation: Consider approval of the corrected minutes of the Regular City Council meeting of February 8, 2016.

Councilmembers Stein and Nomura stated they would abstain from this item due to their abstaining and not being present during consideration of the item in question at the February 8, 2016 meeting.

Dave Durflinger, City Manager, presented the staff report.

Councilmember Clark inquired whether there was any legal definition or force of law behind the word "consensus" other than as defined in a dictionary. Jenna Acos on behalf of Brownstein Hyatt Farber Schreck, LLP, acting as City Attorney of the City of

Carpinteria Attorney of the City of Carpinteria referred to the February 8, 2016 minutes and noted that there was no formal direction given to staff as direction was more general guidance. She stated she would define "consensus" as unanimous, meaning that all three parties agreed; however, in this case, there were a few issues in that staff was not seeking formal direction, nor was there a vote taken, and formal direction was not necessarily given from the City Council to staff.

There were no Public Comments.

Motion by Vice Mayor Shaw, seconded by Councilmember Clark, to approve the corrected minutes of the Regular City Council meeting of February 8, 2016.

Councilmember Clark withdrew his second to the motion.

Mayor Carty seconded the motion.

Councilmember Clark stated that he believed that there was consensus in terms of separating Sandy Land and a cap of going from 140 to 150; however, after looking at several dictionaries, none defined consensus as "unanimity". He stated that the definition included widespread and somewhere between total disagreement and total agreement. He also stated that while some of the comments by a Councilmember could be open to interpretation with respect to eliminating Districts 2, 3, and 4, he believed that there was unanimity regarding Sandy Land in reviewing the transcript on 2:46:11 on Page 2, Exhibit B and following. He further stated that this would not change any City Council course of action.

Vice Mayor Shaw stated that at the February 8, 2016 meeting, the City Council was in agreement with the original Subarea 1, especially the Sandy Land Corridor. However, as far as the termination of Areas 2, 3, and 4, the City Council could not come to any kind of agreement. He stated that he believed there was a consensus that Sandy Land could be separate, including from Subarea 1 in that it could be a subarea of Subarea 1. He also stated that he believed that the Planning Commission, at its Special Meeting, separated Subarea 1 into four subareas. He further stated that the corrected minutes would indicate that the City Council did not agree on Subareas 2, 3, and 4 and sent back the ideas they discussed back to the Planning Commission. He further stated that the correction to the minutes summarizes the City Council's direction. Mayor Carty stated that he agreed.

Upon voice vote, Councilmember Clark, Vice Mayor Shaw, and Mayor Carty were in favor. Councilmembers Nomura and Stein had abstained. Motion carried.

COMMITTEE REPORTS, INQUIRIES AND OTHER MATTERS PRESENTED BY COUNCILMEMBERS:

Vice Mayor Shaw noted that Erin Maker serves on the Advisory Working Group for Community Choice Energy, and they conducted interviews with two firms for the feasibility study. He noted that Internect, based in Tennessee, was selected. He stated that this firm has been working in California and was the primary firm working for Lancaster. He reported that a BEACON meeting would be held in Carpinteria on March 18, 2016, where they would discuss the results of the questionnaire that was recently submitted to all the jurisdictions, and seeking funding sources for additional dredging at the three harbors in Santa Barbara, Ventura, and Oxnard. He stated they would also discuss eliminating the debris basins for the creeks coming down from the mountains. He noted that these debris basins contain much silt and sand, and removal of the debris basins would allow the sediment to come down.

Councilmember Nomura reported that the Utilities Committee consisting of two members from the City Council, Carpinteria Valley Water District, and Carpinteria Valley Sanitation District met on March 1 where they discussed the reports and options for a recycled water system, where to locate wells for groundwater potentially in the City limits, and saltwater intrusion.

ATTENDANCE OF COUNCILMEMBERS FOR FUTURE MEETINGS

ADJOURNMENT

The meeting was adjourned at 7:17 p.m. by Mayor Carty.

Gregg A. Carty, Mayor

ATTEST:

Fidela Garcia, CMC, City Clerk



City of Carpinteria

COUNCIL AGENDA STAFF REPORT March 28, 2016

ITEM FOR COUNCIL CONSIDERATION

Expenditures for the period beginning March 9, 2016 and ending March 22, 2016.

STAFF RECOMMENDATION

Action Item ____ ; Non-Action Item X

Motion: That the City Council accept this report concerning the current list of warrants pursuant to Carpinteria Municipal Code 2.08.150(F).

DISCUSSION

As part of each regular City Council agenda, a list of all checks (attached) dispersed since the last reporting period is presented to the City Council pursuant to C.M.C. 2.08.150(F). The report is provided for City Council and public information and serves as Staff's verification that the expenditures have been reviewed and are within budgeted appropriations.

FINANCIAL CONSIDERATIONS

The warrant register included as an attachment is designed to communicate fiscal activity since the prior reporting period. No action is requested as part of this report.

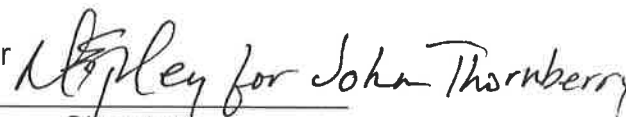
ATTACHMENTS

Attachment A: Warrant Register for the period beginning March 9, 2016 and ending March 22, 2016.

Staff contact: Monique Epley, Finance Supervisor
(805) 684-5405 ext. 406
moniquee@ci.carpinteria.ca.us


Signature

Reviewed by: John Thornberry, Admin. Services Director


Signature

Reviewed by: Dave Durflinger, City Manager


Signature

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03/21/16

CITY OF CARPINTERIA

Warrant Register

March 9 - 22, 2016

Date	Num	Name	Source Name	Memo	Account	Paid Amount
03/09/16	87709	AERIOCONNECT	AERIOCONNECT	VOID: 808464	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87709	AERIOCONNECT	AERIOCONNECT	808464	1000101 · Operating Cash 10	
03/01/16	808464		AERIOCONNECT	DSL MONTHLY SERVICE CHARGE - MARCH 2...	1018222 · Equipment Maintenance	(66.06)
TOTAL						(66.06)
03/09/16	87710	CARDIAC SCIENCE INC	CARDIAC SCIENC...	VOID: 1671868	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87710	CAMPBELL, JACQUELINE	CAMPBELL, JACQ...	VOID: CELL PHONE REIMBURSEMENT	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87711	CARPINTERIA-SUMMERLAND FIRE DISTR...	CARPINTERIA-SU...	VOID: JAN-FEB 2016 FIRE FEES	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87711	CARDIAC SCIENCE INC	CARDIAC SCIENC...	1671868	1000101 · Operating Cash 10	
01/14/16	1671868		CARDIAC SCIENCE...	DEFIBRILLATION SUPPLIES	2862308 · Supplies & Materials 62	(426.50)
TOTAL						(426.50)
03/09/16	87712	CARPINTERIA VETERINARY HOSPITAL INC	CARPINTERIA VET...	VOID:	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87712	CARPINTERIA-SUMMERLAND FIRE DISTR...	CARPINTERIA-SU...	JAN-FEB 2016 FIRE FEES	1000101 · Operating Cash 10	
03/07/16	JAN-FEB FI...		CARPINTERIA-SU...	PERMIT 11623	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PERMIT 11636	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PERMIT 11638	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PERMIT 11641	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PERMIT 11644	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PERMIT 11622	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PERMIT 11661	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PROJ 1812	1000261 · Fire District Fees	(205.00)
TOTAL						(1,640.00)
03/09/16	87713	COASTAL VIEW NEWS	COASTAL VIEW N...	VOID:	1000101 · Operating Cash 10	
TOTAL						0.00

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Date	Num	Name	Source Name	Memo	Account	Paid Amount
03/09/16	87713	CARPINTERIA VETERINARY HOSPITAL INC	CARPINTERIA VET...		1000101 · Operating Cash 10	
02/04/16	250833		CARPINTERIA VET...	DOG LICENSE	1047213 · Animal Sheltering	(35.00)
02/12/16	251285		CARPINTERIA VET...	DOG LICENSE	1047213 · Animal Sheltering	(20.00)
02/18/16	251582		CARPINTERIA VET...	DOG LICENSE	1047213 · Animal Sheltering	(15.00)
TOTAL						(70.00)
03/09/16	87714	COM3 CONSULTING INC	COM3 CONSULTIN...	VOID: INVOICE NO. CAB-1216	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87714	COASTAL VIEW NEWS	COASTAL VIEW N...		1000101 · Operating Cash 10	
02/11/16	47414		COASTAL VIEW NE...	LEGAL	1011303 · Printing & Advertising 11	(165.00)
02/18/16	47429		COASTAL VIEW NE...	LEGAL NOTICES	1011303 · Printing & Advertising 11	(288.00)
02/18/16	47435		COASTAL VIEW NE...	LEGAL NOTICES	1011303 · Printing & Advertising 11	(156.75)
02/24/16	47441		COASTAL VIEW NE...	DISPLAY ADVERTISING	1030308 · Supplies & Materials 30	(149.00)
03/01/16	47482		COASTAL VIEW NE...	DISPLAY ADVERTISING	1044302 · Marketing Materials	(768.00)
TOTAL						(1,526.75)
03/09/16	87715	FIDELITY SECURITY LIFE INSURANCE	FIDELITY SECURIT...	VOID: INVOICE #9474284	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87715	COM3 CONSULTING INC	COM3 CONSULTIN...	INVOICE NO. CAB-1216	1000101 · Operating Cash 10	
03/01/16	CIP-0216		COM3 CONSULTIN...	DIF HWY INTERCHANGE	1030221 · Contract Services 30	(18,820.00)
TOTAL						(18,820.00)
03/09/16	87716	FIRST BANKCARD	FIRST BANKCARD	VOID:	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87716	FIDELITY SECURITY LIFE INSURANCE	FIDELITY SECURIT...	INVOICE #9474284	1000101 · Operating Cash 10	
02/29/16	9474284		FIDELITY SECURIT...	MARCH 2016	1016194 · Wellness 16	(8.78)
			FIDELITY SECURIT...	MARCH 2016	1041194 · Wellness 41	(8.78)
			FIDELITY SECURIT...	MARCH 2016	1030194 · Wellness 30	(8.78)
			FIDELITY SECURIT...	MARCH 2016	1030194 · Wellness 30	(25.81)
			FIDELITY SECURIT...	MARCH 2016	1022194 · Wellness 22	(17.56)
			FIDELITY SECURIT...	MARCH 2016	2531194 · Wellness 31	(25.81)
			FIDELITY SECURIT...	MARCH 2016	1069194 · Wellness 69	(8.78)
			FIDELITY SECURIT...	MARCH 2016	1051194 · Wellness 51	(16.68)
			FIDELITY SECURIT...	MARCH 2016	1011194 · Wellness 11	(8.78)
			FIDELITY SECURIT...	MARCH 2016	1069194 · Wellness 69	(25.81)
			FIDELITY SECURIT...	MARCH 2016	1044194 · Wellness 44	(16.68)
			FIDELITY SECURIT...	MARCH 2016	2531194 · Wellness 31	(8.78)

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Date	Num	Name	Source Name	Memo	Account	Paid Amount
			FIDELITY SECURIT...	MARCH 2016	1012194 · Wellness 12	(16.68)
TOTAL						(197.71)
03/09/16	87717	I. D. B. MEDIA GROUP, LLC.	I. D. B. MEDIA GRO...	VOID: PROJ 1735 REFUND	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87717	FIRST BANKCARD	FIRST BANKCARD		1000101 · Operating Cash 10	
02/25/16	1438		FIRST BANKCARD	CPR TRAINING	1016228 · Employee Training	(30.16)
			FIRST BANKCARD	CPR TRAINING	1016228 · Employee Training	(117.81)
			FIRST BANKCARD	CPR TRAINING	1016228 · Employee Training	(71.18)
			FIRST BANKCARD	CPR TRAINING	1016228 · Employee Training	(98.82)
			FIRST BANKCARD	TRAINING	1016228 · Employee Training	(45.64)
02/25/16	1644		FIRST BANKCARD	OFFICE SUPPLIES	1013224 · Interior Maintenance	(129.58)
02/25/16	9259		FIRST BANKCARD	PROJ 4863	1000251 · Development Deposits	(29.98)
02/25/16	0570		FIRST BANKCARD	PW SUPERVISOR PHONE	2531301 · Telephone 31	(281.24)
			FIRST BANKCARD	COMPUTER HARDWARE	1070417 · Computer Hardware	(139.30)
			FIRST BANKCARD	CAFR AWARD PROGRAM	1014229 · Annual Audit	(435.00)
TOTAL						(1,378.71)
03/09/16	87718	KIMBALL MIDWEST	KIMBALL MIDWEST	VOID: 4716098	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87718	I. D. B. MEDIA GROUP, LLC.	I. D. B. MEDIA GRO...	PROJ 1735 REFUND	1000101 · Operating Cash 10	
01/28/16	PROJ 1735 ...		I. D. B. MEDIA GRO...	PROJ 1735 REFUND	1000251 · Development Deposits	(1,844.97)
TOTAL						(1,844.97)
03/09/16	87719	MISSION UNIFORM SERVICE	MISSION UNIFORM...	VOID: FEBRUARY 2016 UNIFORM	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87719	KIMBALL MIDWEST	KIMBALL MIDWEST	4716098	1000101 · Operating Cash 10	
02/12/16	4716098		KIMBALL MIDWEST	PW SUPPLIES	3332308 · Supplies & Materials 32	(202.59)
TOTAL						(202.59)
03/09/16	87720	MNS ENGINEERS INC	MNS ENGINEERS I...	VOID:	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87720	MISSION UNIFORM SERVICE	MISSION UNIFORM...	FEBRUARY 2016 UNIFORM	1000101 · Operating Cash 10	
02/29/16	FEB 2016 U...		MISSION UNIFORM...	UNIFORM EXPENSE	2531198 · Uniform Rental 31	(158.44)

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Date	Num	Name	Source Name	Memo	Account	Paid Amount
			MISSION UNIFORM...	UNIFORM EXPENSE	3332198 · Uniform Rental 32	(158.44)
			MISSION UNIFORM...	UNIFORM EXPENSE	1013198 · Uniform Rental 13	(79.22)
			MISSION UNIFORM...	UNIFORM EXPENSE	2361198 · Uniform Rental 61	(79.20)
TOTAL						(475.30)
03/09/16	87721	PEOPLE'S SELF HELP HOUSING	PEOPLE'S SELF H...	VOID: PROJ 1527 REFUND	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87721	MNS ENGINEERS INC	MNS ENGINEERS I...		1000101 · Operating Cash 10	
02/19/16	66576		MNS ENGINEERS I...	SSV GRANT	2000261 · Deferred Revenue 20	(4,522.50)
			MNS ENGINEERS I...	SSV GRANT	2079275 · Public Work Grants	(4,522.50)
02/26/16	66638		MNS ENGINEERS I...	PROJ 5436	1000251 · Development Deposits	(1,250.00)
TOTAL						(10,295.00)
03/09/16	87722	REAL GREEN GARDENING	REAL GREEN GAR...	VOID:	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87722	PEOPLE'S SELF HELP HOUSING	PEOPLE'S SELF H...	PROJ 1527 REFUND	1000101 · Operating Cash 10	
01/28/16	PROJ 1527		PEOPLE'S SELF H...	PROJ 1527 REFUND	1000251 · Development Deposits	(59.41)
TOTAL						(59.41)
03/09/16	87723	SANTA BARBARA COUNTY, PUBLIC WOR...	SANTA BARBARA ...	VOID: INVOICE #CAR12032015	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87723	REAL GREEN GARDENING	REAL GREEN GAR...		1000101 · Operating Cash 10	
10/31/15	0001424		REAL GREEN GAR...	TRAIN STATION	3332225 · ROW Maintenance 32	(650.00)
12/31/15	0001490		REAL GREEN GAR...	TRAIN STATION	3332225 · ROW Maintenance 32	(650.00)
01/31/16	0001491		REAL GREEN GAR...	TRAIN STATION	3332225 · ROW Maintenance 32	(680.00)
TOTAL						(1,980.00)
03/09/16	87724	SO CA EDISON	SO CA EDISON	VOID:	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87724	SANTA BARBARA COUNTY, PUBLIC WOR...	SANTA BARBARA ...	INVOICE #CAR12032015	1000101 · Operating Cash 10	
12/03/15	CAR120320...		SANTA BARBARA ...	POLLUTANT LOAD MODELING	1072217 · WM Program Implemen...	(2,345.33)
TOTAL						(2,345.33)

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Date	Num	Name	Source Name	Memo	Account	Paid Amount
03/09/16	87725	SOUTHERN CALIFORNIA SWIMMING	SOUTHERN CALIF...	VOID: 03-12-16 SWIM MEET	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87725	SO CA EDISON	SO CA EDISON		1000101 · Operating Cash 10	
02/27/16	2-19-146-82...		SO CA EDISON	9TH ST BTHRM	2923220 · Street Lighting	(38.02)
02/27/16	2-17-070-74...		SO CA EDISON	1 CARP TC	2923220 · Street Lighting	(56.03)
TOTAL						(94.05)
03/09/16	87726	STAPLES ADVANTAGE	STAPLES ADVANT...	VOID:	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87726	SOUTHERN CALIFORNIA SWIMMING	SOUTHERN CALIF...	03-12-16 SWIM MEET	1000101 · Operating Cash 10	
03/09/16	03-12-16 S...		SOUTHERN CALIF...	CAC SWIM TEAM FEES	4868308 · Supplies & Materials 68	(240.00)
TOTAL						(240.00)
03/09/16	87727	TRI-CO BLUE PRINT & SUPPLY	TRI-CO BLUE PRIN...	VOID: 38281	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87727	STAPLES ADVANTAGE	STAPLES ADVANT...		1000101 · Operating Cash 10	
10/22/15	3281583262		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(271.87)
02/04/16	3292164624		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(90.60)
02/13/16	3293068713		STAPLES ADVANT...	PARKS	2361221 · Miscellaneous Contracts	(186.20)
			STAPLES ADVANT...	PW	2531308 · Supplies & Materials 31	(93.09)
02/13/16	3293068723		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(47.08)
02/13/16	3293068720		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(86.57)
TOTAL						(775.41)
03/09/16	87728	VERIZON CALIFORNIA	VERIZON CALIFOR...	VOID: 011711126512506601	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87728	TRI-CO BLUE PRINT & SUPPLY	TRI-CO BLUE PRIN...	38281	1000101 · Operating Cash 10	
02/12/16	38281		TRI-CO BLUE PRIN...	SCANNING SERVICES	1041222 · Records Conversion Pr...	(2,633.09)
TOTAL						(2,633.09)
03/09/16	87729	VULCAN MATERIALS COMPANY	VULCAN MATERIA...	VOID: 71045691	1000101 · Operating Cash 10	

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Date	Num	Name	Source Name	Memo	Account	Paid Amount
TOTAL						0.00
03/09/16	87729	VERIZON CALIFORNIA	VERIZON CALIFOR...	011711126512506601	1000101 · Operating Cash 10	
02/22/16	0117111265...		VERIZON CALIFOR...	OUTSIDE PD	1013301 · Telephone 13	(43.10)
TOTAL						(43.10)
03/09/16	87730	WEST COAST ARBORIST INC	WEST COAST ARB...	VOID: 113158	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87730	VULCAN MATERIALS COMPANY	VULCAN MATERIA...	71045691	1000101 · Operating Cash 10	
02/22/16	71045691		VULCAN MATERIA...	COLD MIX	3332308 · Supplies & Materials 32	(531.11)
TOTAL						(531.11)
03/09/16	87731	WEST COAST ARBORIST INC	WEST COAST ARB...	113158	1000101 · Operating Cash 10	
02/15/16	113158		WEST COAST ARB...	TREE MAINTENANCE	2732220 · Public Tree Maintenanc...	(5,587.00)
TOTAL						(5,587.00)
03/09/16	87732	COX COMMUNICATIONS	COX COMMUNICA...	001-3011-022093901	1000101 · Operating Cash 10	
03/01/16	0130110220...		COX COMMUNICA...	INTERNET SERVICES	1018222 · Equipment Maintenance	(132.00)
TOTAL						(132.00)
03/09/16	87733	FEDEX	FEDEX	5-341-70089	1000101 · Operating Cash 10	
03/04/16	5-341-70089		FEDEX	DIF HWY INTERCHANGES	3100232 · DIF Highway Interchange	(12.68)
			FEDEX	DIF HWY INTERCHANGES	3179616 · FHWA HBR 31	(12.68)
TOTAL						(25.36)
03/09/16	87734	LA MOTTE COMPANY	LA MOTTE COMPA...	1432353	1000101 · Operating Cash 10	
02/18/16	1432353		LA MOTTE COMPA...	POOL CHEMICALS	4866338 · Chemicals	(66.61)
TOTAL						(66.61)
03/09/16	87735	RINCON BROADCASTING LLC	RINCON BROADC...	INVOICE #IN-1160111660	1000101 · Operating Cash 10	
02/16/16	IN-1160111...		RINCON BROADCA...	ADVERTISING	1044302 · Marketing Materials	(110.00)
TOTAL						(110.00)
03/09/16	87736	SO CA EDISON	SO CA EDISON		1000101 · Operating Cash 10	

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Date	Num	Name	Source Name	Memo	Account	Paid Amount
03/01/16	2-14-791-58...		SO CA EDISON	TRAFFIC LIGHTING	2923220 · Street Lighting	(94.36)
03/01/16	2-22-684-64...		SO CA EDISON	TRAFFIC LIGHTING	2923210 · Traffic Signals	(30.34)
03/02/16	2-32-832-75...		SO CA EDISON	PROJ 4865	1000251 · Development Deposits	(677.96)
03/02/16	2-30-652-42...		SO CA EDISON	VETS HALL	4865309 · Misc Operating Expens...	(333.92)
03/03/16	2-26-504-72...		SO CA EDISON	SEASIDE	4865309 · Misc Operating Expens...	(75.80)
			SO CA EDISON	TRAFFIC LIGHTING	2923210 · Traffic Signals	(29.56)
TOTAL						(1,241.94)
03/09/16	87737	STAPLES ADVANTAGE	STAPLES ADVANT...	3293209135	1000101 · Operating Cash 10	
02/14/16	3293209135		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(129.57)
TOTAL						(129.57)
03/09/16	87738	VENCO WESTERN INC	VENCO WESTERN ...	VOID: INVOICE #0149019-IN	1000101 · Operating Cash 10	
TOTAL						0.00
03/09/16	87739	VENCO WESTERN INC	VENCO WESTERN ...	INVOICE #0149019-IN	1000101 · Operating Cash 10	
02/24/16			VENCO WESTERN ...	ROW	3332221 · Miscellaneous Contract...	(1,793.19)
			VENCO WESTERN ...	CITY HALL	1013225 · Grounds Maintenance	(742.10)
			VENCO WESTERN ...	PROJ 4865	1000251 · Development Deposits	(221.26)
			VENCO WESTERN ...	VETS HALL	4865220 · Grounds Maintenance 65	(108.66)
			VENCO WESTERN ...	POOL	4866220 · Grounds Maintenance 66	(221.73)
			VENCO WESTERN ...	ROW	3323220 · Parking Lot Landscape	(260.30)
			VENCO WESTERN ...	PARKS	2361225 · Park Maintenance 61	(4,523.56)
TOTAL						(7,870.80)
03/10/16	87740	LEAGUE OF CA CITIES, CHANNEL DIVISION	LEAGUE OF CA CL...	VOID: MEETING RESERVATION - SHAW	1000101 · Operating Cash 10	
TOTAL						0.00
03/10/16	87740	LEAGUE OF CA CITIES, CHANNEL DIVISION	LEAGUE OF CA CL...	MEETING RESERVATION - SHAW	1000101 · Operating Cash 10	
03/10/16	RESERVAT...		LEAGUE OF CA CL...	10-24-14 MEETING ATTENDANCE - SHAW	1051306 · Meetings & Travel 51	(35.00)
TOTAL						(35.00)
03/14/16	87741	FIRST BANKCARD	FIRST BANKCARD	1949	1000101 · Operating Cash 10	
02/25/16	1949		FIRST BANKCARD	MEETING REFRESHMENTS	1051310 · Public Relations 51	(61.45)
			FIRST BANKCARD	MEETING REFRESHMENTS	1051310 · Public Relations 51	(222.83)
			FIRST BANKCARD	MEETING RESERVATIONS	1030306 · Meetings & Travel 30	(60.00)
			FIRST BANKCARD	UNIFORM ALLOWANCE	1022169 · Uniform Allowance	(21.55)
			FIRST BANKCARD	UNIFORM ALLOWANCE	1022169 · Uniform Allowance	(13.63)
			FIRST BANKCARD	UNIFORM ALLOWANCE	1022169 · Uniform Allowance	(4.99)
			FIRST BANKCARD	LATE FEE	1041308 · Supplies & Materials 41	(39.00)
			FIRST BANKCARD	UNIFORM ALLOWANCE	1022169 · Uniform Allowance	(37.02)

