

City of Carpinteria
City Council Regular Meeting Agenda
Monday, April 27, 2015
Council Chamber, 5775 Carpinteria Avenue

4:30 p.m. Closed Session – 5:30 p.m. Regular Session

INFORMATION ABOUT CITY COUNCIL MEETINGS:

- The time at which an item on the agenda is scheduled to be heard is an estimate only. The City Council may consider and act on an agenda item in any order it deems appropriate. In addition, an item may be considered earlier or later than the Estimated Time, depending on the length of time which is devoted to other agenda items. All persons interested in an item listed on the agenda are advised to be present throughout the meeting to ensure that they are present when the item is called.
- The regular meeting will be broadcast live over Government Access Television Channel 21. The City Council meetings will be rebroadcast on Wednesdays at 8:00 p.m. and Saturdays at 5:00 p.m.
- Materials related to an Agenda item submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office at 5775 Carpinteria Avenue, Carpinteria, during normal business hours. Such documents are also available on the City of Carpinteria website at www.carpinteria.ca.us subject to staff's ability to post the documents before the meeting.
- Any written or printed material and/or exhibits presented at a City Council meeting or work session become the property of the City.
- Any information presented to the City Council by the public on any agenda item and during Public Comment using electronic media to be displayed on the overhead projector must be arranged with staff for review of materials in advance of the meeting and no later than close of business Wednesday prior to the Council meeting.
- As a courtesy to others and to avoid interference with the public address system, please refrain from using cell phones in the Council Chambers and please turn off all audible pagers and rings.
- If you wish to speak on a matter scheduled for consideration in a closed session, you will have an opportunity to address the City Council before the Council retires to its closed session deliberations.

**ESTIMATED
TIME:**

4:30 p.m. **CALL TO ORDER**

4:30 p.m. **ROLL CALL**

4:30 p.m. **PUBLIC COMMENT ON CLOSED SESSION MATTERS**

4:35 p.m. **CLOSED SESSION**

1. Conference with Real Property Negotiator(s) – Government Code Section 54956.8

Property: APNs. 003-501-002, 003-501-001, 003-450-00, and 003-450-24.

Negotiating Party/Property Owner: State of California Department of Parks and Recreation

Agency Negotiators: Dave Durflinger, City Manager; Peter Brown on Behalf of Brownstein Hyatt Farber Schreck, LLP acting as City Attorney of the City of Carpinteria and Matt Roberts, Director of Parks and Recreation.

Under Negotiation: price and/or terms of payment.

4:35 p.m. **TEMPORARY ADJOURNMENT TO CLOSED SESSION**

5:30 p.m. **CALL BACK TO ORDER**

5:30 p.m. **ROLL CALL**

5:31 p.m. **CLOSED SESSION REPORT**

5:31 p.m. **PLEDGE OF ALLEGIANCE**

5:32 p.m. **INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS**

5:33 p.m. **PUBLIC INFORMATION REPORTS AND ANNOUNCEMENTS**

5:34 p.m. **CITY MANAGER'S REPORT**

The City Manager will report to the City Council regarding various City and/or community events and/or matters of interest. There will be no City

Council discussion except to ask questions or refer matters to staff; and no action will be taken unless listed on a subsequent agenda.

5:37 p.m.

PRESENTATIONS BY CITIZENS / PUBLIC COMMENT

This is a time for public comments on matters not otherwise on the agenda but within the subject matter jurisdiction of the City Council. The Public Comment period is limited to 15 minutes and divided among those persons desiring to speak. No person shall speak longer than three minutes; however, the Mayor may establish shorter periods based on the time available and/or the number of persons waiting to speak. Persons wishing to speak on a specific item will be recognized at the time the agenda item is considered.

Please be aware that items on the consent calendar are considered to be routine and are normally enacted by one unanimous vote of the Council. If you wish to speak on a consent calendar item, or on a matter scheduled for consideration in a closed session at the end of the agenda, please do so during the Presentations by Citizens/Public Comment portion of the meeting.

The City and the City Council are not responsible for the content of statements made during the public comment period, or the factual accuracy of any such statements.

5:52 p.m.

AGENDA MODIFICATIONS: The City Council may modify the regular agenda by tabling items, adding urgency items to the agenda, or changing the order in which items are considered. Changes to the Consent Calendar are considered at the time the Consent Calendar is considered.

5:52 p.m.

CONSENT CALENDAR (to be approved by roll call vote on one motion with all resolutions and ordinances as read by title only). Individual items may be removed and considered separately at the request of a Councilmember. Any item, which is removed from the Consent Calendar, will be added to the end of the agenda under Other Business.

2. Expenditures for the period beginning April 2, 2015 and ending April 20, 2015.
3. Minutes of the regular meeting held April 13, 2015.
4. Adopt Resolution No. 5603, as read by title only, authorizing the destruction of certain obsolete records in the Human Resources Office.

5. Quarterly Review of Investment Results and Certifications Concerning Compliance with Investment Policy and Cash Flow Needs for the next six months.
6. Second reading of Ordinance No. 700, amending Section 12.04.070 of the Carpinteria Municipal Code to increase the value of work allowed under annual permits to twenty-five thousand dollars.
7. Second reading of Ordinance No. 701, amending Sections 2.17.040 and 2.17.110 of the Carpinteria Municipal Code to adjust the bond amounts for small developments and authorize the City Manager to release certain bonds.

ADMINISTRATIVE MATTERS: None.

5:53 p.m.

PUBLIC HEARINGS:

8. Updating the City's Capital Improvement Plan and Highway Interchanges and Bridges Development Impact Fee and Ending the Temporary Reduction of the City's Highway Interchanges and Bridges Development Impact Fee

Recommendation: Adopt the Resolution No. 5592, as read by title only, updating the City's Capital Improvement Plan and Highway Interchanges and Bridges Development Impact Fee; and ending the temporary reduction of the City's Highway Interchanges and Bridges Development Impact Fee.

Staff presenter: Charlie W. Ebeling, Public Works Department Director

Staff Report
Public Comment
City Council Determination

OTHER BUSINESS:

6:09 p.m.

9. Annual Report on Assessment District No. 5 for Fiscal Year 2015-16

Recommendation: Accept and file the annual report and adopt Resolution No. 5609, as read by title only, setting the public hearing date for May 26, 2015, to consider authorizing the continuation of Assessment District No. 5 and ordering the improvement.

Staff presenter: Matt Roberts, Parks and Recreation Director

Staff Report
Public Comment
City Council Determination

- 6:19 p.m. 10. Closeout of City Maintenance Project No. 15018, Ninth Street Pedestrian Bridge Replacement Project

Recommendation: Authorize the Mayor to sign the Closeout Agreement and Release of Claims for City Maintenance Project No. 15018, Ninth Street Pedestrian Bridge Replacement Project, with J&H Engineering, and direct the City Clerk to release Performance Bond No. 2190199 in the amount of \$231,248.15.

Staff presenter: Matt Meachler, Civil Engineer

Staff Report
Public Comment
City Council Determination

- 6:29 p.m. 11. Report on Parking and Business Improvement Area Assessment District No. 4 for Fiscal Year 2015-16

Recommendation: Adopt Resolution Nos. 5594 and 5595, to accept the mandatory annual report and budget and to set the matter of the continuation of Parking and Business Improvement Area District No. 4 for fiscal year 2015-2016 for public hearing at the regular City Council meeting of May 26, 2015.

Staff presenter: Brian C. Barrett, Management Analyst

Staff Report
Public Comment
City Council Determination

- 6:40 p.m. 12. Contract with Avila and Associates, Inc., for Professional Engineering Services for the Linden Avenue and Casitas Pass Road Interchanges Project

Recommendation: Authorize the City Manager to execute an agreement for professional engineering services with Avila and Associates, Inc., for additional engineering services necessary to prepare FEMA floodplain revision documents.

Staff presenter: Charlie W. Ebeling, Public Works Department Director

Staff Report
Public Comment
City Council Determination

- 6:50 p.m. 13. Report on Street Lighting District No. 1 for Fiscal Year 2015-16

Recommendation: Adopt Resolution Nos. 5604 and 5605, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Street Lighting District No. 1 for fiscal year 2015-16 for public hearing at the regular City Council meeting of May 25, 2015.

Staff presenter: John Thornberry, Administrative Services Director

Staff Report
Public Comment
City Council Determination

- 7:00 p.m. 14. Report on Right-of-Way Improvement District No. 3 for Fiscal Year 2015-16

Recommendation: Adopt Resolution No. 5606 and 5607, acting to accept and file the mandatory annual report and set the matter of the continuation of Right-of-Way Improvement District No. 3 for fiscal year 2015-16 for public hearing at the regular City Council Meeting of May 25, 2015.

Staff presenter: John Thornberry, Administrative Services Director

Staff Report
Public Comment
City Council Determination

- 7:10 p.m. 15. Third Quarter Financial Results for the Current Fiscal Year 2014-15 and Preliminary Revenue and Expense Projections for the next Fiscal Year 2015-16

Recommendation: Receive report and direct staff as determined appropriate.

Staff presenter: Dave Durflinger, City Manager and John Thornberry, Administrative Services Director

Staff Report
Public Comment
City Council Determination

- 7:25 p.m. 16. Consider Approving a 50th Anniversary City Seal, and Authorization to Announce to Federal, State and Local Officials the City's 50th Anniversary and Invite Participation in Planned Celebrations

Recommendation:

- a. Adopt Resolution No. 5608, approving use for official purposes a 50th Anniversary seal; and
- b. Authorize that letters be sent under the Mayor's signature to Carpinteria's federal and state representatives and other public agencies as deemed appropriate in order to communicate information about the City's anniversary and invite participation at specific events.

Staff presenter: Dave Durflinger, City Manager and John Thornberry, Administrative Services Director

Staff Report
Public Comment
City Council Determination

7:35 p.m. **COMMITTEE REPORTS, INQUIRIES AND OTHER MATTERS
PRESENTED BY COUNCILMEMBERS**

Informational reports from Councilmember representatives on the committees, commissions and organizations listed below. This is also an opportunity for Councilmembers to request information from staff or seek support from fellow Councilmembers for future agenda items.

1. Representatives to Regional Agencies and Committees
 - a. Beach Erosion Authority for Clean Oceans and Nourishment (BEACON) (Shaw/Alt. Carty)
 - b. California Joint Powers Insurance Authority (CJPIA) (Clark/Alt. Shaw)
 - c. Channel Counties Division, League of California Cities (Nomura/Alt. Shaw)

- d. Santa Barbara County Association of Governments (SBCAG)(Clark/Alt. Nomura)
- e. Santa Barbara County Air Pollution Control District (APCD)(Clark/Alt. Nomura)
- f. Santa Barbara Joint Housing Task Group (Carty & Shaw)
- g. Central Coast Collaborative on Homelessness (C3H) (Clark)
- h. South Coast Task Force on Youth Gangs Leadership and Executive Council (Carty & Clark)

2. Joint and Standing Committees

- a. City Council/Chamber of Commerce Board of Directors Committee (Carty & Nomura)
- b. City Council/Public Safety Committee (Clark & Shaw)
- c. City Council/School Board Committee (Stein & Carty)
- d. City Council/Utilities Committee (Stein & Nomura)
- e. City Council/First District Supervisor Committee (Stein & Carty)
- f. City Council Finance/Budget Committee (Stein & Clark)
- g. 50th Anniversary Committee (Carty & Nomura)

3. Ad Hoc Committees

- a. Public Facility Site Acquisition/Development Committee (Carty & Nomura)
- b. Transportation Committee (Stein & Shaw)
- c. Labor Relations Committee (Nomura & Shaw)

4. City Representatives on other Committees

- a. Community Media Access Center (Gary Dobbins)
- b. Santa Barbara Coastal Vector Control District (Ronald Hurd)
- c. Santa Barbara Metropolitan Transit District (Chuck McQuary)

7:40 p.m. **ATTENDANCE OF COUNCILMEMBERS FOR FUTURE MEETINGS**

7:40 p.m. **ADJOURNMENT**

The next regular meeting to be held on **Monday, May 11, 2015** at **5:30 P.M.**, in the Council Chamber, City Hall, 5775 Carpinteria Avenue, Carpinteria, California.

In compliance with the Americans with Disabilities Act, if you need assistance to participate in this meeting, please contact the City Clerk's Office at 684-5405, extension

403 or the California Relay Service at (866) 735-2929. Notification two business days prior to the meeting will enable the City to make reasonable arrangements for accessibility to this meeting.

This agenda was posted on Wednesday, April 22, 2015, in the City Clerk's Office, on the City Hall Public Notices Board, and on the Internet.



City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Expenditures for the period beginning April 2, 2015 and ending April 20, 2015.

STAFF RECOMMENDATION

Action Item ____ ; Non-Action Item X

Motion: That the City Council accept this report concerning the current list of warrants pursuant to Carpinteria Municipal Code 2.08.150(F).

DISCUSSION

As part of each regular City Council agenda, a list of all checks (attached) dispersed since the last reporting period is presented to the City Council pursuant to C.M.C. 2.08.150(F). The report is provided for City Council and public information and serves as Staff's verification that the expenditures have been reviewed and are within budgeted appropriations.

FINANCIAL CONSIDERATIONS

The warrant register included as an attachment is designed to communicate fiscal activity since the prior reporting period. No action is requested as part of this report.

ATTACHMENTS

Attachment A: Warrant Register for the period beginning April 2, 2015 and ending April 20, 2015.

Staff contact: Monique Epley, Finance Supervisor
(805) 684-5405 ext. 406
moniquee@ci.carpinteria.ca.us

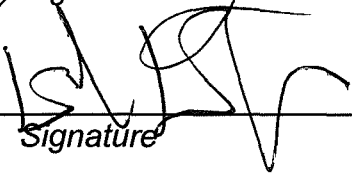

Signature

Reviewed by: John Thornberry, Admin. Services Director



Signature

Reviewed by: Dave Durflinger, City Manager



Signature

11:36 AM
04/20/15

CITY OF CARPINTERIA
Warrant Register
April 2 - 20, 2015

Num	Date	Name	Source Name	Memo	Account	Paid Amount
	04/16/15	QuickBooks Payroll Service	QuickBooks Payrol...	Created by Direct Deposit Service on 04/15/15	1000101 · Operating Cash 10	
			QuickBooks Payroll ...	Fee for 3 direct deposit(s) at \$1.6 each	66000 · Payroll Expenses	(4.80)
TOTAL						(4.80)
	04/17/15	STAPLES ADVANTAGE	STAPLES ADVANT...	QuickBooks generated zero amount transactio...	1000101 · Operating Cash 10	
3260669765	03/21/15	STAPLES ADVANTAGE	STAPLES ADVANT...	3260669765	1000211 · Accounts Payable	0.00
TOTAL						0.00
85470	04/08/15	ACCESS CONTROL SECURITY, INC.	ACCESS CONTRO...	INVOICE 21002	1000101 · Operating Cash 10	
0021002	03/24/15		ACCESS CONTRO...	INVOICE 21002	4848352 · VETS HALL RENTALS	(114.43)
TOTAL						(114.43)
85471	04/08/15	AERIOCONNECT	AERIOCONNECT	14215	1000101 · Operating Cash 10	
14215	04/01/15		AERIOCONNECT	DSL MONTHLY SERVICE CHARGE - MAY 2015	1018222 · Equipment Maintenance	(66.06)
TOTAL						(66.06)
85472	04/08/15	AT & T MOBILITY	AT & T MOBILITY	ACCOUNT 832685259	1000101 · Operating Cash 10	
832685259	03/21/15		AT & T MOBILITY	CODE ENF	1047213 · Animal Sheltering	(127.00)
			AT & T MOBILITY	CODE ENF	1047213 · Animal Sheltering	(387.28)
			AT & T MOBILITY	CODE ENF	1047213 · Animal Sheltering	(42.28)
TOTAL						(556.56)
85473	04/08/15	BALMADRID, ARLENE	BALMADRID, ARL...	REIMBURSEMENT	1000101 · Operating Cash 10	
REIMBURS...	04/06/15		BALMADRID, ARLE...	REIMBURSEMENT CJPIA	1016228 · Employee Training	(290.18)
TOTAL						(290.18)
85474	04/08/15	BAYARD	BAYARD	58156	1000101 · Operating Cash 10	
58156	03/31/15		BAYARD	58156	1016303 · Recruitment Advertising	(1,000.00)
TOTAL						(1,000.00)
85475	04/08/15	CARPINTERIA VETERINARY HOSPITAL INC	CARPINTERIA VET...		1000101 · Operating Cash 10	
231859	03/05/15		CARPINTERIA VET...	DOG LICENSE	1047213 · Animal Sheltering	(40.00)
232331	03/13/15		CARPINTERIA VET...	DOG LICENSE	1047213 · Animal Sheltering	(25.00)
TOTAL						(65.00)
85476	04/08/15	CENTRAL COAST IMAGING SOLUTIONS	CENTRAL COAST I...		1000101 · Operating Cash 10	
AR29198	01/20/15		CENTRAL COAST I...	AR29198	1018222 · Equipment Maintenance	(454.00)