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Date	Num	Name	Source Name	Memo	Account	Paid Amount
			FIRST BANKCARD	UNIFORM ALLOWANCE	1022169 · Uniform Allowance	(46.47)
			FIRST BANKCARD	UNIFORM ALLOWANCE	1022169 · Uniform Allowance	(60.92)
			FIRST BANKCARD	INTEREST CHARGED	1041308 · Supplies & Materials 41	(5.15)
TOTAL						(573.01)
03/16/16	87742	AG ENT INC	AG ENT INC	15584	1000101 · Operating Cash 10	
02/04/16	15584		AG ENT INC	PROJ 4865	1000251 · Development Deposits	(30.15)
			AG ENT INC	LIBRARY	4865309 · Misc Operating Expens...	(14.85)
TOTAL						(45.00)
03/16/16	87743	ALL AROUND LANDSCAPE SUPPLY	ALL AROUND LAN...	S1854455.001	1000101 · Operating Cash 10	
01/28/16	S1854455.0...		ALL AROUND LAN...	POOL	2361221 · Miscellaneous Contracts	(88.87)
TOTAL						(88.87)
03/16/16	87744	BIG GREEN CLEANING COMPANY	BIG GREEN CLEA...	472412	1000101 · Operating Cash 10	
02/11/16	472412		BIG GREEN CLEAN...	VIOLA	2361221 · Miscellaneous Contracts	(65.00)
TOTAL						(65.00)
03/16/16	87745	C3 INTELLIGENCE, INC	C3 INTELLIGENCE,...	8517	1000101 · Operating Cash 10	
03/01/16	8517		C3 INTELLIGENCE,...	RECRUITMENT	4866308 · Supplies & Materials 66	(30.50)
TOTAL						(30.50)
03/16/16	87746	CALIFORNIA STATE DISBURSEMENT UNIT	CALIFORNIA STAT...	CASE NUMBER 0850182383-01	1000101 · Operating Cash 10	
03/16/16	PAYROLL 0...		CALIFORNIA STAT...	PAYROLL WITHHOLDING 03-17-16	1000105 · ICMA Cash	(330.46)
TOTAL						(330.46)
03/16/16	87748	CARPINTERIA GLASS COMPANY	CARPINTERIA GLA...	31117	1000101 · Operating Cash 10	
02/25/16	31117		CARPINTERIA GLA...	VETS HALL	4865309 · Misc Operating Expens...	(314.40)
TOTAL						(314.40)
03/16/16	87749	CARPINTERIA LOCK & KEY	CARPINTERIA LOC...		1000101 · Operating Cash 10	
02/10/16	1061		CARPINTERIA LOC...	VETS HALL	4865309 · Misc Operating Expens...	(30.78)
02/18/16	1068		CARPINTERIA LOC...	VETS HALL	4865309 · Misc Operating Expens...	(14.04)
TOTAL						(44.82)
03/16/16	87750	CARPINTERIA VALLEY WATER DISTRICT	CARPINTERIA VAL...	FEB 2016 BILLING	1000101 · Operating Cash 10	

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Date	Num	Name	Source Name	Memo	Account	Paid Amount
02/28/16	FEB 2016 B...		CARPINTERIA VAL...	CIVIC CENTER	1013312 · Utility - Water 13	(366.08)
			CARPINTERIA VAL...	PARKING	3332312 · Utility - Water 32	(1,179.89)
			CARPINTERIA VAL...	PARKS	2361312 · Utility - Water 23	(4,727.56)
			CARPINTERIA VAL...	POOL	4866312 · Utility - Water 66	(775.40)
			CARPINTERIA VAL...	VETS HALL	4865309 · Misc Operating Expens...	(155.58)
			CARPINTERIA VAL...	PROJ 4865	1000251 · Development Deposits	(315.87)
TOTAL						(7,520.38)
03/16/16	87751	EDD-SDI	EDD-SDI	776-5147-9	1000101 · Operating Cash 10	
03/16/16	PAYROLL 0...		EDD-SDI	PAYROLL 03-17-16	1000223 · SDI Withheld	(850.17)
TOTAL						(850.17)
03/16/16	87752	EDD-SIT	EDD-SIT	932-0283-6	1000101 · Operating Cash 10	
03/16/16	PAYROLL 0...		EDD-SIT	PAYROLL 03-17-16	1000221 · SIT Withheld	(3,447.29)
TOTAL						(3,447.29)
03/16/16	87753	IWORQ SYSTEMS	IWORQ SYSTEMS	INVOICE #7570	1000101 · Operating Cash 10	
02/19/16	7570		IWORQ SYSTEMS	COMPUTER HARDWARE	1018224 · Software Maintenance	(9,250.00)
TOTAL						(9,250.00)
03/16/16	87754	KIMBALL MIDWEST	KIMBALL MIDWEST	4650030	1000101 · Operating Cash 10	
01/11/16	4650030		KIMBALL MIDWEST	PARKS	2361221 · Miscellaneous Contracts	(173.53)
TOTAL						(173.53)
03/16/16	87755	PRAXAIR	PRAXAIR	54856734	1000101 · Operating Cash 10	
02/20/16	54856734		PRAXAIR	PW SUPPL,IES	2531308 · Supplies & Materials 31	(28.18)
TOTAL						(28.18)
03/16/16	87756	SEUI LOCAL 620	SEUI LOCAL 620	PAYROLL 03-17-16	1000101 · Operating Cash 10	
03/16/16	PAYROLL 0...		SEUI LOCAL 620	PAYROLL 03-17-16	1000229 · SEIU Local 620	(184.90)
TOTAL						(184.90)
03/16/16	87757	SO CA GAS COMPANY	SO CA GAS COMP...		1000101 · Operating Cash 10	
03/08/16	016-913-95...		SO CA GAS COMP...	CIVIC CENTER	1013314 · Utility - Natural Gas	(380.58)
03/08/16	140-914-30...		SO CA GAS COMP...	PROJ 4865	1000251 · Development Deposits	(64.80)
			SO CA GAS COMP...	VETS HALL	4865309 · Misc Operating Expens...	(31.91)

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03/21/16

CITY OF CARPINTERIA
Warrant Register
March 9 - 22, 2016

Date	Num	Name	Source Name	Memo	Account	Paid Amount
03/09/16	014-914-32...		SO CA GAS COMP...	SEASIDE	4865309 · Misc Operating Expens...	(119.12)
TOTAL						(596.41)
03/16/16	87758	STAPLES ADVANTAGE	STAPLES ADVANT...		1000101 · Operating Cash 10	
01/29/16	3291083140		STAPLES ADVANT...	CIVIC CENTER	1013308 · Supplies & Materials 13	(60.28)
			STAPLES ADVANT...	PARKS	2361221 · Miscellaneous Contracts	(139.65)
			STAPLES ADVANT...	PW	2531308 · Supplies & Materials 31	(93.10)
			STAPLES ADVANT...	VETS HALL	4865309 · Misc Operating Expens...	(198.13)
02/19/16	3293483496		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(443.31)
02/19/16	3293483497		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(34.55)
TOTAL						(969.02)
03/16/16	87759	T. ROWE PRICE	T. ROWE PRICE	PAYROLL CONTRIBUTION - ANTHONY VEGA	1000101 · Operating Cash 10	
03/16/16	PAYROLL 0...		T. ROWE PRICE	PAYROLL 03-17-16 ANTHONY VEGA	1000105 · ICMA Cash	(50.00)
TOTAL						(50.00)
03/16/16	87760	THE PUN GROUP	THE PUN GROUP	INVOICE #2015-0149	1000101 · Operating Cash 10	
07/02/15	2015-0149		THE PUN GROUP	INTERNAL AUDIT 2015	1014228 · Accounting Services	(2,760.00)
TOTAL						(2,760.00)
03/16/16	87761	TVSB	TVSB	2016 PUBLIC ACCESS FUNDING	1000101 · Operating Cash 10	
01/21/16	15-191		TVSB	PROJ 4862 - GATV	1000251 · Development Deposits	(15,000.00)
TOTAL						(15,000.00)
03/16/16	87762	UNITED WAY OF SANTA BARBARA COUN...	UNITED WAY OF S...	PAYROLL 03-17-16	1000101 · Operating Cash 10	
03/16/16	PAYROLL 0...		UNITED WAY OF S...	PAYROLL 03-17-16	1000224 · United Way Payable	(24.00)
TOTAL						(24.00)
03/16/16	87763	VAN ATTA ASSOCIATES INC	VAN ATTA ASSOCI...		1000101 · Operating Cash 10	
02/19/16	5532		VAN ATTA ASSOCI...	PALM TO LINDEN TRAIL GRANT	2000261 · Deferred Revenue 20	(361.25)
02/19/16	5523		VAN ATTA ASSOCI...	COMMUNITY GARDEN GRANT	2000261 · Deferred Revenue 20	(303.75)
TOTAL						(665.00)
03/16/16	87764	VANTAGEPOINT TRANSFER AGENTS - 457	VANTAGEPOINT T...	PAYROLL 03-17-16	1000101 · Operating Cash 10	
03/16/16	PAYROLL 0...		VANTAGEPOINT T...	PAYROLL 03-17-16	1000105 · ICMA Cash	(1,075.00)
TOTAL						(1,075.00)

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03/21/16

CITY OF CARPINTERIA
Warrant Register
March 9 - 22, 2016

Date	Num	Name	Source Name	Memo	Account	Paid Amount
03/16/16	87765	VENTURA COLLEGE CAREER CENTR	VENTURA COLLE...	JOB FAIR 2016	1000101 · Operating Cash 10	
03/16/16	JOB FAIR 2...		VENTURA COLLEG...	JOB FAIR	4866308 · Supplies & Materials 66	(10.00)
TOTAL						(10.00)
03/16/16	87766	VERIZON CALIFORNIA	VERIZON CALIFOR...	011711114329800406	1000101 · Operating Cash 10	
02/28/16	0117111143...		VERIZON CALIFOR...	CIVIC CENTER	1013301 · Telephone 13	(167.95)
			VERIZON CALIFOR...	PW	2531301 · Telephone 31	(7.49)
			VERIZON CALIFOR...	BEACH	2862301 · Telephone 62	(120.80)
			VERIZON CALIFOR...	POOL	4866301 · Telephone 66	(235.25)
			VERIZON CALIFOR...	BEACH CONCESSION	2864308 · Supplies & Materials 64	(113.71)
TOTAL						(645.20)
03/16/16	87767	COLSON'S TOWING INC	COLSON'S TOWIN...	INVOICE 1253	1000101 · Operating Cash 10	
02/23/16	1253		COLSON'S TOWIN...	VEHICLE REPAIR	2531307 · Vehicle Oper & Mainten...	(322.03)
TOTAL						(322.03)
03/16/16	87768	GEOSYNTEC CONSULTANTS	GEOSYNTEC CON...	INVOICE 2816056	1000101 · Operating Cash 10	
02/24/16	2816056		GEOSYNTEC CON...	CARPINTERIA SWMP SUPPORT	1072217 · WM Program Implemen...	(2,500.00)
TOTAL						(2,500.00)
03/16/16	87769	MONTGOMERY HARDWARE CO	MONTGOMERY HA...	INVOICE #210234	1000101 · Operating Cash 10	
02/23/16	210234		MONTGOMERY HA...	ASH AVE	2862308 · Supplies & Materials 62	(13.77)
TOTAL						(13.77)
03/16/16	87770	PAPER DIRECT	PAPER DIRECT	INVOICE 6411648	1000101 · Operating Cash 10	
02/24/16	6411648		PAPER DIRECT	CERTIFICATE PAPER	1011306 · Meetings & Travel 11	(43.98)
TOTAL						(43.98)
03/16/16	87771	SANTA BARBARA COUNTY, SHERIFF'S D...	SANTA BARBARA ...	16-207	1000101 · Operating Cash 10	
02/24/16	16-207		SANTA BARBARA ...	SHERIFF OVERTIME	1021215 · Sheriff's Overtime	(806.88)
TOTAL						(806.88)
03/16/16	87772	SANTA BARBARA PEST CONTROL	SANTA BARBARA ...		1000101 · Operating Cash 10	
02/19/16	69251		SANTA BARBARA ...	RODENT CONTROL	3332223 · Chemical Treatment	(65.00)
02/19/16	69252		SANTA BARBARA ...	PROJ 4865	1000251 · Development Deposits	(30.15)

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03/21/16

CITY OF CARPINTERIA
Warrant Register
March 9 - 22, 2016

Date	Num	Name	Source Name	Memo	Account	Paid Amount
			SANTA BARBARA ...	VETS HALL	4865309 · Misc Operating Expens...	(14.85)
TOTAL						(110.00)
03/16/16	87773	SERIGRAPH INDUSTRIES, INC	SERIGRAPH INDU...	INVOICE 006578	1000101 · Operating Cash 10	
02/23/16	006578		SERIGRAPH INDU...	SWIM TEAM SHIRTS	4866350 · Supplies for Resale	(204.00)
TOTAL						(204.00)
03/16/16	87774	SO CA EDISON	SO CA EDISON	2-01-21-6465	1000101 · Operating Cash 10	
03/09/16	2-01-21-6465		SO CA EDISON	STREET LIGHTING	2923220 · Street Lighting	(7,409.99)
TOTAL						(7,409.99)
03/16/16	87775	THE STORAGE PLACE	THE STORAGE PL...	STORAGE MARCH 2016	1000101 · Operating Cash 10	
03/03/16	5044		THE STORAGE PL...	OFFSITE STORAGE RENTAL	1013221 · Miscellaneous Contract...	(325.00)
TOTAL						(325.00)
03/16/16	87776	TRAVELING HURTADOS	TRAVELING HURT...	INVOICE #2	1000101 · Operating Cash 10	
03/04/16	2		TRAVELING HURT...	FIRST FRIDAY	1044302 · Marketing Materials	(270.00)
TOTAL						(270.00)
03/21/16	87777	FIRST BANKCARD	FIRST BANKCARD	5544	1000101 · Operating Cash 10	
02/25/16	5544		FIRST BANKCARD	CM MEETINGS & TRAVEL	1012306 · Meetings & Travel 12	(484.71)
TOTAL						(484.71)

TOTAL \$118,074.87



City of Carpinteria

COUNCIL AGENDA STAFF REPORT March 28, 2016

ITEM FOR COUNCIL CONSIDERATION

Purchase of replacement BEGA light fixtures

STAFF RECOMMENDATION

Action Item; X Non-Action Item

Authorize the City Manager to execute and issue a Purchase Order in the amount of \$35,348.40 to BEGA to purchase replacement lights for the Downtown "T" and community garden.

MOTION: I move to authorize the City Manager to execute a purchase order in the amount of \$35,348.40 to purchase 13 street lights.

BACKGROUND

The City uses a specific style of street light fixture in the downtown as its standard. These fixtures are also used along the railroad platform in parking lot No.3. These lights are manufactured and sold by BEGA. From time to time, fixtures must be replaced due to traffic accidents or other peril. Additionally, this signature style of pole top light is proposed to be used in the Garden Park project as well as portions of the Linden and Casitas Pass Interchange Project.

DISCUSSION

The City was recently informed that BEGA would be discontinuing the style of fixture used in the City's downtown.

The proposed action is to execute and issue a purchase order for 13 fixtures and seven poles. Six of the fixtures with poles are proposed to be used for the Garden Park project. One fixture with pole is to be used to replace one knocked down in a traffic accident in parking lot No.3.

Six additional fixtures without poles are being proposed to be purchased and inventoried for future needs.

The City has usually two poles and fixtures as extras to allow for immediate replacement when the need arises. Because the fixture is to be discontinued, it will be the City's last chance to purchase the style. The pole styles used by the City for BEGA lights continue to be available. No extra poles are proposed to be purchased at this time.

On average, about one light fixture per year is damaged due to an accident. The lights proposed to be purchased will provide a six year supply.

POLICY CONSIDERATIONS

The architecturally attractive downtown street light fixtures currently in use in the Downtown "T" represent one way the City supports and promotes the area. Providing attractive public space encourages community pride and business district patronage. By purchasing new and replacement fixtures in advance, the City helps to ensure the lighting theme is maintained for years to come. In addition, the proposed action will avoid or delay future costs related to the selection and installation of a replacement style of light. .

FINANCIAL CONSIDERATIONS

The funding for this purchase is proposed to come from the City's Street Lighting Fund. The Street Lighting Fund currently has a balance of \$1,077,830.83.

LEGAL

No legal analysis is provided as a part of this report.

OPTIONS

The City Council could provide additional direction to staff regarding this project.

PRINCIPAL PARTIES EXPECTED AT MEETING

None

ATTACHMENTS

1. Purchase Order
2. BEGA quote

Purchase Order Bega Lights
March 28, 2016
Page #3

Staff contact: Matthew Roberts, Parks and Recreation Director

(805) 684-5405 x449 Mattr@ci.Carpinteria.ca.us


Signature


Signature

Reviewed by: Dave Durflinger, City Manager



PURCHASE ORDER

City of Carpinteria
5775 Carpinteria Avenue, Carpinteria CA 93013
(805) 684-5405 fax (805) 684-5304
mattr@ci.carpinteria.ca.us

DATE: MARCH 22, 2016
NO. 08132014

Vendor Bega/US
Information 1000 Bega Way
Carpinteria, CA 93013

COMMENTS:
City Standard Color
City of Carpinteria Green

QUAN	DESCRIPTION	PRICE/UNIT	AMOUNT
7	9857S /STD. LAMPING/STD. VOLTAGE/ SUPPLY WITHOUT A BALLAST/ COLOR MATCH/1108HR/COLOR MATCH	2958.00	20,706.00
6	9587S/STD. LAMPING/STD. VOLTAGE/ SUPPLY WITHOUT A BALLAST/COLOR MATCH	2004.00	12,024.00
	City Standard Color City of Carpinteria Green		
	Quote # B030216BEGA1 rv 01		
		Sub Total (taxable)	32,730.00
		Sales Tax	2,618.40
		Total Delivery	
	Authorized by: _____	Total Purchase order	\$35,348.40

Incorporated in 1965



City of Carpinteria

COUNCIL AGENDA STAFF REPORT March 28, 2016

ITEM FOR COUNCIL CONSIDERATION

A Resolution Authorizing Filing of the Annual Claim for Transportation Development Funds with the Santa Barbara County Association of Governments

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Adopt Resolution No. 5646 authorizing submittal of the Transportation Development Act Claim for the fiscal year 2016-17.

Motion: I move to adopt Resolution No. 5646, authorizing submittal of the Transportation Development Act Claim for the fiscal year 2016-17.

BACKGROUND

The California Transportation Development Act (TDA) is a funding source for the development and support of public transportation needs that exist in California. The TDA provides two major sources of funding, the Local Transportation Fund (LTF) and the State Transit Assistance (STA) Fund. The City only claims LTF funding and not STA funding since it doesn't operate a transit system. The LTF Fund is a local fund in which the state deposits sales tax revenue to be used for transportation purposes defined by TDA.

The Santa Barbara County Association of Governments (SBCAG), as the state-designated Regional Transportation Planning Agency for Santa Barbara County, is responsible for administering the distribution of funds to local TDA recipients and monitoring the subsequent use of those funds to ensure conformity with all state and local requirements. Funds are allocated to areas of each county based on population, taxable sales, and transit performance. The TDA provides funding for use by local agencies for a variety of transportation purposes with public transportation as the first

priority. Other eligible purposes are regional transportation planning and programming, bicycle and pedestrian projects, and local street and road projects when certain conditions are met.

DISCUSSION

Every year SBCAG divides the estimated amount to be deposited in the TDA fund over the coming fiscal year among each of the County's local jurisdictions based on population percentages provided by the Department of Finance. This amount is called the apportionment. The City of Carpinteria's allocation is \$10,878 for fiscal year 2016-17. This is \$220 or 2.0% more than the 2015-16 allocation. As of June 30, 2015 the City's audited TDA fund balance is \$48,655.

In order to receive the allocation in the upcoming fiscal year, the City must submit a claim to SBCAG, describing how the apportionment will be used. These claims must be submitted to SBCAG by April 1st each year. Typically, in June the SBCAG Board approves the amount of funding to be distributed to each local agency and eligible uses of funds based on the claims submitted. SBCAG disburses LTF funds each month when revenue comes in from the Board of Equalization. It has been the City's practice, when enough dollars accumulate in the fund, to pursue a maintenance and improvement project which provides for bike path repairs, resurfacing, re-striping and/or related landscaping maintenance.

Adoption of Resolution No. 5646 will enable staff to meet the April 1st deadline for filing the funding claim.

POLICY CONSISTENCY

This item is in accordance with the regulations of the Transportation Development Act.

FINANCIAL CONSIDERATIONS

This revenue source is annually anticipated through the City's budget preparations. If the City Council decides not to have staff submit the TDA Claim, the City will not receive the \$10,878 apportionment for FY 2016-17 and it would not be able to accumulate additional money into the existing \$48,655 TDA fund balance to pursue a future maintenance project.

LEGAL

There are no legal concerns with this item.

OPTIONS

The City Council could choose to direct staff to revise the TDA Claim for FY 2016-17 and adopt at a future meeting held before April 15th.

PRINCIPAL PARTIES EXPECTED AT MEETING

There are no expected parties at the meeting.

ATTACHMENTS

Attachment A: Resolution No. 5646
Attachment B: TDA Claim Documents

Staff contact:

Brian C. Barrett, Management Analyst
(805) 755-4446
brianb@ci.carpinteria.ca.us

Reviewed by:
John Thornberry, Administrative Services Director

Reviewed by: Dave Durflinger, City Manager



Signature



Signature



Signature

ATTACHMENT A
Resolution No. 5646

RESOLUTION NO. 5646

**A RESOLUTION OF THE CITY COUNCIL OF THE
CITY OF CARPINTERIA
AUTHORIZING THE FILING OF A CLAIM WITH THE
SANTA BARBARA COUNTY ASSOCIATION OF GOVERNMENTS
FOR
ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT FUNDS
FOR FISCAL YEAR 2016-17**

WHEREAS, the Transportation Development Act (TDA), as amended (Public Utilities Code Section 99200 et seq.), provides for the allocation of funds from the Local Transportation Fund and the State Transit Assistance Fund, for use by eligible claimants for various transportation purposes; and

WHEREAS, pursuant to the provisions of the TDA, as amended, and pursuant to the applicable rules and regulations thereunder (Cal. Code of Regulations Sections 6600 et seq.), a prospective claimant wishing to receive an allocation from the Local Transportation Fund or the State Transit Assistance Fund shall file its claim with the Santa Barbara County Association of Governments, the designated Regional Transportation Planning Agency for Santa Barbara County.

NOW, THEREFORE, BE IT RESOLVED that the City Manager or his designee is authorized to execute and file an appropriate claim pursuant to the terms of the Transportation Development Act, as amended, and pursuant to applicable rules and regulations promulgated thereunder, together with all necessary supporting documents, with the Santa Barbara County Association of Governments for an allocation of TDA funds in Fiscal Year 2016-17.

BE IT FURTHER RESOLVED that the authorized claim includes \$10,878 for pedestrian and bicycle facilities.

BE IT FURTHER RESOLVED that a copy of this resolution be transmitted to the Santa Barbara County Association of Governments in conjunction with the filing of the claim.

PASSED, APPROVED AND ADOPTED this 28th day of March, 2016, by the following called votes:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of March, 2016.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

ATTACHMENT B
TDA Claim Documents

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Article 3 Bike/ Ped	Article 4 Transit	Article 4.5 Community Transit	Article 8(a) Streets & Roads	Article 8(c) Transit	REQUIRED FORMS CHECKLIST	
					Claimant:	City of Carpinteria
Cities and County	COLT, Quad., SBMTD, SMAT, SYVT	CTSAs: Easy Lift and SMOOTH	Cities and County	County	Document Name	
X	X	X	X	X	Document A: Claim Form	
X	X	X	X	X	Document B: Resolution	
	X	X		X	Document C: Productivity Improvement	
					Document D: Fiscal Reporting	
	X	X		X	Part A Proposed Operating Budget	
	X	X		X	Part B Proposed Capital Budget	
X	X	X	X	X	Document E: Project Description & RTP Conformity	
	X	X		X	Document F: Maximum Transit Allocation	
					Document G: Fare and Local Support Ratios	
	X			X	G.1 Fixed Route	
	X (not SBMTD)	X			G.2 Demand Response	
	X (not SBMTD)				G.3 Systemwide (combined)	
					Document H: Standard Assurances	
	SBMTD Only	X			H.1 Fiscal Audit	
		X			H.2 LTF ≤ 50% of CTSA's operating budget	
	X	X		X	H.3 State Controller Annual Report	
X			X		H.4 State Controller Annual Report	
	X	X		X	H.5 Retirement System Funding	
	X	X		X	H.6 Staffing of Vehicles	
	X	X		X	H.7 Budget Increase *	
	X	X		X	H.8 CHP Certification **	
	X	X		X	H.9 Fares for Senior and Disabled	
	X	X		X	H.10 Accessibility to Disabled	
	X			X	H.11 Contract for Transit Services **	
		X			H.12 Operations Plan and Budget **	
		X			H.13 Indemnify, Hold Harmless; Insurance **	
	COLT & SYVT Only				H.14 5% Demand Response	
			X		H.15 Unmet Needs *	

*attachments may be required

**attachments required

**I hereby certify that I have completed all forms necessary to
comply with the requirements of the Transportation
Development Act.**

Signature:

Date:

28-Mar-16

Submitted by:

Name: John Thornberry

Title: Administrative Services Director

E-mail: johnt@ci.carpinteria.ca.us

Phone: (805) 755-4448

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DOCUMENT A (Instructions on pages 4-3 and 4-4)

TRANSPORTATION DEVELOPMENT ACT CLAIM FORM
(All claimants must complete this document)

Line

1 Project Year (FY) 2016-2017

2 Claimant City of Carpinteria

3 Address 5775 Carpinteria Ave.

4 Contact Person John Thornberry Title Administrative Services Director

5 Telephone Number (805) 755-4448

6 The above named claimant hereby applies for allocations of Transportation Development Act funds for FY 2016-2017 for the purposes and in the amount(s) specified below:

Purpose		Apportionment	Claimed
Local Transportation Fund			
Regional Transportation Planning (PUC 99262 & 99402)			\$ -
less amount released to SBCAG		minus	\$ -
8 Pedestrian & Bikeway Facilities (PUC 99234)		\$ 10,878.00	\$ 10,878.00
9 Articles 4 & 8:			
less amounts released to:			
10		minus	
11		minus	
12		minus	
plus amounts released from:			
13		plus	
14		plus	
15		plus	
16 Article 4 Transit (PUC 99260)			\$ -
17 Article 4.5 Community Transit (PUC 99275)			\$ -
18 Article 8a Streets & Roads (PUC 99400a)			
19 Article 8c Transit Contracts (PUC 99400c)			
20 TOTAL LTF		\$ 10,878.00	\$ 10,878.00
21 Amount to be held in reserve (CCR 6648)			
By Claimant By SBCAG			
State Transit Assistance			
22 Transportation Planning			
23 Mass Transportation			
24 TOTAL STA			\$ -
25 TOTAL TRANSPORTATION DEVELOPMENT ACT CLAIM (add lines 20 and 24)			\$ 10,878.00

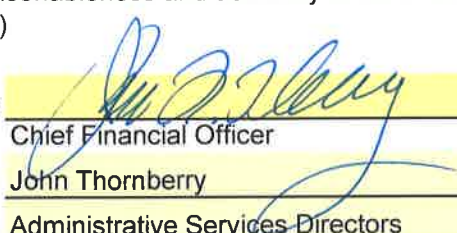
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DOCUMENT A (Continued)

Claimant acknowledges that payment by the County Auditor of an allocation made by the Association of Governments is subject to such monies being on hand and available for distribution and to the provision that such moneys be used only in accordance with the terms of the allocation instruction issued by the Association of Governments

26 By: John Thornberry
27 Title: Administrative Services Director
28 Date: 3/28/2016

I hereby attest to the reasonableness and accuracy of the financial statements included in Documents C, D, F, and G. (Sec. 6632)

29 Signed: 
Chief Financial Officer
30 Name: John Thornberry
31 Title: Administrative Services Directors

Reference: CCR Section 6630

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DOCUMENT E (Instructions on page 4-9)

PROJECT DESCRIPTION & REGIONAL TRANSPORTATION PLAN CONFORMITY
(All claimants must complete this document)

Complete sections for **every article** under which you are claiming TDA funds.

ARTICLE 3 BIKE/PED CLAIMS [Cities and County]

Describe how you plan to use LTF Article 3 Bike/Ped funds. Include, as applicable, location, type of bike facility, length of project (if bike lane), construction start date, and expected date of opening.

Pedestrian and bikeway maintenance projects.

List the corresponding RTP project and/or page numbers.

List the corresponding local bicycle plan project and/or page numbers.

ARTICLE 4 TRANSIT CLAIMS [COLT, Guadalupe, SBMTD, SMAT, and SYVT]

Describe how you plan to use LTF Article 4 Transit funds. Include, as applicable, type of project, construction start date, expected date of opening.

List the corresponding RTP project and/or page numbers.

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ARTICLE 4.5 COMMUNITY TRANSIT SERVICES CLAIMS [Easy Lift and SMOOTH]

Describe how you plan to use LTF Article 4.5 CTSA funds. Include, as applicable, type of project, construction start date, expected date of opening.

List the corresponding RTP project and/or page numbers.

ARTICLE 8(c) TRANSIT CLAIMS [County only]

Describe how you plan to use LTF Article 8 Transit funds. Include, as applicable, type of project, construction start date, expected date of opening.

List the corresponding RTP project and/or page numbers.

ARTICLE 8(a) STREETS AND ROADS CLAIMS [Cities and County, if applicable]

Describe how you plan to use LTF Article 8 Streets/Roads funds. Include, as applicable, type of project, construction start date, expected date of opening.

Reference: CCR Section 6651

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DOCUMENT H (Instructions on page 4-15)

STANDARD ASSURANCES FOR APPLICANTS – LTF
(All claimants must complete this document)

CLAIMANT ASSURANCES: *Initial Each Section or Indicate N/A.*

- N/A 1) Claimant certifies it has submitted a satisfactory, independent fiscal audit, with required certification statement, to SBCAG and to the State Controller, pursuant to PUC 99245 and 21 CCR 6664, for the prior fiscal year (project year minus two). Claimant also assures this audit requirement will be completed for the current fiscal year (project year minus one).

Date of submittal to State Controller: _____

Date of submittal to SBCAG: _____

Applies to SBMTD, Easy Lift, and SMOOTH. SBCAG contracts for and submits fiscal audits for all other TDA claimants.

- N/A 2) Claimant certifies that, per SBCAG Resolution 90-1, no more than 50% of the CTSA's operating budget for the year came from LTF. Claimant also certifies that its fiscal audit contains verification of this limitation (e.g., "[Easy Lift/SMOOTH] is [not] in compliance with requirement, per SBCAG Resolution 90-1, that no more than 50% of [Easy Lift's/SMOOTH's] operating budget for the year came from Local Transportation Funds.").

Applies to Article 4.5 (CTSAs)

- N/A 3) Claimant certifies it has submitted an annual report, documenting agency operations, in conformance with the uniform system of accounts and records, to SBCAG and to the State Controller, pursuant to PUC 99243, for the prior year (project year minus two). Claimant assures this report will be audited by an independent CPA. Claimant also assures this report will be completed for the current fiscal year (project year minus one).

Date of submittal to State Controller: _____

Date of submittal to SBCAG: _____

Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)

-  4) Claimant certifies it has submitted an annual report, regarding expenditure of funds received, to the State Controller, pursuant to 21 CCR 6665, for the prior year (project year minus two). Claimant assures this report will be audited by an independent CPA. Claimant also assures this report will be completed for the current fiscal year (project year minus one).

Date of submittal to State Controller: 9/11/2015

Applies to Articles 3 (bike/ped) and 8 (streets/roads)

- 5) Claimant certifies that (initial one):

N/A a) the current cost of its retirement system is fully funded with respect to the officers and employees of its public transportation system (PUC 99271(a)); or

N/A b) the operator is implementing a plan approved by SBCAG which will fully fund the retirement system for such officers and employees within 40 years (PUC 99271(a)); or

N/A c) the operator has a private pension plan which sets aside and invests, on a current basis, funds sufficient to provide for the payment of future pension benefits and which is fully compliant with the requirements stated in PUC 99272 and 99273.

Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)

- N/A 6) Claimant certifies that, pursuant to PUC 99264, it does not routinely staff, with two or more persons, a vehicle for public transportation purposes designed to be operated by one person.

Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)

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DOCUMENT H (Continued)
(Instructions on page 4-15)

- N/A 7) Claimant certifies that the transit operator's operating budget has not increased more than 15% over the preceding year, and does not include a substantial increase or decrease in scope of operations or capital budget provisions for major new fixed facilities. **If the budget does include such changes, documentation is attached** that identifies and substantiates the reason and need for the changes, pursuant to PUC 99266, CCR 6632(b). E.g., if there is a substantial change between the capital expenditures proposed in this claim and those described in the claimant's Short Range Transit Plan (S RTP) or Transit Development Program (TDP), the claimant must provide a statement that substantiates the need for this change. If the claimant has neither a S RTP nor a TDP, the claimant must provide a statement that describes the need for the proposed capital expenditure.
ATTACHMENTS MAY BE REQUIRED
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)
- N/A 8) Claimant certifies that **attached is certification from the Department of California Highway Patrol** (CHP), completed within the last 13 months, that indicates the operator is in compliance with Section 1808.1 of the Vehicle Code. Section 1808.1 of the Vehicle Code requires, among other things, that operators participate in a pull notice system for obtaining current driver records from the Department of Motor Vehicles.
ATTACHMENTS REQUIRED
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)
- N/A 9) Claimant certifies it is in compliance with PUC 99155: if it offers reduced fares to seniors, it offers the same reduced rate to disabled persons, handicapped persons, and disabled veterans, and it honors the federal Medicare card for identification to receive reduced fares.
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)
- N/A 10) Claimant certifies it is in compliance with PUC 99155.5: dial-a-ride and paratransit services are accessible to handicapped persons and the service is provided to persons without regard to vehicle ownership and place of residence.
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)
- N/A 11) Claimants that contract with another entity or entities for transit service certify that a copy of **the contract negotiated with that entity is attached**, pursuant to CCR 6630.
ATTACHMENTS REQUIRED
Applies to Articles 4 (transit) and 8 (transit)
- N/A 12) Claimant certifies that, per SBCAG Resolution 90-1, **attached to this claim is an operations plan and budget** that describes existing and proposed service, and report on progress of coordination and consolidation objectives.
ATTACHMENTS REQUIRED
Applies to Article 4.5 (CTSAs)
- N/A 13) Claimant certifies that, per SBCAG Resolution 90-1, it has **attached** an agreement to indemnify and hold harmless SBCAG from any claims, judgments or liabilities against the claimant. Claimant certifies it has also **attached proof of insurance coverage**, with limits of general liability to be specified.
ATTACHMENTS REQUIRED
Applies to Article 4.5 (CTSAs)
- N/A 14) Claimant certifies that in its TDA claim, not less than 5% of the amount claimed under Article 4 will be expended for demand-response service for the elderly and individuals with disabilities, per SBCAG Resolution 90-1.
Applies to Articles 4 (transit) in service areas without a designated CTSA [COLT, SYVT]

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DOCUMENT H (Continued)
(Instructions on page 4-15)

N/A 15) If SBCAG has found that there are unmet transit needs that are reasonable to meet within its jurisdiction, the claimant certifies it has **attached a summary of the actions it plans** to take to meet the needs.

ATTACHMENTS MAY BE REQUIRED
Applies to Article 8 (streets/roads)

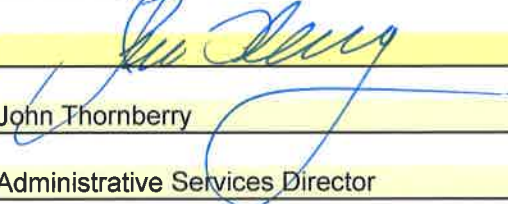
The undersigned (must be the individual named in the authorizing resolution) hereby certifies that the above statements are true and correct.

Signature:

Name:

Title:

Date:



John Thornberry

Administrative Services Director

March 28, 2016

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City of Carpinteria

COUNCIL AGENDA STAFF REPORT MARCH 28, 2016

ITEM FOR COUNCIL CONSIDERATION

Proposal to Continue Street Lighting District No. 1; Direct Preparation of Report

STAFF RECOMMENDATION

Action Item x ; Non-Action Item

Adopt the attached Resolution No. 5649, as read by title only, directing the City Manager to prepare an assessment report for the consideration of continuing Street Lighting District No. 1 for the Fiscal Year 2016-17.

Motion: I move adoption of Resolution No. 5649 as read by title only directing the City Manager to prepare an assessment report for the consideration of continuing Street Lighting District No. 1 for the Fiscal Year 2016-17.

BACKGROUND/DISCUSSION

Street Lighting District No. 1 is legally an independent special district governed by the City of Carpinteria. The District was established by the City to finance street lighting costs in 1977 and has been continued annually ever since. Due to the fact that this District was formed before Proposition 13 and is a property taxing district, it is entitled to a very small share (less than 1%) of the basic one percent property tax rate.

POLICY CONSISTENCY

The maintenance of public street lighting, formerly supported by the subject District, can be found consistent with various City policies including General Plan Community Design policies for the Downtown area. The work is also consistent with the City's interest in protecting public health and safety.

FINANCIAL CONSIDERATIONS

Failure to continue the District would result in a loss of approximately \$280,000 in revenues to finance the cost of street lighting. Annual revenues in this fund usually exceed annual operating expenditures and the fund balance increases. Once sufficient fund balance is accumulated these funds have been used in the past to finance capital projects such as new or replacement street lights, upgrades to traffic signals, etc. The fund balance at 6/30/15 was \$1,077,831. The City's current work program includes a project to replace lighting in public parking lots and trails.

LEGAL

It has been determined that continuation of this District is not subject to the conditions of Proposition 218.

Reference the attached Resolution No. 5649, which, if adopted, is the first step in the annual process to consider renewal of the Street Lighting District No. 1.

The following is the sequence of events for continuing the District:

March 28 --- Adopt a resolution ordering the preparation of a report describing the status of the District, which provides street lighting for the City and to provide projections for FY 2016-16

April 25 --- The report is presented by staff with recommendations.
Adopt a resolution accepting the report.
Adopt a resolution of intention to continue the District for next year and set for public hearing

May 23 --- Conduct a public hearing on the proposal to continue the District
Adopt a resolution continuing the District and levying the tax for fiscal year 2016-16

OPTIONS

Discontinue the District
Direct changes to Resolution No. 5649

PRINCIPAL PARTIES EXPECTED AT MEETING

Unknown

ATTACHMENTS

- A. State of Fund Transactions and Financial Condition for the fiscal year
- B. Resolution No. 5649

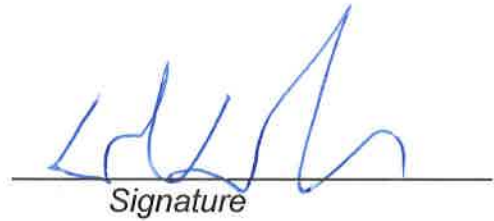
Staff contact: John Thornberry

Phone: 805-684-5405 x 448
Email: JohnT@ci.carpinteria.ca.us



Signature

Reviewed by: Dave Durlinger, City Manager



Signature

Attachment A

State Of Fund Transactions And Financial Condition For The Fiscal Year

Page 5

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Attachment B
Resolution No. 5649

RESOLUTION NO. 5649

A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
ORDERING THE CITY MANAGER TO PREPARE
AN ASSESSMENT DIAGRAM, PLANS, SPECIFICATIONS, ESTIMATE AND REPORT,
PURSUANT TO THE PROVISIONS OF DIVISION 14, PART 1, CHAPTER 8.5
STREETS AND HIGHWAYS CODE, STREET LIGHTING ACT OF 1919,
AS AMENDED,
FOR THE FURNISHING OF ELECTRIC CURRENT,
FOR THE MAINTENANCE OF CERTAIN LIGHTING FIXTURES
AND APPLIANCES IN ALL PORTIONS OF THE CITY IN
STREET LIGHTING DISTRICT NO. 1
FOR THE PERIOD BEGINNING
JULY 1, 2016, AND ENDING JUNE 30, 2017

WHEREAS, the continued public convenience and necessity require, and it is the intention of the City Council of the City of Carpinteria to order the furnishing of electric current, and the maintenance of lighting fixtures and appliances in said Street Lighting District No. 1 in the City of Carpinteria for the period beginning July 1, 2016 and ending June 30, 2017; and

WHEREAS, proceedings for such proposed improvements will be taken pursuant to the provisions of Division 14, Part 1, Chapter 8.5, Streets and Highways Code, Street Lighting Act of 1919, as amended; and

WHEREAS, the provisions of said Division 14, Part 1, Chapter 8.5, require a written report concerning such proposed improvements.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA DOES HEREBY RESOLVE, DETERMINE AND ORDER AS FOLLOWS:

Section 1. That the City Manager is hereby ordered to prepare a written report pursuant to the provisions of Section 18160 and 18161, Streets and Highways Code for the furnishing of electric current and the maintenance of certain lighting fixtures and appliances in all portions of the City that are within Street Lighting District No. 1 in said City for the period beginning July 1, 2016 and ending June 30, 2017.

PASSED, APPROVED AND ADOPTED this 28th day of March, 2016 by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing Resolution No. 5649 was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of March, 2016.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
acting as City Attorney of the City of Carpinteria



City of Carpinteria

COUNCIL AGENDA STAFF REPORT MARCH 28, 2016

ITEM FOR COUNCIL CONSIDERATION

Proposal to Continue Lighting, Landscaping and Right of Way Improvement District No. 3 for Fiscal Year 2016-17

STAFF RECOMMENDATION

Action Item x ; Non-Action Item

Adopt the attached Resolution No. 5650, as read by title only, ordering the preparation of a report describing the financial status of the District and recommending assessments for fiscal year 2016-17 for Carpinteria Lighting, Landscaping and Right of Way Improvement District No. 3.

Motion: I move adoption of Resolution No. 5650, as read by title only, directing the City Manager to prepare, or have prepared, a report describing the financial status of the District and recommending assessments for fiscal year 2016-17 for the Carpinteria Lighting, Landscaping and Right of Way Improvement District No. 3.

BACKGROUND/DISCUSSION

This assessment district was formed on June 8, 1985 by Resolution No. 1474, superseding Street Lighting District No. 2, providing funding for the maintenance of rights of way including repairs to curbs, gutters, sidewalks, tree removal and planting and the tree management program. Like the former Street Lighting District No. 2, this District may also make assessments for street lighting, but such assessments are not made since the overlapping Street Lighting District No.1 provides funding sufficient to meet any street lighting needs.

This District encompasses the entire City and assessments are made on a parcel by parcel basis depending on use, location and need for services. District revenues are

currently used to pay for one Maintenance Worker I and one Maintenance Worker II positions as well as general right of way maintenance, tree maintenance and water and electric utilities costs.

POLICY CONSISTENCY

The City General Plan/Local Coastal Plan includes policies that address the maintenance of street corridors in the City. Specifically, Policies CD-8a, CD-8b, CD-9a, CD-9b, CD-9c, CD-9d and CD-9f address pedestrian and bicycle pathways. The Carpinteria Lighting, Landscaping and Right of Way Improvement District No.3 is the financial means by which the City can, in part, implement these policies.

FINANCIAL CONSIDERATIONS

The attached exhibit is a summary of revenue and expenses for the District providing past years, current year and projected data for next year.

The income stream for this District is fixed at levels set in 1985 when the District was first established. The General Fund subsidized the District by \$155,298 last year. The current year subsidy is estimated at \$93,776.

The District has also historically received payment from the Gas Tax fund for Gas Tax related work i.e. street maintenance work that has been assigned through the City's budget system for payment from the Gas Tax Fund, performed by District employees. It is anticipated that the Gas Tax fund balance, which also receives a mostly static income stream, will be exhausted in the next few years. Should this occur it is expected that the General Fund subsidy would need to be increased to support the lost Gas Tax revenue. At some point, increasing assessments and/or reducing levels of service must be considered unless it is determined to continue to use General Fund revenue sources to supplement the District's revenue.

LEGAL

The following is the sequence of events for continuing the District:

March 28 --- Adopt a resolution ordering the preparation of a report describing the financial status and recommending assessments for fiscal year 2016-17.

April 27 --- The report is prepared and presented by staff with recommendations.
Adopt a resolution accepting the report.
Adopt a resolution of intention to continue the District and to levy assessments for next year and set for public hearing.

May 25 ---Conduct a public hearing on the proposal to continue the District.

Adopt a resolution continuing the District and levying assessments for fiscal year 2016-17.

OPTIONS

Discontinue the District

Modify scope/purpose of the District

PRINCIPAL PARTIES EXPECTED AT MEETING

Unknown

ATTACHMENTS

- A. Summary of revenue and expense for the District providing past years, current year and projected data for next year.
- B. Resolution No. 5650

Staff contact: John Thornberry

Phone: 805-684-5405 x 448
Email: JohnT@ci.carpinteria.ca.us


Signature

Reviewed by: Dave Durflinger, City Manager


Signature

Attachment A

**Summary of Revenue and Expense For The District Providing Past Years, Current Year
and Projected Data For Next Year.**

FUND 33					
ASSESSMENT DISTRICT #3	ACTUAL	ACTUAL	ACTUAL	BUDGET	PROJECTED
	2012-13	2013-14	2014-15	2015-16	2016-17

A. SUMMARY OF FUND TRANSACTIONS:

Fund Balance; July 1	(2)	(2)	(2)	(2)	(2)
Fiscal Year Revenues	440,896	461,730	422,100	420,518	387,700
Fiscal Year Expenditures	440,896	461,730	422,098	420,518	387,698
Fund Balance; June 30	(2)	(2)	(0)	(2)	(0)
Revenue Over/(Under) Expense	(1)	0	2	0	2

B. BALANCE SHEET, JUNE 30

ASSETS:

Cash in Savings	(2)	(2)	(0)	(2)	(0)
Time Deposits	0	0	0	0	0
Accounts Receivable	0	0	0	0	0
*Total Assets>>>>>>>>>>>>>>>>>>>>	(2)	(2)	(0)	(2)	(0)

LIABILITIES AND FUND BALANCE

Accounts Payable	0	0	0	0	0
Fund Balance	(2)	(2)	(0)	(2)	(0)
*Total Liabilities & Fund Balance>>	(2)	(2)	(0)	(2)	(0)

C. FISCAL YEAR REVENUE DETAIL:

Use of Money & Property	(721)	(358)	(1,815)	0	0
District #3 Assessment	201,797	189,866	196,738	192,800	192,800
Prior Years Assessments	26	0	0	0	0
Penalties and Interest	2,822	754	527	1,100	1,100
Grant Revenue					
Transfer from Other Funds	236,971	271,468	226,650	226,618	193,800
*Total Revenues>>>>>>>>>>>>>>>>	440,896	461,730	422,100	420,518	387,700

D. FISCAL YEAR EXPENDITURE DETAIL:

Personnel Expense	196,464	219,605	226,359	179,171	169,042
Right-Of-Way Maintenance (Contract)	62,529	78,347	15,405	50,000	20,948
Street Tree Maintenance (Contract)	7,779	8,208	13,617	25,000	25,000
Park Maintenance					0
Supplies and Materials	22,352	23,237	14,265	25,000	15,000
Vehicle Operations	10,524	11,789	12,265	10,000	10,000
Legal Services					0
Other Contract Services	51,048	32,420	54,099	52,500	53,550
Engineering Expense					0
Utilities	27,419	27,480	21,028	24,500	24,500
Other Operating Expense	937				
*Total Operating Expense>>>	379,052	401,086	357,038	366,171	318,040
Transfers To Other Funds	61,844	60,644	65,060	54,347	69,658
	0	0	0	0	0
	0	0	0	0	0
Capital Improvements	0	0	0	0	0
*Total Capital Outlay Expense>>>>	0	0	0	0	0
*Total Expenditures>>>>>>>>>>>>>>>>	440,896	461,730	422,098	420,518	387,698

Attachment B

Resolution No. 5650

RESOLUTION NO. 5650

**A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
ORDERING THE CITY ENGINEER TO PREPARE AND FILE
A REPORT IN ACCORDANCE WITH
CHAPTER 3, PART 2, LANDSCAPING AND LIGHTING ACT OF 1972,
COMMENCING WITH SECTION 22620,
CALIFORNIA STREETS AND HIGHWAYS CODE,
FOR THE PURPOSE OF CONSIDERING THE LEVY OF AN ASSESSMENT FOR
CARPINTERIA LIGHTING, LANDSCAPING AND RIGHT OF WAY IMPROVEMENT
DISTRICT NO. 3
FOR FISCAL YEAR 2016-17**

WHEREAS, Chapter 3, Part 2, Landscaping and Lighting Act of 1972, commencing with Section 22620 of the California Streets and Highways Codes specifies the process for the levy of annual assessments after formation of an assessment district pursuant to the Landscaping and Lighting Act of 1972; and

WHEREAS, the City Council desires to consider an assessment for Fiscal Year 2016-17; and

WHEREAS, the Carpinteria Lighting, Landscaping and Right of Way Improvement District No. 3 includes the entire City of Carpinteria, including those parcels created within the City subsequent to the establishment of said District, unless any such parcel is exempt from assessment as provided by law; and

WHEREAS, it is the intention of the City to continue to service and maintain street lights, landscaping and right of way in the City.

NOW, THEREFORE, THE CARPINTERIA CITY COUNCIL DOES HEREBY RESOLVE, DETERMINE AND ORDER that the City Manager have the City Engineer prepare and file a report in accordance with Chapter 3, Part 2, Landscaping and Lighting Act of 1972, commencing with Section 22620 of the California Streets and Highways Code for the purpose of consideration of the levy of an assessment for the Carpinteria Lighting, Landscaping and Right of Way Improvement District No. 3 for Fiscal Year 2016-17.

PASSED, APPROVED AND ADOPTED this 28th day of March 2016 by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing Resolution No. 5650 was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of March 2016.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
acting as City Attorney of the City of Carpinteria



City of Carpinteria

COUNCIL AGENDA STAFF REPORT March 28, 2016

ITEM FOR COUNCIL CONSIDERATION

Proposal to Continue the Parking and Business Improvement Area Assessment District No. 4 for FY 2016-17

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Adopt the attached Resolution No. 5651, ordering a report by the Downtown-T Business Advisory Board for the purpose of continuing assessments for the Carpinteria Parking and Business Improvement Area Assessment District No. 4 for fiscal year 2016-17.

Motion: I move to adopt Resolution No. 5651, ordering a report by the Downtown-T Business Advisory Board for the purpose of continuing assessments for the Carpinteria Parking and Business Improvement Area Assessment District No. 4 for fiscal year 2016-17.

BACKGROUND

This District was formed in 1986, pursuant to the Parking and Business Improvement Area Law of 1979 (Streets and Highways Code 36500, et. al.) to provide a means of funding downtown promotion, revitalization efforts and public parking within the District. Currently all businesses within the District pay a flat annual assessment of \$119.25 for general business promotion.

In addition, businesses in sub-area "B" pay a parking assessment based on the difference between the parking the business provides and the minimum amount of required parking pursuant to City Zoning regulations. Another factor in the assessment calculation is the distance the business is located from Parking Lot No. 3. Collections of this assessment are used to make partial debt service payments on the Certificates of Participation issued to acquire and construct the lot. These debt service payments are scheduled to end in 2018.

In fiscal year 1996-97, a new sub-area "C" was created and assessments levied to finance an asphalt overlay of Parking Lot No. 1. The total cost of this overlay was \$60,000. One-half of this cost (\$30,000) was funded by levying parking assessments on businesses within the sub area over a ten-year period which ended in June 2006.

The Downtown-T Business Advisory Board provides oversight and budget recommendations for spending the general business promotion assessments. Examples of expenditures are funding of downtown promotions, such as the two holiday parades, the Halloween Safe Trick or Treat event, as well as the Downtown banner program and a courtesy map.

DISCUSSION

The Downtown-T Business Advisory Board (DTBAB) has a duty to prepare and present an Annual Report to the City Council that addresses all matters relating to the Assessment District, including the methods and basis of levying the assessments and recommendations on the use of funds collected by the District. Additionally, the Board makes recommendations to the City Council for general promotion of business activities, economic growth, and stability of the District, and general maintenance issues within the Downtown-T. The ordering, preparation and presentation of the Annual Report are also required steps in the process for renewal of the District.

POLICY CONSISTENCY

The District funds work that is consistent with the City's intent in supporting the local economy, in particular, the Downtown Business District. This item is in conformance with the requirements laid out in Chapter 3 and 4 of the "Parking and Business Improvement Area Law of 1989" of the California Streets and Highways Code.

FINANCIAL CONSIDERATIONS

Any financial impact to the City is incidental staff time in working with the Downtown-T Business Advisory Board to prepare the annual report of Assessment District No. 4.