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04/20/15

CITY OF CARPINTERIA

Warrant Register

April 2 - 20, 2015

Num	Date	Name	Source Name	Memo	Account	Paid Amount
AR29553	02/28/15		CENTRAL COAST I...		1018222 · Equipment Maintenance	(278.76)
AR29818	03/31/15		CENTRAL COAST I...		1018222 · Equipment Maintenance	(206.24)
TOTAL						(939.00)
85477	04/08/15	COASTAL VIEW NEWS	COASTAL VIEW N...		1000101 · Operating Cash 10	
45272	03/23/15		COASTAL VIEW NE...	OIL PAYMENT PROGRAM	2000261 · Deferred Revenue 20	(215.00)
45315	03/26/15		COASTAL VIEW NE...	OIL PAYMENT PROGRAM	2000261 · Deferred Revenue 20	(314.00)
45332	03/26/15		COASTAL VIEW NE...	PET ADS	1047303 · Printing & Advertising 47	(40.00)
45330	04/01/15		COASTAL VIEW NE...	45330	1011303 · Printing & Advertising 11	(234.36)
45348	04/02/15		COASTAL VIEW NE...	OIL PAYMENT PROGRAM	2000261 · Deferred Revenue 20	(578.00)
45391	04/02/15		COASTAL VIEW NE...	PET ADS	1047303 · Printing & Advertising 47	(40.00)
45342	04/02/15		COASTAL VIEW NE...	FIRST FRIDAY AD	1044302 · Marketing Materials	(768.00)
TOTAL						(2,189.36)
85478	04/08/15	DAL POZZO TIRE CORP	DAL POZZO TIRE ...	INVOICE 069011G	1000101 · Operating Cash 10	
069011G	03/17/15		DAL POZZO TIRE C...	VEHICLE REPAIR & SERVICE	2531307 · Vehicle Oper & Mainten...	(215.00)
TOTAL						(215.00)
85479	04/08/15	DIAZ, EDITH	DIAZ, EDITH	VETS HALL REFUND	1000101 · Operating Cash 10	
VETS HALL...	04/03/15		DIAZ, EDITH	SECURITY REFUND	1000152 · Deposits	(50.00)
TOTAL						(50.00)
85480	04/08/15	DIVISION OF THE STATE ARCHITECT	DIVISION OF THE ...	2015 Q1 DISABILITY ACCESS FEES	1000101 · Operating Cash 10	
2015 Q1 FE...	03/31/15		DIVISION OF THE S...	2015 Q1 DISABILITY ACCESS FEES	1000262 · Disability Access Fee	(21.30)
TOTAL						(21.30)
85481	04/08/15	DRAKE HAGLAN & ASSOCIATES, INC.	DRAKE HAGLAN &...		1000101 · Operating Cash 10	
12034-29	03/10/15	15016	DRAKE HAGLAN & ...	HBR GRANT	2000261 · Deferred Revenue 20	(150.67)
		15016	DRAKE HAGLAN & ...	HBR GRANT	2079614 · FHWA HBR 20	(150.69)
		15016	DRAKE HAGLAN & ...	DIF PROGRAM HIGHWAY BRIDGES & INTERC...	3100232 · DIF Highway Interchange	(19.53)
		15016	DRAKE HAGLAN & ...	DIF PROGRAM HIGHWAY BRIDGES & INTERC...	3179616 · FHWA HBR 31	(19.53)
			DRAKE HAGLAN & ...	PROJ 1522	1000251 · Development Deposits	(3,233.99)
12034-28	03/10/15	15016	DRAKE HAGLAN & ...	HBR GRANT	2000261 · Deferred Revenue 20	(22,969.15)
		15016	DRAKE HAGLAN & ...	HBR GRANT	2079614 · FHWA HBR 20	(22,969.15)
		15016	DRAKE HAGLAN & ...	DIF PROGRAM HIGHWAY BRIDGES & INTERC...	3100232 · DIF Highway Interchange	(2,975.90)
		15016	DRAKE HAGLAN & ...	DIF PROGRAM HIGHWAY BRIDGES & INTERC...	3179616 · FHWA HBR 31	(2,975.90)
TOTAL						(55,464.51)
85482	04/08/15	EASY LIFT TRANSPORTATION	EASY LIFT TRANS...	INVOICE 6225	1000101 · Operating Cash 10	
6225	04/01/15		EASY LIFT TRANS...	CLAIM PER CONTRACT FOR APRIL	2734221 · Easy Lift/CART 27	(1,000.00)
TOTAL						(1,000.00)
85483	04/08/15	FARLEY, SHANNA	FARLEY, SHANNA	HALF AND HALF REIMBURSEMENT	1000101 · Operating Cash 10	

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04/20/15

CITY OF CARPINTERIA
Warrant Register
 April 2 - 20, 2015

Num	Date	Name	Source Name	Memo	Account	Paid Amount
HALF AND ...	04/07/15		FARLEY, SHANNA	HALF AND HALF REIMBURSEMENT	1013308 · Supplies & Materials 13	(4.79)
TOTAL						(4.79)
85484	04/08/15	GRACENOTE	GRACENOTE	0649071	1000101 · Operating Cash 10	
0649071	04/01/15		GRACENOTE	PROJ 4863 GATV LISTING	1000251 · Development Deposits	(57.97)
TOTAL						(57.97)
85485	04/08/15	GRANICUS	GRANICUS	INVOICE #63279	1000101 · Operating Cash 10	
63279	03/25/15		GRANICUS	APR - JUN 2015 SERVICES	1018224 · Software Maintenance	(1,797.00)
TOTAL						(1,797.00)
85486	04/08/15	OHANA FUN COMPANY	OHANA FUN COM...	PROJ 1739 REFUND	1000101 · Operating Cash 10	
PROJ 1739 ...	04/01/15		OHANA FUN COMP...	PROJ 1739 REFUND	1000251 · Development Deposits	(312.00)
TOTAL						(312.00)
85487	04/08/15	SO CA EDISON	SO CA EDISON		1000101 · Operating Cash 10	
2-17-070-74...	03/28/15		SO CA EDISON	1 CARP TC	2923220 · Street Lighting	(55.68)
2-19-146-82...	03/28/15		SO CA EDISON	9TH ST BTHRM	2923220 · Street Lighting	(37.60)
TOTAL						(93.28)
85488	04/08/15	SOLID WASTE SOLUTIONS INC	SOLID WASTE SO...	INVOICE #204	1000101 · Operating Cash 10	
204	04/01/15		SOLID WASTE SOL...	AB949 COORDINATION	3937221 · Solid Waste	(86.00)
TOTAL						(86.00)
85489	04/08/15	STAPLES ADVANTAGE	STAPLES ADVANT...		1000101 · Operating Cash 10	
3259924257	03/13/15		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(297.11)
3259924256	03/13/15		STAPLES ADVANT...	VETS HALL	4865309 · Misc Operating Expens...	(185.96)
			STAPLES ADVANT...	PW SUPPLIES	2531308 · Supplies & Materials 31	(93.10)
			STAPLES ADVANT...	PARKS	2361221 · Miscellaneous Contracts	(93.09)
TOTAL						(669.26)
85490	04/08/15	STRADLING YOCCA CARLSON & RAUTH	STRADLING YOCC...	296339-0001	1000101 · Operating Cash 10	
296339-0001	03/27/15		STRADLING YOCC...	MISC EMPLOYMENT MATTERS	1072211 · Personnel Services	(1,205.50)
TOTAL						(1,205.50)
85491	04/08/15	THE STORAGE PLACE	THE STORAGE PL...	STORAGE RENTAL MARCH PLUS LATE FEE	1000101 · Operating Cash 10	
STORAGE ...	04/02/15		THE STORAGE PL...	OFFSITE STORAGE RENTAL	1013221 · Miscellaneous Contract...	(325.00)

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04/20/15

CITY OF CARPINTERIA
Warrant Register
April 2 - 20, 2015

Num	Date	Name	Source Name	Memo	Account	Paid Amount
TOTAL						(325.00)
85492	04/08/15	VENCO WESTERN INC	VENCO WESTERN ...	INVOICE #0149019-IN	1000101 · Operating Cash 10	
	03/24/15		VENCO WESTERN ...	ROW	3332221 · Miscellaneous Contract...	(1,793.19)
			VENCO WESTERN ...	CITY HALL	1013225 · Grounds Maintenance	(742.10)
			VENCO WESTERN ...	PROJ 4865	1000251 · Development Deposits	(221.26)
			VENCO WESTERN ...	VETS HALL	4865220 · Grounds Maintenance 65	(108.66)
			VENCO WESTERN ...	POOL	4866220 · Grounds Maintenance 66	(221.73)
			VENCO WESTERN ...	ROW	3323220 · Parking Lot Landscape	(422.38)
			VENCO WESTERN ...	PARKS	2361225 · Park Maintenance 61	(4,523.56)
TOTAL						(8,032.88)
85493	04/08/15	MIRANDA, JENNIFER	MIRANDA, JENNIF...	BBQ REFUND	1000101 · Operating Cash 10	
			MIRANDA, JENNIFER	BBQ REFUND	1000152 · Deposits	(50.00)
TOTAL						(50.00)
85494	04/08/15	OLSON CONSTRUCTION CO., ERIK	OLSON CONSTRU...	PROJ 1745 REFUND	1000101 · Operating Cash 10	
			OLSON CONSTRU...	PROJ 1745 REFUND	1000251 · Development Deposits	(190.49)
TOTAL						(190.49)
85495	04/08/15	CARPINTERIA VETERINARY HOSPITAL INC	CARPINTERIA VET...	INVOICE 224427	1000101 · Operating Cash 10	
232882	03/24/15		CARPINTERIA VET...	DOG LICENSE	1047213 · Animal Sheltering	(35.00)
TOTAL						(35.00)
85496	04/08/15	COX COMMUNICATIONS	COX COMMUNICA...	001-3011-022093901	1000101 · Operating Cash 10	
0013011022...	04/01/15		COX COMMUNICA...	INTERNET SERVICES	1018222 · Equipment Maintenance	(132.00)
TOTAL						(132.00)
85497	04/08/15	MAILFINANCE	MAILFINANCE	INVOICE #H5229507	1000101 · Operating Cash 10	
H5229507	03/18/15		MAILFINANCE	LEASE PAYMENT APR - JUN 2015	1018308 · Supplies & Materials 18	(630.18)
TOTAL						(630.18)
85498	04/08/15	RBF CONSULTING	RBF CONSULTING	INVOICE #902329	1000101 · Operating Cash 10	
902329	03/17/15	15045	RBF CONSULTING	MEASURE A GRANT 7	2000261 · Deferred Revenue 20	(825.86)
		15045	RBF CONSULTING	MEASURE A GRANT 7	2079275 · Public Work Grants	(825.86)
		15045	RBF CONSULTING	VIA REAL SIDEWALK	2779623 · Ped Facilities Improve...	(2,021.93)
TOTAL						(3,673.65)
85499	04/08/15	STAPLES ADVANTAGE	STAPLES ADVANT...	3260364457	1000101 · Operating Cash 10	
3260364457	03/18/15		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(90.71)

11:36 AM

04/20/15

CITY OF CARPINTERIA
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Num	Date	Name	Source Name	Memo	Account	Paid Amount
			STAPLES ADVANT...	CDD SUPPLIES	1041308 · Supplies & Materials 41	(34.75)
TOTAL						(125.46)
85500	04/08/15	STATEWIDE SAFETY & SIGNS INC	STATEWIDE SAFE...	03000502	1000101 · Operating Cash 10	
03000502	03/17/15		STATEWIDE SAFE...	PW YARD	2531308 · Supplies & Materials 31	(435.41)
TOTAL						(435.41)
85501	04/08/15	ZUMAR INDUSTRIES INC.	ZUMAR INDUSTRI...	INVOICE 0157728	1000101 · Operating Cash 10	
0157728	03/18/15		ZUMAR INDUSTRIE...	SIGNAGE	3332308 · Supplies & Materials 32	(203.69)
TOTAL						(203.69)
85502	04/08/15	JIM GALLY	JIM GALLY	PROJ 1727 REFUND	1000101 · Operating Cash 10	
REFUND P...	03/31/15		JIM GALLY	PROJ 1727 REFUND	1000251 · Development Deposits	(225.00)
TOTAL						(225.00)
85503	04/08/15	MAECHLER, MATT	MAECHLER, MATT	REIMBURSEMENT - CA/TRANS	1000101 · Operating Cash 10	
TRAVEL - C...	04/01/15		MAECHLER, MATT	REIMBURSEMENT - CA/TRANS	1030306 · Meetings & Travel 30	(251.78)
TOTAL						(251.78)
85504	04/08/15	THE STORAGE PLACE	THE STORAGE PL...	STORAGE APRIL	1000101 · Operating Cash 10	
STORAGE ...	04/02/15		THE STORAGE PL...	OFFSITE STORAGE RENTAL	1013221 · Miscellaneous Contract...	(315.00)
TOTAL						(315.00)
85505	04/15/15	BENEFIELD, JANE L	BENEFIELD, JANE L	PC APR - JUN 2015	1000101 · Operating Cash 10	
PC APR - J...	04/14/15		BENEFIELD, JANE L	PC APR - JUN 2015	1051160 · Advisory Board Stipends	(180.00)
TOTAL						(180.00)
85506	04/15/15	JOHNSON, RICHARD E	JOHNSON, RICHA...	ARB APR - JUN 2015	1000101 · Operating Cash 10	
ARB APR - ...	04/14/15		JOHNSON, RICHA...	ARB APR - JUN 2015	1051160 · Advisory Board Stipends	(150.00)
TOTAL						(150.00)
85507	04/15/15	MOYER, JOHN	MOYER, JOHN	PC APR - JUN 2015	1000101 · Operating Cash 10	
PC APR - J...	04/14/15		MOYER, JOHN	PC APR - JUN 2015	1051160 · Advisory Board Stipends	(180.00)
TOTAL						(180.00)
85508	04/15/15	76 FLEET SERVICES	76 FLEET SERVICES	INVOICE 40363787	1000101 · Operating Cash 10	
40363787	03/31/15		76 FLEET SERVICES	VEHICLE FUEL	1013307 · Vehicle Oper & Mainten...	(61.82)

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			76 FLEET SERVICES	VEHICLE FUEL	2531307 · Vehicle Oper & Mainten...	(65.34)
			76 FLEET SERVICES	VEHICLE FUEL	3332307 · Vehicle Oper & Mainten...	(99.82)
TOTAL						(226.98)
85509	04/15/15	A T & T MOBILITY	A T & T MOBILITY	ACCOUNT 337015224648	1000101 · Operating Cash 10	
3370152246...	03/23/15		A T & T MOBILITY	PW SUPERVISOR	2531301 · Telephone 31	(56.01)
			A T & T MOBILITY	PW CREW	1013308 · Supplies & Materials 13	(43.51)
			A T & T MOBILITY	PW CREW	3332308 · Supplies & Materials 32	(97.77)
			A T & T MOBILITY	PW CREW	2531308 · Supplies & Materials 31	(17.77)
TOTAL						(215.06)
85510	04/15/15	ACCESS CONTROL SECURITY, INC.	ACCESS CONTRO...	INVOICE 0021075	1000101 · Operating Cash 10	
0021075	04/06/15		ACCESS CONTRO...	VETS HALL SECURITY	4848352 · VETS HALL RENTALS	(263.68)
TOTAL						(263.68)
85511	04/15/15	ALL AROUND LANDSCAPE SUPPLY	ALL AROUND LAN...	INVOICE #S1788049.001	1000101 · Operating Cash 10	
S1788049.0...	03/19/15		ALL AROUND LAN...	PW SUPPLIES	3332308 · Supplies & Materials 32	(399.76)
TOTAL						(399.76)
85512	04/15/15	ALLEN, DAVID	ALLEN, DAVID	PC APR - JUN 2015	1000101 · Operating Cash 10	
PC APR - J...	04/14/15		ALLEN, DAVID	PC APR - JUN 2015	1051160 · Advisory Board Stipends	(180.00)
TOTAL						(180.00)
85513	04/15/15	ALPHA OMEGA FAMILY SERVICE S	ALPHA OMEGA FA...	DEPOSIT REFUND	1000101 · Operating Cash 10	
DEPOSIT R...	04/13/15		ALPHA OMEGA FA...	DEPOSIT REFUND	1000231 · Veterans Building Depo...	(300.00)
TOTAL						(300.00)
85514	04/15/15	CALIFORNIA STATE DISBURSEMENT UNIT	CALIFORNIA STAT...	CASE NUMBER 0850182383-01	1000101 · Operating Cash 10	
PAYROLL 0...	04/02/15		CALIFORNIA STAT...	PAYROLL WITHHOLDING 04-16-15	1000105 · ICMA Cash	(330.46)
TOTAL						(330.46)
85515	04/15/15	CALLENDER, JOHN	CALLENDER, JOHN	PC APR - JUN 2015	1000101 · Operating Cash 10	
PC APR - J...	04/14/15		CALLENDER, JOHN	PC APR - JUN 2015	1051160 · Advisory Board Stipends	(180.00)
TOTAL						(180.00)
85516	04/15/15	CAMPBELL, SHELLY	CAMPBELL, SHELLY	DEPOSIT REFUND	1000101 · Operating Cash 10	
DEPOSIT R...	04/06/15		CAMPBELL, SHELLY	DEPOSIT REFUND	1000231 · Veterans Building Depo...	(300.00)
TOTAL						(300.00)

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85517	04/15/15	CARPINTERIA VALLEY WATER DISTRICT	CARPINTERIA VAL...	MAR 2015 BILLING	1000101 · Operating Cash 10	
MAR 2015 ...	03/28/15		CARPINTERIA VAL...	CIVIC CENTER	1013312 · Utility - Water 13	(361.08)
			CARPINTERIA VAL...	PARKING	3332312 · Utility - Water 32	(1,122.39)
			CARPINTERIA VAL...	PARKS	2361312 · Utility - Water 23	(4,090.39)
			CARPINTERIA VAL...	POOL	4866312 · Utility - Water 66	(763.64)
			CARPINTERIA VAL...	VETS HALL	4865309 · Misc Operating Expens...	(141.64)
			CARPINTERIA VAL...	PROJ 4865 - VETS HALL	1000251 · Development Deposits	(287.56)
TOTAL						(6,766.70)
85518	04/15/15	CHAPPELL, DYLAN ARB	CHAPPELL, DYLA...	ARB APR - JUN 2015	1000101 · Operating Cash 10	
ARB APR - ...	04/14/15		CHAPPELL, DYLAN...	ARB APR - JUN 2015	1051160 · Advisory Board Stipends	(150.00)
TOTAL						(150.00)
85519	04/15/15	CIRGIS, INC.	CIRGIS, INC.	INVOICE #4211	1000101 · Operating Cash 10	
4211	04/06/15		CIRGIS, INC.	AERIAL IMAGERY	1041224 · Drafting/Mapping	(500.00)
TOTAL						(500.00)
85520	04/15/15	COAST AUTO PARTS, INC.	COAST AUTO PAR...	MARCH 2015 BILLING	1000101 · Operating Cash 10	
MAR 2015 ...	03/28/15		COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 · Vehicle Oper & Mainten...	(83.76)
			COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 · Vehicle Oper & Mainten...	(157.49)
			COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 · Vehicle Oper & Mainten...	(7.21)
			COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 · Vehicle Oper & Mainten...	(46.14)
			COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 · Vehicle Oper & Mainten...	(2.80)
TOTAL						(297.40)
85521	04/15/15	COASTAL VIEW NEWS	COASTAL VIEW N...		1000101 · Operating Cash 10	
44683	12/04/14		COASTAL VIEW NE...	SKATE PARK WKSHIP	1011303 · Printing & Advertising 11	(346.50)
45299	03/23/15		COASTAL VIEW NE...	PET ADS	1047303 · Printing & Advertising 47	(20.00)
TOTAL						(366.50)
85522	04/15/15	DATA TICKET INC	DATA TICKET INC	INVOICE 60517	1000101 · Operating Cash 10	
60517	03/25/15		DATA TICKET INC	CITATION PROCESSING	1022214 · Hearing Officer	(200.00)
TOTAL						(200.00)
85523	04/15/15	DRAKE HAGLAN & ASSOCIATES, INC.	DRAKE HAGLAN &...	INVOICE #12034-30	1000101 · Operating Cash 10	
12034-30	04/06/15	15016	DRAKE HAGLAN & ...	HBR GRANT	2000261 · Deferred Revenue 20	(9,774.10)
		15016	DRAKE HAGLAN & ...	HBR GRANT	2079614 · FHWA HBR 20	(9,774.11)
		15016	DRAKE HAGLAN & ...	DIF PROGRAM HIGHWAY BRIDGES & INTERC...	3100232 · DIF Highway Interchange	(1,266.35)
		15016	DRAKE HAGLAN & ...	DIF PROGRAM HIGHWAY BRIDGES & INTERC...	3179616 · FHWA HBR 31	(1,266.35)
TOTAL						(22,080.91)