LEGAL

Assessments for District No. 4 are made on businesses located within the improvement area; not on property owners. As such these assessments are not subject to the provisions of Proposition 218. It is possible to establish a district that is property based making collections through the County property tax roll. Such a district and assessments would; however, be subject to Proposition 218 requirements.

The following is the sequence of events for continuing this District:

March 28, 2016 - Adopt a resolution ordering the preparation of the Annual Report

- April 25, 2016 - Staff presents Annual Report with recommendations
Adopt resolution accepting report
Adopt resolution setting the matter for a public hearing
- May 23, 2016 - Hold public hearing on proposal to continue District
Adopt resolution continuing District and levying assessments for FY
2016-17

OPTIONS

The City Council could choose to:

1. Levy assessments at the levels discussed in the staff report, or
2. Levy assessments at their current levels with a General Fund subsidy, or
3. Levy assessments at some intermediate level.

PRINCIPAL PARTIES EXPECTED AT MEETING

There are no expected parties at the meeting.

ATTACHMENTS

Attachment A: Resolution No. 5651
Attachment B: State of Fund Transactions and Financial Condition for the District

Staff contact:
Brian C. Barrett, Management Analyst
(805) 755-4446
brianb@ci.carpinteria.ca.us


Signature

Reviewed by:
John Thornberry, Administrative Services Director


Signature

Reviewed by: Dave Durflinger, City Manager


Signature

ATTACHMENT A

Resolution No. 5651

RESOLUTION NO. 5651

**A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
ORDERING THAT A REPORT BE PREPARED
IN ACCORDANCE WITH CHAPTERS 3 AND 4 OF THE
"PARKING AND BUSINESS IMPROVEMENT AREA LAW OF 1989" OF THE
CALIFORNIA STREETS AND HIGHWAYS CODE, AS AMENDED,
COMMENCING WITH SECTION 36500,
FOR THE ACQUISITION, CONSTRUCTION OR MAINTENANCE OF
PARKING FACILITIES AND OTHER ELIGIBLE IMPROVEMENTS AND
BUSINESS PROMOTION ACTIVITIES
IN THE CENTRAL BUSINESS DISTRICT OF THE CITY OF CARPINTERIA,
FOR THE FISCAL YEAR COMMENCING JULY 1, 2016 AND ENDING JUNE 30, 2017
CARPINTERIA PARKING AND BUSINESS IMPROVEMENT AREA DISTRICT NO. 4**

WHEREAS, the Carpinteria City Council did form an assessment district pursuant to the "Parking and Business Improvement Area Law of 1979", being Division 18, Part 6 of the Streets and Highways Code of the State of California, commencing with Section 36500; and

WHEREAS, said formation of the Carpinteria Parking and Business Improvement Area District No. 4 was accomplished by adoption of Ordinance No. 393 on September 8, 1986, following public hearings as prescribed by the Parking and Business Improvement Area Law of 1979; and

WHEREAS, said District No. 4 has been continued in full force and effect in subsequent fiscal years; and

WHEREAS, effective January 1, 1990, said Parking and Business Improvement Area Law of 1979 was repealed by the State of California and replaced by the Parking and Business Improvement Area Law of 1989, being Division 18 of Part 6 (commencing with Section 36500) of the California Streets and Highways Code; and

WHEREAS, Article 3 of Chapter 1 of said 1989 Improvement Area Law (Section 36515) provides that any Parking and Business Improvement Area created prior to January 1, 1990, under the prior 1979 law, continues to be in full force and effect, subject to certain conditions, under the 1989 law; and

WHEREAS, Chapter 3 (Assessments) and Chapter 4 (Modification of Boundaries) of said 1989 Improvement Area Law (commencing with Section 36530) prescribes the process for levying assessments, including appointment of an advisory board and requires said board to make a report to the City Council on all matters relating to said Improvement Area prior to the City Council taking any action on said assessments or boundaries for the next fiscal year starting July 1, 2016 ; and

WHEREAS, it is necessary for the public safety, convenience and economic health of the City that efforts to provide off-street public parking and other improvements and business promotion activities within the central business district be continued; and

WHEREAS, the City Council wishes to consider continuing the existing Parking and Business Improvement Area District No. 4 for Fiscal Year 2016-17 including the various programs and assessments associated therewith.

NOW, THEREFORE, THE CARPINTERIA CITY COUNCIL DOES HEREBY RESOLVE, DETERMINE AND ORDER that a Report be prepared by the Downtown-T Business Advisory Board pursuant to Chapters 3 and 4 of the California Parking and Business Improvement Area Law of 1989, being Part 6 of Division 18 (commencing with Section 36530) of the California Streets and Highways Code, for the fiscal year starting July 1, 2016 and ending June 30, 2017, including programs for the acquisition, construction or maintenance of off-street public parking and such other eligible improvements and business promotion activities, including proposed assessments and boundary adjustments, if any.

PASSED, APPROVED AND ADOPTED this 28th day of March 2016 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of March 2016.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

ATTACHMENT B

**State of Fund Transactions and
Financial Condition for the District**

	ACTUAL 2011-12	ACTUAL 2012-13	ACTUAL 2013-14	BUDGET 2014-15	PROJECTED 2015-16
A. SUMMARY OF FUND TRANSACTIONS:					
Fund Balance; July 1	19,153	20,301	16,878	16,678	19,099
Fiscal Year Revenues	27,829	25,248	48,745	30,396	30,396
Fiscal Year Expenditures	26,681	28,871	27,023	27,975	27,975
Fund Balance; June 30	20,301	16,678	38,400	19,099	21,520
Revenue Over/(Under) Expense	1,148	(3,622)	21,722	2,421	2,421
B. BALANCE SHEET, JUNE 30					
ASSETS:					
Cash in Savings	21,144	20,679	38,423	19,099	21,520
Time Deposits	0	0	0	0	0
Accounts Receivable	0	0	0	0	0
*Total Assets>>>>>>>>>>>>>>>>	21,144	20,679	38,423	19,099	21,520
LIABILITIES AND FUND BALANCE					
Accounts Payable	1,991	4,000	22	0	0
Fund Balance	20,301	16,678	38,400	19,099	21,520
*Total Liabilities & Fund Balance>>	22,292	20,678	38,422	19,099	21,520
C. FISCAL YEAR REVENUE DETAIL:					
Use of Money & Property	501	58	201	204	204
Assessment General BusinessDistrict #4 Assessme	13,232	14,397	17,204	14,756	14,756
Parking Lot Assessment	14,096	10,793	14,596	15,436	15,436
Transfers In	0	0	16,744	0	0
*Total Revenues>>>>>>>>>>>>>>>>	27,829	25,248	48,745	30,396	30,396
D. FISCAL YEAR EXPENDITURE DETAIL:					
Parking Lot #2 Payments					
Project Carpinteria					
Business Promotion/Revitalization	9,432	11,622	9,774	10,726	10,726
Parking Lot Maintenance	0	0	0	0	0
Parking Lot #3 Debt	17,249	17,249	17,249	17,249	17,249
Other Operating Expense	0	0	0	0	0
*Total Operating Expense>>>	26,681	28,871	27,023	27,975	27,975
Linden Avenue	0	0	0	0	0
Public Restroom					
*Total Capital Outlay Expense>>>>	0	0	0	0	0
*Total Expenditures>>>>>>>>>>>>>>>>	26,681	28,871	27,023	27,975	27,975



City of Carpinteria

COUNCIL AGENDA STAFF REPORT March 28, 2015

ITEM FOR COUNCIL CONSIDERATION

Adoption of Resolution No. 5655, to initiate proceedings to set the annual assessment of the City's Assessment District No. 5.

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Staff recommends that the City Council adopts Resolution No. 5655.

Motion: I move to adopt Resolution No. 5655, as read by title only.

BACKGROUND / DISCUSSION

The winter protection berm Assessment District # 5 was formed by Resolution No 3061, dated December 14, 1992. The District funds the annual construction and removal of the winter protection berm along the Carpinteria City Beach. The annual construction and removal is necessary to provide storm wave and coastal flooding protection to the public and private improvements within the District. The District includes all properties immediately adjacent to the City beach from Linden Avenue to Ash Avenue.

This Resolution orders City Staff to prepare the District Report pursuant to Streets and Highways Code 10200 et seq. that will then be presented at a subsequent public meeting. The preparation of the Engineer's Report is the first step in the annual renewal of the District. The Report is scheduled to be heard at the April 25, 2016 City Council Meeting.

POLICY CONSISTENCY

The City's winter protection berm is an important public safety project that protects public and private property improvements from winter storm waves along the City Beach in the City of Carpinteria.

FINANCIAL CONSIDERATIONS

LEGAL

No legal issues are presented as a part of this report.

OPTIONS

1. Approve Resolution No. 5655, to initiate proceedings for Assessment District No. 5.
2. Direct Staff at the City Council's discretion.

PRINCIPAL PARTIES EXPECTED AT MEETING

There are no principal parties expected at the meeting

ATTACHMENTS

Resolution No. 5655

Staff contact:
Matthew Roberts
(805) 684-5405 ext. 449
mattr@ci.carpinteria.ca.us

Reviewed by: Dave Durflinger, City Manager


Signature


Signature

RESOLUTION NO. 5655

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA
INITIATING PROCEEDINGS TO SET THE
ANNUAL ASSESSMENT FOR ASSESSMENT DISTRICT NO. 5
(PURSUANT TO THE MUNICIPAL IMPROVEMENT ACT OF 1913 AND THE
STREETS AND HIGHWAYS CODE §10203)**

WHEREAS, The Carpinteria City Council proposes to set the amount of the annual assessment to be collected for the 2016/17 fiscal year pursuant to the Municipal Improvement Act of 1913 (section 10000 and following , Streets and Highways Code) for the purpose of constructing the following improvements:

The annual installation and removal of the Carpinteria Beach Winter Protection Berm project located along the entire length of the Carpinteria City Beach between Linden Avenue on the east to Ash Avenue to the west. The annual winter sand berm project benefits the public and private improvements within the District's boundaries by providing a measure of protection from winter ocean waves and ocean flooding, and

WHEREAS, The funds collected by the proposed assessment are specifically to be used for the Assessment District Number Five project costs, in the City of Carpinteria, Santa Barbara County, California and shall be collected from all the benefitting land shown inside the District's boundaries on the map designated, "Assessment Diagram, Assessment District No. Five, City of Carpinteria, Santa Barbara County, California," which map is on file with the City Clerk and is hereby approved.

**NOW THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA
HEREBY RESOLVES AS FOLLOWS:**

1. The Parks and Recreation Director of the City of Carpinteria is hereby designated Engineer for the purpose of these formation proceedings.
2. The City Council hereby directs the Parks and Recreation Director to prepare and file with the City Clerk a report in accordance with §10204 of the Municipal Improvement Act of 1913, Streets and Highway Code.
3. The Report shall be filed with the City Clerk and shall be presented to the City Council for Consideration on April 25th, 2016, at 5:30 PM or as soon thereafter as the matter may be heard.

PASSED, APPROVED AND ADOPTED, this 28th day of March, 2016 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCILMEMBER

ABSENT: COUNCILMEMBER

Mayor, City of Carpinteria

Attest:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held this 28th day of March, 2016.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria



City of Carpinteria

COUNCIL AGENDA STAFF REPORT March 28, 2016

ITEM FOR COUNCIL CONSIDERATION

Consideration of Making an Appointment to Fill an Existing Vacancy on the Carpinteria First Committee

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Appoint Marisol Alarcon to fill an existing vacancy on the Carpinteria First Committee.

Motion: I move to adopt Resolution No. 5652, appointing Marisol Alarcon to fill an existing vacancy on the Carpinteria First Committee.

BACKGROUND

The Carpinteria First Committee consists of three at-large representatives, three Chamber of Commerce representatives, and a Downtown-T Business Advisory Board (DTBAB) representative. There is currently one vacancy on the Committee for an at-large representative. The City has made numerous recruitment efforts, such as publishing a display ad in the local newspaper, placing a notice for membership recruitment on the City Scroll and at the public library, and posting a notice listing all the expiring and vacant positions for all advisory groups on the bulletin board at City Hall. One application has been received and this matter has been brought before the City Council to allow it an opportunity to consider making an appointment to the Committee.

DISCUSSION

Currently, one vacant position for an at-large representative on the Committee exists. Marisol Alarcon, local attorney and owner of Alarcon Legal, would like to serve on the Committee and has submitted an application (Attachment A) for the Council's review and consideration.

POLICY CONSISTENCY

Council Advisory Board members serve at the pleasure of the City Council and shall be appointed by the Mayor with the advice and consent of the City Council per Carpinteria Municipal Code.

FINANCIAL CONSIDERATIONS

There are no financial considerations presented with this item.

LEGAL

There are no legal issues regarding this item.

OPTIONS

The City Council could choose not to appoint Ms. Alarcon.

PRINCIPAL PARTIES EXPECTED AT MEETING

Marisol Alarcon, Owner of Alarcon Legal

ATTACHMENTS

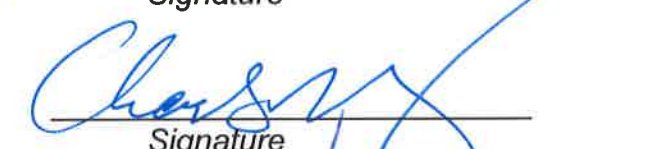
Attachment A: Application of Marisol Alarcon
Attachment B: Resolution No. 5652

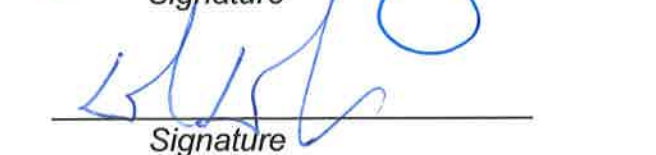
Staff contact:
Brian C. Barrett, Management Analyst
(805) 755-4446
brianb@ci.carpinteria.ca.us

Reviewed by:
Charles W. Ebeling, P.E., Public Works Director

Reviewed by: Dave Durflinger, City Manager


Signature


Signature


Signature

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ATTACHMENT A
Application of Marisol Alarcon



**CITY OF CARPINTERIA
APPLICATION FOR APPOINTMENT
TO A CITY BOARD, COMMISSION OR
COMMITTEE**

Please return to: City Clerk's Office
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

RECEIVED

MAR 14 2016

BOARD, COMMISSION OR COMMITTEE APPLIED FOR:

CITY OF CARPINTERIA

Carpinteria First Committee

APPLICANT: Marisol Alarcon

Name

[Redacted]

[Redacted]

Address

[Redacted]

Email

[Redacted]

Business Address

Phone Number(s)

Are you a resident of the City of Carpinteria? Yes

EXPERIENCE/ BACKGROUND:

J.D. from SB Colleges of Law; B.S. from Westmont College

Education

Attorney

Present Occupation (Please describe any relevant past work experience)

Carpinteria Chamber of Commerce

Memberships in Organizations

Reasons why you believe you should be appointed (attach additional sheets if necessary):

I'd like to join the Carpinteria First Committee. I am the owner of a small business in the T zone,

live in Carpinteria and would like the City and area to thrive.

Please list City Commission/Committee/Board (including dates) you are currently serving on or have served on:

None

I, the undersigned, hereby certify that all the statements contained herein above, are true and correct to the best of my knowledge and belief. I understand that false statements in my application will disqualify me from service on any Commission/Committee/Board of the City of Carpinteria. If appointed, I understand I will be responsible for filing an annual Statement of Economic Interests and fulfilling ethics training, and failure to do so in a timely manner may be cause for termination of position. This application is a public document and is available for review.

THIS APPLICATION MUST BE SIGNED IN INK AND DATED

Marisol Alarcon 3/8/2016

Signature

Date

Rev. 12/7/12

ATTACHMENT B

Resolution No. 5652

RESOLUTION NO. 5652

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
MAKING APPOINTMENT TO FILL AN EXISTING
VACANCY ON THE CARPINTERIA FIRST COMMITTEE

WHEREAS, one vacancy exists on the Carpinteria First Committee; and

WHEREAS, said vacancy has been duly noticed and advertised as required and all interested persons have been invited to submit an application for consideration for appointment to fill said vacancy; and

WHEREAS, the Mayor has recommended that certain persons be appointed to fill said vacancy.

NOW, THEREFORE, THE CARPINTERIA CITY COUNCIL HEREBY RESOLVES that the following appointment is approved and confirmed effective immediately for the two year term ending January 31, 2017:

CARPINTERIA FIRST COMMITTEE

Marisol Alarcon – At Large Representative

PASSED, APPROVED AND ADOPTED this 28th day of March, 2016 by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

ABSTAIN: COUNCILMEMBER:

ATTEST:

Mayor, City of Carpinteria

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of March 2016.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria



City of Carpinteria

COUNCIL AGENDA STAFF REPORT March 28, 2016

ITEM FOR COUNCIL CONSIDERATION

Italian Stone Pine Street Tree Assessment & Management Plan and Recommendations from the Regular Meeting of the City of Carpinteria Tree Advisory Board

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Receive and file the report on the assessment and management of the Italian Stone Pine street trees in the City and support the Tree Advisory Board recommendations to remove the trees listed herein and replace with an approved species from the City Street Tree Management Plan.

Motion: I move to receive and file the report on the assessment and management of the Italian Stone Pine street trees in the City and support the Tree Advisory Board recommendations to remove the trees listed herein and replace with an approved tree species from the City Street Tree Management Plan.

BACKGROUND

The City of Carpinteria has twenty-eight Italian Stone Pines within City limits on 7th Street, Carpinteria Avenue, Ash Avenue, Wullbrandt Way, 9th Street, El Carro Park, Monte Vista Park and Olive Avenue. The Italian Stone Pine is native to the Mediterranean region and has been widely planted as a street tree and park tree in Southern California. As reported by several residents, the trees along 7th Street were planted as a community service project by the Lion's Club, circa 1955. The trees are now very large and provide a beautiful canopy on 7th Street that covers the entire road in several locations. The trees also overhang sidewalks, roads, parking, residences, and interfere with utility lines and damage public streets and sidewalks.

Between 2011 and 2016, four Stone Pines were removed on 7th Street due to whole tree failure and one other due to a large stress fracture that created a significant risk of tree failure. An additional tree was removed on Carpinteria Avenue due to death/Bark Beetle infestation. Public Works has filled one of the vacant tree locations and is in the process of planting replacement trees in the other locations.

The Stone Pines on 7th Street and Carpinteria Avenue are classified as Special Condition Street Trees in the City's adopted Street Tree Management Plan (STMP) due to factors such as size, age, conflicts with surrounding overhead and underground utilities and infrastructure, etc. The procedure for addressing Special Condition Streets is outlined in the STMP. This process reflects both the need to take care of street trees, and remedy the damage caused by them, while observing the value of these trees as part of the City's urban forest. Public Works retained Consulting Arborist, Bill Spiewak to assess the condition and level of risk of the twenty-eight remaining Stone Pines and provide a recommendation on how to mitigate risk and retain the street trees.

DISCUSSION

Condition of Trees

According to the Assessment & Management Plan, the Italian Stone Pine street trees are healthy and in structurally fair condition but are in need of pruning to reduce the canopy weight and reduce the risk of splitting during extreme weather events. Several trees on 7th Street and Carpinteria Avenue could benefit from cabling to help retain the spreading limbs that are more prone to failure.

It was observed that the sidewalks and roadways adjacent to the trees have undergone multiple repairs due to cracking and lifting caused by tree roots. It was also observed that several trees are experiencing conflicts with overhead utilities. High voltage wires are installed above the trees on the south side of 7th Street and Carpinteria Avenue. Utility tree pruning is routinely performed by contractors retained by utility companies, often resulting in unbalanced and disfigured trees. Several trees on 7th Street have been severely pruned and are in need of maintenance to balance the tree canopies and reduce the risk of splitting.

Tree Replacements

While temporary methods could be used to preserve the trees, Mr. Spiewak ultimately recommended removal of three trees located at 4757 7th Street, 4791 7th Street and 4823 7th Street. The tree at 4823 7th Street was identified as a moderate to high risk tree¹ that has outgrown its location and has two major trunks that are prone to splitting. The trees at 4791 and 4757 7th Street were identified as high risk trees² because of their proximity to high voltage overhead lines which has lead to extreme pruning and unbalanced crowns.

In addition to these trees, Mr. Spiewak concluded that nine other trees are moderate to high risk and nine are moderate risk³. These trees are located on 7th Street, Wullbrandt

¹ Possible likelihood of failure, somewhat likely to damage or hurt underlying targets (people, structures, vehicles, etc.), severe consequences.

² Probable likelihood of failure, likely to damage or hurt underlying targets (people, structures, vehicles, etc.), severe consequences.

³ Possible likelihood of failure, somewhat likely to damage or hurt underlying targets (people, structures, vehicles, etc.), significant consequences.

Way, Carpinteria Avenue and Olive Avenue. Seven trees are low risk⁴ and are located in El Carro Park, Monte Vista Park and on 9th Street.

The TAB met on February 18, 2016 and recommended that the trees at 4823 and 4791 7th Street be replaced due to severe structural damage and their conflict with overhead utility lines. It is important to note that the tree at 4757 7th Street was also recommended for removal by Mr. Spiewak, but was removed by the City earlier this year due to a large stress fracture that created a significant risk of tree failure.

Special Condition Street Tree Policy

The Stone Pines on 7th Street and Carpinteria Avenue are classified as Special Condition Street Trees in the City's adopted Street Tree Management Plan (STMP) due to factors such as size, age, conflicts with surrounding overhead and underground utilities and infrastructure, etc. The procedure for addressing Special Condition Street Trees includes conducting publicly noticed neighborhood meetings to receive input regarding the strategy of managing Special Condition Street trees. The results of the neighborhood meeting are then presented to the Tree Advisory Board for their recommendations on how to manage and preserve the trees as long as possible, taking into consideration factors such as damages to infrastructure, cost for modifying curbs, gutters and sidewalks, and loss of on-street parking.

Options considered at the TAB meeting include both tree maintenance measures and infrastructure modifications such as: bulb-outs, road width reduction, setbacks, etc. The TAB recommendations are then forwarded to the City Council for their consideration. This process reflects both the need to take care of street trees, and remedy the damage caused by them, while observing the value of these trees as part of the City's urban forest.

At this time, Public Works is requesting that the City Council receive and file the Stone Pine Assessment & Management Plan. The information provided in Mr. Spiewak's report will be incorporated into subsequent community discussions that will be held to establish a final Stone Pine management plan. Public Works also requests that the Council support the TAB's recommendation from its February 18, 2016 meeting to replace two Stone Pine street trees located at 4823 and 4791 7th Street due to severe structural damage and their conflict with overhead utility lines. Replacement trees will be selected from the list of approved species for the particular street as outlined in the STMP.

POLICY CONSISTENCY

This item is consistent with Chapter 12.28 of the Carpinteria Municipal Code which establishes rules and regulations relating to the planting, care, maintenance and removal of trees, shrubs and any other plantings in public areas, rights-of-ways and easements.

⁴ Improbable likelihood of failure, unlikely to damage or hurt underlying targets (people, structures, vehicles, etc.) minor consequences.

FINANCIAL CONSIDERATIONS

The City budgets \$135,000 for annual street tree maintenance and \$25,000 per year for additional trimming, emergency maintenance, and normal tree replacements.

LEGAL

There are no legal issues regarding this item at this time.

OPTIONS

The City Council could choose to:

1. Receive and file the report on the assessment and management of the Italian Stone Pine street trees in the City and support the Tree Advisory Board recommendations.
2. Not receive and file the report on the assessment and management of the Italian Stone Pine street trees in the City and provide additional direction to Staff regarding this item.

PRINCIPAL PARTIES EXPECTED AT MEETING

None.

ATTACHMENTS

Attachment A: Photos of Stone Pine Trees at 4823 and 4791 7th Street
Attachment B: Stone Pine Assessment & Management Plan
Attachment C: Addendum to Stone Pine Assessment & Management Plan

Staff contact:

Melissa Angeles, Engineering Technician
(805) 684-5405, ext. 445
MelissaA@ci.carpinteria.ca.us



Signature

Reviewed by:
Charles W. Ebeling, Public Works Director



Signature

Reviewed by: Dave Durflinger, City Manager



Signature

ATTACHMENT A

Photos of Trees at 4823 and 4791 7th Street

4823 7th Street



4791 7th Street



ATTACHMENT B

Stone Pine Assessment & Management Plan



STONE PINE ASSESSMENT & MANAGEMENT PLAN

Project Site: 7th St., Carp Ave, Ash, Wullbrandt Way, El Carro & Monte Vista Parks - November 13, 2015

Prepared for:

Charlie Ebeling-Public Works Director / City of Carpinteria
5775 Carpinteria Ave., CA 93013
(805) 684-5405 ext. 402 / cebeling@ci.carpinteria.ca.us

Prepared by:

Bill Spiewak Consulting Arborist
3517 San Jose Lane, Santa Barbara, CA 93105
805) 331-4075 / bill@sbarborist.com

SUMMARY

There are 27 Italian Stone Pines in Carpinteria. I was retained to assess their condition and level of risk. I developed a spread sheet to collect information on each tree including size, health, structure, underlying targets, and damage to infrastructure. I utilized two methodologies to assess risk and also provided recommendations.

The pines are all biologically healthy but in structurally fair condition. Co-dominant stems with included bark is a common defect in trees that leads to weak branch attachments. Due to the multiple stems of these stone pines, they have a high potential to split when over-weighted with growth, especially during adverse weather conditions. Due to their location as street trees, the consequences of failure could be tragic.

As a result, most of the trees need pruning this winter and some will benefit from cabling to help retain some of the spreading limbs that are more prone to failure. During the next pruning cycle, tree workers must be more aggressive in removing leaders to significantly reduce weight in the crowns. In addition, concrete sidewalks and asphalt roadways had been repaired multiple times followed by more cracking and lifting. This conflict with infrastructure needs to be addressed. Because root pruning can lead to tree failure, *bulbing out* curbs and sidewalks may be an option in certain locations.

The table of contents on the next page illustrates the organization of this report. For a quick review of my findings, see conclusions on page 17.

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BACKGROUND/ASSIGNMENT

The City of Carpinteria has twenty seven stone pines within its city limits. The trees along 7th Street were planted by the Lion's Club, circa 1955, as street trees that now overhang sidewalks, roads, parking, and residences. I am not sure about the planting year for the rest of the trees.

A couple of the trees had failed and many have caused severe damage to the infrastructure. I was retained to inspect the trees and prepare a report with my findings and recommendations. I performed my field work in October and November 2015.

Use of Report

It is intended that this report provide a management tool for the public works department to remove, retain, and manage this city's stone pine resource.

Limits of Assessment and Report

- There were 27 trees requested for inspection. There were initially four other stone pines that were street trees and previously removed over the past few years due to severe conflicts with overhead utilities and one failure 2011-2015). One small tree was removed in El Carro Park.
- I did not inspect the trees from the homeowners side of the property unless they were present and invited me to look from their side.
- I did not have a history of maintenance on the trees, aside from general pruning cycles. A few residents had described some of the history when they saw me inspecting the trees.
- My recommendations for pruning are mostly general based on species and location. In some cases, specific recommendations were made. However, the tree pruning contractor must be able to make appropriate decisions when pruning that fulfills the objective of reducing risk while maintaining natural form and health to the greatest extent feasible.

Scope of Project

In order to fulfill my assignment, the following tasks were required:

- Develop criteria for assessment and a worksheet for field work,
- Inspect each tree and assess its health and structure,
- Identify underlying targets and potential risks from tree failures,
- identify previous and current damage to sidewalk, curb, gutters, driveways, and roadways,
- Identify conflicts with utilities,
- Compare and contrast with Stone Pines along Anapamu Street in the City of Santa Barbara,
- Prepare a report with my findings and recommendations.

SPECIES PROFILE: Benefits & Detriments

The Italian Stone Pine (*Pinus pinea*) is native to the Mediterranean region. It has been widely planted as a street tree and park tree in Southern California. Santa Barbara has many along Anapamu Street that were planted approximately one hundred years ago. Most of the stone pines in Carpinteria were planted in 1955 with the exception of one in El Carro Park, one in Monte Vista Park, one on 9th street, and possibly one on 7th Street.

The stone pine has a beautiful spreading canopy that is often shorter than it is wide. The shade created by these trees is magnificent and quite welcome on hot days. The trees along 7th street create a canopy that covers the entire road in several locations.

There are not many diseases associated with stone pines although they are susceptible to brown rots and other fungi that infect conifers and their roots, although I did not observe any obvious fungal infections. Stone pines are also susceptible to beetle infestations but none were observed. It was interesting to see so many biologically healthy stone pines in Carpinteria, which is likely due to their young age and root growth into deep sandy soil.

However, stone pines have two detrimental characteristics. First, their shallow lateral roots are highly invasive and cause damage to infrastructure and anything in their path. They are aggressive and fast growing and therefore an expensive species to manage when planted in limited spaces. Although roots are often pruned, as they have been here, can sometimes leads to toppling (falling of an entire tree).

The other problematic characteristic is their form. Stone pines naturally develop co-dominant stems with included bark. Co-dominant stems are two or more trunks, leaders, limbs or branches that grow adjacent to each other, at similar rates, and are similar in size. As these stems continue to grow each year, they also enlarge in diameter. Eventually, the space between them closes and the bark becomes included or embedded. This causes a weak attachment and a seam between stems.

This structural occurrence is a common defect in stone pines and other trees and is often the cause of splitting that occurs as co-dominant stems with included bark get large and heavy. The defect can often be mitigated with removal of one of the co-dominant stems, weight reduction pruning, and/or sometimes cabling. Despite this being a common problem, not every co-dominant stem with included bark will fail. However, this structural defect was identified in most every stone pine and the reason for the high risk assessment posed by some of the trees. The photos beginning on page 19 of the report illustrate the defects described above.

Species Comparison: Carpinteria Pines and Santa Barbara Stone Pines

The Santa Barbara Stone Pines are approximately 100 years old, almost twice the age of Carpinteria's trees. They are also much lower in vigor than the Carpinteria pines and many are in decline due to the four year drought and the age of the trees. Currently the

city is watering the trees in the small parkways and some concerned homeowners are irrigating the root zones in their front yards.

The Santa Barbara Pines were structurally pruned long ago to remove lower leaders that conflict with vehicles and people. According to the Santa Barbara City Arborist, there have been no failures over his tenure of eight years. These trees are pruned approximately every five years or removed when dead. One leaning tree was removed last year and several trees that died were removed a few years back. The city has not used any cabling in their stone pines.

The curbs, gutters, and sidewalks are in poor condition and are in need of repair. Due to the historic significance of these trees and their stressed condition, the city is hesitant to perform repairs for fear of damaging more of the root systems. Spot repairs are done where absolutely required.

The Carpinteria pines are all very healthy. Yet current problems with tree structure and infrastructure are abundant and will increase over time without an appropriate management strategy.

ASSESSMENT CRITERIA

I looked at many aspects of the stone pines in order to provide a comprehensive assessment. The categories below are the characteristics that I evaluated. The tree inventory beginning on page 11 describes the column headings prior to the tree list.

Size and Condition

The location, trunk diameter, diameters of individual leaders, height and spread are the first bits of information.

I used a diameter tape to measure the trunk DBH (diameter at breast height measured at 54" above ground) for most trees except when they were partially growing on private property. DBH is an industry standard used to identify tree size. I used a linear tape when necessary to obtain a close estimate of leader diameter. Leaders are major stems that are smaller than the trunk but larger than a limb and represent a significant section of the tree.

I assessed the biological and structural condition of the trees with good, fair, or poor ratings, although every pine was in good biological condition except for one in fair condition growing in Monte Vista Park. I have conjecture that these trees are so healthy due to their young age and deep sandy soil that allows vertical and diagonal roots to grow deep, despite all the surface root problems.

Structurally, most of the trees are in fair condition. This is due to the common structural defect, co-dominant stems with included bark. Most of the trees had two to seven leaders attached at a common height or union. Although typical of this species, co-

dominant stems with included bark is a significant weak spot in a tree that is highly prone to splitting.

Tree heights were estimated visually and spread size was estimated by pacing beneath the canopy along the sidewalk. Trees under high voltage wires were similar in maximum height due to utility pruning by contractors for Southern California Edison, with the exception of younger trees or slower growing trees that have not yet been in conflict. Trees without overhead high voltage wires were mostly taller with the exception of a few younger trees in the two parks, 9th street, and in the Wullbrandt Way parking lot.

Infrastructure, Conflicts and Damage

Among potential problems with Stone Pines and infrastructure are the conflicts with utilities, sidewalks, curbs, gutters, driveways, and roads. The stone pine is a big offender in this department and the cause of huge amounts of maintenance expenditures. The aggressive and rapid growth of roots and trunk in small planting areas repeatedly lift, crack, and push anything in the path. Most every location has experienced problems that have been repaired more than once, are in need of repair, or will be faced with repairs in the near future.

Although root pruning is one form of mitigating sidewalk and street damage, this can create a potentially hazardous situation due to removal of roots that keep the tree upright, stable, and healthy. Although I did not observe any unhealthy trees from root pruning, there is currently a potential for whole tree failures.

Utility wires are another conflict with the large tree canopies. The low voltage secondary wires, cable TV, and telephone are not a problem as these thick and insulated wires usually extend through the tree. Some of the larger cables even provide some support. However, the high voltage wires are in conflict that is managed by Southern California Edison. Utility tree pruning is performed by the utility line clearing contractor but is often done with little regard for the type or placement of pruning cuts. This has left some of the trees off balance and disfigured. Despite pruning concerns, the Public Utility Commission requires SCE to maintain clearance from the high voltage wires.

Note that high voltage wires are above trees on the odd numbered side of 7th Street and Carpinteria Avenue. There are several trees along 7th street that have been severely pruned by the utility and warrant maintenance to reduce the risks posed by their poor structure. Three of these may be considered for removal. The wires on Carpinteria Ave are higher or the trees are smaller and/or younger.

Although I could not observe underground conflicts with water and gas, it is likely that these utilities will be disrupted by roots that are close to the trunks. There is one large tree with a drain/culvert along the side of its root system that is very close to the trunk and at least eight feet deep. This lack of root system on one side is concerning. There are many trees with water and gas meters close to the trunks.

Risk Assessment: Quantitative and Qualitative Methodologies

The primary purpose of my assessment was to identify trees that pose high risks and determine how to mitigate these risks. High risk trees have a high potential to damage or hurt underlying targets (people, structures, and vehicles, etc.) from limb breakage or toppling.

Over the past twenty-five years, two methods of risk assessment have been used by our industry. Back in the early 1990s, the International Society of Arboriculture adopted a quantitative methodology that rated certain aspects of trees with numbers to determine a hazard rating. The higher the hazard rating (12 was maximum) the greater the risk. The name of the manual is *The Photographic Guide to the Evaluation of Hazard Trees in Urban Areas – 2nd Edition*, Matheny & Clark, ISA, 1994. The hazard rating was also used to compare one tree to another on a given property that would help prioritize and budget maintenance.

For each tree, a hazard rating is determined by identifying the target, rating the probability of failure, the size of the part most likely to fail, and the frequency of use of the underlying area as show in the table below.

Tree	Target	Tree Part Most Likely To Fail	Failure Potential 1-4 (low to high)	Size of Part 1-4 (low to high)	Target Rating 1-4 (low to high)	Hazard Rating
example	People, Vehicle, Structure, Yard	Leader	3	3	3	9

These variables are rated by number from 1 – 4 (4 being largest or most extreme) and added together to determine a hazard rating. The higher the hazard rating the more significant the problem and the greater the need to perform maintenance. In this example, 9 is the hazard rating, which is a high rating although not the most extreme of 12. On this project there were several pines I rated with 8 and 9 but none higher.

More recently in 2011, the International Society of Arboriculture developed a new qualitative methodology to tree risk assessment. The manual is called *Best Management Practices for Tree Risk Assessment* and was written as a companion publication to the *American National Standard Institute's A300 Risk Management Standards*. Protocol for risk assessment requires the arborist to determine the likelihood of a tree failure, the likelihood of it hitting a target, and the severity of the consequences. This qualitative approach was better suited to define the real risk of an individual tree in a specific location rather than as a comparison of one tree to another.

The two tables below are used for a qualitative risk assessment.

- The first table is used to identify the *likelihood of failure and likelihood of impacting a target* as indicated across the top column headings.
- The arborist first assesses the likelihood of failure (left column) as *improbable, possible, probably, or imminent*.
- The arborist then assesses the likelihood of impacting a target (top right column) as *very low, low, medium, or high*.
- At the intersection of the selected row and column is the finding, *unlikely, somewhat likely, likely, or very likely*. This finding is carried over to the second table.

Likelihood of Failure & Impact	Consequences			
	Negligible	Minor	Significant	Severe
Very likely	Low	Moderate	High	Extreme
Likely	Low	Moderate	High	High
Somewhat Likely	Low	Low	Moderate	Moderate
Unlikely	Low	Low	Low	Low

- In the second table, the finding from the previous table is carried over to the left column.
- The *consequences* under the right column heading are assessed as *negligible, minor, significant, or severe*.
- At the intersection of the row and column selected is the level of risk, which is defined as *low, moderate, high, or extreme*.

Likelihood of Failure	Likelihood of Impacting Target			
	Very Low	Low	Medium	High
Imminent	Unlikely	Somewhat likely	Likely	Very Likely
Probably	Unlikely	Unlikely	Somewhat likely	Likely
Possible	Unlikely	Unlikely	Unlikely	Somewhat likely
Improbable	Unlikely	Unlikely	Unlikely	Unlikely

For this project, I found that both methodologies were important. The qualitative (more modern method) assesses risk of the individual tree while the quantitative (older approach) helps prioritize work. In some instances I elevated the level of risk based on both methodologies. Mitigating tree risk is described in the next section.

MITIGATING RISK AND MAINTENANCE OPTIONS

Due to risk posed by tree trunks and limbs, and conflicts with infrastructure posed by roots, the maintenance recommendations described below provide options for management. These include tree removal, pruning, cabling, root pruning and infrastructure repair.

Tree Removal

Tree removal is the most extreme and maybe the best option for dealing with some of the most hazardous and infrastructure damaging trees. Although I found three trees that could be considered for removal, every tree could be temporarily retained and managed with crown pruning, cabling, and root pruning. The cost of on-going maintenance versus removal and replacement when compared with the benefits provided by the tree is likely to be the driving factor for tree removal.

Tree Pruning

This is the primary management tool. Most trees can be pruned to minimize potential of splitting and toppling. Although pruning standards recommend to not remove more than 20% of the crown in one season, some trees will require more pruning (up to 30%) to reduce risk and conflicts. Refer to the ANSI A300 (Part 1)-2008 Pruning.

In several situations, entire leaders may need to be removed. In other situations, tree crowns will need to be thinned by removing one or more of the co-dominant stems that may be 3"-12" in diameter to lighten the load and minimize potential for splitting.

Another concern with tree pruning is the time of the year and the sap that drips from cuts. Winter will be the best time to prune as insect activity is lowest, which reduces tree susceptibility. Also very important, the community should be alerted about parking beneath pruned trees. It could take a month for the resins to harden at pruning cuts even in the cooler weather. Dripping resins on vehicles can be an expensive problem.

Cabling

Specialized hardware has been designed specifically for trees. Eye bolts, cables, and associated hardware can be installed to assist in supporting limbs. Cabling complements pruning and is appropriate for stone pines. Direct or triangular systems should be used for the greatest support. These systems attach one cable directly from one leader to another or in a triangle with three individual cables and six separate eyebolts. Cables are galvanized steel and usually installed at a height that is not easily seen from the ground. Standards for cabling must be followed as improper installation, hardware, and placement of cables may not provide the benefits for which it was designed. Cabling may imply that a tree is safe while an improperly installed cable can create more risks. Cables need to be inspected every one to three years. Refer to the ANSI A300 (Part 3)-2013 Supplemental Support Systems.

Infrastructure and Root Pruning

There has been an abundance of damage and repair to sidewalks, curbs, gutters, and asphalt. With stone pines, this is generally an on-going problem due to the aggressive root growth and the excellent growing environment within the interface between hardscape and underlying soil.

Roots grow where there is oxygen and moisture, which is plentiful in the microscopic spaces. A root tip gets into a small space within the interface between a hard surface and the underlying road base or soil and then elongates each year followed by diameter growth. The growth begins to push and displace the hard surface upward or sideways. This is because the underlying road base or soil is prepared only to resist downward pressure of sidewalks and roads. There is nothing to stop the upward pressure created by root growth.

Due to the sidewalk, curb, gutter, and road damage caused by trees, root pruning is commonly practiced in municipalities. However, root pruning can cause unforeseen problems with tree stability including creating entry points for root rot and decay. Research suggests to avoid root pruning within three to five trunk diameters due to potential destabilization. However, this distance is impossible to follow in most situations where trees are in the parkways.

Pines that are root pruned, warrant the removal of a significant amount of foliage to reduce weight and potential toppling of the entire tree. Despite any potential impact to the health of a tree from root loss, risks are likely to be increased after root pruning. Trees between sidewalks and front yards are better candidates for root pruning rather than those surrounded by concrete in a narrow planter. Unfortunately, the later is more common.

When root pruning, cleanly cut roots with sharp tools rather than ripping them with an axe or backhoe. Refer to the ANSI A300 (Part 8)-2013 Root Management Standard.

Root barriers, chemical or physical, can be installed for approximately ten years of control. Plastic physical barriers (*Deep Root*) deflect root growth downward while cloth and chemical barriers (*Bio Barrier*) inhibit root growth at the root tip.

Trees that appear to decline in health after root pruning, as suggested by browning or die back of foliage, may need to be evaluated for root decay and subsequently removed. I did not observe any dieback that would be associated with root pruning.

TREE INVENTORY

Description of Column Headings

I have 32 columns of information that correspond with the 27 trees. The heading are described below but separated into the four categories and distributed over three pages due to limited space.

TREE DESCRIPTION									
#	Address	Parkway Size	D B H	# of Leaders & Sizes	Approx Height	Approx Spread	Health G/F/P	Structure G/F/P	Comment

#: the assigned tree number that corresponds between the spreadsheet and photo pages.

Address: the location, when there are two trees at one site, the eastern or northern tree is first.

Parkway size: a public works description.

DBH: the diameter at breast height or 54" above ground.

of Leaders & Sizes: indicate the approximate diameter of each and the height of their attachment.

Approx height: estimated

Approx spread: estimated by pacing the sidewalk beneath the drip line.

Health G/F/P: biological health and is good, fair and poor although most tree health was good.

Structure G/F/P: mechanical condition as good, fair, and poor although most of the trees were structurally fair (rather than good) due to their co-dominant leaders and limbs throughout the crown (which is a common tree defect that leads to splitting).

Comment: a notable observation or summary of my inspection.

INFRASTRUCTURE ASSESSMENT					
Utilities: HV, Cables	Topped Yes/No	Sidewalk Cracked: Low/Moderate/ Severe	Curb Cracked: Low/ Moderate/ Severe	Gutter Cracked: Low/Moderate/ Severe	Asphalt damage: Low/ Moderate/ Severe

Utilities: HV, Cables: indicates which overhead utilities are present and their location, overhead or through the crown. HV is high voltage, pole to pole indicates heavy black low voltage or cable/TV wires. Note that HV is only above the odd numbered side of 7th St. and Carp Ave.

Topped: Yes/No: are severely cut back in height to accommodate overhead wires.

Sidewalk Cracked: Low/Moderate/Severe-low is insignificant at the time of inspection to moderate and severe which indicates a potential hazard to pedestrians.

Curb Cracked: Low/Moderate/Severe-Cracked curbs pose some risk to people crossing the street or vehicles when parking.

Gutter Cracked: Low/Moderate/Severe- Cracked gutters pose risk to people crossing the street or parking.

Asphalt damage: Low/Moderate/Severe-Cracked asphalt poses risk to cyclists and vehicles, and people crossing the street.

QUANTATIVE RISK ASSESSMENT

Target: Ped & Vehicle/ Structure/ Yard	Tree Part Most Likely To Fail	Failure Potential 1-4	Size of Part 1-4	Target Rating 1-4	Hazard Rating
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Target: Pedestrians, Vehicle, Structure, Yard: indicates the target within range of a falling tree or limbs. Most of the trees have all of these underlying targets except those in the parks.

Tree Part Most Likely To Fail: most of the tree parts identified as potential failures are trunks, leaders and limbs.

Failure Potential: 1-4-failure potentials are 1 = low, 2 = medium, 3 = high, 4 = severe

Size of Part - 1-4: identifies the size of the part most likely to fail as 1 = < 6" diameter, 2 = 6"-18"diameter, 3 = 18"-30" diameter, and 4 = >30" diameter.

Target Rating -1-4: identifies use of the underlying target area as 1=occasional use, 2=intermittent use, 3= frequent use, and 4=constant use. Most of these trees have target ratings of 2-4. A residence is considered to be constantly used while a sidewalk or yard may be intermittent or frequent.

Hazard Rating: the sum of the three ratings. A hazard rating of 8 or above is a high risk.

QUALITATIVE RISK ASSESSMENT

Likelihood Of Failure	Impacting Target	Likelihood of Failure & Impacting a Target	Consequences	Risk
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Likelihood Of Failure: similar to failure potential in the other methodology but described as unlikely, possible, probable, and imminent

Impacting Target: unlikely, somewhat likely, likely, and very likely.

Likelihood of Failure & Impacting a Target: is the intersection of the column and row in the first risk assessment table (pages 7 & 8).

Consequences: if a tree part hits the underlying target, the consequences are negligible, minor, significant, or severe. Most of the consequence range between significant and severe.

Risk: the risk level is based on the previous four ratings.

TREE MANAGEMENT

Remove	Pruning Priority	Possible Leaders to be removed	% of Limb pruning	Cable
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Remove: the three trees considered to be removed are identified with a "?".

Pruning priority: is recommended as high, medium, or low. Most of the trees warrant pruning. Although prioritizing allows phasing for budgeting, it is more likely less expensive to prune all trees on one street during the same cycle rather than skipping around. Risks can be significantly reduced through pruning this winter.

Possible Leaders to be Removed: a suggestion on removing a major portion of the tree to reduce risk.

% of Limb Pruning: low-approx 15%-20%, medium-approx 25%, major-approx 30% all based on structure, underlying targets, and previous root pruning.

Cable: cables should be installed in conjunction with pruning on some trees.

TREE DESCRIPTION									
#	Address	Park way Size	DBH inches	Leader Diameter @ Height of Union	Approx Height feet	Approx Spread feet	Health G/F/P	Structure G/F/P	
1	4621 7th St.	4	34	18/23 @5'	25-30	40	Good	Fair	Small tree under wires that has and will continue approx 15'-20' from tree to northwest. Could rem
2	4621 7th St.	6	50	20/24/28/24/24 @6'-8'	25-30	85	Good	Fair	Leader to west, toward residence and 12" limb ov and repairs to sidewalk.
3	4678 7th St.	99	84	20/24/30/28/28 @5'-6'	45-50	80	Good	Fair	Partially on residential property. Very dense and h out sidewalk/curb as option. Gas and water meter
4	4696 7th St.	99	51	20/24/28/24 @6'	45-50	75	Good	Fair	Partially on residence's property with encroachment including two 12" limbs on house side. Could rem
5	4757 7th St.	6	41/40/28	28/22/22/20/14/22/24 @6'-10'	25-30	75	Good	Poor	Heavy codominant trunks pose a high risk, particul including eastern and western leaders and large li
6	4774 7th St.	99	32	smaller@20'	40-45	60	Good	Fair	Partially on residence's property or east edge of si
7	4774 7th St.	99	37	smaller@15'	40-45	45	Good	Fair	Mostly on east edge of sidewalk. Codominant lea option.
8	4791 7th St.	6	58	30/30/40 @6'-8'	25-30	75	Good	Poor	Severely pruned for utility lines, leaving dense and a steel curb, which appears to be holding up altho Due to the poor form, this tree could be considere
9	4792 7th St.	99	49	12/16/18/18/18/18 @6'-10'	40-45	75	Good	Fair	Limbs are heavy on leader toward house. Tree is c
10	4816 7th St.	99	45	18/18/16/18/18 @6'-8'	40-45	65	Good	Fair	Appears well pruned in recent history. Not much p
11	4823 7th St.	6	62	36/36 @6'	25-30	75	Good	Poor	Owner has requested removal due to damage. Tre Removal and replacement is appropriate.
12	4848 7th St.	99	51	24/24/24/14/12 @6'	45-50	65	Good	Fair	Crown is dense although better structured than m Sidewalk about ready for repairs. Note water and
13	4851 7th St.	6	50	12/25/28/24 @6'-8'	25-30	60	Good	Fair	Utility cables provide some support to street side, and street if when thinning.
14	4863 7th St.	4	27	18/14 @4'	20-25	30	Good	Fair	Young tree beneath utility wires that warrants str
15	4877 7th St.	6	55	24/24/24/24/24 @6'-8'	45-50	75	Good	Fair	Large tree with high potential to split over road and major thinning.