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85524	04/15/15	EDD-SDI	EDD-SDI	776-5147-9	1000101 · Operating Cash 10	
PAYROLL 0...	04/02/15		EDD-SDI	PAYROLL 04-16-15	1000223 · SDI Withheld 10	(852.68)
TOTAL						(852.68)
85525	04/15/15	EDD-SIT	EDD-SIT	932-0283-6	1000101 · Operating Cash 10	
PAYROLL 0...	04/02/15		EDD-SIT	PAYROLL 04-16-15	1000221 · SIT Withheld 10	(3,553.81)
TOTAL						(3,553.81)
85526	04/15/15	ELLINWOOD, SCOTT	ELLINWOOD, SCOTT	ARB APR - JUN 2015	1000101 · Operating Cash 10	
ARB APR - ...	04/14/15		ELLINWOOD, SCOTT	ARB APR - JUN 2015	1051160 · Advisory Board Stipends	(150.00)
TOTAL						(150.00)
85527	04/15/15	FIRST BANKCARD	FIRST BANKCARD		1000101 · Operating Cash 10	
9739	03/25/15		FIRST BANKCARD	UNIFORM	1022169 · Uniform Allowance	(72.08)
9259	03/25/15		FIRST BANKCARD	HHW TRAINING	3332308 · Supplies & Materials 32	(22.55)
			FIRST BANKCARD	HHW TRAINING	3332308 · Supplies & Materials 32	(5.85)
			FIRST BANKCARD	ADA MATERIALS	1030308 · Supplies & Materials 30	(97.70)
			FIRST BANKCARD	PW SUPPLIES	2779622 · Thermoplast Storm Drai...	(132.61)
1644	03/27/15		FIRST BANKCARD	CARPINTERIA FIRST	1044302 · Marketing Materials	(143.75)
0570	03/27/15		FIRST BANKCARD	FORM 1099	1013308 · Supplies & Materials 13	(144.81)
			FIRST BANKCARD	HIGHWAY INTERCHANGES	3100232 · DIF Highway Interchange	(21.37)
			FIRST BANKCARD	HIGHWAY INTERCHANGES	3179616 · FHWA HBR 31	(21.37)
			FIRST BANKCARD	HIGHWAY INTERCHANGES	3100232 · DIF Highway Interchange	(19.08)
			FIRST BANKCARD	HIGHWAY INTERCHANGES	3179616 · FHWA HBR 31	(19.08)
			FIRST BANKCARD	ARBOR DAY	3332308 · Supplies & Materials 32	(148.93)
			FIRST BANKCARD	VESPA REPAIR	1013307 · Vehicle Oper & Mainten...	(352.15)
7882	03/25/15		FIRST BANKCARD	EMERGENCY SERVICES	1024308 · Supplies & Materials 24	(36.07)
			FIRST BANKCARD	EMERGENCY SERVICES	1024308 · Supplies & Materials 24	(114.13)
			FIRST BANKCARD	EMERGENCY SERVICES	1024308 · Supplies & Materials 24	(111.59)
			FIRST BANKCARD	EMERGENCY SERVICES	1024308 · Supplies & Materials 24	(20.74)
			FIRST BANKCARD	EMERGENCY SERVICES	1024308 · Supplies & Materials 24	(15.12)
			FIRST BANKCARD	EMERGENCY SERVICES	1024308 · Supplies & Materials 24	(19.47)
			FIRST BANKCARD	EMERGENCY SERVICES	1024308 · Supplies & Materials 24	(14.03)
			FIRST BANKCARD	EMERGENCY SERVICES	1045308 · Supplies & Materials 45	(26.58)
			FIRST BANKCARD	EMERGENCY SERVICES	1045308 · Supplies & Materials 45	(37.35)
			FIRST BANKCARD	EMERGENCY SERVICES	1024308 · Supplies & Materials 24	(1,323.04)
			FIRST BANKCARD	EMERGENCY PREPAREDNESS GRANT	2000261 · Deferred Revenue 20	(293.72)
TOTAL						(3,213.17)
85528	04/15/15	GAHAN, RAHCELLE	GAHAN, RAHCELLE	ARB APR - JUN 2015	1000101 · Operating Cash 10	
ARB APR - ...	04/14/15		GAHAN, RAHCELLE	ARB APR - JUN 2015	1051160 · Advisory Board Stipends	(150.00)
TOTAL						(150.00)
85529	04/15/15	GLASER, HILARY	GLASER, HILARY	DEPOSIT REFUND	1000101 · Operating Cash 10	
DEPOSIT R...	03/25/15		GLASER, HILARY	DEPOSIT REFUND	1000231 · Veterans Building Depo...	(300.00)

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			GLASER, HILARY	SECURITY DEPOSIT REFUND	4848352 · VETS HALL RENTALS	(10.00)
TOTAL						(310.00)
85530	04/15/15	GREEN, JAMIE	GREEN, JAMIE	APRIL 2015 FIRST FRIDAY	1000101 · Operating Cash 10	
APR 2015 F...	03/28/15		GREEN, JAMIE	APRIL 2015 FIRST FRIDAY	1044302 · Marketing Materials	(250.00)
TOTAL						(250.00)
85531	04/15/15	J & H ENGINEERING GEN CONT	J & H ENGINEERIN...	APPLICATION #5 - NINTH STREET BRIDGE	1000101 · Operating Cash 10	
APP #5	02/27/15	15018	J & H ENGINEERIN...	NINTH STREET PED BRIDGE	4179613 · Bikepath Maintenance	(4,017.60)
TOTAL						(4,017.60)
85532	04/15/15	LA FEVERS, GLENN D	LA FEVERS, GLEN...	PC APR - JUN 2015	1000101 · Operating Cash 10	
PC APR - J...	04/14/15		LA FEVERS, GLEN...	PC APR - JUN 2015	1051160 · Advisory Board Stipends	(180.00)
TOTAL						(180.00)
85533	04/15/15	LESLIE'S POOL SUPPLIES INC	LESLIE'S POOL SU...	INVOICE #3013-90447	1000101 · Operating Cash 10	
3013-90447	04/02/15		LESLIE'S POOL SU...	CITY POOL	4866308 · Supplies & Materials 66	(167.85)
TOTAL						(167.85)
85534	04/15/15	MISSION UNIFORM SERVICE	MISSION UNIFORM...	MARCH 2015 UNIFORM	1000101 · Operating Cash 10	
MAR 2015 ...	03/31/15		MISSION UNIFORM...	UNIFORM EXPENSE	2531198 · Uniform Rental 31	(100.90)
			MISSION UNIFORM...	UNIFORM EXPENSE	3332198 · Uniform Rental 32	(100.90)
			MISSION UNIFORM...	UNIFORM EXPENSE	1013198 · Uniform Rental 13	(50.45)
			MISSION UNIFORM...	UNIFORM EXPENSE	2361198 · Uniform Rental 61	(50.45)
TOTAL						(302.70)
85535	04/15/15	MNS ENGINEERS INC	MNS ENGINEERS I...	INVOICE 65323	1000101 · Operating Cash 10	
65323	03/30/15	15037	MNS ENGINEERS I...	ACTIVE TRANS PROJ	2734224 · Traffic Engineering Ret...	(2,140.00)
			MNS ENGINEERS I...	PROJ 5436	1000251 · Development Deposits	(375.00)
			MNS ENGINEERS I...	PROJ 1710	1000251 · Development Deposits	(500.00)
TOTAL						(3,015.00)
85536	04/15/15	PANTALEON, OCIE L	PANTALEON, OCIE L	DEPOSIT REFUND	1000101 · Operating Cash 10	
DEPOSIT R...	03/31/15		PANTALEON, OCIE L	VETS HALL DEPOSIT REFUND	1000231 · Veterans Building Depo...	(300.00)
TOTAL						(300.00)
85537	04/15/15	PETTY CASH	PETTY CASH	PETTY CASH	1000101 · Operating Cash 10	
PETTY CASH	04/13/15		PETTY CASH	PETTY CASH	1012306 · Meetings & Travel 12	(43.88)
			PETTY CASH	PETTY CASH	1013308 · Supplies & Materials 13	(31.23)
			PETTY CASH	PETTY CASH	1015308 · Supplies & Materials 15	(24.52)

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			PETTY CASH	PETTY CASH	1016308 · Supplies & Materials 16	(17.19)
			PETTY CASH	PETTY CASH	1016310 · Employee/Public Relati...	(38.86)
			PETTY CASH	PETTY CASH	1041306 · Meetings & Travel 41	(4.50)
			PETTY CASH	PETTY CASH	1051306 · Meetings & Travel 51	(10.50)
TOTAL						(170.68)
85538	04/15/15	PRAXAIR	PRAXAIR	INVOICE #52132185	1000101 · Operating Cash 10	
52132185	03/20/15		PRAXAIR	PW SUPPL,IES	2531308 · Supplies & Materials 31	(26.44)
TOTAL						(26.44)
85539	04/15/15	PRECISION CONCRETE CUTTING	PRECISION CONC...	INVOICE #CRP040815-14	1000101 · Operating Cash 10	
CRP040815...	04/08/15		PRECISION CONC...	SIDEWALK HAZARD REMOVAL	2779611 · Misc. Concrete Repairs ...	(16,364.54)
TOTAL						(16,364.54)
85540	04/15/15	PRUIT, JR	PRUIT, JR	PROJECT 1367 REFUND	1000101 · Operating Cash 10	
PROJ 1367 ...	04/10/15		PRUIT, JR	PROJ 1367 REFUND	1000251 · Development Deposits	(299.41)
TOTAL						(299.41)
85541	04/15/15	RADIOSHACK	RADIOSHACK	ACCT: 00000403062193	1000101 · Operating Cash 10	
MAR 2015 ...	03/31/15		RADIOSHACK	CITY HALL	1013224 · Interior Maintenance	(52.36)
			RADIOSHACK	POOL	4866308 · Supplies & Materials 66	(6.45)
TOTAL						(58.81)
85542	04/15/15	REGINATO, JIM	REGINATO, JIM	ARB APR - JUN 2015	1000101 · Operating Cash 10	
ARB APR - ...	04/14/15		REGINATO, JIM	ARB APR - JUN 2015	1051160 · Advisory Board Stipends	(150.00)
TOTAL						(150.00)
85543	04/15/15	SEUI LOCAL 620	SEUI LOCAL 620	PAYROLL 04-16-15	1000101 · Operating Cash 10	
PAYROLL 0...	04/02/15		SEUI LOCAL 620	PAYROLL 04-16-15	1000229 · SEIU Local 620	(172.31)
TOTAL						(172.31)
85544	04/15/15	SO CA EDISON	SO CA EDISON		1000101 · Operating Cash 10	
2-14-791-58...	03/31/15		SO CA EDISON	STREET LIGHTING	2923220 · Street Lighting	(104.75)
2-22-684-64...	03/31/15		SO CA EDISON	STREET LIGHTING	2923220 · Street Lighting	(29.72)
2-32-832-75...	04/01/15		SO CA EDISON	PROJ 4865	1000251 · Development Deposits	(711.32)
			SO CA EDISON	UTILITIES - VETS HALL	4865309 · Misc Operating Expens...	(350.34)
2-30-652-42...	04/01/15		SO CA EDISON	SEASIDE	4865309 · Misc Operating Expens...	(64.68)
2-26-504-72...	04/02/15		SO CA EDISON	STREET LIGHTING	2923210 · Traffic Signals	(26.93)
TOTAL						(1,287.74)
85545	04/15/15	SUMMERWIND AT THE BLUFFS	SUMMERWIND AT ...	PROJECT 1354 REFUND	1000101 · Operating Cash 10	

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PROJ 1354 ...	04/10/15		SUMMERWIND AT ...	PROJ 1354 REFUND	1000251 · Development Deposits	(910.52)
TOTAL						(910.52)
85546	04/15/15	T. ROWE PRICE	T. ROWE PRICE	PAYROLL CONTRIBUTION - ANTHONY VEGA	1000101 · Operating Cash 10	
PAYROLL 0...	04/02/15		T. ROWE PRICE	PAYROLL 04-16-15 - ANTHONY VEGA	1000105 · ICMA Cash	(50.00)
TOTAL						(50.00)
85547	04/15/15	THE ARK PET SHOP	THE ARK PET SHOP	INVOICE #30	1000101 · Operating Cash 10	
30	04/10/15		THE ARK PET SHOP	PET SUPPLIES	1047308 · Supplies & Materials	(84.34)
TOTAL						(84.34)
85548	04/15/15	TORO ENTERPRISES, INC.	TORO ENTERPRIS...	PROJECT 15068 RETENTION	1000101 · Operating Cash 10	
PROJ 1506...	04/15/15	15068	TORO ENTERPRIS...	PROJECT 15068 RETENTION	2779612 · Pavement Rehabilitation	(35,999.85)
TOTAL						(35,999.85)
85549	04/15/15	UNITED WAY OF SANTA BARBARA COUN...	UNITED WAY OF S...	PAYROLL 04-16-15	1000101 · Operating Cash 10	
PAYROLL 0...	04/02/15		UNITED WAY OF S...	PAYROLL 04-16-15	1000224 · United Way Payable	(24.00)
TOTAL						(24.00)
85550	04/15/15	VANTAGEPOINT TRANSFER AGENTS - 457	VANTAGEPOINT T...	PAYROLL 04-16-15	1000101 · Operating Cash 10	
PAYROLL 0...	04/02/15		VANTAGEPOINT T...	PAYROLL CONTRIBUTION 04-16-15	1000105 · ICMA Cash	(1,100.00)
TOTAL						(1,100.00)
85551	04/15/15	ALL AROUND LANDSCAPE SUPPLY	ALL AROUND LAN...	INVOICE #S1788871.001	1000101 · Operating Cash 10	
S1788871.0...	03/23/15		ALL AROUND LAN...	PW SUPPLIES	3332308 · Supplies & Materials 32	(4.49)
TOTAL						(4.49)
85552	04/15/15	LAUTZENHISER'S STATIONERY, INC.	LAUTZENHISER'S ...	INVOICE #11589	1000101 · Operating Cash 10	
11589	03/24/15		LAUTZENHISER'S ...	CITY CLERK SUPPLIES	1011308 · Supplies & Materials 11	(322.20)
TOTAL						(322.20)
85553	04/15/15	SANTA BARBARA COUNTY, SHERIFF'S D...	SANTA BARBARA ...		1000101 · Operating Cash 10	
15-210	03/20/15		SANTA BARBARA ...	CONTRACT SERVICES	1021214 · SB County Sheriff	(276,199.42)
			SANTA BARBARA ...	CONTRACT SERVICES	1000150 · COPS Grant Receivable	(8,333.33)
			SANTA BARBARA ...	CONTRACT SERVICES	1121214 · SB County Sheriff 11	(1,303.25)
15-220	03/23/15		SANTA BARBARA ...	SHERIFF OVERTIME	1021215 · Sheriff's Overtime	(158.92)
TOTAL						(285,994.92)

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85554	04/15/15	SO CA EDISON	SO CA EDISON	2-01-201-6465	1000101 · Operating Cash 10	
2-01-201-64...	04/08/15		SO CA EDISON	STREET LIGHTING	2923220 · Street Lighting	(7,550.42)
TOTAL						(7,550.42)
85555	04/15/15	STAPLES ADVANTAGE	STAPLES ADVANT...	VOID: 3260936676	1000101 · Operating Cash 10	
TOTAL						0.00
85556	04/15/15	VERIZON CALIFORNIA	VERIZON CALIFOR...	011711128612505709	1000101 · Operating Cash 10	
0117111286...	03/25/15		VERIZON CALIFOR...	OUTSIDE PD	1013301 · Telephone 13	(88.03)
TOTAL						(88.03)
85557	04/15/15	VJS BIOLOGICAL CONSULTING	VJS BIOLOGICAL ...	MARCH 2015 BILLING	1000101 · Operating Cash 10	
MARCH 201...	03/26/15		VJS BIOLOGICAL C...	PROJ 1407 MARCH 4	1000251 · Development Deposits	(127.50)
			VJS BIOLOGICAL C...	PROJ 1407 MARCH 25	1000251 · Development Deposits	(90.00)
			VJS BIOLOGICAL C...	BERM	2862221 · Beach Dune Maintenance	(382.50)
TOTAL						(600.00)
85558	04/15/15	WEST GROUP	WEST GROUP	565-139-500	1000101 · Operating Cash 10	
831546905	03/31/15		WEST GROUP	SUBSCRIPTION PRODUCT CHARGES	1051305 · Dues & Subscriptions 51	(132.68)
TOTAL						(132.68)
85559	04/15/15	CA STATE CONTROLLER	CA STATE CONTR...	INVOICE 44531	1000101 · Operating Cash 10	
44531	04/06/15		CA STATE CONTR...	FY 13/14 ANNUAL STREET REPORT	2514228 · Gas Tax Audit/Street R...	(788.05)
TOTAL						(788.05)
85560	04/15/15	COASTAL VIEW NEWS	COASTAL VIEW N...	45343	1000101 · Operating Cash 10	
45343	04/02/15		COASTAL VIEW NE...	NEWSLETTER APRIL 2	1045303 · Printing & Advertising 45	(2,682.00)
TOTAL						(2,682.00)
85561	04/15/15	FIDELITY SECURITY LIFE INSURANCE	FIDELITY SECURIT...	INVOICE #2747666	1000101 · Operating Cash 10	
2747666	04/01/15		FIDELITY SECURIT...	MARCH 2015 VISION	1016194 · Wellness 16	(17.56)
			FIDELITY SECURIT...	MARCH 2015 VISION	1041194 · Wellness 41	(8.78)
			FIDELITY SECURIT...	MARCH 2015 VISION	1030194 · Wellness 30	(8.78)
			FIDELITY SECURIT...	MARCH 2015 VISION	1030194 · Wellness 30	(25.81)
			FIDELITY SECURIT...	MARCH 2015 VISION	1022194 · Wellness 22	(17.56)
			FIDELITY SECURIT...	MARCH 2015 VISION	2531194 · Wellness 31	(25.81)
			FIDELITY SECURIT...	MARCH 2015 VISION	1069194 · Wellness 69	(8.78)
			FIDELITY SECURIT...	MARCH 2015 VISION	1051194 · Wellness 51	(16.68)
			FIDELITY SECURIT...	MARCH 2015 VISION	1011194 · Wellness 11	(8.78)
			FIDELITY SECURIT...	MARCH 2015 VISION	1069194 · Wellness 69	(25.81)