QUANTITATIVE RISK ASSESSMENT					QUALITATIVE RISK ASSESSMENT					TREE MANAGEMENT				
Tree Part Most Likely To Fail	Failure Potential 1-4	Size of Part 1-4	Target Rating 1-4	Hazard Rating	Likelihood Of Failure	Likelihood of Impacting Target	Likelihood of Failure & Impacting Target	Consequences	Risk	Remove	Pruning Priority	Possible Leaders to be removed	% of Limb pruning	Cable
Leader	1	2	2	5	Improbable	High	Unlikely	Significant	Low		Low	Northwestern leader	Low	
Leaders	2	3	2	7	Possible	High	Somewhat likely	Significant	Moderate		Med	Western and lower section of northern leader.	Major	Yes
Leaders	3	3	3	9	Possible	High	Somewhat likely	Severe	Moderate to High		Hi		Major	Yes
Leaders	3	3	3	9	Possible	High	Somewhat likely	Severe	Moderate to High		Hi	Eastern and western leaders	Major	Yes
Trunks	3	3	3	9	Probably	High	Likely	Severe	High	?	Hi	Eastern and western	Major	Yes
Limbs	2	2	2	6	Possible	High	Somewhat likely	Significant	Moderate		Med		Medium	
Leader /limbs	2	2	2	6	Possible	High	Somewhat likely	Significant	Moderate		Med		Medium	Yes
Leaders	3	3	3	9	Probable	High	Likely	Significant	High	?	Hi		Major	Yes
Leaders	2	2	3	7	Possible	High	Somewhat likely	Significant	Moderate		Med		Medium	Yes
Leaders	1	2	3	6	Improbable	High	Unlikely	Significant	Low		Low		Low	
Leaders	3	3	3	9	Possible	High	Somewhat likely	Severe	Moderate to High	?	Hi		Major	
Leader /limbs	1	2	3	6	Improbable	High	Unlikely	Significant	Low		Low		Low	Yes
Leaders /limbs	2	2	3	7	Possible	High	Somewhat likely	Significant	Moderate		Med		Medium	
Limbs	1	1	2	4	Improbable	High	Unlikely	Minor	Low		Low	Western leader at 6'	Low	
Leader /limbs	3	3	3	9	Probable	High	Likely	Severe	High		Hi	Northern leader or major limbs	Major	Yes

TREE DESCRIPTION									
#	Address	Park way Size	DBH inches	Leader Diameter @ Height of Union	Approx Height feet	Approx Spread feet	Health G/F/P	Structure G/F/P	
16	4910 7th St.	6	47	18/18/18/12/22/12/12 @8'-10'	45-50	65	Good	Fair	Dense and heavy. Warrants crown thinning. Consider out may be an option.
17	4926 7th St.	6	57	28/26/24/14 @6'-8'	45-50	75	Good	Fair	Dense and heavy. Warrants crown thinning by removal
18	4760x 9th St.	99	38	24/24/12 @6'-8'	25-30	45	Good	Fair	Low skirt needs raising, low over road and apartment
19	4292 Carp Ave.	99	84	18/18/24/28/28 @6'-8'	35-40	75	Good	Fair	A great tree with multiple leaders. Nothing to buffer
20	4297 Carp Ave.	99	60	26/28/24 @4'-6'	35-40	75	Good	Fair	Lower utility cables provide a buffer from the street
21	4311 Carp Ave.	99	42	20/12/16/16 @5'-6'	35-40	50	Good	Fair	Thinner crown but overhangs house. Asphalt damaged
22	4327 Carp Ave	99	54	28/24/14/14/12/20 @6'-8'	35-40	60	Good	Fair	Dense and heavy crown over residence and warrants. Also significant damage to asphalt.
23	4415 Carp Ave.	6	27/27/28/18		35-40	75	Good	Fair	Intertwining limbs help hold tree together. Tree over
24	4425 Carp Ave.	7	63	24-28/30/18 @5'-6'	35-40	75	Good	Fair	Mitigate risk with pruning. Tree overhangs sidewalk
25	El Carro Park	99	6	3/6 @ 3'-4'	1-15	10	Good	Fair	Structural prune young tree.
26	Monte Vista Prk	99	41	10x 8"-16" @4'-6'	20	50	Fair	Fair	Park tree in low use area but warrants pruning to
27	Wullbrandt Wy Parking Lot	99	44	22/24/12/24 @ 6'-10'	25-30	65	Good	Fair	Tree in parking lot is dense and heavy. Pruning should

QUANTITATIVE RISK ASSESSMENT					QUALITATIVE RISK ASSESSMENT					TREE MANAGEMENT				
Tree Part Most Likely To Fail	Failure Potential 1-4	Size of Part 1-4	Target Rating 1-4	Hazard Rating	Likelihood Of Failure	Likelihood of Impacting Target	Likelihood of Failure & Impacting Target	Consequences	Risk	Remove	Pruning Priority	Possible Leaders to be removed	% of Limb pruning	Cable
Leader	3	3	3	9	Possible	High	Somewhat likely	Severe	Moderate to High		Hi	Northeastern and northwestern leaders	Major	Yes
Leader /limbs	3	3	3	9	Possible	High	Somewhat likely	Severe	Moderate to High		Hi		Major	Yes
Leader	1	2	3	6	Improbable	High	Unlikely	Significant	Low		Low		Low	
Leaders	3	3	3	9	Possible	High	Somewhat likely	Severe	Moderate to High		Hi		Major	Yes
Leaders	1	3	2	6	Improbable to possible	High	Somewhat likely	Significant	Moderate		Med		Medium	
Leaders	1	2	2	5	Improbable to possible	High	Somewhat likely	Significant	Moderate		Med		Low	
Leaders	2	3	3	8	Possible	High	Somewhat likely	Severe	Moderate to High		Hi	Southern leader, major limb over road	Major	Yes
Leaders	2	2	3	7	Possible	High	Somewhat likely	Significant	Moderate		Med		Major	Yesr
Leader	2	3	3	8	Possible	High	Somewhat likely	Significant	Moderate to High		Hi		Major	Yes
not	0	0	0	0	None	/	/	/	None		Low		Low	
Leaders	1	2	1	4	Improbable	Low	Unlikely	Minimal	Low		Low		Low	
Leader	2	3	2	7	Possible	High	Somewhat likely	Significant	Moderate		Med		Medium	

Tree List (see Excel spreadsheet as attachment in electronic version)

**FOR THE TREE INVENTORY IN THE
ELECTRONIC VERSION: SEE EXCEL
SPREAD SHEET**

CONCLUSIONS & SPECIFICATIONS

1. I have determined that 3 trees are high risk, 8 are moderate to high risk, 9 trees are moderate risk, and 7 trees are low risk. These trees are on 7th Street, Wullbrandt Way, and Carpinteria Street. The trees in the parks and on 9th St. are low risk.
2. Risks increase during extreme weather events. The moderate to high risk trees become high risk trees in storm events and the moderate risk trees also increase.
3. The risks can be reduced with pruning and some cabling, although there will always be some risk as long as there are trees.
4. Three trees should be considered for removal although the risks can be temporarily mitigated. It is likely that these three trees will eventually need to be removed. The group includes one tree that is under application for removal by the resident at 4823 7th St. This is a moderate to high risk tree that has outgrown its location. The others are where high voltage has lead to extreme pruning and unbalanced crowns.
5. Pruning most trees is recommended every one to two years depending on weather and growth. However, pruning needs to be more aggressive during this next cycle. Many leaders and large limbs will need to be removed to reduce weight and spread. This should require less pruning during subsequent pruning cycles.
6. In some instances, cabling is preferred over removing entire leaders. Public works needs to decide if the wide spread of the crown is more important than removing the problematic leader. Cabling should be performed soon after pruning (preferably simultaneously) by qualified Certified Tree Workers or Certified Arborists.
7. When pruning to mitigate risk, remove leaders back to the trunk and selectively remove limbs from 3"-12" in diameter, where co-dominant, and as necessary. Qualified tree workers should be able to make these decisions.
8. When removing one of two co-dominant stems, leave the stronger (usually the lower) so the remaining limb will not snap after losing support of the adjacent limb.
9. Prune in the winter when temperatures are cooler to reduce pest susceptibility and dripping tree resin. Notify residents of resins that drip on cars, outside furniture, etc.
10. Concrete and asphalt repairs are necessary adjacent to at least 10 trees. Root pruning must be complemented with tree pruning to reduce weight and potential of toppling. Cleanly cut roots with a sharp hand pruner, shears, or reciprocating saw.
11. Root barriers should be installed when repairing concrete and asphalt around trees to help control root growth for approximately ten years. Use the cloth and chemical *Bio Barrier*, or the plastic physical *Deep Root Barrier* for maximum benefit.

REFERENCES

- ANSI (*American National Standards Institute*) A300: Part 1-Pruning Standards 2008, Part 3-Supplemental Support Systems 2013, Part 8-Root Management Standard 2008, and Part 9-Tree Risk Assessment 2013.
- Harris, R. W., and Matheny, N. P., and Clark, J. R., 2004. *Arboriculture: Integrated Management of Landscape Trees, Shrubs, and Vines*, Fourth Edition. Prentice Hall.
- Matheny, N. P., and Clark, J. R. 1993. *A Photographic Guide to the Evaluation of Hazard Trees in Urban Areas-2nd Edition*. International Society of Arboriculture.
- Smiley, E., and Matheny, N., and Lilly, S. 2011. *Best Management Practices: Tree Risk Assessment*. International Society of Arboriculture.

ARBORIST'S DISCLOSURE AND CERTIFICATION OF PERFORMANCE

Arborists are tree specialists who use their education, knowledge, training and experience to examine trees, recommend measures to enhance the beauty and health of trees, and attempt to reduce the risk of living near trees. Clients may choose to accept or disregard the recommendations of the arborist, or to seek additional advice.

Arborists cannot detect every condition that could possibly lead to structural failure of a tree. Trees are living organisms that fail in ways we do not fully understand. Conditions are often hidden within trees and below ground. Arborists cannot guarantee that a tree will be healthy or safe under all circumstances, or for a specified period of time. Likewise, remedial treatments, like any medicine, cannot be guaranteed.

Treatment, pruning and removal of trees may involve considerations beyond the scope of the arborist's services such as property boundaries, property ownership, site lines, disputes between neighbors, and other issues. Arborists cannot take such considerations into account unless complete and accurate information is disclosed to the arborist. An arborist should then be expected to reasonably rely upon the completeness and accuracy of the information provided.

Trees can be managed, but they cannot be controlled. To live near a tree is to accept some degree of risk. The only way to eliminate all risk associated with trees is to eliminate all trees.

I Bill Spiewak, certify:

That I have personally inspected the trees on the property referred to in this report and have stated my findings accurately.

The analysis, opinions and conclusions stated herein are my own and are based on current scientific procedures and commonly accepted arboricultural practices.

Signed:

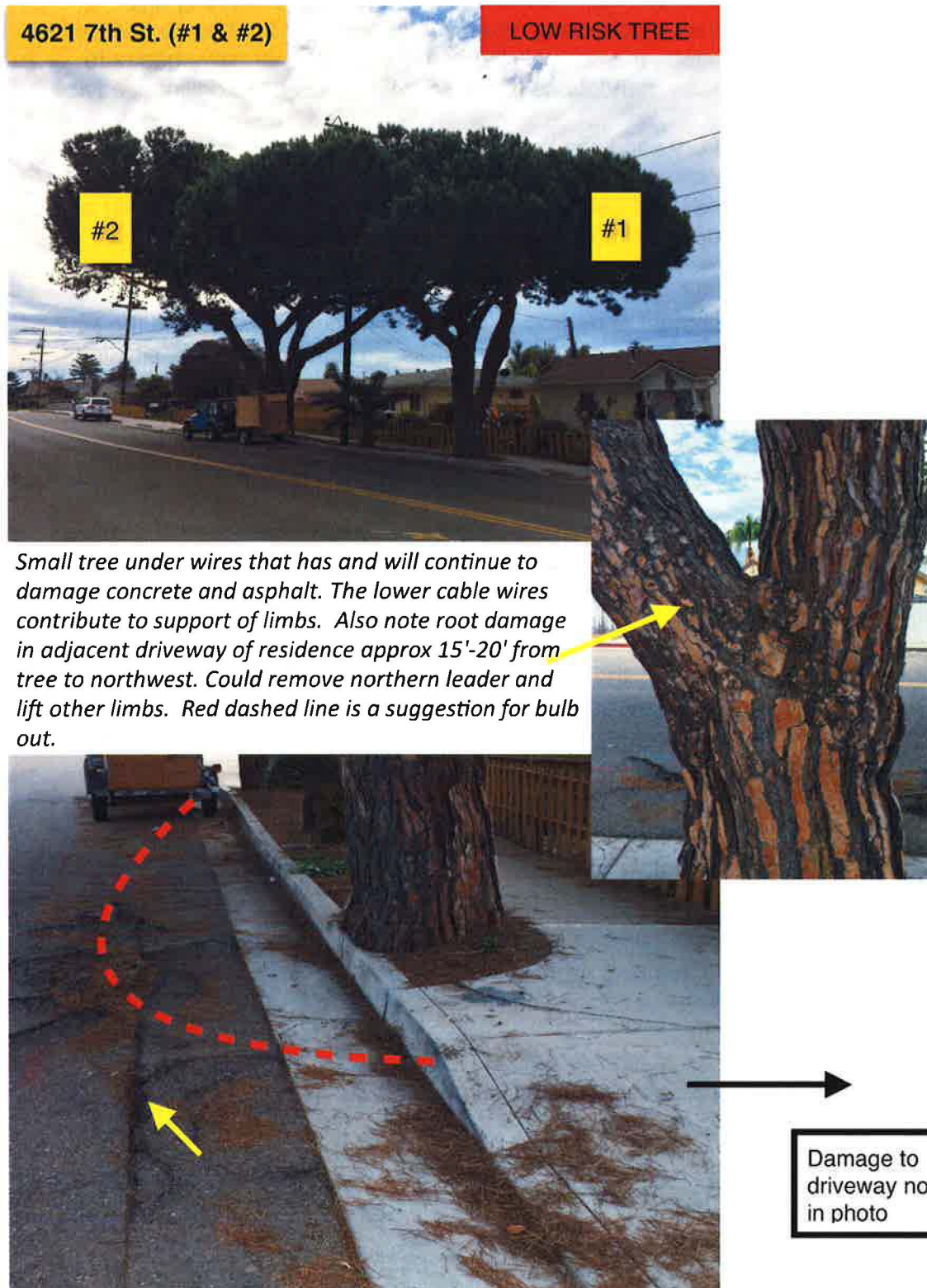
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International Society of Arboriculture



PHOTOS

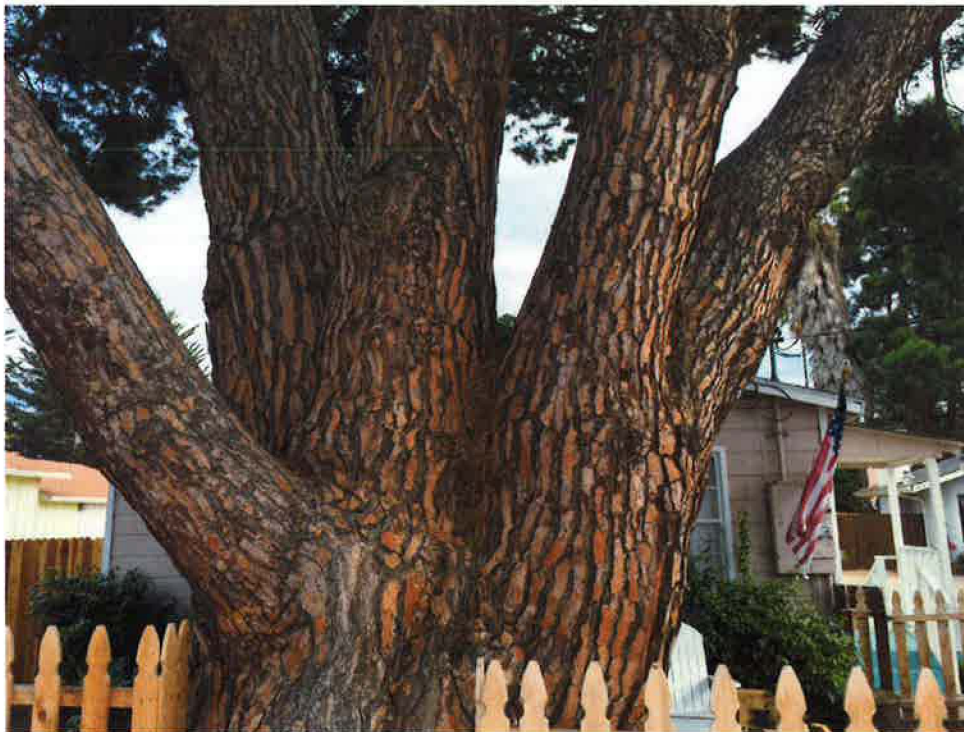




Leader to west, toward residence (yellow arrow), and 12" limb over road, could be removed and others lifted to reduce weight. Consider bulb out as option for curb and more space for roots. Note damage and repairs to sidewalk.



Partially on residential property. Very dense and heavy crown. Prune to reduce the number of leaders & lift horizontal limbs. (more photos on next page)





Note some deformity in southern and central leaders at 15'-20'. Bulb out sidewalk/curb as option. Gas and water meters 8' to east of trunk.

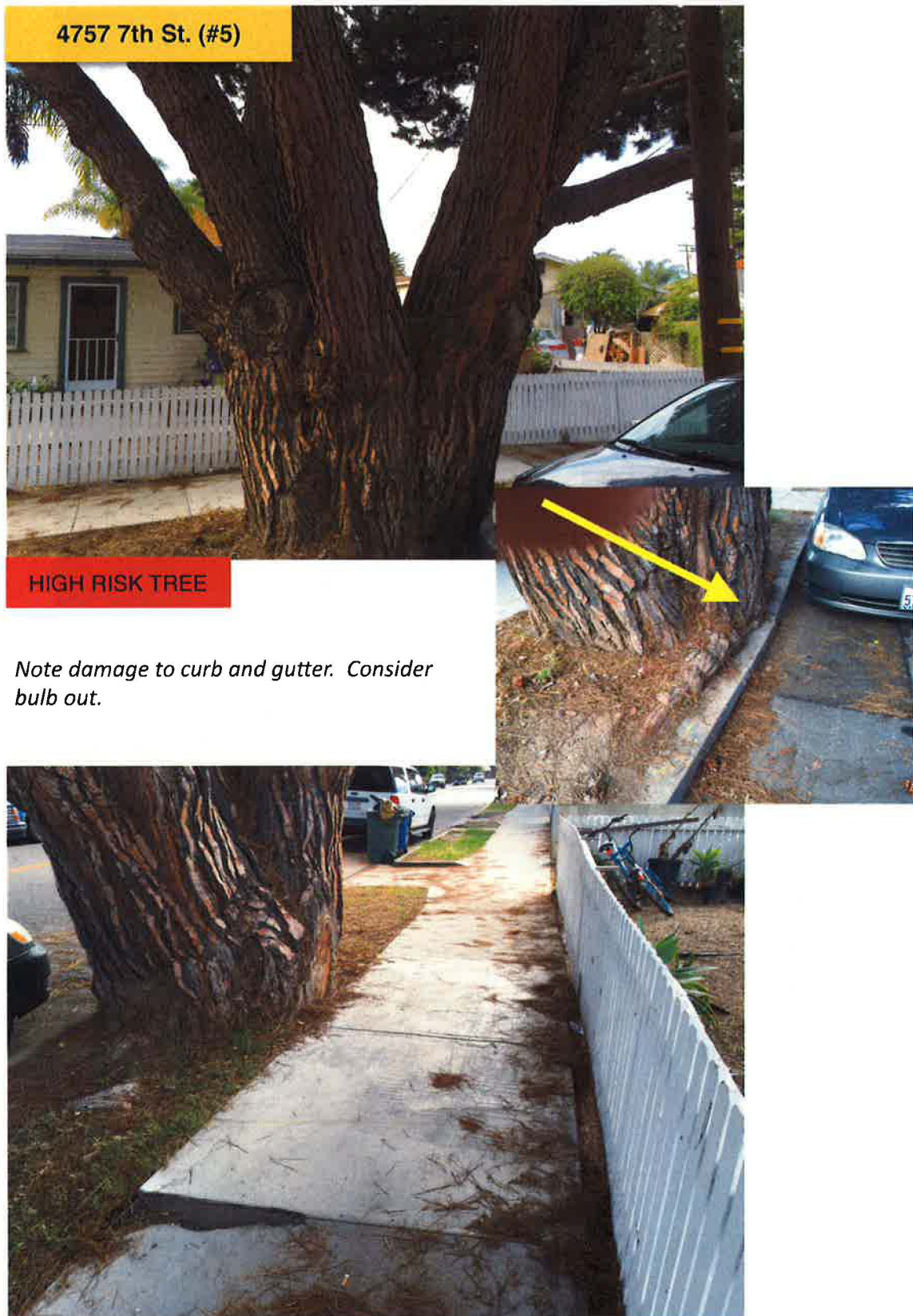






Heavy co-dominant trunks pose a high risk, particularly during severe storm events. Reduce a significant amount of weight and install cables. Several leaders and large limbs should be removed including eastern and western leaders and large limbs over road (more photos on next page).







Partially on residence's property or east edge of sidewalk. Crown thin to reduce end weight. A second trunk had been removed. Bulb out is option. Note damage to sidewalk.





4774 7th St. (#7)

MODERATE RISK TREE



Mostly on east edge of sidewalk. Co-dominant leader is dense and warrants pruning and cabling. Could significantly reduce weight of southern leader to avoid need for cable. Bulb out is option.



4791 7th St. (#8)

HIGH RISK TREE



Severely pruned for utility lines, leaving dense and heavy leaders on both sides of center cables. Tree also grows into canopy of two pines on other side of street (more photos next page).



4791 7th St. (#8)

Curb was nicely repaired with a steel curb, which appears to be holding up although the adjacent concrete is cracked.

Due to the poor form, this tree could be considered for removal. Otherwise, it warrants a high degree of pruning to reduce weight and spread of all lateral limbs.

HIGH RISK TREE



MODERATE RISK TREE

4792 7th St. (#9)



Limbs are heavy on leader toward house. Tree is on corner and bulb out option in future not likely.





Appears well pruned in recent history. Not much problem now except for curb and sidewalk close to needing repairs.





Owner has requested removal due to damage. Tree has no real canopy due to extreme utility pruning and is significantly dense and heavy on the laterals. The base has outgrown the planter. Removal and replacement is appropriate (more photos next page).





4823 7th St. (#11)

The two trunks are major co-dominant stems and prone to splitting. Also note the trunk overhanging the curb at the base.



4848 7th St. (#12)**LOW RISK TREE**

Crown is dense although better structured than most. Limbs also intertwine to some degree to assist in support. Leader to east is most prone to split but unlikely. Bulb out is an option to create more space for growth. Sidewalk about ready for repairs. Note water and sewer to north.





Utility cables provide some support to street side, but crown is dense and residence is potential target. Infrastructure was replaced and is holding up. Remove several large limbs over house and street when thinning (more photos next page).



4851 7th St. (#13)

Note the replaced sidewalk and the steel curb.

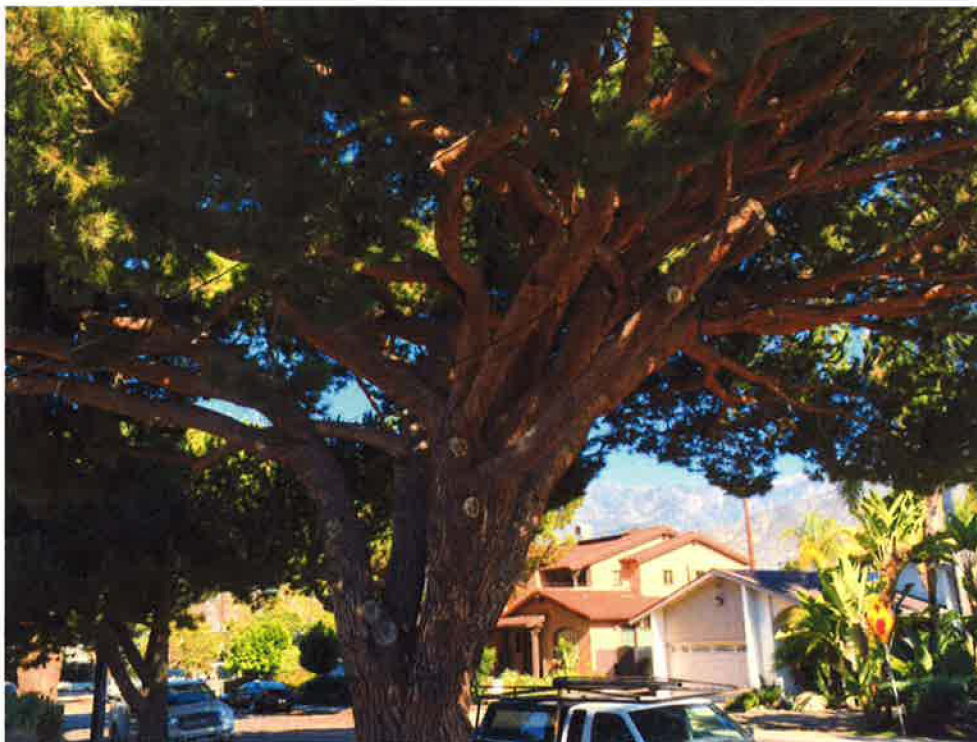




Young tree beneath utility wires that warrants structural pruning. New cracks in curb and gutter (arrow) suggest aggressive root growth.



Large tree with high potential to split over road and sidewalk. Either remove northern leader or majorly thin over road. Cable could help retain northern leader. Southern half of crown needs major thinning (more photos on next two pages).





Extremely dense crown





4877 7th St. (#15)

Note large trunk in small space and damage to curb sidewalk.



4910 7th St. (#16)



Dense and heavy. Warrants crown thinning. Consider removing northeastern and northwestern leaders. Replacement sidewalk is ADA undersized but allows passage without obstacles. Bulb out may be an option.



MODERATE TO HIGH RISK TREE



4926 7th St. (#17)

MODERATE TO HIGH RISK TREE



Dense and heavy. Warrants crown thinning by removing major limbs. Replacement sidewalk is ADA undersized and lifting is creating hazard to pedestrians. Bulb out may be an option.





Low skirt needs raising, low over road and apartment. Could use thinning to reduce weight and improve structure. Some cracking of resident patio but great shade.



4292 Carp Ave. (#19)



MODERATE TO HIGH RISK TREE

A great tree with multiple leaders. Nothing to buffer failure and crown is dense and heavy. Pruning is needed to reduce risk. Sidewalk not currently a problem as tree is more on residential lot (more photos next page).



4292 Carp Ave. (#19)



MODERATE RISK TREE

Another view of the massive tree over the small house.



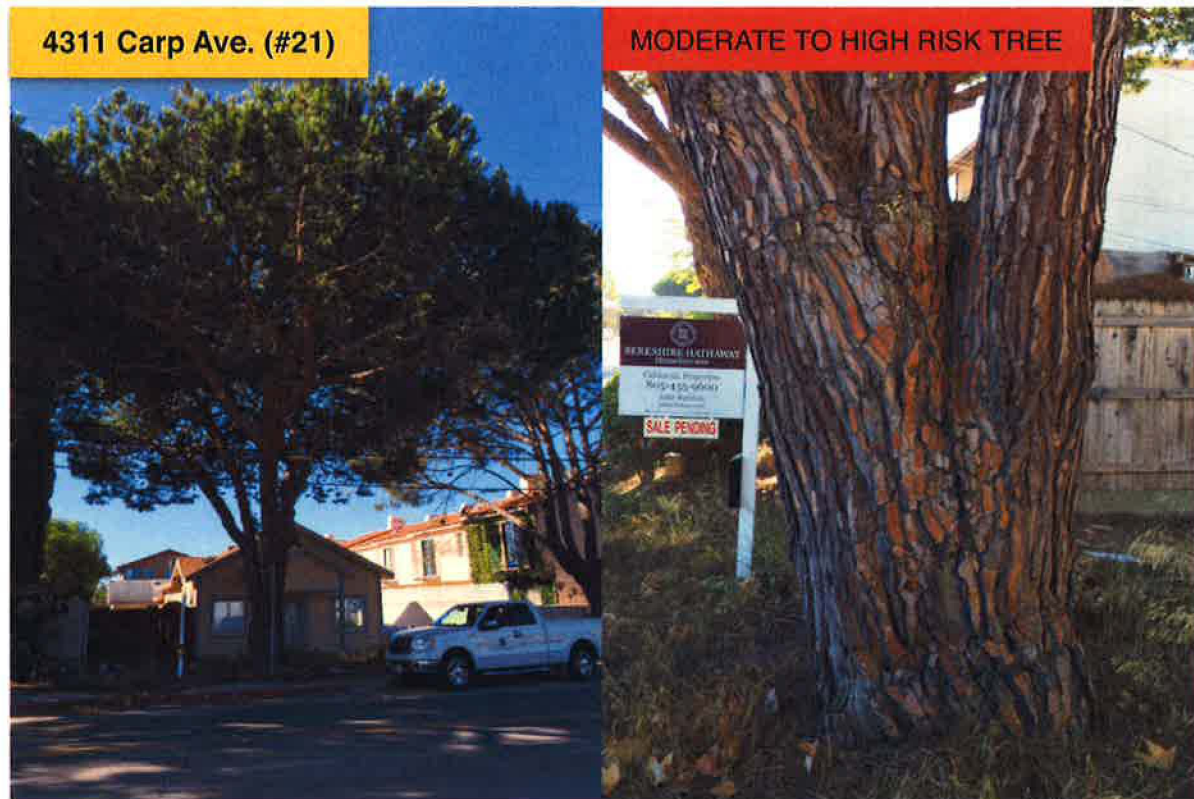
4297 Carp Ave. (#20)

MODERATE RISK TREE



Lower utility cables provide a buffer from the street, but crown can still break free in severe weather. Trunk obstructs passage on sidewalk. Bulb out an option. Asphalt repairs warranted.





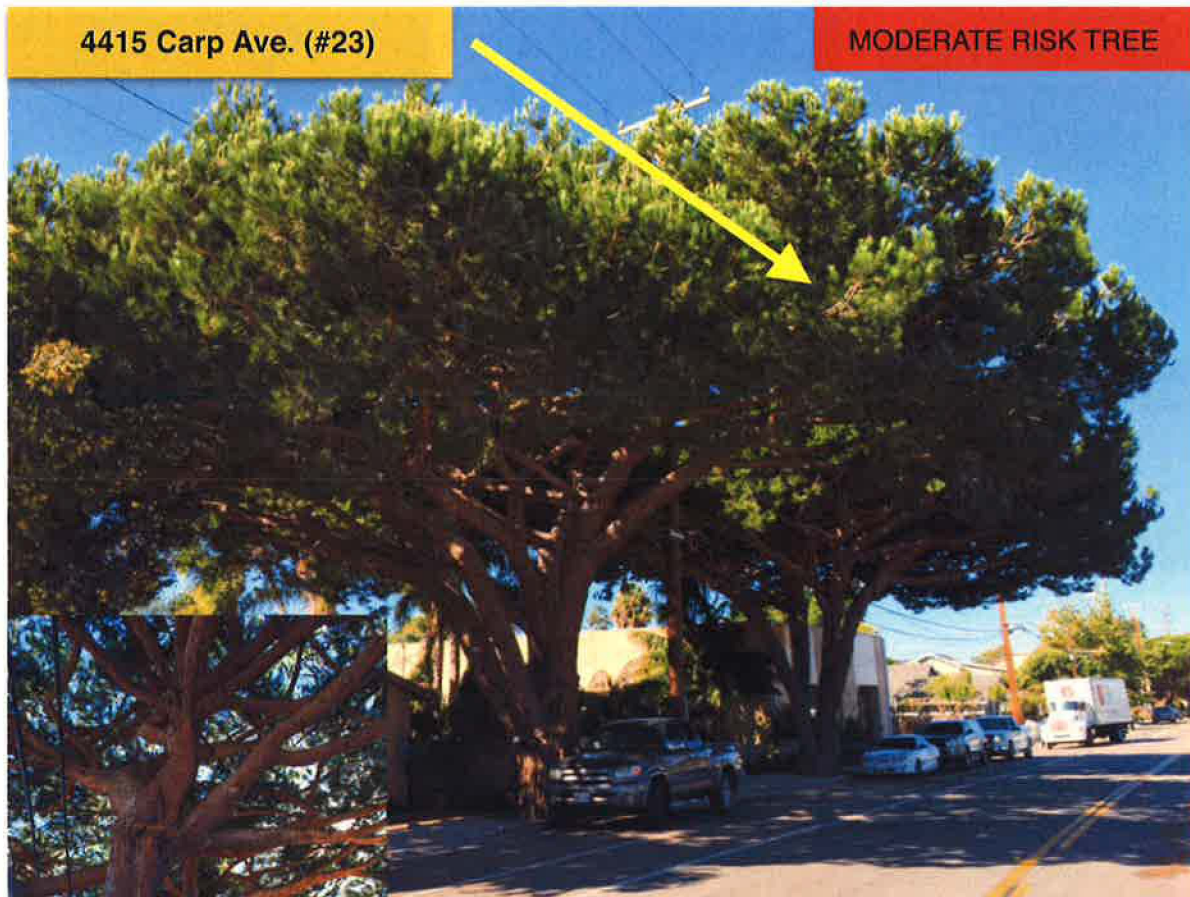
Thinner crown but overhangs house. Asphalt damage is severe but sidewalk is already bulbed outward.





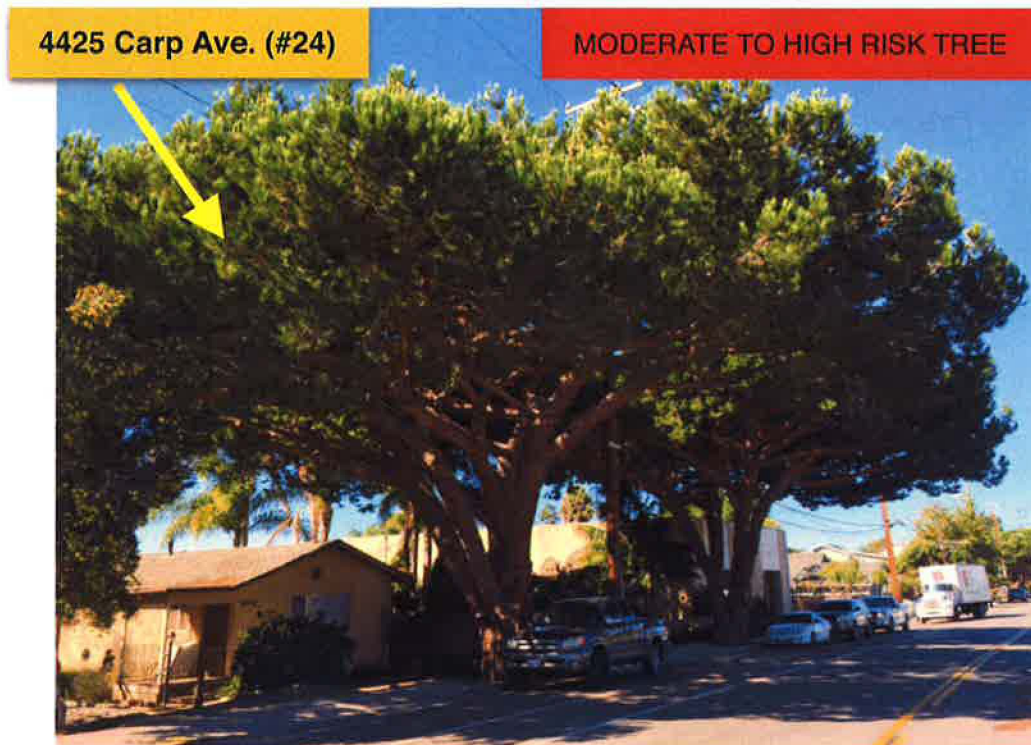
Dense and heavy crown over residence and warrants pruning. Note water meters in close proximity. Homeowner upset about damage to driveway. Consider removing some of the leaders. Also significant damage to asphalt.





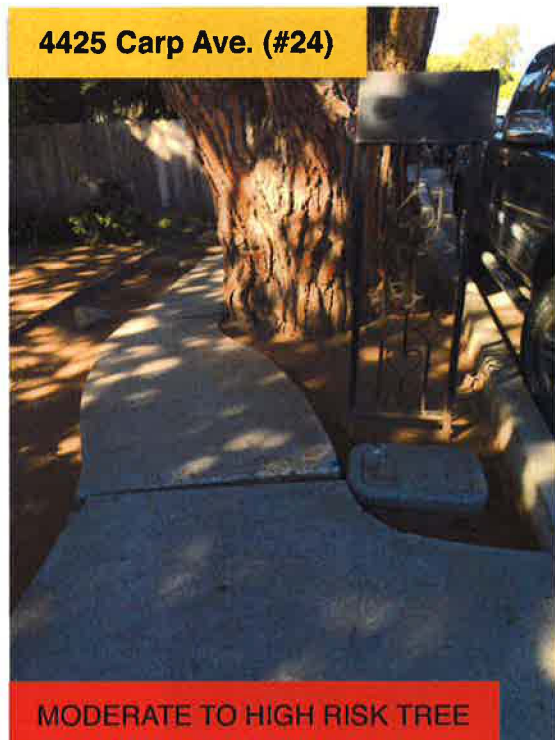
A view of #23 and #24. Arrow points to #23. Intertwining limbs help hold tree together. Tree is obstructing sidewalk. Repaired concrete beginning to fail. Bulb out is an option.





Arrow points to #24. Mitigate risk with pruning. Tree overhangs sidewalk creating an obstacle for pedestrians as witnessed by homeowner, but he also loves the tree, not the roots. Bulb out is an option (more photos next page).





There is a drop of about 12" on the south side of the narrow sidewalk, where the trunk becomes an obstacle, creating a dangerous situation (arrows upper right). Lower right arrow points to damaged driveway.



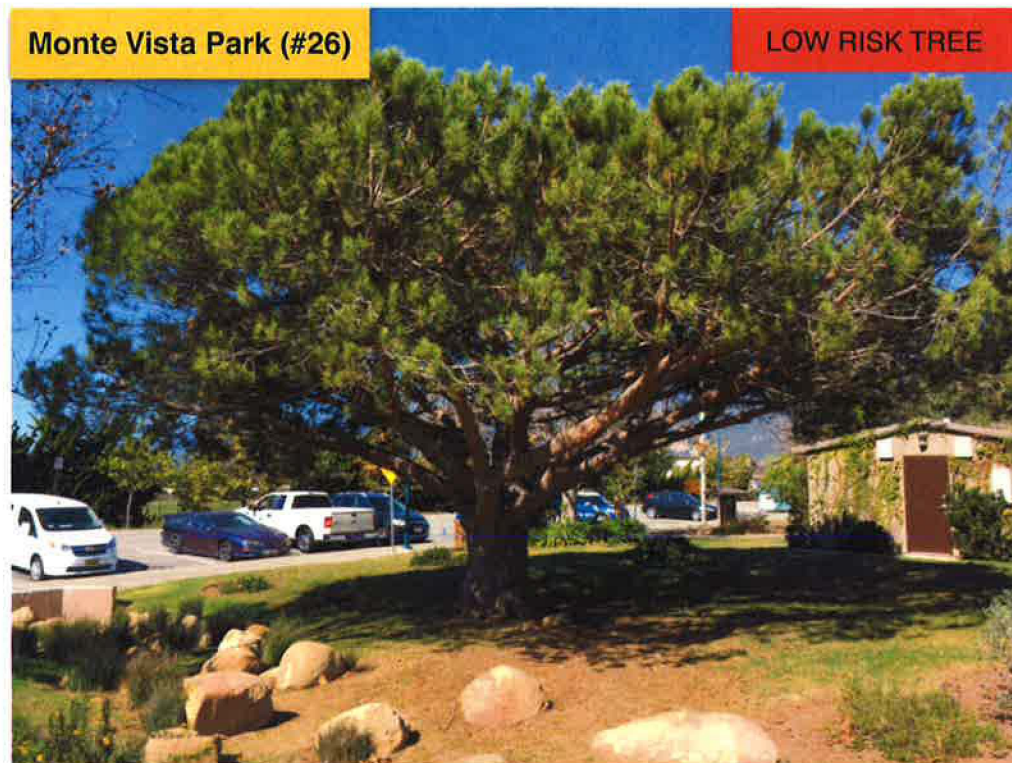
El Carro (#25)

NO RISK TREE



Structural prune young tree. Remove this limb now before it develops into a large co-dominant limb.





Park tree in low use area but warrants pruning to improve structure and reduce long term potential to split.



Wullbrandt Way - Parking (#27)

MODERATE RISK TREE



Tree in parking lot is dense and heavy. Pruning should reduce risk. Also needs lifting for clearance (more photos next page).



Wullbrandt Way - Parking (#27)

MODERATE RISK TREE



Note the density of the crown and the damage to the curb and asphalt.

ATTACHMENT C

Addendum to Stone Pine Assessment & Management Plan

ADDENDUM TO: STONE PINE ASSESSMENT & MANAGEMENT PLAN November 30, 2015

Prepared for:

Charlie Ebeling-Public Works Director / City of Carpinteria
5775 Carpinteria Ave., CA 93013

BACKGROUND

On November 14, 2015, I submitted the *Stone Pine Assessment and Management Plan* to the City of Carpinteria. Since that time, I learned that one additional pine was not included on the list of trees provided by the city. I was asked to inspect that one additional stone pine at 750 Olive Avenue and prepare a supplement to my report.

ASSESSMENT

The table below is similar to the one in the initial report except that I omitted the infrastructure information since there are no sidewalks or utilities in conflict. The tree is located outside the front yard of a residence surrounded by a gravel parking area. The portions of the tree overhanging the yard are very dense. There are multiple swings hanging from the limbs.

D B H	Leaders & Sizes @ Height of Union	Approx Height	Approx Spread	Health G/F/P	Structure G/F/P	Comment
55	24/24/22/1 4@3-5'	35-40	60	G	F	Intertwining limbs provide some support. Leader over yard is most likely to split due to weight and density. Crown is very heavy throughout

QUANTATIVE RISK ASSESSMENT							QUALITATIVE RISK ASSESSMENT			
Target	Part Most Likely To Fail	Failure Potential 1-4	Size of Part 1-4	Target Rating 1-4	Hazard Rating	Likelihood Of Failure	Likelihood of Impacting Target	Likelihood of Failure & Impacting Target	Consequences	Risk
Yard, people	Leader	2	3	3	8	Possible	High	Likely	Significant	Moderate-high

Based on my assessment and the criteria defined in my initial report, I have determined that this pine poses a moderate to high risk and warrants pruning to reduce weight, particularly over the front yard, which is extensively utilized by the residents.

Prepared by:

Bill Spiewak

Bill Spiewak
Registered Consulting Arborist #381
American Society of Consulting Arborists
Board Certified Master Arborist #310B
International Society of Arboriculture





City of Carpinteria

COUNCIL AGENDA STAFF REPORT March 28, 2016

ITEM FOR COUNCIL CONSIDERATION

Review of the 2015 Annual Report to the State Department of Housing and Community Development on the status of the Housing Element and progress on implementation of its goals, policies and objectives.

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Receive Annual Report on the status of the Housing Element Program Implementation

Motion: I move to accept the Annual Report on the status of the Housing Element Program implementation and direct the Community Development Director to submit the document to the State Department of Housing and Community Development.

BACKGROUND

Pursuant to California State law, each City and County is required to prepare an Annual Report to its legislative body on the status of the Housing Element and progress on its implementation. The law requires that a copy of the Annual Report be submitted to the State Department of Housing and Community Development (HCD) at the same time it is submitted to the legislative body. In compliance with state housing law, the Community Development Department will submit the report to the State once accepted by your Council. The Housing Element Annual Progress Report is included as Attachment A.

DISCUSSION

The Housing Element Annual Progress Report has been prepared in accordance with the State's submittal requirements. The City Council adopted Resolution No. 5556, approving an updated Housing Element on November 10, 2014. The updated Housing Element is effective for the 2015 through 2023 planning period. This report provides a summary of housing program implementation for the 2015 calendar year which falls within the 2015-2023 planning period.

In 2014, the City of Carpinteria issued building permits for the Casas de Las Flores Apartments (43 units) and the Faith Lutheran Parsonage. In 2015, the two projects were completed and resulted in 44 new residential units.

The following tables are excerpted from the attached Report (page 4) and follow the required format established by the State Housing and Community Development Department. Table 1 summarizes the number of residential units permitted in the City that were completed in 2015. Table II summarizes the number of residential building permits issued in 2015.

**Table I: New Residential Units Completed in 2015
 (Issued Certificates of Occupancy)**

Project Name	Address	Units	Income Category
Faith Lutheran	1335 Vallecito Place	1	Above Moderate
Casas de las Flores	4096 Via Real	43	42 Low / Very Low 1 Above Moderate
Total Residential Units Completed in 2015:		44 (net gain = 44)	

**Table II: Residential Units Building Permits Issued in 2015
 (Not Completed in 2015)**

Project Name	Address	Number of Units	Income Category
Krausgrill	4640 Ninth Street	1	Above Moderate
MTI Capital	1275 / 1289 Cramer Circle	2	Above Moderate
Olsen	4879 Dorrance Way	1	Above Moderate
Olverd	5157 Ogan Road	1	Above Moderate
Total Residential Units Approved in 2015:		5 (net gain = 3)	

Figure 1 summarizes the number of residential units permitted in the City between 2008-2015. The total residential permit valuation is summarized for the period of 2008 to 2015 in Figure 2.

Figure 1

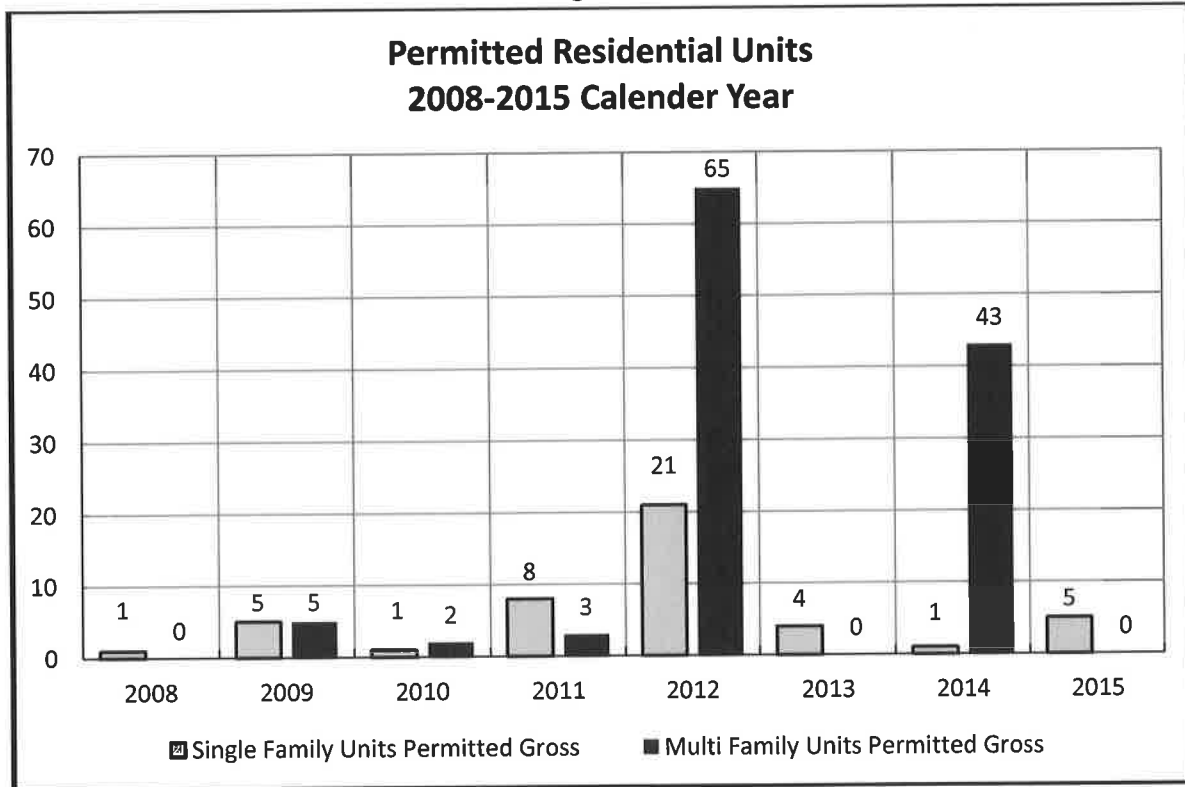
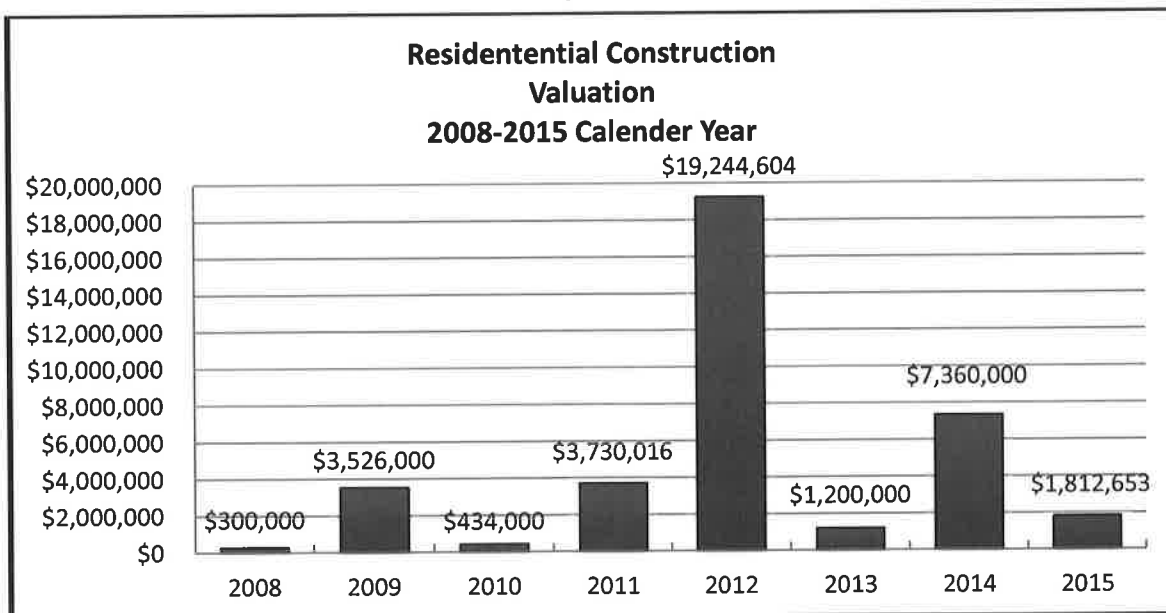


Figure 2



The Annual Housing Element Progress Report shows that the City has effectively implemented its Housing Element by supporting the development of housing for various economic income groups and partnering with housing providers like Peoples' Self-Help Housing to ensure that much needed low income rental housing is available in

Carpinteria. Additionally, the report shows the City's commitment to supporting special needs populations through Community Development Block Grants (CDBG) issued to public service groups. An example of this is funding for Peoples' Self-Help Housing through the CDBG grant program for housing services and case management to households at-risk of homelessness and directly to homeless individuals seeking permanent housing. It also shows the City's commitment to supporting housing and the quality of life in Carpinteria through implementation of the Inspection on Sale Program, the Single Family Rental Housing Inspection Program, the City's funding of the Workforce Down Payment Assistance Program and through funding the Rental Housing Mediation Task Force (administered by the City of Santa Barbara). All of these efforts are made in support of implementing the City's Housing Element and providing safe and adequate housing for all Carpinterians.

POLICY CONSISTENCY

The attached Housing Element Annual Progress Report includes a detailed discussion of the City's compliance with its Housing Element policies and goals through the implementation of various housing programs throughout calendar year 2015.

FINANCIAL CONSIDERATIONS

There is no financial impact to the City as a result of submitting this report.

LEGAL

Upon submittal of this document to HCD, the City will have complied with California planning law pursuant to section 65400(b)(1) of the Government Code.

OPTIONS

1. Recommend changes and direct staff to return a revised Annual Progress Report for further review.
2. Recommend changes be incorporated into the Annual Progress Report and authorize the Community Development Director to submit the revised Report to HCD.

PRINCIPAL PARTIES EXPECTED AT MEETING

None.

ATTACHMENT

Attachment A 2015 Housing Element Annual Progress Report (March 2016)

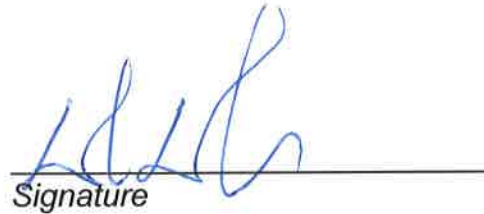
Report prepared by and Staff contact:
Shanna R. Farley-Judkins, Assistant Planner
(805) 755-4405 / shannaf@ci.carpinteria.ca.us


Signature

Reviewed by: Steve Goggia, Acting Community Development Director
(805) 755-4414 steveg@ci.carpinteria.ca.us


Signature

Reviewed by: Dave Durflinger, City Manager


Signature

Attachment A

2015 Housing Element Annual Progress Report (March 2016)

**City Council Meeting
March 28, 2016**

City of Carpinteria



2015 Housing Element Annual Progress Report



March 2016

INTRODUCTION

Pursuant to section 65400 of the Government Code, Community Development Department staff prepares an annual report to the City Council on the status of the General Plan and its Elements and progress being made in implementation. This is an opportunity to review activities and projects of the prior year in the areas of housing development and other programs that implement the City's Housing Element. The City's Housing Element identifies community housing needs and describes how safe, affordable housing can be provided and maintained for City residents. Included in this report are statistics demonstrating progress in meeting regional housing needs.

The 2015-2023 Housing Element was adopted by Resolution No. 5556 by the City Council on November 10, 2014. The State Department of Housing and Community Development certified the Housing Element on November 20, 2014. This update complies with the Housing Element planning period from February 15, 2015 through February 15, 2023 and includes information related to housing in Carpinteria for the 2015 calendar year.

2015 Housing Highlights

In 2015, construction was completed on 44 new residential units. Site development plans for these units were reviewed by the City's Architectural Review Board and Planning Commission to ensure that residences were designed to be compatible with existing residential development in the community. Tables I – IV on pages 9 -11 of this report include a breakdown of new and replaced residential units.

City staff continued to work closely with Peoples' Self-Help Housing on the Casas de Las Flores Apartments. This 43-unit affordable development provides housing for low and very low income households. The apartment units and community center replace 47 travel trailers that had provided temporary housing. The Planning Commission approved the project in December 2010. Construction commenced in April 2014 and was completed in September 2015.