11:36 AM
04/20/15

CITY OF CARPINTERIA
Warrant Register
April 2 - 20, 2015

Num	Date	Name	Source Name	Memo	Account	Paid Amount
			FIDELITY SECURIT...	MARCH 2015 VISION	1044194 · Wellness 44	(16.68)
			FIDELITY SECURIT...	MARCH 2015 VISION	2531194 · Wellness 31	(8.78)
TOTAL						(189.81)
85562	04/15/15	SATCOM GLOBAL FZE	SATCOM GLOBAL ...	INVOICE AS04151150	1000101 · Operating Cash 10	
AS04151150	04/01/15		SATCOM GLOBAL ...	IRIDIUM TRADE-UP	1024215 · Disaster Preparedness	(42.75)
TOTAL						(42.75)
85563	04/15/15	SOUTHERN CALIFORNIA SWIMMING	SOUTHERN CALIF...	BUENA APRIL MEET	1000101 · Operating Cash 10	
BUENA AP...	04/17/15		SOUTHERN CALIF...	BUENA APRIL MEET	4868308 · Supplies & Materials 68	(68.00)
TOTAL						(68.00)
85564	04/15/15	SO CA GAS COMPANY	SO CA GAS COMP...		1000101 · Operating Cash 10	
016-913-95...	04/07/15		SO CA GAS COMP...	CIVIC CENTER	1013314 · Utility - Natural Gas	(226.65)
00651427007	04/08/15		SO CA GAS COMP...	POOL	4866314 · Utility - Natural Gas 66	(970.18)
01491432397	04/08/15		SO CA GAS COMP...	SEASIDE	4865309 · Misc Operating Expens...	(40.99)
14091430844	04/08/15		SO CA GAS COMP...	PROJ 4865	1000251 · Development Deposits	(56.73)
			SO CA GAS COMP...	VETS HALL	4865309 · Misc Operating Expens...	(27.94)
TOTAL						(1,322.49)
85565	04/16/15	SEUI LOCAL 620	SEUI LOCAL 620	PAYROLL 08-08-13 UNDERPAYMENT	1000101 · Operating Cash 10	
PAYROLL 0...	04/16/15		SEUI LOCAL 620	PAYROLL 08-08-13 UNDERPAYMENT STOCKT...	1000229 · SEIU Local 620	(15.56)
TOTAL						(15.56)
85566	04/16/15	BARRETT, BRIAN	BARRETT, BRIAN	TRAVEL PER DIEM	1000101 · Operating Cash 10	
TRAVEL PE...	04/16/15		BARRETT, BRIAN	TRAVEL PER DIEM	1030306 · Meetings & Travel 30	(260.00)
TOTAL						(260.00)
85567	04/16/15	GOLD COAST WATER POLO & AQUATICS	GOLD COAST WAT...	2015 LEAGUE FEES	1000101 · Operating Cash 10	
2015 LEAG...	04/16/15		GOLD COAST WAT...	2015 LEAGUE FEES	4868308 · Supplies & Materials 68	(675.00)
TOTAL						(675.00)

TOTAL \$487,992.84

**City of Carpinteria
City Council Minutes
Regular Meeting
Council Chambers
Monday, April 13, 2015**

CALL TO ORDER

Mayor Carty called the meeting to order at 4:00 p.m.

ROLL CALL

Councilmember Al Clark, Councilmember Wade Nomura, Councilmember J. Bradley Stein, Vice Mayor Fred Shaw, and Mayor Gregg Carty were present. City Manager Dave Durlinger; Peter Brown, acting as City Attorney, Jena Shoaf and Jonathan Sandler, acting as Deputy City Attorneys, for the City of Carpinteria on behalf of Brownstein Hyatt Farber Schreck, LLP, and Community Development Director Jackie Campbell were also present.

PUBLIC COMMENT ON CLOSED SESSION MATTERS: None.

CLOSED SESSION

Mr. Brown announced the City Council would meet in Closed Session to discuss:

1. Conference with Legal Counsel—Anticipated Litigation, Initiation of litigation pursuant to paragraph (4) of subdivision (d) of Section 54956.9: One case.

TEMPORARY ADJOURNMENT TO CLOSED SESSION

Mayor Carty announced the City Council would temporarily adjourn to Closed Session at 4:03 p.m.

CALL BACK TO ORDER

The meeting was reconvened and called to order by Mayor Carty at 5:30 p.m.

ROLL CALL

Councilmembers present:

Councilmember Al Clark
Councilmember Wade Nomura
Councilmember J. Bradley Stein

Vice Mayor Fred Shaw
Mayor Gregg Carty

Staff members present:

Dave Durflinger, City Manager
Peter Brown, Brownstein Hyatt Farber Schreck
Fidela Garcia, City Clerk
Jackie Campbell, Director of Community Development
Charlie W. Ebeling, Public Works Director
Matt Roberts, Parks and Recreation Director
Shanna R. Farley-Judkins, Assistant Planner

CLOSED SESSION REPORT

Mr. Brown noted that the City Council met in Closed Session to discuss:

Conference with Legal Counsel—Anticipated Litigation

Initiation of litigation pursuant to paragraph (4) of subdivision (d) of Government Code Section 54956.9: One case.

He announced the City Council on a 5-0 vote gave direction that litigation be initiated and that the action, the defendants, and other particulars shall, once formally commenced, be disclosed to any person upon inquiry, unless to do so would jeopardize the City's ability to effectuate service of process on one or more unserved parties or that to do so would jeopardize its ability to conclude existing settlement negotiations to its advantage.

PLEDGE OF ALLEGIANCE

All present were led in the salute to the flag by Mayor Carty.

INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS

2. Presentation of the City's spring 2015 Outstanding Community Partner award to Carpinteria Beautiful.

Mayor Carty read and presented the City's spring 2015 Outstanding Community Partner award to Carpinteria Beautiful representative Foster Markolf.

Foster Markolf thanked the City for its recognition. He stated Carpinteria Beautiful has been in existence for around 22 years and is dedicated to fulfilling its objective, which is to do what it can to keep the City clean, green and beautiful.

PUBLIC INFORMATION REPORTS AND ANNOUNCEMENTS

Lynda Lang representing the Carpinteria Valley Chamber of Commerce invited the public to the following events: Free Flower Farm Tours on Saturday, April 18, 11am - 4 pm; Relay for Life Mixer hosted by Jack's Bistro, April 22, 5:30pm; and State of the Community, Tuesday, April 28, at 7:30am, Rincon Beach Club; Carpinteria First Friday, May 1, 5-8pm. She also noted her retirement from the Chamber effective April 30th and extended an invitation to all to her retirement party to be held at the Arts Council, April 25th from 3-5pm. She stated her delight and pleasure in working with the Council and that she looked forward to continuing those established relationships.

Councilmember Nomura revealed the 50th Golden Jubilee street banner to be displayed along Carpinteria Street and Linden Avenue (Downtown T), which the Anniversary Committee has been working on. He mentioned 86 sponsorships were available for \$160 each and that banner sponsorship applications are available at City Hall. Those sponsoring banners will get to keep them after the display period.

Councilmember Carty mentioned he received a call from the Lou Grant Parent Child Workshop letting him know that they had a crown for him. He explained that when anyone has a birthday at Lou Grant, they make a crown and crown that person. Being that it is the City's 50th birthday, he was sat in a chair, crowned and sung Happy Birthday City of Carpinteria by a group of very bright, happy and engaged kids. He expressed the warmth received by the gesture and that it meant a lot to him.

Councilmember Nomura reported attending last week a special recognition given by the State's Superintendent of Public Education, Tom Torlakson, to Canalino School Teacher Brandon Sportel. The Lyle Milkan award presented to Mr. Sportel recognizes excellence in education and offered him \$25,000.

CITY MANAGER'S REPORT

City Manager Dave Durflinger reported the City held a very successful Household Goods Hazardous Waste Collection Day, this past Saturday. He thanked the Public Works team, E.J. Harrisons and Sons and the Community Volunteers.

PRESENTATIONS BY CITIZENS/PUBLIC COMMENT

Donnie Nair, Chairman of the Carpinteria Beautiful Home and Garden Tour stated that this year marks its 18th year. She thanked the five homeowners that are participating this year. She noted the tour is held the last Saturday in April from 11am-5pm and is self-guided. She mentioned it is their major fundraiser of the year and the proceeds are used for beautification projects around Carpinteria such as the Grinding Stone Fountain in front of the used book store, the world's safest beach mural and other murals; and the polished ping pong table at the beach. Tickets are available at several outlets around town. She encouraged everyone to support the event.

AGENDA MODIFICATIONS:

City Clerk Fidela Garcia responded to Mayor Carty's inquiry regarding the memorandums received by Council indicating a change to two items on the agenda by stating that minor changes were made by the City Attorney's office to the ordinances relating to agenda item 8 and 9. Mr. Brown confirmed the changes were minor in nature and stated they could be considered at the time each item is taken up and that when action on each item is taken that it be taken on the revised ordinances.

CONSENT CALENDAR

Motion by Councilmember Stein, seconded by Vice Mayor Shaw, to accept and approve the Consent Calendar.

Upon voice vote, Councilmember Clark, Councilmember Nomura, Vice Mayor Shaw, and Mayor Carty were in favor. Councilmember Stein abstained from item 4. Motion carried.

3. Expenditures for the period beginning March 12, 2015 and ending April 1, 2015.
4. Minutes of the regular meeting held March 23, 2015.

ADMINISTRATIVE MATTERS: None.

PUBLIC HEARINGS: None.

OTHER BUSINESS:

5. Consider Sending a Letter Requesting that the San Luis Obispo County Planning Commission Deny the Proposed Phillips 66 Santa Maria Refinery Rail Spur Extension Project

Recommendation: Approve and authorize the Mayor to sign on behalf of the City Council the proposed letter to the San Luis Obispo County Planning Commission.

Councilmember Stein recused himself from this item, stating that he is employed by the railroad company. Councilmember Stein stepped down from the dais and exited the Council Chamber at 5:49 p.m.

Dave Durflinger, City Manager, presented the staff report.

Ian Levit, Carpinteria resident, noted several facts regarding the consumption, production and transportation of oil by road, rail and sea. He stated that California consumes almost three times more oil than it produces and it currently imports almost 1 million barrels or the equivalent of 1,400 rail cars of oil each day. He noted the Governor's statement that California should aim to produce 50 percent of its energy

from renewables by the year 2030 relates to electricity and not total energy. He further said he feels that it may or may not be achievable to produce 50 percent of California's electricity from alternative energy sources within 15 years. He mentioned that energy experts predict that in the year 2030, 90 percent of all transportation will still be powered by oil products and those planes, trains, trucks, ships and cars will still need oil for the foreseeable future. He offered four options to get oil for our transportation needs: 1) oil can be produced locally, 2) import oil by ship from foreign nations, 3) bring oil in by rail, and 4) by rationing. He concluded by asking the council to consider which option most Carpinteria's would prefer.

Mayor Carty received a public comment slip from Terence Ford requesting to make on a general public comment not related to the matter under discussion. Seeing no objection from the Council, Mayor Carty indicated the council would hear his comment at the conclusion of this particular item.

Motion by Councilmember Clark, seconded by Councilmember Nomura to approve sending the proposed letter.

Upon voice vote, Councilmember Clark, Councilmember Nomura, Vice Mayor Shaw, and Mayor Carty were in favor. Councilmember Stein was absent. Motion carried.

Councilmember Brad Stein returned to the Council Chamber and resumed his seat at the dais at 6:07 p.m.

Mayor Carty reopened the Public Comment portion of the meeting and invited Mr. Terence Ford to speak. Mr. Ford thanked the Council for allowing him the opportunity to speak. He stated that he is almost deaf and that it would be helpful to those who are hearing impaired to be able to hear what goes on in the meetings. He reminded staff and Councilmembers that when they speak away from the microphone it becomes very hard for those with a hearing impairment to hear them and asked that they speak directly into the microphones. He acknowledged the City Clerk's information regarding the assisted hearing system in place to help him with his hearing; and stated that, in the past, those systems when he has used them have not worked for him.

Mayor Carty asked City Manager David Durflinger to explain the assisted hearing system in the Council Chamber. City Manager David Durflinger responded by stating that there are a few systems in place and available for use at City Hall. The Hearing Loop system is wired into the Council Chamber so people with the type of hearing aid that can tune into the system can automatically receive an enhanced signal that's tailored for their hearing aid; the other, a physical unit with a head set that can be used by those who don't have that particular kind of hearing aid that can be used with the Hearing Loop and additionally, the PA system, if used properly can help.

6. 2014 General Plan Annual Progress Report

Recommendation: Accept the Report and direct the Community Development Director to submit it to the Governor's Office of Planning and Research.

Shanna Farely-Judkins, Assistant Planner presented a staff report and PowerPoint presentation.

No public comment was heard.

Motion by Councilmember Stein, seconded by Councilmember Nomura, to accept the 2014 General Plan Annual Progress Report and direct the Community Director to submit the report to the Governor's Office of Planning and Research.

Upon voice vote, motion carried unanimously.

7. Authorization to Seek Public Bids for the Renovation of Portions of Carpinteria City Hall and Grounds

Recommendation: Authorize the Parks and Recreation Director to seek public bids from qualified contractors to complete renovation of portions of Carpinteria City Hall and grounds pursuant to Public Contract Code §20164.

Matt Roberts, Director of Parks and Recreation presented the staff report and PowerPoint presentation.

No public comment was heard.

Motion by Vice Mayor Shaw, seconded by Councilmember Stein, to authorize the Parks and Recreation Director to seek public bids from qualified contractors to complete renovation of portions of Carpinteria City Hall and grounds pursuant to Public Contract Code §20164.

Upon voice vote, motion carried unanimously.

8. Consider Adoption of an Ordinance Amending Section 12.04.070 of the Carpinteria Municipal Code Relating to the City Engineer's Issuance of Annual Permits for Work in the Public Street Rights of Way

Recommendation: a) Approve the first reading of Ordinance No. 700, as read by title only, amending section 12.04070 of the Carpinteria Municipal Code to increase the value of work allowed under annual permits to twenty-five thousand dollars; and b) Continue for second reading to the City Council meeting of April 27, 2015.

Charlie Ebeling, Director of Public Works presented the Staff Report.

No public comment was heard.

Motion by Councilmember Stein, seconded by Councilmember Clark, to approve the first reading of the ordinance 701 with the corrections made by the City Attorney and to continue the matter for second reading to April 27, 2015.

Upon voice vote, motion carried unanimously.

9. Consider Adoption of an Ordinance, Amending Chapter 2.17 of the Carpinteria Municipal Code Relating to Performance Guarantee Bonds for Development Projects

Recommendation: a) Approve the first reading of Ordinance No. 701, as read by title only, amending sections 2.17.040 and 2.17.110 of the Carpinteria Municipal Code to adjust the bond amounts for small developments and authorize the City Manager to release certain bonds; and b) Continue for second reading to the City Council meeting of April 27, 2015.

Charlie Ebeling, Director of Public Works presented the Staff Report.

No public comment was heard.

Motion by Councilmember Stein, seconded by Councilmember Nomura, to approve the first reading of Ordinance No. 701, as amended, as read by title only and to continue the matter for second reading to April 27, 2015.

Upon voice vote, motion carried unanimously.

COMMITTEE REPORTS, INQUIRIES AND OTHER MATTERS PRESENTED BY COUNCILMEMBERS

Vice Mayor Shaw reported that he and Councilmember Nomura attended a Santa Barbara Housing Conference where they discussed employer and employee surveys on the housing and job disconnect in Santa Barbara County, new housing trends for younger people, urban infill, employer sponsored housing, senior housing needs, environmental impacts and noted Director of Community Development Jackie Campbell was a featured speaker on Workforce Housing for Carpinteria. Councilmember Nomura noted that he found interesting was the cost of housing vs. cost of living and the imbalance of that specific. He stated statistics show that 49% of the work force cannot afford to purchase a house but 30% cannot afford the cost of rent so because of that the City will need to look at illegal units vs conforming them for safety purposes and how that will impact neighborhoods and communities in the future.

Mayor Carty reported he attended a BEACON meeting and those items for discussion and/or approval included fiscal year budget 2015-16, new website design, sediment

management, debris basins, Kelp Anchor Demonstration Project, Rincon Parkway Nourishment Project and single-use plastic bag issues.

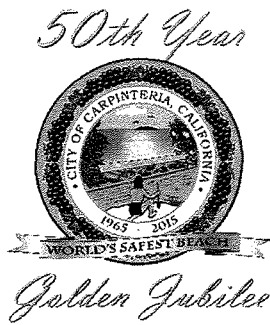
ADJOURNMENT

The meeting was adjourned at 7:00 p.m. by Mayor Carty.

Gregg A. Carty, Mayor

ATTEST:

Fidela Garcia, CMC, City Clerk



City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Destruction of Certain Obsolete Records in the Human Resources Office.

STAFF RECOMMENDATION

Action Item X; Non-Action Item ____

Adopt Resolution No. 5603, as read by title only, authorizing the destruction of certain obsolete records in the Human Resources Office as specified in Exhibit A.

Motion: I move to approve Resolution No. 5603, as read by title only, authorizing the destruction of certain obsolete records in the Human Resources Office as specified in Exhibit A.

BACKGROUND

In a continuing effort to purge files that are no longer needed and in accordance with the City's policy and procedure for the retention and disposition of records and retention schedule, the Human Resources Office wishes to destroy certain obsolete records from the period 1979 through 2013.

DISCUSSION

The records proposed for destruction exceed retention levels required by law and by City Council Resolution No. 4873 adopted April 12, 2004, concerning Rules and Regulations Governing the Policy and Procedure for the Retention and Disposition of Records for the City.

FINANCIAL CONSIDERATIONS

Retention of records beyond the historical and/or legal retention requirements will result in additional storage costs.

LEGAL

The City Attorney has reviewed and approved the list of documents to be destroyed contained in Exhibit A, attached to the resolution.

OPTIONS

Continue to retain the records indefinitely.

PRINCIPAL PARTIES EXPECTED AT MEETING

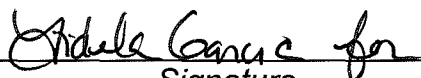
None

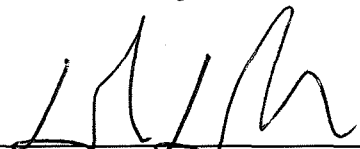
ATTACHMENTS

Resolution No. 5603
Exhibit A

Staff contact: Arlene Balmadrid, HR/Risk Manager
(805) 684-5405, arleneb@ci.carpinteria.ca.us

Reviewed by: Dave Durflinger, City Manager


Signature


Signature

RESOLUTION NO. 5603

**A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
AUTHORIZING DESTRUCTION OF CERTAIN RECORDS
IN THE HUMAN RESOURCES OFFICE**

WHEREAS, California Government Code Section 34090 permits the destruction of certain records subject to certain conditions; and

WHEREAS, the Human Resources Administrator and Risk Manager has recommended that certain records maintained in the Office of the Human Resources Administrator and Risk Manager be destroyed and the City Manager has approved said recommendation; and

WHEREAS, said records have now been retained for periods of time that meet or exceed levels required by law and by City Council Resolution No. 4873 adopted April 12, 2004, concerning Rules and Regulations Governing the Policy and Procedure for the Retention and Disposition of Records for the City; and

WHEREAS, the City Attorney has issued his consent to said recommendation and approval; and

WHEREAS, a list of records to be destroyed is attached hereto as Exhibit "A" consisting of three pages.

NOW, THEREFORE, THE CARPINTERIA CITY COUNCIL HEREBY RESOLVES that those records identified in Exhibit "A" attached hereto are approved for destruction as recommended.

PASSED, APPROVED AND ADOPTED this 27th day of April, 2015 by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

ABSTAIN: COUNCILMEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held this 27th day of April 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

City Attorney

Exhibit "A" to Resolution No. 5603

**CITY OF CARPINTERIA
REQUEST TO DESTROY OBSOLETE RECORDS**

The City Manager/Human Resources Department requests authority to destroy obsolete records as detailed below.

Note: Unless otherwise noted all records are located at the Human Resources Office.

Title or Subject Matter of Records	No. of Records	Originals/Copies	Date(s) Covered
Alliant Crime Insurance Program Renewal Proposal	2 files	Originals	2003 & 2009
Boys Scout Volunteer Program	1 file	Originals	2006
CalPERS Circulars	2 files	Originals	2011 - 2013
Carl Warren & Company Claims Procedures	1 file	Copies	2006
Carpinteria Chamber of Commerce Awards Banquet	1 file	Originals	2001
Carpinteria Unified School District - Use of School Facilities	2 files	copies	1993 - 1994
Chubb Group of Insurance Companies	1 file	Copies	2001
City of Carpinteria Call in Show	1 file	Copies	1993-1995
CJPIA B-laws	1 file	Copies	1979 & 1981
CJPIA Claims Register Summary	1 file	Copies	2009
CJPIA Contract Indemnification Review Procedures	1 file	Copies	1995
CJPIA Earthquake and Flood Insurance Invoice	1 file	Copies	2001-2003
CJPIA Financial Statements	1 file	Copies	1990-91
CJPIA Insurance application/updates	1 file	Copies	1991
CJPIA Insurance application/updates	1 file	Copies	2003-04
CJPIA Liability Coverage Invoices	1 file	Originals/Copies	1995-2007
CJPIA Property Insurance Financial Statement	2 files	Originals and Copies	1994 & 2002
CJPIA Property Loss Control Survey	2 files	Originals & Copies	2003 & 2005
CJPIA Property Surveys	1 file	Copies	2008
CJPIA Retro Deposit	1 file	Copies	2005
CJPIA Risk Management Operational Survey	4 files	Copies	1995-1999
CJPIA Underwriting Insurance Application	3 files	Copies	2007-2010
Claims Register Summary	1 file	Copies	2008

Community Service Applicants	1 file	Originals	2007
Community Service Hours/Credits	25 files	Originals	2006-2010
Concession Agreement Between The Optimist Foundation of Carpinteria and the City of Carpinteria (Old Roller Hockey Ring)	1 file	Copies	1996
Departmental Emergency Procedures	1 file	Copies	2006
E-mails - Update on Evacuee Planning in CA	1 file	Copies	2005
Employee Assistance Program	10 files	originals	1999-2007
Employment Applications & evaluation rating	12 files	Originals	2005 -2009
Field Operations Guide -Incident Command System Publication	1 file	Copies	1994
Fire Safety Inspection	1 file	Copies	1993
GATV Emergency Broadcasting Instructions	1 file	copies	1998
Injury/Illness Prevention Program Memos/City Safety Inspection	1	Copies	1991
Maintenance Worker Eligibility List	1 file	Originals	2008
New Horizon Computer Training Order Agreement	1 file	Originals	2007
Notary Bond - Errors and Omissions	2 files	Originals/Copies	2001 & 2005
Open Enrollment Information	3 files	Originals/Copies	2004-2007
Parks & Rec Maintenance Tech test	1 file	Originals	2007
Private Industry Job Training	1 file	Originals	1998
Public Works Director's Interview Comments/Applications	1 file	Originals	2008
Public Works Supervisor - Employment Test	1 file	Originals	2007
Risk Management Evaluation	1 file	Originals	2005
Robert F. Driver Associates - Commercial Crime Program	5 files	Originals	1997, 1998, 2000, 2001 & 2002
Roller Hockey Skating Program	1 file	Copies	2006
Safety Meeting Procedures	1 file	Originals	1992
SEMS Emergency Training	5 files	Copies	1991-1995
Southern CA Risk Management Associates	1 file	copies	2001
Special Ed Vocational Program	1 file	Originals	2004
Teen Court Program	1 file	Copies	2003
Worker's Compensation Program	1 file	Copies	2009
Worker's Compensation Savings Summary	1 file	Originals	2002-2003

I hereby certify that:

- The record(s) are under the management or control of the Department head.
- To the best of his/her knowledge, the descriptive titles, information, and classifications contained in the request form are true and accurate.

- The minimum retention time periods required by City Resolution No. 4873 Retention Schedule) have been satisfied for all records included in the request form.
- Retention of the record is no longer required for operation of the department, or to satisfy a city council policy adopted by resolution, or a city Council request, or by the city for administrative, historical, research, fiscal, legal, or cultural purposes.
- The destruction or other disposition of the record will not violate state law, these Rules, or other applicable law.
- Destruction of the record(s) is hereby recommended.

Date: 04/27/2015

Job Title: Human Resources Administrator

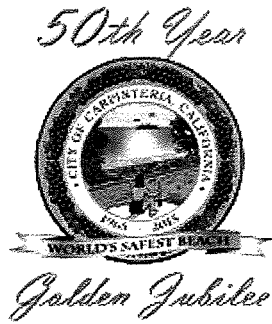
Signature of Department Head or
authorized Designee, requesting destruction
of records

Approved for Destruction:

City Attorney

Date: _____

Approved for destruction at City Council meeting held _____.



City of Carpinteria

COUNCIL AGENDA STAFF REPORT APRIL 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Quarterly Review of Investment Results and Certifications Concerning Compliance with Investment Policy and Cash Flow Needs for the next six months

STAFF RECOMMENDATION

Action Item ☐ Non-Action Item ☒

Receive and file the report

Motion: **None required**

BACKGROUND / DISCUSSION

California Government Code Section 53600 states that "all governing bodies of local agencies or persons authorized to make investment decisions on behalf of those local agencies are trustees and therefore fiduciaries subject to the "prudent investor standard".

The prudent investor standard states that "a trustee shall act with the care, skill, prudence and diligence that a prudent person acting in a like capacity would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the agency. When investing public funds, the primary objective of a trustee shall be to safeguard the principal of the funds under its control. The secondary objective shall be to meet the liquidity needs of the depositor. The third objective shall be to achieve a return on the funds under its control."

California Government Code Section 53646 mandates that cities annually adopt a written investment policy and that quarterly reports be prepared concerning the City's financial holdings, a statement of compliance with the policy and a statement concerning the City's ability to meet its cash flow needs in the ensuing six months.

This report is no longer mandatory but is provided each quarter because it is informative for the Council and the public, and because the cost of preparation is minimal. However, because the City chooses to prepare the report, copies of the second and fourth calendar quarter reports must be submitted to the California Debt and Investment Advisory Commission within 60 days after the close of the second and fourth calendar quarters.

POLICY CONSISTENCY

All portfolio investment transactions for the quarter ended March 31, 2015, have been made in full compliance with the Statement of Investment Policy adopted October 27, 2014.

As a part of the City's 2004 work program, the Council directed staff to investigate alternative investment practices that could yield better returns while maintaining security. The first step in this process was the change in the investment policy. On December 27, 2004 the City Council, by adoption of Resolution 4898, modified the City's investment policy, expanding the list of 'Authorized Investments'. Now, in addition to investment in the Local Agency Investment Fund and other FDIC insured accounts, the City can invest in 'Other investments authorized and governed by California Government Code 53601.

On November 22, 2004 the City Council adopted Resolution No. 4910 authorizing investments in the Treasury Direct program whereby Treasury Securities would be purchased directly from the United States Treasury.

FINANCIAL CONSIDERATIONS

Cash Statement: At March 31, 2015, the City held cash investments as shown in the table below.

Table I

	Bank Balance at 03/31/15	Quarterly Earnings	Avg. Annualized Return
Treasury Direct/Schwab	\$12,478,054.42	\$33,621.74	1.09%
LAIF	20,663.59	13.25	0.26%
MB&T Checking	320,857.64	0.00	0.00%
MB&T Money Market	890,762.30	700.68	0.20%
Total	\$13,710,337.95	\$34,335.67	0.96%

Investments:

Changes in the market value of Treasury securities are inversely proportional to changes in interest rates demanded in the open market, i.e. when rates rise market values fall, when rates fall market values rise. The sensitivity of a portfolio's market value to these changes in market rates is a function of its days to maturity and its coupon rate. Securities with longer maturities and/or lower coupon rates are more sensitive to changes in market interest rates. As a security matures, changes in its value become less sensitive to market rate changes.

At March 31 the portfolio's average days to maturity was 831 days or 2.28 years, the average coupon rate was 1.04%, the average yield to maturity was 1.13% and the par value was \$12,502,100. The portfolio had a net negative cash flow of (\$111,489.30) during the quarter.

Staff estimates the market value of the portfolio at \$12,659,567. This represents a net unrealized gain of \$181,513 or 1.45% of the net investment of \$12,478,054. In other words, if the City were to sell all of its holdings, the proceeds from the sale would be approximately this much more than what was paid for them. The City does not intend to sell nor does it have any foreseeable need to sell any securities. The intention of the City's investment policy is to hold all securities to maturity; and securities so held are redeemed at par value resulting in no net market gain or loss.

Attached for your review are statements from Treasury Direct, Charles Schwab, LAIF, and Montecito Bank & Trust as of March 31, 2015. Also attached is a recap of investment activity during the quarter, a subsidiary ledger listing showing the various yields and maturities of securities in the Treasury Direct/Charles Schwab portfolio, a Treasury Note portfolio segment analysis and a market value estimate calculation.

The City of Carpinteria will meet all expenditure obligations that might reasonably be anticipated for the six-month period ending September 30, 2015.

LEGAL

This report has been prepared in compliance with California Government Code Sections 53600 and 53646.

PRINCIPAL PARTIES EXPECTED AT MEETING

Unknown

ATTACHMENTS

- A. Treasury Direct Statement
- B. Charles Schwab Statement
- C. LAIF Statement
- D. Montecito Bank & Trust Bank Statement
- E. Investment Activity Recap
- F. Treasury Direct/Charles Schwab Subsidiary Ledger Listing
- G. Treasury Direct/Charles Schwab Portfolio Segment Analysis
- H. Market Value Estimate Calculation

Staff contact John Thornberry

Phone: 805-684-5405 x 448

Email: JohnT@ci.carpinteria.ca.us



Signature

Reviewed by: Dave Durflinger, City Manager



Signature

Attachment A
Treasury Direct Statement

000675

Legacy Treasury Direct®
Treasury Retail Securities
PO Box 9150
Minneapolis, MN 55480-9150

STATEMENT OF ACCOUNT

Page 1 of 3

CITY OF CARPINTERIA CA
5775 CARPINTERIA AVENUE
CARPINTERIA, CA 93013

RECEIVED

APR 09 2015

CITY OF CARPINTERIA

Servicing Site

TREASURY RETAIL SECURITIES
PO BOX 9150
MINNEAPOLIS, MN 55480-9150

www.treasurydirect.gov
1-800-722-2678

Telephone Number:

Legacy Treasury Direct Account Number: **4800-770-3487**

Total Par as of 04/03/2015

\$1,465,100.00 ✓**ACCOUNT HOLDINGS**

SECURITY							NEXT PAYMENT	
CUSIP	Description	Par Amount	Issue Date	Maturity Date	Purchase Price/\$100 of Par	Yield/Rate (%)	Date	Type Amount
912828MZ0	2 1/2 NOTE L 15	95,800	04/30/10	04/30/15	99.813	2.5400	04/30/15	Maturity 95,800.00
912828NF3	2 1/8 NOTE M 15	107,100	06/01/10	05/31/15	99.976	2.1300	05/31/15	Maturity 107,100.00
912828NL0	1 7/8 NOTE N 15	107,100	06/30/10	06/30/15	99.432	1.9950	06/30/15	Maturity 107,100.00
912828NP1	1 3/4 NOTE P 15	99,000	08/02/10	07/31/15	99.781	1.7960	07/31/15	Maturity 99,000.00
912828NV8	1 1/4 NOTE Q 15	105,000	08/31/10	08/31/15	99.403	1.3740	08/31/15	Maturity 105,000.00
912828NZ9	1 1/4 NOTE R 15	179,800	09/30/10	09/30/15	99.952	1.2600	09/30/15	Maturity 179,800.00
912828PE4	1 1/4 NOTE S 15	143,500	11/01/10	10/31/15	99.614	1.3300	04/30/15	Interest 896.88
912828PJ3	1 3/8 NOTE T 15	140,500	11/30/10	11/30/15	99.827	1.4110	05/31/15	Interest 965.94

IF YOU HAVE QUESTIONS CONCERNING THIS STATEMENT, PLEASE CONTACT YOUR TREASURY RETAIL SECURITIES SITE AND PROVIDE YOUR ACCOUNT NUMBER.



Attachment B

Charles Schwab Statement



Schwab One® Account

Account Statement
 Retain for Your Records

Account Number: 8975-8685

Statement Period: March 1, 2015 to March 31, 2015
 Page 1 of 9

Cut paper clutter.

Last Statement: February 28, 2015

*Switch to eStatements at schwab.com/paperless.
 Questions? Call 1-800-435-4000*

Account Of

CITY OF CARPINTERIA
 5775 CARPINTERIA AVE
 CARPINTERIA CA 93013-2603

CITY OF CARPINTERIA
 5775 CARPINTERIA AVE
 CARPINTERIA CA 93013-2603

Account Value Summary

Cash & Sweep Money Market Funds	\$ 12,517.79
Total Investments Long	\$ 10,874,739.84

Total Investments Short	\$
<u>0.00</u>	

Total Account Value	\$ 10,887,257.63
---------------------	------------------

Change in Account Value

Starting Account Value	\$ 10,382,648.72
Transactions & Income	\$ 470,533.48

Income Reinvested	\$ 0.00
-------------------	---------

<u>Change in Value of Investments</u>	<u>\$ 34,075.43</u>
---------------------------------------	---------------------

Ending Account Value	\$ 10,887,257.63
Year-to-Date Change in Value Since 1/1/15	\$ 553,529.95

Rate Summary

Value Adv Money Fd SWVXX	0.01%
Sch Investor Money Fund	0.01%

Attachment C
LAIF Statement



BETTY T. YEE

California State Controller

LOCAL AGENCY INVESTMENT FUND
REMITTANCE ADVICE

Agency Name CARPINTERIA

Account Number 98-42-142

As of 04/15/2015, your Local Agency Investment Fund account has been directly credited with the interest earned on your deposits for the quarter ending 03/31/2015.

Earnings Ratio		.00000712637778462
Interest Rate		0.26%
Dollar Day Total	\$	1,859,537.88
Quarter End Principal Balance	\$	20,663.59
Quarterly Interest Earned	\$	13.25

Attachment D

Montecito Bank & Trust Bank Statement

000 00005 01 PAGE: 1
ACCOUNT: 195024588 03/31/2015

H

City of Carpinteria 30-0
24
* HOLD AT BRANCH * 188

Rising interest rates on your mind? With the Business YouChoose CD from Montecito Bank & Trust, you won't have to time the market to maximize your return. You'll start with a great rate now, plus you'll have the opportunity to increase your rate once during the 21-month term if rates go up. Visit montecito.com or stop by your local branch to learn more.