In November 2014, the City Council adopted Resolution No. 5556 and approved an updated Housing Element, which provides an assessment of the City's housing needs, future goals and development strategies for the years 2015 - 2023. The resolution complies with the State Department of Housing and Community Development's requirement for municipalities within the Santa Barbara County Association of Governments (SBCAG) to update their housing elements every eight years and is consistent with the City's General Plan.

The City continues to provide various forms of assistance to increase housing opportunities in Carpinteria. In 2015, staff continued to develop the Workforce Housing Down Payment Loan Program. The program is funded by the 2011 Development Agreement that allowed the conversion of five affordable residential units at Lavender Court to a payment of \$571,000 to the City's Affordable Housing Trust Fund. Like an in-lieu fee, the funds are used to provide down payment loans for families purchasing homes in the City of Carpinteria. During 2015, one loan was approved. Staff continues to work with the Housing Trust Fund of Santa Barbara County to find interested buyers looking to use the deferred repayment loans to help buy homes in Carpinteria.

Housing Element Review

The Housing Element is organized into two sections that describe the goals and objectives of the City in addressing the community's housing needs. The Housing Element also includes Technical Appendices with detailed information to document compliance with the Government Code. Section 2 of the Housing Element is organized by the five primary program categories which are to be implemented during the planning period. The document also contains a Housing Program which describes 21 specific programs the City implements to realize the goals of the Housing Element. This report explains the housing programs and goals and how the City implemented them throughout 2015.

Program Category 1: Make sites available to accommodate the RHNA

Housing Needs Summary

The Santa Barbara County Association of Governments (SBCAG) allocated a housing need to the City of 163 housing units for the 2014-2022 Regional Housing Needs Allocation Plan. The 2015-2023 Housing Element Table II-1 below shows the City's share of the regional housing need across five income categories.

**Table II-1
Land Inventory Summary**

	Income Category				Total
	Very Low	Low	Mod	Above	
RHNA 2015-2023	39	26	34	64	163
Units completed after 1/1/2014 (Housing Element Table B-2)	33	9	2	5	49
Net remaining RHNA	23		32	59	114
Housing sites (Housing Element Table B-3)	76		6	35	117
Adequate capacity?	Yes		Yes ¹	Yes ¹	Yes

Notes:

1. Reflects excess lower-income sites

Goals

- Attain additions to the housing supply that meet the housing needs of all economic segments.
- Maintain a jobs-housing balance or ratio within the 0.75 to 1.25 range suggested by the Santa Barbara County Association of Governments.

Policies

- *Adequate Sites:* Provide sufficient sites in the General Plan/Coastal Plan and zoning map to meet the housing needs allocated to the City by the Regional Housing Needs Allocation (RHNA) Plan.
- *Housing Types:* In the General Plan/Coastal Plan and implementing ordinances provide for a mix of housing types consistent with the City's needs, including single family detached and multiple family housing.

- *Public Services and Facilities:* Ensure that public services and facilities have the capacity to support the need for the new residential development allocated to the City by the RHNA Plan.

Result

The City keeps an inventory that details the amount, type and size of vacant parcels to assist developers in identifying land suitable for residential development. The City also reports on the number of extremely low, very low, low and moderate income units constructed annually. If the inventory indicates a shortage of available sites, the City will rezone or identify new sites sufficient to accommodate the City's RHNA. The City also works with developers to ensure that appropriate lot densities are used when planning new development.



Casas de las Flores Apartments

In 2015, construction was completed on Casas de las Flores, a 43 unit low income apartment complex. Constructed by Peoples' Self-Help Housing, Casa de las Flores generated affordable units available to households with low and very-low incomes.



Faith Lutheran Church Residence

One new residential unit was constructed on the grounds of the Faith Lutheran Church, to serve as an onsite parsonage. The new unit includes construction of a single-family residence and an attached two-car garage.

In 2014, five Building Permits were issued for the construction of new single-family homes. Two of the units replace homes which were previously demolished. Three of the units are being constructed on vacant lots. The five new units were not yet completed at the close of 2015.



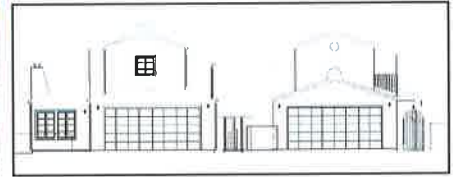
4640 Ninth Street



5157 Ogan Road



4879 Dorrance Way



1275 and 1289 Cramer Circle

**Table I: New Residential Units Completed in 2015
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Olsen	4879 Dorrance Way	1	Above Moderate
Olverd	5157 Ogan Road	1	Above Moderate
Total Residential Units Approved in 2015:		5 (net gain = 3)	

**Table III: Regional Housing Needs Allocation (RHNA) Progress
(Based on Building Permit Issuance Date)**

Income Group	2015-2023 RHNA	Units Added 2015	Units Added 2016	Units Added 2017	Units Added 2018	Units Added 2019	Units Added 2020	Units Added 2021	Units Added 2022	Units Added 2023	Total Units Approved
Very Low	39	0	0	0	0	0	0	0	0	0	0
Low	26	0	0	0	0	0	0	0	0	0	0
Moderate	34	0	0	0	0	0	0	0	0	0	0
Above Moderate	64	3	0	0	0	0	0	0	0	0	3
TOTAL	163	3	0	0	0	0	0	0	0	0	3

Table IV: Constructed Units

Year	Units Completed (Certificate of Occupancy)
2007	28
2008	61
2009	11
2010	8
2011	13
2012	9
2013	112
2014	3
2015	44
TOTAL	289

Program Category 2: Assist in the development of adequate housing to meet the needs of extremely low, very low, low and moderate income households.

Goals

- Attain a housing supply that meets a variety of housing needs.
- Attain a housing supply that meets the needs of extremely-low-, very-low-, low- and moderate-income households.
- Attain a housing supply that meets the needs of special population groups.

Policies

- *Infill Development:* Promote infill housing development through land use policies and by deferring or reducing development impact fees where the City wants to encourage infill development.
- *Second Units:* Promote the development of second units consistent with the State law and the City's second unit ordinance.
- *Suitable Housing Unit Sizes:* Provide for a range in the number of bedrooms in assisted housing developments so as to help meet the needs of various household types and special needs populations.
- *Downtown Mixed Use:* Encourage studio and one bedroom units above commercial uses in the downtown district to reduce parking needs and facilitate affordability.
- *Rental Assistance:* Continue participation in the County of Santa Barbara Housing Authority's Section 8 program as the primary means to address the City's rental assistance needs. Allocate HOME funds and In lieu Fees to state and federally assisted housing that provides rent restricted units in existing and/or new residential developments.
- *Acquisition and Rehabilitation of Rental Housing:* Work with non-profit sponsors to acquire and rehabilitate rental housing units in order to maintain long-term affordability of the units. This will include, but not be limited, to: (a) technical support needed to obtain funding commitments from county, state and/or federal programs; (b) assistance in permit processing; (c) possible deferral, reduction or waiver of City fees; and (d) contribution of City housing funds, if available.
- *Critical Workforce Housing:* Implement "set-aside" policies for critical workforce occupations. New housing developed through the Inclusionary Housing Ordinance, current and future Development Agreements and other appropriate Housing Element programs should set aside a percentage of the units for households employed in critical workforce occupations. Examples of critical workforce occupations are law enforcement, firefighters, nurses, teachers and local government.
- *Farm Employee and Supportive Housing:* Allocate in lieu fees for predevelopment activities, including state and/or federal funding applications, to support the sponsors of farm employee housing and supportive housing for special needs populations.
- *Shelter for the Homeless:* Support countywide programs to provide for a continuum of care for the homeless including emergency shelter, transitional housing, supportive housing and permanent housing. Allocate CDBG funds to agencies assisting homeless persons and families to meet shelter and non-shelter needs.

Result

To address the needs of low income households, the City worked with Peoples' Self-Help Housing to reserve HOME and Community Development Block Grant (CDBG) funds to assist in the acquisition and redevelopment of a blighted property located at 4096 Via Real. Construction commenced in April 2014 on the Casas de Las Flores Apartments which consists of a 43-unit multi-bedroom, multi-family apartment complex and community center. This project meets the City's objective of providing additional affordable rental housing to low and very low income households. Construction was completed in September 2015.



Casas de Las Flores Apartments

Also within Program Category 2, the Community Development Department implements the Secondary Dwelling Unit Program which allows development of secondary dwelling units in the Single Family Residential Zone Districts. The program allows owners of lots greater than 8,000 square feet in size to be eligible to construct second dwelling units. The program applies to 528 single family residential lots which have adequate lot sizes and do not already enjoy second units. In years past, an average of two second units were permitted annually. During the 2015 period, only one second unit was permitted by the Community Development Department, although Building Permit had not yet been issued by the close of 2015. The City's 2015 – 2022 Housing Element addresses this issue and recommends that the second units development standards are reviewed to ensure that there are no governmental constraints to the development of second units. These development standards will be reviewed in the context of the City's comprehensive Zoning Code Update. One standard that was discussed was amending the Zoning Code to allow development of second units on lots smaller than 8,000 square feet. This option would make properties eligible to take advantage of the program. The City will continue to educate interested property owners about second unit opportunities. Also, the City is considering reduction in the Development Impact Fees for smaller units, which will be considered in the next Development Impact Fee Study.

Program Category 3: Address and, where appropriate and legally possible, remove governmental constraints to the maintenance, improvement and development of housing.

Goals

- Address, and to the extent legally possible, mitigate and/or remove governmental constraints to the maintenance, preservation, improvement and development of housing.
- Implement land use regulations that facilitate meeting affordable housing needs.

Policies

- *Expedite Affordable Housing Development Review:* Affordable housing developments shall receive the highest priority and efforts by staff, the Planning Commission and City Council to: (a) provide technical assistance to affordable housing developers, including community involvement; (b) take into account project funding and timing needs in the processing and review of the applications; and (c) provide the fastest turnaround possible in determining application completeness.
- *Fee Mitigation:* Permit on a case-by-case basis fee reductions and waivers to help owner-builder projects, projects with minimum public service impacts, retrofitting projects and affordable housing developments.

Result

The City has implemented a 50% Development Impact Fee reduction for 100% affordable housing development, to assist in removing governmental constraints to the development of affordable housing. This reduction was applied for both the Dahlia Court and Casas de las Flores Apartments sites.

Additionally the City met with Habitat for Humanity representatives to consider development of a property located at 4949 Sawyer Avenue to provide three affordable residential ownership units. The project was reviewed by the Architectural Review Board in February 2014 at the conceptual design phase and was submitted for Development Permit review in November 2015. The project received a preliminary recommendation by the Architectural Review Board on January 14, 2016 and was approved by the Planning Commission on March 7, 2016. As with Peoples' Self-Help Housing, partnering with Habitat for Humanity will help the City provide more affordable housing options.



Habitat for Humanity Residences

Program Category 4: Conserve and improve the condition of the existing stock of affordable housing.

Goals

- Conserve existing housing important to the community such as rental apartments, mobile home parks and other affordable housing units.
- Maintain the affordability of existing and future affordable housing developments.
- Attain a housing supply free from substandard and deteriorated housing conditions.

Policies

- *Section 8 Housing:* Maintain the numbers of extremely-low-, very-low- and low-income households that are assisted by the Section 8 rental assistance program.
- *Apartment Conservation:* Conserve apartment rental housing by prohibiting the conversion to condominium ownership unless the apartment rental vacancy rate is more than 5%.
- *Mobile Homes, Mobilehome Parks and Manufactured Housing:* Conserve mobilehomes, mobilehome parks and manufactured housing as an essential part of Carpinteria's housing supply.
- *Mobile Home Rents:* Continue the Mobile Home Park Rental Stabilization ordinance.
- *Occupancy Inspections:* Conduct occupancy inspections for code requirements for single-family residential units and apartment structures when they are sold.
- *Long-Term Housing Affordability:* Enforce resale controls and income restrictions to ensure that affordable housing provided through the Inclusionary Housing Program remains affordable over time to the income group for which it is intended.
- *Maintenance and Repair:* Promote the maintenance and repair of owner-occupied and rental housing to prevent deterioration within the City.
- *Housing Rehabilitation:* Facilitate the rehabilitation of substandard and deteriorated housing where feasible.
- *Housing Replacement:* Where possible, promote the removal and replacement of those substandard units that cannot be rehabilitated.
- *CDBG Rehabilitation:* Allocate CDBG funds to multi-family rehabilitation programs and retrofitting of existing housing.
- *Acquisition and Rehabilitation of Rental Housing:* Work with non-profit sponsors to acquire and rehabilitate rental housing units in order to maintain long-term affordability of the units. This will include, but not be limited to: (a) technical support needed to obtain funding commitments from County, State and/or Federal programs; (b) assistance in permit processing; (c) possible deferral, reduction or waiver of City fees; and (d) contribution of City housing funds, if available.

Result

Seven mobile home parks provide housing in the City with a total of 860 mobile home park spaces. Mobile homes are generally affordable by design. The City's Mobile Home Rent Stabilization regulations aim to protect the value of mobile homes for tenants of rental

parks. The City also allows manufactured homes to be constructed in all residential zones which may reduce construction costs.

The City completed 54 Inspection on Sale Reports and 38 Single Family Rental Housing Inspections in 2015 (conducted every three years to ensure rental properties are properly maintained). Ongoing Inspections of residential unit sales and rental units serve to maintain and improve the living standard of some of the City's aging rental housing stock and those units being sold on the open market or through foreclosure proceedings.

The City continually promotes rehabilitation of substandard residential properties and mandates repairs and renovations as required by the Building Code. For example, in 2015 Code Compliance inspections continued at the Casa del Sol Motel and Apartments, Cypress Tree Apartments, Tomarla Apartments, La Concha Apartments and Sycamore Apartments. These inspections and follow-up citations for conditions that are not in non-compliance with Uniform Building Code and Housing Code standards, lead to improved site upkeep, general maintenance and serve to prevent public nuisance concerns in the neighborhood. The Cypress Tree Apartments recently transitioned into new ownership and are now identified as Ohm Apartments. The 28 unit Ohm Apartments were recently remodeled and now make upgraded housing accommodations available within 400 square feet studio units, with one and two bed options.

Program Category 5: Promote housing opportunities for all persons.

Goals

- Attain a housing market with "fair housing choice," meaning the ability of persons of similar income levels regardless of race, color, religion, sex, national origin, disability and familial status to have available to them the same housing choices.

Policies

- *Promote Fair Housing:* Promote fair housing opportunities through the City's participation in the County's Community Development Block Grant Program.
- *Information and Referral:* Promote fair housing through the provision of information and referral services to residents who need help on fair housing issues. This includes referring local residents who want to file a housing discrimination complaint to the appropriate local, county, state or federal agency, depending on the nature of the complaint.
- *Fair Housing Information:* Implement activities to broaden resident knowledge of fair housing law.

Result

The City continues to fund services provided by the City of Santa Barbara Rental Housing Mediation Task Force (RHMTF). The RHMTF provides dispute resolution resulting from landlord, tenant and roommate issues which arise in rental housing situations. The primary goal of the program is to provide an alternative to the formal judicial system and provide legal advice and mediation when disputes arise. The program provides free legal assistance for residents living at or below the poverty line, while also providing general

social service guidance for those with disabilities, seniors and victims of domestic violence. During 2015, the RHMTF provided service to 94 Carpinteria tenants and landlords regarding issues related to rental housing. In 2014, the RHMTF began outreach programs in Carpinteria to educate local residents about tenant/landlord rights and to answer housing questions. The program shows success in preventing litigation through mediation and conflict resolution. In March 2015, RHMTF held a local workshop to help educate local residence about the services prides by RHMTF and other typical concerns related to rental housing.



**Rental Housing Mediation Task Force Workshop
March 2015**

Conclusion

The Housing Element Annual Progress Report illustrates activities undertaken by the City of Carpinteria in 2015 that improved the quality and quantity of market rate and affordable housing in Carpinteria. As required by state law, the 2009 Housing Element Update was completed and certified in 2011. Given the success of Carpinteria's program, many of the affordable housing strategies were continued into the 2015 - 2023 Housing Element with modifications made as necessary to meet the City's regional housing needs. The City remains in compliance with Housing Element Law by adoption of its Housing Element update in late 2014.

Exhibit 1 - Annual Housing Element Implementation Report

Exhibit 1

Department of Housing and Community Development Annual Housing Element Implementation Report

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction City of Carpinteria
Reporting Period 1/1/2015 - 12/31/2015

Table A

Annual Building Activity Report Summary - New Construction Very Low-, Low-, and Mixed-Income Multifamily Projects

Housing Development Information							Housing with Financial Assistance and/or Deed Restrictions		Housing without Financial Assistance or Deed Restrictions		
1	2	3	4				5	5a	6	7	8
Project Identifier (may be APN No., project name or address)	Unit Category	Tenure	Affordability by Household Incomes				Total Units per Project	Est. # Infill Units*	Assistance Programs for Each Development	Deed Restricted Units	Note below the number of units determined to be affordable without financial or deed restrictions and attach an explanation how the jurisdiction determined the units were affordable. Refer to instructions.
		R=Renter O=Owner	Very Low-Income	Low-Income	Moderate-Income	Above Moderate-Income			See Instructions	See Instructions	
Krausgrill 4640 Ninth Street		O				1	1				
MTI Capital 1275/1289 Cramer Circle		O				2	2				
Olsen 4879 Dorrance Way		O				1	1				
Olverd 5157 Ogan Road		O				1	1				
(9) Total of Moderate and Above Moderate from Table A3 ▶ ▶ ▶							0	0			
(10) Total by Income Table A/A3 ▶ ▶						5	5				
(11) Total Extremely Low-Income Units*											

* Note: These fields are voluntary

ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation
(CCR Title 25 §6202)

Jurisdiction City of Carpinteria
Reporting Period 1/1/2015 - 12/31/2015

Table A2

Annual Building Activity Report Summary - Units Rehabilitated, Preserved and Acquired pursuant to GC Section 65583.1(c)(1)

Please note: Units may only be credited to the table below when a jurisdiction has included a program in its housing element to rehabilitate, preserve or acquire units to accommodate a portion of its RHNA which meet the specific criteria as outlined in GC Section 65583.1(c)(1)

Activity Type	Affordability by Household Incomes				(4) The Description should adequately document how each unit complies with subsection (c)(7) of Government Code Section 65583.1
	Extremely Low-Income*	Vary Low-Income	Low-Income	TOTAL UNITS	
(1) Rehabilitation Activity				0	
(2) Preservation of Units At-Risk				0	
(3) Acquisition of Units				0	
(5) Total Units by Income	0	0	0	0	

* Note: This field is voluntary

Table A3

**Annual building Activity Report Summary for Above Moderate-Income Units
(not including those units reported on Table A)**

	1. Family Single	2. 2 - 4 Units	3. 5+ Units	4. Second Unit	5. Mobile Homes	6. Total	7. Number of Infill units*
No. of Units Permitted for Moderate						0	
No. of Units Permitted for Above Moderate	0	0				0	0

* Note: This field is voluntary

ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation
(CCR Title 25 §6202)

Jurisdiction City of Carpinteria
Reporting Period 1/1/2015 - 12/31/2015

Table B

Regional Housing Needs Allocation Progress

Permitted Units Issued by Affordability

Enter Calendar Year starting with the first year of the RHNA allocation period. See Example.			2015	2016	2017	2018	2019	2020	2021	2022	2023	Total Units to Date (all years)	Total Remaining RHNA by Income Level
Income Level		RHNA Allocation by Income Level	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9		
Very Low	Deed Restricted	39											39
	Non-deed restricted		0										
Low	Deed Restricted	26											26
	Non-deed restricted		0										
Moderate	Deed Restricted	34											34
	Non-deed restricted		0										
Above Moderate		64	3									3	61
Total RHNA by COG. Enter allocation number:		163	3									3	160
Total Units ▶ ▶ ▶													
Remaining Need for RHNA Period ▶ ▶ ▶ ▶ ▶													

Note: units serving extremely low-income households are included in the very low-income permitted units totals.

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction City of Carpinteria

Reporting Period 1/1/2015 - 12/31/2015

Table C

Program Implementation Status

Program Description (By Housing Element Program Names)		Housing Programs Progress Report - Government Code Section 65583. Describe progress of all programs including local efforts to remove governmental constraints to the maintenance, improvement, and development of housing as identified in the housing element.	
Name of Program	Objective	Timeframe in H.E.	Status of Program Implementation
1. Second Unit Program	12 second units during the planning period	Ongoing	In 2015, one second unit was permitted by CDD. 11 second units remain to be completed during the planning period.
2. Energy Conservation Program	Reduce residential energy use throughout the planning period	Ongoing	The Building Division and Community Development Department continue to promote energy efficient and energy saving opportunities on new and redevelopment projects.
3. No Net Loss Program	Ensure compliance with no net loss requirements throughout the planning period	Ongoing	The Community Development Department continues to ensure compliance with no net loss of residential units through the review of development permits.
4. Section 8 Rental Assistance Program	169 Section 8 Certificates during the planning period	Ongoing	In 2015, Section 8 Certificates were issued by the County and City of Santa Barbara Housing Authorities within the City of Carpinteria.
5. Affordable Rental Housing Program	43 lower income rental units during the planning period	Ongoing	In 2015, construction was completed on Casas de las Flores a 43 unit residential rental complex which would serve low and very low income households.
6. Inclusionary Housing Program	10 Inclusionary Housing Units during the planning period	Ongoing	No new inclusionary units were constructed or proposed in 2015. Staff will continue to identify opportunities for additional inclusionary housing and work with developers which might develop multi-family housing developments.
7. Density Bonus Procedures	Continue to offer density bonus incentives throughout the planning period	Ongoing	Although no density bonus units were developed in 2015, staff will continue to provide density bonus incentive information to qualifying developments through the planning and development review process.
8. Facilitate Lot Consolidation	Continue to facilitate consolidation of small lots during the planning period	Ongoing	Although no lots were consolidated in 2015, staff will continue to identify and work with developers to consider consolidation of small lots.
9. Regulatory Concessions and Incentives	Facilitate construction of affordable units commensurate with the City's needs throughout the planning period	Ongoing	Although no projects were approved in 2015 which took advantage of the concessions and incentives provided for affordable housing, the Community Development Department accepted an application from Habitat for Humanity to consider three affordable ownership condominiums which have applied to take advantage of modifications to provide relief from parkign requirements and reduction in Development Impact Fees.
10. Fee Mitigation Program	Facilitate construction of affordable units commensurate with the City's needs throughout the planning period	Ongoing	Although no projects were approved in 2015 which took advantage of the concessions and incentives provided for affordable housing, the Community Development Department accepted an application from Habitat for Humanity to consider three affordable ownership condominiums which have applied to take advantage of the reduction in Development Impact Fees.
11. Special Needs Housing Program	Continue to implement zoning regulations that facilitate construction of housing for persons with special needs throughout the planning period	Ongoing	In 2015, the Planning Commission approved conversion of a vacant commercial office building to a 95 bed elderly residential care facility. The facility is under construction and expected to open in 2016.
12. Conservation of Mobile Home Parks	Facilitate preservation of seven mobile home parks and moderate rental rates throughout the planning period	Ongoing	The City has continued to monitor rental rates in mobile homes parks through the Mobile Home Rent Stabilization Program and has had no conversion of the seven mobile home parks in Carpinteria.
13. Condominium Conversion Ordinance	Facilitate preservation of existing units throughout the planning period	Ongoing	During the 2015 calender year, no rental residential units were converted to condominiums due provisions of the Condominium Conversion Ordinance related to rental vacancy rates.
14. Inspection on Sale Program	Facilitate preservation of existing housing units throughout the planning period	Ongoing	The Community Development Department and Buildign Division completed 49 Inspections on Sale during 2015. The program identified several zoning and building code violations, many of which were corrected.
15. Rental Housing Inspection Program	Facilitate preservation of existing single-family rental housing units throughout the planning period	Ongoing	The Community Development Department and Code Compliance Division completed 45 Rental Housing Inspections in 2015. The program identified several zoning and building code violations, many of which were corrected.

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction City of Carpinteria
Reporting Period 1/1/2015 to 12/31/2015

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16. Housing Code Compliance	Facilitate preservation of existing single-family rental housing units throughout the planning period	Ongoing	The Community Development Departments Building and Code Compliance Division conducted several site inspections and enforced the housing and building and safety codes through compliance orders and administrative citations.
17. Single Family Housing Rehabilitation	Rehabilitation of two units occupied by extremely low income owner households and three units occupied by very-low income owner households during the planning period	Ongoing	The City will continue to promote eligible very-low income homeowners contact the USDA Rural Housing Repair and Rehabilitation Program for loans to help modernize and improve their homes to remove health and safety hazards.
18. Multi-family Rehabilitation	Rehabilitation of two extremely low income units, one very low income unit and six low income units during the planning period	Ongoing	The City will continue to seek grant funds to facilitate rehabilitation of multi-family housing in need of repair.
19. Fair Housing Information and Referral	Continue to disseminate fair housing information to residents, landlords and other interested parties throughout the planning period; conduct annual fair housing workshops in cooperation with the Rental Housing Mediation Task Force	Ongoing	The City continues to partner with the City of Santa Barbara's Rental Housing mediation Task Force to provide tenants, landlords and interested parties with information and mediation services related to housing and rental disputes. In 2015, several tenant/landlord disputes were resolved, out of court, through mediation. The City also refers residents to the Legal Aid Foundation of Santa Barbara County and California Rural Legal Assistance to address concerns related to disability access, those living on fixed incomes, and victims of domestic or elder abuse.
20. Workforce Homebuyer Down Payment Loans	Continue to facilitate home ownership for local workforce throughout the planning period	Ongoing	The City continues to provide down payment loans, in collaboration with the Housing Trust Fund of Santa Barbara County. In 2015, one loan was funded in the amount of \$37,500, for purchase of a home for a household of five.

General Comments:

Please see the City's 2015 Housing Element Annual Progress Report for information on the City's efforts and successes in implementing its Housing Element.