SUMMARY OF ACCOUNTS

ACCOUNT TYPE	ACCOUNT NUMBER	CURRENT BALANCE	MATURITY DATE
Business Money Market ACCOUNT	195020078	890,762.30	
Analysis Checking ACCOUNT	195024588	320,857.64	
TOTAL CURRENT BALANCE		1,211,619.94	

Analysis Checking ACCOUNT 195024588

		LAST STATEMENT 02/27/15	826,936.77
MINIMUM BALANCE	76,006.31	89 CREDITS	1,210,919.52
AVG AVAILABLE BALANCE	344,186.68	277 DEBITS	1,716,998.65
AVERAGE BALANCE	352,590.49	THIS STATEMENT 03/31/15	320,857.64

DEPOSITS

REF #	TRACE #	DATE	AMOUNT	REF #	TRACE #	DATE	AMOUNT
0195039582	03/02	801.68	0195626172	03/12	5,536.11		
0195125604	03/03	1,554.30	0195701074	03/13	2,016.04		
0195188088	03/04	2,907.05	0195807812	03/16	526.50		
0195257622	03/05	595.38	0195856571	03/17	8,354.94		
0195257629	03/05	2,306.40	0195940008	03/18	307.96		
0195506552	03/10	1,572.94	0195012629	03/19	927.00		
0195506505	03/10	28,536.98	0195253124	03/24	2,651.94		
0195506510	03/10	101,860.16	0195253109	03/24	37,010.35		
0195576033	03/11	178.45	0195325002	03/25	41,119.76		
0195576036	03/11	1,049.19	0195379614	03/26	282.85		

*** CONTINUED ***

Attachment E

Investment Activity Recap

Quarterly Review of Investment Results
April 27, 2015
Page 14

Investment Activity Recap					
March 31, 2015					
Month	Average Daily Investment	Return	ROI %	Ending Balance	% Portfolio
Treasury Direct					
1	\$12,329,754.65	\$11,367.54	1.11%	\$12,217,203.73	86.78%
2	12,217,203.73	11,141.83	1.09%	12,342,063.33	87.00%
3	12,342,063.33	11,112.37	1.08%	12,478,054.42	91.01%
Total / Average	\$12,298,978.46	\$33,621.74	1.09%		
LAIF					
1	\$20,650.34	\$13.25		\$20,663.59	0.15%
2	20,663.59			20,663.59	0.15%
3	20,663.59			20,663.59	0.15%
Total / Average		\$13.25			
Montecito Bank & Trust Checking					
1	\$830,480.17			\$546,417.07	3.88%
2	473,832.97			826,936.77	5.83%
3	352,590.49			320,857.64	2.34%
Total / Average	\$554,916.82				
Montecito Bank & Trust Money Market					
1	\$1,641,828.28	\$268.94	0.20%	\$1,293,330.56	9.19%
2	1,532,009.13	235.05	0.18%	996,565.61	7.02%
3	1,121,753.11	196.69	0.21%	890,762.30	6.50%
Total / Average	\$1,428,525.32	\$700.68	0.20%		
	\$1.15				
Recap					
1	\$14,822,713.44	\$11,649.73	0.94%	\$14,077,614.95	100.00%
2	14,243,709.42	11,376.88	0.96%	14,186,229.30	100.00%
3	13,837,070.52	11,309.06	0.98%	13,710,337.95	100.00%
Total / Average	\$14,282,420.60	\$34,335.67	0.96%	\$13,710,337.95	

Attachment F

Treasury Direct/Charles Schwab Subsidiary Ledger Listing

City of Carpinteria
Direct Subsidiary Ledger Listing
Portfolio

March 31, 2015

Amount 229,162.82

Line	Type	Issue Date	CUSIP	Maturity Date	Net	Discount	Par Value	Coupon \$	Coupon %	Yield %	Days to Maturity	Accrued Interest \$/\$1,000	Price per \$100	Modified Duration	Next Coupon Date	Line
1	2	03/31/2013	912828UT5	03/31/2015	999.90	0.10	1,000.00	1.25	0.2500%	0.2550%	*	0.00683	99.990045	*	03/31/2015	1
2	5	03/31/2010	912828MW7	03/31/2015	101,699.97	500.03	102,200.00	1,277.50	2.5000%	2.6050%	*	*	99.510730	*	03/31/2015	2
3	2	04/30/2013	912828UY4	04/30/2015	997.85	2.15	1,000.00	0.63	0.1250%	0.2330%	30	*	99.784628	0.0833	04/30/2015	3
4	5	04/30/2010	912828MZ0	04/30/2015	95,621.13	178.87	95,800.00	1,197.50	2.5000%	2.5400%	30	*	99.813289	0.0833	04/30/2015	4
5	2	05/31/2013	912828VD9	05/31/2015	999.34	0.66	1,000.00	1.25	0.2500%	0.2830%	61	*	99.934233	0.1667	05/31/2015	5
6	5	05/31/2010	912828NF3	05/31/2015	107,074.68	25.32	107,100.00	1,137.94	2.1250%	2.1300%	61	0.05806	99.976355	0.1667	05/31/2015	6
7	2	06/30/2013	912828VH0	06/30/2015	999.60	0.40	1,000.00	1.88	0.3750%	0.3950%	91	0.01019	99.960135	0.2500	06/30/2015	7
8	5	06/30/2010	912828NL0	06/30/2015	106,491.29	608.71	107,100.00	1,004.06	1.8750%	1.9950%	91	*	99.431646	0.2500	06/30/2015	8
9	2	07/31/2013	912828VN7	07/31/2015	998.29	1.71	1,000.00	1.25	0.2500%	0.3360%	122	*	99.828720	0.3333	07/31/2015	9
10	5	07/31/2010	912828NP1	07/31/2015	98,783.29	216.71	99,000.00	866.25	1.7500%	1.7960%	122	0.09511	99.781106	0.3333	07/31/2015	10
11	2	08/31/2013	912828VU1	08/31/2015	999.78	0.22	1,000.00	1.88	0.3750%	0.3860%	153	0.03108	99.978190	0.4167	08/31/2015	11
12	5	08/31/2010	912828NV8	08/31/2015	104,372.94	627.06	105,000.00	656.25	1.2500%	1.3740%	153	*	99.402797	0.4167	08/31/2015	12
13	2	09/30/2013	912828VY3	09/30/2015	998.05	1.95	1,000.00	1.25	0.2500%	0.3480%	183	*	99.804850	0.5000	09/30/2015	13
14	5	09/30/2010	912828NZ9	09/30/2015	179,713.14	86.86	179,800.00	1,123.75	1.2500%	1.2600%	183	*	99.951690	0.5000	09/30/2015	14
15	2	10/31/2013	912828WB2	10/31/2015	146,786.24	213.76	147,000.00	183.75	0.2500%	0.3230%	214	*	99.854588	0.5827	04/30/2015	15
16	5	10/31/2010	912828PE4	10/31/2015	142,946.71	553.29	143,500.00	896.88	1.2500%	1.3300%	214	0.03453	99.614432	0.5802	04/30/2015	16
17	2	11/30/2013	912828A26	11/30/2015	137,862.89	137.11	138,000.00	172.50	0.2500%	0.2999%	244	0.01374	99.900644	0.6660	05/31/2015	17
18	5	11/30/2010	912828PJ3	11/30/2015	140,256.64	243.36	140,500.00	965.94	1.3750%	1.4110%	244	*	99.826792	0.6633	05/31/2015	18
19	2	12/31/2013	912828A67	12/31/2015	136,740.82	259.18	137,000.00	171.25	0.2500%	0.3450%	275	*	99.810817	0.7494	06/30/2015	19
20	5	12/31/2010	912828PM6	12/31/2015	95,591.66	108.34	95,700.00	1,016.81	2.1250%	2.1490%	275	*	99.886797	0.7447	06/30/2015	20
21	2	01/31/2014	912828B41	01/31/2016	147,985.26	14.74	148,000.00	277.50	0.3750%	0.3800%	306	*	99.990041	0.8324	07/31/2015	21
22	5	01/31/2011	912828PS3	01/31/2016	94,216.91	183.09	94,400.00	944.00	2.0000%	2.0410%	306	*	99.806052	0.8284	07/31/2015	22
23	2	02/28/2014	912828B82	02/28/2016	145,738.31	261.69	146,000.00	182.50	0.2500%	0.3400%	334	*	99.820762	0.9160	08/28/2015	23
24	5	02/28/2011	912828QJ2	02/28/2016	108,267.41	332.59	108,600.00	1,153.88	2.1250%	2.1900%	334	*	99.693745	0.9114	08/28/2015	24
25	2	03/31/2014	912828C40	03/31/2016	143,730.86	269.14	144,000.00	270.00	0.3750%	0.4690%	366	*	99.813097	0.9991	09/30/2015	25
26	5	03/31/2011	912828QA1	03/31/2016	96,254.71	45.29	96,300.00	1,083.38	2.2500%	2.2600%	366	*	99.952972	0.9944	09/30/2015	26
27	2	04/30/2014	912828C81	04/30/2016	146,789.50	210.50	147,000.00	275.63	0.3750%	0.4470%	396	*	99.856800	1.0805	04/30/2015	27
28	5	04/30/2011	912828QF0	04/30/2016	91,760.24	539.76	92,300.00	923.00	2.0000%	2.1239%	396	0.10870	99.415214	1.0685	04/30/2015	28
29	2	05/31/2014	912828WM8	05/31/2016	147,950.05	49.95	148,000.00	277.50	0.3750%	0.3920%	427	0.02049	99.966250	1.1639	05/31/2015	29
30	5	05/31/2011	912828QP8	05/31/2016	100,098.95	301.05	100,400.00	878.50	1.7500%	1.8130%	427	*	99.700152	1.1537	05/31/2015	30
31	2	06/30/2014	912828WQ9	06/30/2016	145,968.08	31.92	146,000.00	365.00	0.5000%	0.5110%	457	*	99.978140	1.2463	06/30/2015	31
32	5	06/30/2011	912828QR4	06/30/2016	104,024.42	575.58	104,600.00	784.50	1.5000%	1.6150%	457	*	99.449734	1.2389	06/30/2015	32
33	2	07/31/2014	912828WX4	07/31/2016	150,868.02	131.98	151,000.00	377.50	0.5000%	0.5440%	488	*	99.912595	1.3296	07/31/2015	33
34	5	07/31/2011	912828QX1	07/31/2016	96,218.76	(3,218.76)	93,000.00	697.50	1.5000%	0.7926%	488	2.32333	103.461030	1.3223	07/31/2015	34
35	2	08/31/2014	912828D64	08/31/2016	140,916.18	83.82	141,000.00	352.50	0.5000%	0.5299%	519	0.02762	99.940552	1.4129	08/31/2015	35
36	5	08/31/2011	912828RF9	08/31/2016	93,617.58	(617.58)	93,000.00	465.00	1.0000%	0.8640%	519	0.71430	100.664060	1.4092	08/31/2015	36
37	2	09/30/2014	912828F47	09/30/2016	138,754.39	245.61	139,000.00	347.50	0.5000%	0.5890%	549	*	99.823303	1.4963	09/30/2015	37
38	5	09/30/2011	912828RJ1	09/30/2016	92,932.16	67.84	93,000.00	465.00	1.0000%	1.0150%	549	*	99.927052	1.4925	09/30/2015	38
39	2	10/31/2014	912828F88	10/31/2016	999.00	1.00	1,000.00	1.88	0.3750%	0.4253%	580	*	99.900000	1.5777	04/30/2015	39
40	5	10/31/2011	912828RM4	10/31/2016	239,358.75	641.25	240,000.00	1,200.00	1.0000%	1.0550%	580	*	99.732813	1.5684	04/30/2015	40
41	2	11/30/2014	912828G46	11/30/2016	999.17	0.83	1,000.00	2.50	0.5000%	0.5419%	610	0.01374	99.916676	1.6592	05/31/2015	41
42	5	11/30/2011	912828RU6	11/30/2016	241,268.77	731.23	242,000.00	1,058.75	0.8750%	0.9370%	610	*	99.697840	1.6536	05/31/2015	42
43	2	12/31/2014	912828H29	12/31/2016	998.45	1.55	1,000.00	3.13	0.6250%	0.7030%	641	*	99.845361	1.7407	06/30/2015	43
44	5	12/31/2011	912828RX0	12/31/2016	241,940.96	59.04	242,000.00	1,058.75	0.8750%	0.8800%	641	0.07212	99.975602	1.7370	06/30/2015	44
45	2	01/31/2015	912828H78	01/31/2017	1,998.41	1.59	2,000.00	5.00	0.5000%	0.5399%	672	0.02762	99.920700	1.8259	07/31/2015	45
46	5	01/31/2012	912828SC5	01/31/2017	262,692.06	307.94	263,000.00	1,150.63	0.8750%	0.8990%	672	*	99.882914	1.8203	07/31/2015	46
47	2	02/28/2015	912828J35	02/28/2017	128,736.95	283.05	129,000.00	322.50	0.5000%	0.6027%	700	0.02717	99.796087	1.9092	08/31/2015	47
48	5	02/28/2012	912828SJ0	02/28/2017	279,658.51	341.49	280,000.00	1,225.00	0.8750%	0.9000%	700	*	99.878039	1.9036	08/31/2015	48
49	2	03/31/2015	912828J92	03/31/2017	124,756.82	243.18	125,000.00	312.50	0.5000%	0.5980%	731	*	99.805456	1.9925	09/30/2015	49
50	5	03/31/2012	912828SM3	03/31/2017	270,473.67	526.33	271,000.00	1,355.00	1.0000%	1.0400%	731	0.05464	99.805782	1.9851	09/30/2015	50
51	5	04/30/2012	912828SM3	04/30/2017	270,841.30	158.70	271,000.00	1,185.63	0.8750%	0.8870%	761	*	99.941438	2.0616	04/30/2015	51
52	5	05/31/2012	912828SY7	05/31/2017	285,270.72	1,729.28	287,000.00	896.88	0.6250%	0.7480%	792	*	99.397464	2.1511	05/31/2015	52
53	5	06/30/2012	912828TB6	06/30/2017	297,970.79	29.21	298,000.00	1,117.50	0.7500%	0.7520%	822	0.04076	99.990200	2.2314	06/30/2015	53
54	5	07/31/2012	912828TG5	07/31/2017	296,768.27	1,231.73	298,000.00	745.00	0.5000%	0.5840%	853	*	99.586667	2.3209	07/31/2015	54

City of Carpinteria
Direct Subsidiary Ledger Listing
Portfolio

Amount 229,162.82

March 31, 2015

Line	Type	Issue Date	CUSIP	Maturity Date	Net	Discount	Par Value	Coupon \$	Coupon %	Yield %	Days to Maturity	Accrued Interest \$/\$1,000	Price per \$100	Modified Duration	Next Coupon Date	Line
55	5	08/31/2012	912828TM2	08/31/2017	293,799.25	1,200.75	295,000.00	921.88	0.6250%	0.7080%	884	*	99.592967	2.4011	08/31/2015	55
56	5	09/30/2012	912828TS9	09/30/2017	284,692.16	307.84	285,000.00	890.63	0.6250%	0.6470%	914	0.01717	99.891985	2.4845	09/30/2015	56
57	5	10/31/2012	912828TW0	10/31/2017	274,676.92	323.08	275,000.00	1,031.25	0.7500%	0.7740%	945	*	99.882515	2.5554	04/30/2015	57
58	5	11/30/2012	912828UA6	11/30/2017	282,777.54	222.46	283,000.00	884.38	0.6250%	0.6410%	975	*	99.921392	2.6434	05/31/2015	58
59	5	12/31/2012	912828UE8	12/31/2017	280,738.61	261.39	281,000.00	1,053.75	0.7500%	0.7690%	1006	*	99.906978	2.7221	06/30/2015	59
60	5	01/31/2013	912828UJ7	01/31/2018	291,800.51	199.49	292,000.00	1,277.50	0.8750%	0.8890%	1037	*	99.931681	2.8008	07/31/2015	60
61	5	02/28/2013	912828UR9	02/28/2018	301,600.88	399.12	302,000.00	1,132.50	0.7500%	0.7770%	1065	*	99.867840	2.8888	08/31/2015	61
62	5	03/31/2013	912828UU2	03/31/2018	281,861.96	138.04	282,000.00	1,057.50	0.7500%	0.7600%	1096	0.02049	99.951048	2.9721	09/30/2015	62
63	5	04/30/2013	912828UJ1	04/30/2018	280,824.57	1,175.43	282,000.00	881.25	0.6250%	0.7100%	1126	*	99.583182	3.0507	04/30/2015	63
64	5	05/31/2013	912828VE7	05/31/2018	295,352.75	647.25	296,000.00	1,480.00	1.0000%	1.0450%	1157	*	99.781333	3.1148	05/31/2015	64
65	5	06/30/2013	912828VK3	06/30/2018	303,404.37	1,595.63	305,000.00	2,096.88	1.3750%	1.4839%	1187	0.03736	99.476843	3.1790	06/30/2015	65
66	5	07/31/2013	912828VQ0	07/31/2018	292,506.59	493.41	293,000.00	2,014.38	1.3750%	1.4100%	1218	*	99.831599	3.2624	07/31/2015	66
67	5	08/31/2013	912828RE2	08/31/2018	291,264.43	1,735.57	293,000.00	2,197.50	1.5000%	1.6238%	1249	0.12431	99.407655	3.3393	08/31/2015	67
68	5	09/30/2013	912828RH5	09/30/2018	286,158.25	841.75	287,000.00	1,973.13	1.3750%	1.4360%	1279	*	99.706706	3.4290	09/30/2015	68
69	5	10/31/2013	912828WD9	10/31/2018	133,676.67	323.33	134,000.00	837.50	1.2500%	1.3000%	1310	*	99.758710	3.4973	04/30/2015	69
70	5	11/30/2013	912828A34	11/30/2018	125,453.87	546.13	126,000.00	787.50	1.2500%	1.3399%	1340	0.06868	99.566562	3.5806	05/31/2015	70
71	5	12/31/2013	912828A75	12/31/2018	124,401.64	598.36	125,000.00	937.50	1.5000%	1.6000%	1371	*	99.521314	3.6471	06/30/2015	71
72	5	01/31/2014	912828B33	01/31/2019	134,534.37	465.63	135,000.00	1,012.50	1.5000%	1.5720%	1402	*	99.655089	3.7305	07/31/2015	72
73	5	02/28/2014	912828C24	02/28/2019	132,808.64	191.36	133,000.00	997.50	1.5000%	1.5300%	1430	*	99.856123	3.8139	08/31/2015	73
74	5	03/31/2014	912828C65	03/31/2019	130,437.37	562.63	131,000.00	1,064.38	1.6250%	1.7150%	1461	*	99.570515	3.8887	09/30/2015	74
75	5	04/30/2014	912828D23	04/30/2019	133,316.06	683.94	134,000.00	1,088.75	1.6250%	1.7320%	1491	*	99.489600	3.9405	04/30/2015	75
76	5	05/31/2014	912828WL0	05/31/2019	134,915.80	84.20	135,000.00	1,012.50	1.5000%	1.5130%	1522	0.08197	99.937629	4.0349	05/31/2015	76
77	5	06/30/2014	912828WS5	06/30/2019	132,714.05	285.95	133,000.00	1,080.63	1.6250%	1.6700%	1552	*	99.784997	4.1075	06/30/2015	77
78	5	07/31/2014	912828WW6	07/31/2019	136,379.00	621.00	137,000.00	1,113.13	1.6250%	1.7200%	1583	*	99.546716	4.1906	07/31/2015	78
79	5	08/31/2014	912828D80	08/31/2019	127,871.54	128.46	128,000.00	1,040.00	1.6250%	1.6460%	1614	0.08978	99.899637	4.2742	08/31/2015	79
80	5	09/30/2014	912828F39	09/30/2019	125,700.04	299.96	126,000.00	1,102.50	1.7500%	1.8000%	1644	*	99.761940	4.3468	09/30/2015	80
81	5	10/31/2014	912828F62	10/31/2019	996.79	3.21	1,000.00	7.50	1.5000%	1.5670%	1675	*	99.678995	4.4188	04/30/2015	81
82	5	11/30/2014	912828G61	11/30/2019	995.45	4.55	1,000.00	7.50	1.5000%	1.5950%	1705	0.04121	99.545300	4.5020	05/31/2015	82
83	5	12/31/2014	912828G95	12/31/2019	994.56	5.44	1,000.00	8.13	1.6250%	1.7390%	1736	*	99.456337	4.5719	06/30/2015	83
84	5	01/31/2015	912828H52	01/31/2020	1,996.33	3.67	2,000.00	12.50	1.2500%	1.2880%	1767	0.06906	99.816712	4.6957	07/31/2015	84
85	5	02/28/2015	912828J50	02/28/2020	116,410.58	589.42	117,000.00	804.38	1.3750%	1.4799%	1795	0.07473	99.496222	4.7653	08/28/2015	85
86	5	03/31/2015	912828J84	03/31/2020	113,934.14	65.86	114,000.00	783.75	1.3750%	1.3870%	1827	*	99.942226	4.8491	09/30/2015	86
*****																*****
				07/08/2017	12,580,754.29	\$24,545.71	\$12,605,300.00	*	1.0403%	1.1273%	831	0.03717	\$99.805275	2.2437	25-May-06	
				less Maturations	102,699.87	500.13	103,200.00									
					\$12,478,054.42	\$24,045.58	\$12,502,100.00									
					\$1,563,050.72	TD 1	\$1,567,300.00									
					204,123.37	TD 2	205,000.00									
					10,813,580.20	Schwab	10,833,000.00									
					\$12,580,754.29		\$12,605,300.00									

Attachment G

Treasury Direct/Charles Schwab Portfolio Segment Analysis

Quarterly Review of Investment Results

April 27, 2015

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March 31, 2015

Portfolio Segment Analysis

Month	Average Daily Investment	Return	ROI %	Net Cash Flow
1	\$12,329,754.65	\$11,367.54	1.1064%	\$124,990.90
2	12,217,203.73	11,141.83	1.0944%	(113,002.01)
3	12,342,063.33	11,112.37	1.0804%	(123,478.19)
Total / Average	\$12,298,978.46	\$33,621.74	1.0935%	(\$111,489.30)

ALL NOTES / BILLS	
Net Investment	\$12,478,054.42
Discount	24,045.58
Par Value	\$12,502,100.00
Coupon %	1.0403%
Yield to Maturity	1.1273%
Annual Yield \$	\$140,937.85
Days to Maturity	831
Years to Maturity	2.2764
Modified Duration	2.2311

5 YEAR NOTES				
	All	Most Recent	Maximum	Minimum
Net	\$10,483,482.11	\$113,934.14	\$303,404.37	\$994.56
Discount	21,617.89	65.86	1,735.57	(3,218.76)
Par	10,505,100.00	114,000.00	305,000.00	1,000.00
Coupon \$	61,121.46	783.75	2,197.50	7.50
Coupon %	1.1637%	1.3750%	2.5000%	0.5000%
Yield %	1.4134%	1.3870%	2.6050%	0.5840%
Years to Maturity	2.51	5.01	5.01	

2 YEAR NOTES				
	All	Most Recent	Maximum	Minimum
Net	\$1,994,572.31	\$124,756.82	\$150,868.02	\$997.85
Discount	2,427.69	243.18	269.14	0.10
Par	1,997,000.00	125,000.00	151,000.00	1,000.00
Coupon \$	3,910.03	312.50	377.50	0.63
Coupon %	0.3916%	0.5000%	0.6250%	0.1250%
Yield %	0.4901%	0.5980%	0.7030%	0.2330%
Years to Maturity	1.16	2.00	2.00	

Attachment G

Attachment H

Market Value Estimate Calculation

Quarterly Review of Investment Results

April 27, 2015

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March 31, 2015

Market Value Estimate Calculation

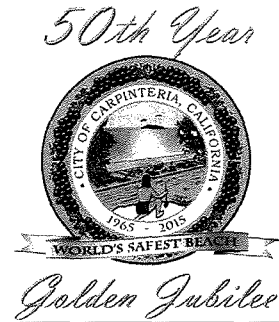
Years/Days	Portfolio Weighted Average Duration Date	CUSIP	Issue Date	Maturity Date	Coupon %	Market Price per \$100	Market Yield
5	09/07/2017	912828TW0	10/31/2012	10/31/2017	0.7500%	99.8500	0.8087%
2	05/23/2016	912828WX4	07/31/2014	07/31/2016	0.5000%	100.1484	0.3882%

Years/Days	A Actual Yield	B Market Yield	C Duration in years	D Estimated Market Value	E Par Value	F Net Value	G Unrealized Gain / (Loss)
5	1.4134%	0.8087%	2.4423	\$10,660,228	\$10,505,100	\$10,483,482	\$176,746
2	0.4901%	0.3882%	1.1498	1,999,339	1,997,000	1,994,572	4,767
All			2.2437	\$12,659,567	\$12,502,100	\$12,478,054	\$181,513

Percent of Par 1.45%

$$D=(1+(A-B)*C)*E$$

$$G=D-F$$



City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Second Reading of Ordinance No. 700, Amending Section 12.04.070 of the Carpinteria Municipal Code Relating to the City Engineer's Issuance of Annual Permits for Work in the Public Street Rights of Way.

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Approve the second reading and adopt Ordinance No. 700, amending Section 12.04.070 of the Carpinteria Municipal Code relating to the City Engineer's issuance of annual permits for work in the public street rights of way.

Motion: I move to approve the second reading and adopt Ordinance No. 700, as read by title only.

BACKGROUND

At its regular meeting of April 13, 2015, the City Council approved the first reading of Ordinance No. 700, and continued the matter to April 27, 2015, for its second reading. Ordinance No. 700 amends section 12.04.070 of the Carpinteria Municipal Code (CMC) relating to the City Engineer's issuance of annual permits for work in the public street rights of way. Specifically, it increases the value of work allowed under annual permits to twenty-five thousand dollars.

DISCUSSION

Carpinteria Municipal Code Section 12.04.070 was established in the 1960's and limits the cost of work performed under an annual permit to five hundred dollars or less for any separate project. Over the years, the cost to perform routine maintenance and service work has increased substantially. However, the project cost threshold set forth in the City's Municipal Code has remained the same making it challenging for utility companies to keep their project costs under five hundred dollars. With the increased cost to perform minor maintenance work, the Department of Public Works recommends adjusting the value of work permissible under the annual permit to twenty-five thousand

dollars. Ordinance No. 700 adjusts the project cost threshold authorized in CMC Section 12.04.070.

POLICY CONSISTENCY

This item is in accordance with Chapter 12.04 of the Carpinteria Municipal Code, which regulates and controls all secondary uses of City streets to protect and preserve the primary purpose of such streets.

FINANCIAL CONSIDERATIONS

No financial impacts are anticipated from the adoption of Ordinance No. 700.

LEGAL

Ordinance No. 700 will amend Section 12.04.070 of the Carpinteria Municipal Code. The City Attorney has reviewed the proposed ordinance.

OPTIONS

1. Approve the second reading and adopt Ordinance No. 700, as read by title only.
2. Direct the Department of Public Works to return with an amended ordinance.

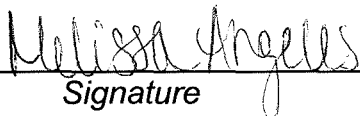
PRINCIPAL PARTIES EXPECTED AT MEETING

No principal parties are expected to attend.

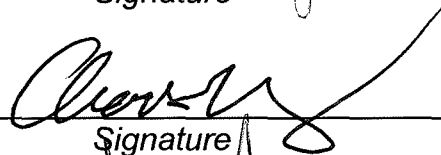
ATTACHMENTS

Attachment A: Ordinance No. 700

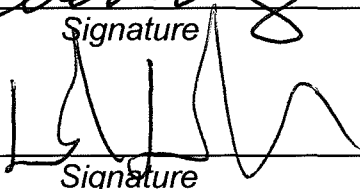
Staff contact:
Melissa Angeles, Engineering Technician
(805) 684-5405, ext. 445
MelissaA@ci.carpinteria.ca.us


Signature

Reviewed by:
Charles W. Ebeling, Public Works Director


Signature

Reviewed by: Dave Durflinger, City Manager


Signature

ATTACHMENT A

Ordinance No. 700

ORDINANCE NO. 700

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA AMENDING SECTION 12.04.070 OF THE CARPINTERIA MUNICIPAL CODE TO INCREASE THE VALUE OF WORK ALLOWED UNDER ANNUAL PERMITS TO TWENTY-FIVE THOUSAND DOLLARS

WHEREAS, Carpinteria Municipal Code, Chapter 12.04 regulates and controls all secondary uses of City streets to protect and preserve the primary purpose of such streets, which is declared to be traveled by the public; and

WHEREAS, Section 12.04.070 of the Carpinteria Municipal Code authorizes the City Engineer to issue annual permits for service connections or for other routine minor work performed by owners or employees and not exceeding five hundred dollars cost for any separate project; and

WHEREAS, in the past years, the cost to perform routine maintenance and service work has increased substantially making it challenging for utility companies to keep their project costs under five hundred dollars; and

WHEREAS, the Department of Public Works recommends adjusting the value of work permissible under the annual permit to twenty-five thousand dollars;

NOW, THEREFORE, THE CARPINTERIA CITY COUNCIL DOES ORDAIN AS FOLLOWS:

SECTION 1. INCORPORATION OF RECITALS

The above recitals are true and correct and are incorporated herein, and are each relied upon independently by the City Council for its adoption of this Ordinance.

SECTION 2. AMENDMENT OF SECTION 12.04.070 OF THE CARPINTERIA MUNICIPAL CODE

Section 12.04.070 of the Carpinteria Municipal Code is hereby amended in its entirety to read as follows:

12.04.070 – Permit – Special annual issuance when.

The engineer may issue annual permits for service connections or for other routine minor work performed by owners or employees and not exceeding twenty-five thousand dollars cost for any separate project. The permit shall become effective and be exercised by written notice given to the engineer not less than twenty-four hours before work is to be commenced thereunder.

SECTION 3. EFFECTIVE DATE

This Ordinance shall be in full force and effect thirty (30) days following a second reading of the ordinance; and before the expiration of fifteen (15) days following passage, this Ordinance shall be published once with the names of the members of the City Council voting for and against the same in the Coastal View News, a newspaper of general circulation, published in the City of Carpinteria.

SECTION 4. SEVERABILITY

If any section, subsection, sentence, clause, phrase or word of this Ordinance is for any reason held to be invalid by a court of competent jurisdiction, such decisions shall not affect the

validity of the remaining portions of this Ordinance. The City Council hereby declares that it would have passed and adopted this Ordinance, and each and all provisions hereof, irrespective of the fact that one or more provisions may be declared invalid.

SECTION 5. CEQA EXEMPTION

The City Council finds that this Ordinance is not subject to the California Environmental Quality Act ("CEQA") pursuant to State CEQA Guidelines §15060(c)(3) (this activity is not a "project" as defined in §15378).

SECTION 6. LIMITED EFFECT

Except as explicitly provided herein, all other provisions of Chapter 12.04 shall remain unchanged and in full force and effect.

PASSED, APPROVED AND ADOPTED this 27th day of April 2015, by the following called vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

Mayor, City of Carpinteria

ATTEST:

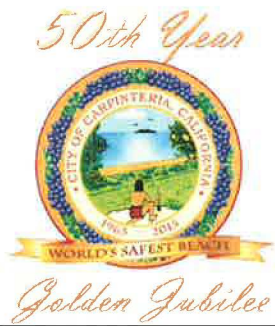
City Clerk, City of Carpinteria

I hereby certify that the foregoing Ordinance was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of April 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria



City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Second Reading of Ordinance No. 701, Amending Chapter 2.17 of the Carpinteria Municipal Code Relating to Performance Guarantee Bonds for Development Projects.

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Approve the second reading and adopt Ordinance No. 701, amending Chapter 2.17 of the Carpinteria Municipal Code Relating to Performance Guarantee Bonds for Development Projects.

Motion: I move to approve the second reading and adopt Ordinance No. 701, as read by title only.

BACKGROUND

At its regular meeting of April 13, 2015, the City Council approved the first reading of Ordinance No. 701, and continued the matter to April 27, 2015, for its second reading. Ordinance No. 701 amends Chapter 2.17 of the Carpinteria Municipal Code (CMC) relating to performance guarantee bonds for development projects. Specifically, it amends Sections 2.17.040 and 2.17.110 of the CMC to adjust the bond amounts for small developments and authorize the City Manager to release certain bonds.

DISCUSSION

A. Bond Amounts

Bond amounts are determined by the City Manager on the advice of the City Engineer as specified in CMC Section 2.17.040.

Ordinance No. 701 would amend CMC Section 2.17.040 to allow residential developments with eight units or less to post bonds based on fifty percent of the Engineer's cost estimate for all improvements other than public improvements and on-site grading. The bond for public improvements and on-site grading would remain at one hundred percent of the Engineer's cost estimate. Bonding posted for developments with more than eight units shall also remain on one hundred percent of the estimated cost of the obligations for which a bond is required. Adjusting the bond amount requirements will allow the City to respond to concerns received by developers of smaller projects about this significant upfront cost while still protecting the public interest.

B. Release of Bonds

To formally release a bond, the City Engineer or City Manager must confirm that all work has been completed to the City's satisfaction and the City Council must accept the work as complete and take action on the release of the bond regardless of the bond amount.

Ordinance No. 701 would amend CMC Section 2.17.110 to grant the City Manager the authority to release bonds in the amount of one hundred thousand dollars or less relating to small projects with grading and/or site improvements typically associated with developments such as single family homes. Currently, only the Council has the ability to release bonds. Staff believes that authorizing the City Manager to approve the release of bonds associated with smaller projects is consistent with the City's interest in organizational efficiency and would continue to ensure that larger improvements be considered by the City Council. Furthermore, a list of released bonds will be presented to the City Council for their information via a subsequent report.

POLICY CONSISTENCY

This item is consistent with the City's mission statement which states that *"Government shall make judicious use of the City's limited resources to promote the highest possible quality of life for all of Carpinteria's residents. This includes providing services consistent with community needs as well as protecting the social and physical environment"* by increasing the organizational efficiency of the City as well as reducing costs to project applicants while still protecting the public interest.

In addition, this item is in accordance with Chapter 2.17 of the Carpinteria Municipal Code which provides direction and policy to the City with respect to the form, type and other conditions relating to bonds required to provide a guarantee of performance to the City by those required to provide such guarantee.

FINANCIAL CONSIDERATIONS

No financial impacts are anticipated from the adoption of Ordinance No. 701.

LEGAL

Ordinance No. 701 will amend Sections 2.17.040 and 2.17.110 of the Carpinteria Municipal Code. The City Attorney has reviewed the proposed ordinance.

OPTIONS

1. Approve the second reading and adopt Ordinance No. 701, as read by title only.
2. Direct the Department of Public Works to return with an amended ordinance.

PRINCIPAL PARTIES EXPECTED AT MEETING

No principal parties are expected to attend.

ATTACHMENTS

Attachment A: Ordinance No. 701

Staff contact:
Melissa Angeles, Engineering Technician
(805) 684-5405, ext. 445
MelissaA@ci.carpinteria.ca.us



Signature

Reviewed by:
Charles W. Ebeling, Public Works Director



Signature

Reviewed by: Dave Durflinger, City Manager



Signature

ATTACHMENT A

Ordinance No. 701

ORDINANCE NO. 701

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA AMENDING SECTIONS 2.17.040 AND 2.17.110 OF THE CARPINTERIA MUNICIPAL CODE TO ADJUST THE BOND AMOUNTS FOR SMALL DEVELOPMENTS AND AUTHORIZE THE CITY MANAGER TO RELEASE CERTAIN BONDS

WHEREAS, Carpinteria Municipal Code, Chapter 2.17 provides direction and policy to the City with respect to the form, type and other conditions relating to bonds required to provide a guarantee of performance to the City by those required to provide such guarantee; and

WHEREAS, Section 2.17.040 of the Carpinteria Municipal Code specifies how bond amounts are determined; and

WHEREAS, adjusting the required bond amounts will allow the City to assist small development while still protecting the public interest; and

WHEREAS, Section 2.17.110 of the Carpinteria Municipal Code states that bonds may be released only in the sole discretion of the City Council upon recommendation of the City Engineer or City Manager; and

WHEREAS, authorizing the City Manager to release bonds within the specified range will provide efficient use of staff time and will expedite project closeouts; and

WHEREAS, the Department of Public Works recommends adjusting the bond amount requirements for small developments and authorizing the City Manager to release bonds of one hundred thousand dollars or less;

**NOW, THEREFORE, THE CARPINTERIA CITY COUNCIL DOES ORDAIN AS
FOLLOWS:**

SECTION 1. INCORPORATION OF RECITALS

The above recitals are true and correct and are incorporated herein, and are each relied upon independently by the City Council for its adoption of this Ordinance.

SECTION 2. AMENDMENT OF SECTION 2.17.040 OF THE CARPINTERIA MUNICIPAL CODE

Section 2.17.040 of the Carpinteria Municipal Code is hereby amended in its entirety to read as follows:

2.17.040 – Amounts of bonds.

The amount of bonds to be posted shall be in an amount determined by the City Manager on the advice of the City Engineer based on one hundred percent of the estimated cost of the obligations for which a bond is required. Bonds for

developments with eight units or less shall be based on one hundred percent of the Engineer's cost estimate for public improvements and on-site grading and based on fifty percent of the Engineer's cost estimate for all other improvements. Ten percent of the total bond amount or thirty five thousand dollars, whichever is less shall be in a cash bond, and the balance of the total bond may be in an approved surety bonds or additional cash bond.

SECTION 3. AMENDMENT OF SECTION 2.17.110 OF THE CARPINTERIA MUNICIPAL CODE

Section 2.17.110 of the Carpinteria Municipal Code is hereby amended in its entirety to read as follows:

2.17.110 – Release of bonds.

Bonds may be partially released from time to time by the City Council or by the City Manager when the bond amount is one hundred thousand dollars or less, upon recommendation of the City Engineer or City Manager, as the case may be. No bond shall be fully released until the purpose for which the bond has been proposed has been completely fulfilled and any obligation performed in connection therewith has been accepted by the City Council or the City Manager.

SECTION 4. EFFECTIVE DATE

This Ordinance shall be in full force and effect thirty (30) days following a second reading of the ordinance; and before the expiration of fifteen (15) days following passage, this Ordinance shall be published once with the names of the members of the City Council voting for and against the same in the Coastal View News, a newspaper of general circulation, published in the City of Carpinteria.

SECTION 5. SEVERABILITY

If any section, subsection, sentence, clause, phrase or word of this Ordinance is for any reason held to be invalid by a court of competent jurisdiction, such decisions shall not affect the validity of the remaining portions of this Ordinance. The City Council hereby declares that it would have passed and adopted this Ordinance, and each and all provisions hereof, irrespective of the fact that one or more provisions may be declared invalid.

SECTION 6. CEQA EXEMPTION

The City Council finds that this Ordinance is not subject to the California Environmental Quality Act ("CEQA") pursuant to State CEQA Guidelines §15060(c)(3) (this activity is not a "project" as defined in §15378).

SECTION 7. LIMITED EFFECT

Except as explicitly provided herein, all other provisions of Chapter 2.17 shall remain unchanged and in full force and effect.

PASSED, APPROVED AND ADOPTED this 27th day of April 2015, by the following called vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

Mayor, City of Carpinteria

ATTEST:

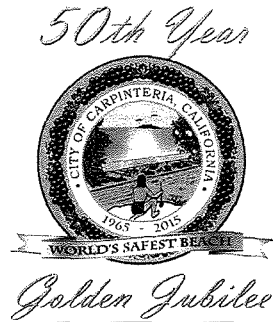
City Clerk, City of Carpinteria

I hereby certify that the foregoing Ordinance was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of April 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria



City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

A Resolution Updating the City's Capital Improvement Plan and Highway Interchanges and Bridges Development Impact Fee and Ending the Temporary Reduction of the City's Highway Interchanges and Bridges Development Impact Fee

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

1. Adopt Resolution No. 5592 updating the City's Capital Improvement Plan and Highway Interchanges and Bridges Development Impact Fee
2. End the Temporary Reduction of the City's Highway Interchanges and Bridges Development Impact Fee

Motion: I move to adopt Resolution No. 5592, as read by title only, updating the City's Capital Improvement Plan and Highway Interchanges and Bridges Development Impact Fee.

BACKGROUND

The City's Development Impact Fee (DIF) Program is authorized by AB 1600 (Mitigation Fee Act), codified as Government Code Sections 66000 – 66025. AB 1600, adopted in 1989, permits local agencies to collect fees from developers and to apply the revenue to public improvement projects that are needed to serve new land development projects. The fees provide funding for public improvements required to serve new development, such as roads, bridges, storm drains, and parks. Under AB 1600, the fees that new development is assessed must serve to mitigate the impacts of new development, not those caused by existing development. The City has been collecting DIF's consistent with the established program since 1993.

The City's Capital Improvement Plan is a list of capital projects that the City has determined are needed to improve public facilities and related services. Both new capital projects that are necessary in part to serve new development and significant capital maintenance projects are identified and prioritized in the Capital Improvement Plan. Projects that are needed to respond to new development are typically funded, in part if not entirely, by DIF Program fee payments as discussed above. The current City of Carpinteria DIF and Capital Improvement Programs were established in 2003. Besides the annual application of the Selected Highway Construction Price Index published by Caltrans, the cost estimates for the projects included in the Capital Improvement Plan (CIP) were last updated in 2007.

Beginning on March 9, 2009, the City Council adopted Resolution No. 5178 that served to reduce the Highway Interchanges and Bridges DIF by half for an 18 month period, beginning on the effective date of the Resolution. The Highway Interchanges & Bridges DIF reduction was identified for reduction by policy due to its relatively high cost to new development and was one of a number of measures identified by the City Council in response to the economic recession as a part of its Economic Recovery Plan. The temporary reduction was subsequently extended; the last extension, approved via Resolution No. 5483, is set to expire on April 30, 2015. This reduction in the Highway Interchanges & Bridges DIF applies to commercial, industrial, and resort/hotel land uses.

DISCUSSION

As part of the adoption of Resolution Nos. 5178, 5273, 5382, and 5483, which temporarily reduced the Highway Interchanges & Bridges DIF in 2009, 2010, 2012, and 2013, respectively, the City Council determined that due to the effects of the national economic recession, investment in new and expanded commercial and industrial (including resort/hotel) development in the City could be hindered, negatively impacting the local economy. The City Council has the authority to set DIFs at amounts lower than it has established if it determines that this is appropriate for reasons of public policy. The City Council may lower fees temporarily or permanently pursuant to authority established by Carpinteria Municipal Code Chapter 15.80.100 (D).

The Department of Public Works has determined that the cost estimates of Capital Improvement Project No. HI-03 (Highway 101 Linden Avenue Interchange) and Project No. HI-04 (Highway 101 Casitas Pass Road Interchange) need to be revised to reflect the current funding status. Both projects are listed in the Highway Interchanges and Bridges section of the Capital Improvement Plan. Initially, the funding for both projects was anticipated to come from the City's Highway Interchanges and Bridges DIF revenue and the State Transportation Improvement Program (STIP). After more than a decade in the planning process, the State recently reserved 100% of the estimated combined Linden Ave. & Casitas Pass Rd. Interchanges project cost of \$101,555,000, in the State's STIP Program. Caltrans, as lead agency, is responsible for project delivery.

Since 100% of the Interchanges project is funded through STIP, the City expects to fund only \$2 million and \$2.5 million for the Highway 101 Linden Avenue Interchange and Highway 101 Casitas Pass Road Interchange projects, respectively. In response to the interchange projects going forward, the City expects to need to fund additional lane configurations, median improvements, and other improvements that will be needed to fully implement the Interchanges project. These new cost estimates for the local portion of the Highway 101 Linden Avenue and Highway 101 Casitas Pass Road Interchange projects are reflected in Exhibit 1 (April 2015 Capital Improvement Plan Update) to the attached Resolution No. 5592.

The City's current DIF's are based on the 2003 Development Impact Fee Report by MuniFinancial, dated December 8, 2003. This study determined the portion of Capital Improvement Plan costs, i.e. the "fair share", attributable to new development projects. The fees are collected under the authority of the California Mitigation Fee Act, Government Code Section 66000. Every year, the DIF's are adjusted pursuant to Carpinteria Municipal Code section 15.80.050 by the percentage change in either the median price of a single family home in Santa Barbara County, South Coast or the Caltrans Construction Cost Index, as the case may be.

The following chart compares the current Highway Interchanges and Bridges DIF's currently in effect and the proposed DIF's that have been recalculated based on the reduction of the cost estimates for the Highway 101 Linden Avenue Interchanges and Highway 101 Casitas Pass Road Interchanges projects. The current Highway Interchanges & Bridges DIF's shown below were adopted by the City Council on July 28, 2014. The proposed Highway Interchanges & Bridges DIF's will supersede the current fees upon adoption of the attached Resolution No. 5592.

Land Use	Current Highway Interchanges & Bridges DIF (effective 7/1/14)	Proposed Highway Interchanges & Bridges DIF (effective 4/27/15)
Residential	\$ / unit	
Single Family	11,989.60	4,486.64
Multiple Family	7,875.52	2,947.11
Congregate Care	1,632.36	923.72
Commercial/Industrial	\$ / gross sq. ft.	
Commercial	34.35 ¹	12.84
Industrial	16.36 ¹	6.11
Resort/Hotel	\$ / room	
Resort/Hotel	5,994.80 ¹	2,243.32
¹ The fees shown for commercial, industrial, and resort/hotel are the calculated DIF amounts and the 50% reduction has not been applied.		

In order to establish, increase, or impose the Highway Interchanges & Bridges DIFs as a condition of approval of a development project, the City must make the following findings for the amended Highway Interchanges & Bridges DIF's.

1. The purpose of the Highway Interchanges & Bridges DIF is to finance the construction of highway interchanges and bridges as set forth in the Capital Improvement Plan, as amended.

2. The use to which the Highway Interchanges & Bridges fee will be put is construction of public improvements at estimated costs described in the Capital Improvement Plan, as amended (HI-03 and HI-04).

3. The reasonable relationship between the Highway Interchanges & Bridges fees' use and the type of development for which the fees are charged is that the City's DIF Report demonstrates that the Highway Interchanges & Bridges DIF, as amended, is assessed to new development in proportion to such development's impacts on necessary highway interchanges and bridges described in more detail in the City's Capital Improvement Plan, as amended (HI-03 and HI-04).

4. The reasonable relationship between the need for the public facilities to be constructed in the City's Capital Improvement Plan, as amended and the type of development project on which the fee is imposed is that the Capital Improvement Plan, as amended (HI-03 and HI-04), describes the needed public facilities within the City and the City's DIF program, as amended, describes each type of new development's proportional share for financing such public facilities.

5. The reasonable relationship between the amount of the fee and the cost of the public facility or portion of the public facility attributable to the development on which the fee is imposed is that the amount of the Highway Bridges & Interchanges fee, as amended, is calculated in part based on the cost of the public facilities (HI-03 and HI-04) attributable to the types of development on which the fee is imposed, as shown in the City's Development Impact Fee Program.

The Department of Public Works recommends ending the current temporary reduction of the Highway Interchanges and Bridges DIF for commercial, industrial, and resort/hotel uses upon adoption of Resolution No. 5592 due to the improving local economic climate and the lowering of the cost estimates for Highway 101 Linden Avenue Interchange project and Highway 101 Casitas Pass Road Interchange project. Staff also recommends that the proposed (recalculated) DIF fees go into effect immediately upon adoption of Resolution No. 5592.

Upon approval by the Council, Resolution No. 5592 will update the City's Capital Improvement Plan by reducing the cost estimates for Capital Project No. HI-03 (Highway 101 Linden Avenue Interchange) and Project No. HI-04 (Highway 101 Casitas Pass Road Interchange) due to Caltrans funding the majority of both projects. The resolution will also update the Highway Interchanges and Bridges DIF since the cost estimates for improvements requiring the use of City funds for the two Highway Interchanges and Bridges projects have changed. The recalculated fee applies to all land uses. Upon adoption of Resolution No. 5592, the temporary reduction in the

Highway Interchanges and Bridges DIF for commercial, industrial, and resort/hotel land uses will end and the proposed (recalculated) DIF's will become effective immediately.

Public Works is currently working on a comprehensive update to the Capital Improvement and Development Impact Fee Programs. This will update the Capital Improvement Plan and ultimately the Development Impact Fees. It is anticipated that this work effort will be completed by summer 2015.

POLICY CONSISTENCY

This item is consistent with AB 1600 (Mitigation Fee Act), codified as Government Code Sections 66000 – 66025 and the City's Municipal Code, in particular, Chapter 15.80. Additionally, this item is consistent with the following objectives and policies of the General Plan:

Objective PF-5: To provide a high quality and broad range of public services, facilities and utilities to meet the needs of all present and future residents of the Carpinteria Planning Area.

PF-5b. The City will require proposed new developments to pay a fair share of the cost of needed public facilities and services...

PF-5c. The City will ensure that new development will not adversely impact services and facilities provided to existing development.

Objective PF-6: To ensure that new development is adequately served by utilities and does not impact existing service areas in the community.

PF-6c. Development projects within Carpinteria shall be required to:

4. ensure that, in the event that public services or off-site capital facilities are impacted prior to development, the level of service provided to existing development will not be further impacted by the new development; and

5. provide for the provision of public services, and shall not increase the cost of public services provided to existing development.

FINANCIAL CONSIDERATIONS

Since the proposed Highway Interchanges and Bridges DIF's are lower than those currently adopted, the City expects to receive less revenue; however, the amount of DIF revenue received locally will be commensurate with the impacts created by new development that may be mitigated by DIF's. The lower DIF's may also act as an incentive for new development, and in turn, increase DIF revenue for the City.

LEGAL

The City Attorney has reviewed this report and the attached Resolution No. 5592. California Government Code Section 66018 requires the City to conduct a public hearing before adoption of the new fees. Notice of this Public Hearing appeared in the Coastal View Newspaper on April 16, 2015 and April 23, 2015.

OPTIONS

1. Adopt Resolution No. 5592, thereby updating the City's Capital Improvement Plan and the Highway Interchanges and Bridges Development Impact Fees and ending the temporary reduction in the Highway Interchanges and Bridges Development Impact Fee for industrial, commercial, and resort/hotel land uses.
2. Direct staff to amend the Resolution as determined appropriate and adopt the Resolution as amended.
3. Decline to adopt Resolution No. 5592, keeping the current DIF fee structure in place.

PRINCIPAL PARTIES EXPECTED AT MEETING

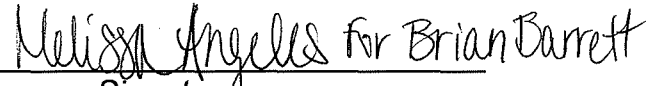
There are no principal parties expected at the meeting.

ATTACHMENTS

Attachment A: Resolution No. 5592

Staff contact:

Brian C. Barrett, Management Analyst
(805) 684-5405, ext. 446
brianb@ci.carpinteria.ca.us


Signature

Reviewed by:

Charles W. Ebeling, Public Works Director


Signature

Reviewed by: Dave Durflinger, City Manager


Signature

035444\0526\12142677.1

ATTACHMENT A

Resolution No. 5592

RESOLUTION NO. 5592

A RESOLUTION OF THE CITY OF CARPINTERIA CITY COUNCIL UPDATING PROJECTS HI-03 AND HI-04 OF THE CITY'S CAPITAL IMPROVEMENT PLAN AND THE HIGHWAY INTERCHANGES AND BRIDGES DEVELOPMENT IMPACT FEE AND ENDING THE TEMPORARY REDUCTION OF THE CITY'S HIGHWAY INTERCHANGES AND BRIDGES DEVELOPMENT IMPACT FEE

WHEREAS, on January 24, 1994, the City Council adopted Ordinance No. 501, which implemented the City's Development Impact Fee program, and Resolution No. 4081, which established the level of Development Impact Fees ("DIFs"); and

WHEREAS, by Resolution No. 4076, the City Council had previously approved a Capital Improvement Plan for the construction of public facilities in the City; and

WHEREAS, by Resolution No. 4075 adopted January 10, 1994, the City Council approved the collection of fees pursuant to Section 66477 of the Government Code; and

WHEREAS, by Resolution No. 4628 adopted October 25, 1999, the City Council approved the collection of fees pursuant to Section 66477 of the Government Code; and

WHEREAS, by Resolution No. 5178, adopted March 9, 2009, the City Council approved reducing by 50% the Highway Interchanges and Bridges Development Impact Fee for commercial, industrial, and hotel/resort uses for a period of 18 months; and

WHEREAS, by Resolution No. 5483, adopted October 14, 2013, the City Council last extended the 50% reduction in the Highway Interchanges and Bridges Development Impact Fee to promote local economic health; and

WHEREAS, by Resolution No. 5541 adopted July 28, 2014 the City Council last approved the Capital Improvement Plan Project List and a revision to the level of the City's Development Impact Fees; and

WHEREAS, the Capital Improvement Projects HI-03 and HI-04 listed in the Highway Interchanges and Bridges section of the City's Capital Improvement Plan have recently been confirmed to be funded almost entirely through the State Transportation Improvement Program (STIP); and

WHEREAS, City staff has determined that the cost estimates of Capital Improvement Projects HI-03 and HI-04 need to be revised to reflect the current funding status of these Projects; and

WHEREAS, the revised cost estimates for that portion of Capital Improvement Projects HI-03 and HI-04 that is to be funded by City development impact fees are shown in Exhibit 1; and

WHEREAS, the Highway Interchanges & Bridges DIFs must be updated to reflect the revised cost estimates for Capital Improvement Projects HI-03 and HI-04, and

WHEREAS, the updated Highway Interchanges & Bridges DIFs are shown in Exhibit 2.

NOW, THEREFORE, it is hereby resolved by the City Council of the City of Carpinteria, California, as follows:

1. The City Council finds that new development in the City will generate additional demands on highway interchanges and bridges throughout the City, and will contribute to the impact upon highway interchanges and bridges.
2. The City Council finds that the purpose of the Highway Interchanges & Bridges fee is to finance the construction of highway interchanges and bridges as set forth in the Capital Improvement Plan, as amended.
3. The City Council finds that the use to which the Highway Interchanges & Bridges fee will be put is construction of public improvements at estimated costs therefor described in the Capital Improvement Plan, as amended (HI-03 and HI-04), and determines that there is a continued need for these improvements and that there is a reasonable relationship between such need and the impacts of development for which the fees are charged.
4. The City Council finds that there is a reasonable relationship between the Highway Interchanges & Bridges fees' use and the type of development for which the fees are charged, in that the City's Development Impact Fee Report demonstrates that the Highway Interchanges and Bridges Development Impact Fee, as amended, is assessed to the types of new development in proportion to such development's impacts on necessary highway interchanges and bridges, described in more detail in the City's Capital Improvement Plan , as amended (HI-03 and HI-04).
5. The City Council finds that there is a reasonable relationship between the need for the public facilities to be constructed in the City's Capital Improvement Plan, as amended, and the type of development project on which the fee is imposed, in that the Capital Improvement Plan, as amended (HI-03 and HI-04), describes the public facilities that are needed within the City and the City's Development Impact Fee program, as amended, describes the proportional share that each type of new development bears for financing such public facilities.
6. The City Council finds that there is a reasonable relationship between the amount of the fee and the cost of the public facility or portion of the public facility attributable to the development on which the fee is imposed in that the amount of the Highway Interchanges & Bridges fee, as amended, is calculated in part based on the cost of the public facilities (HI-03 and HI-04) attributable to the types of development on which the fee is imposed, as shown in the City's Development Impact Fee Program.
7. The City Council finds that the cost estimates set forth in the amendments to the Capital Improvement Plan are reasonable cost estimates for the public facilities therein described, and that the fees expected to be generated by new development will not exceed the costs for construction of the public facilities set forth in the Capital Improvements Plan, as amended.

8. The City Council approves the amendments to the City's Capital Improvement Plan including the priorities for financing improvements as stated therein as shown in Exhibit 1, which shall become effective upon adoption of this Resolution..
9. The City Council approves the revised Highway Interchanges and Bridges Development Impact Fees, as shown in Exhibit 2, which shall become effective upon adoption of this Resolution.
10. The temporary 50% reduction of the Highway Interchanges and Bridges Development Impact Fee for commercial, industrial, and hotel/resort uses, previously approved by the City Council, shall terminate when the revised Highway Interchanges and Bridges Development Impact Fee goes into effect.

PASSED, APPROVED AND ADOPTED this 27th day of April, 2015, by the following called vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of April, 2015.

City Clerk

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

EXHIBIT 1 TO RESOLUTION NO. 5592
April 2015 Capital Improvement Plan Update

Project Number	Project Name	Estimated 13/14 Cost	Project Description	Priority	Funding Sources	Commence-ment Date
HI-03	Highway 101 Linden Avenue Interchange	\$2,000,000	Reconstruction of the Linden Avenue interchange. Includes new southbound on ramp and northbound off ramp, and the extension of Via Real from Casitas Pass Road to Linden Avenue.	High	DIF, STIP	In-Process-2015
HI-04	Highway 101 Casitas Pass Road Interchange	\$2,500,000	Reconstruction of the Casitas Pass Rd. interchange. Includes new northbound hook on and off ramps and the extension of Via Real from San Roque MHP to Casitas Pass Road.	High	DIF, STIP	In-Process - 2015

Subtotal

\$4,500,000

Exhibit 2 to Resolution No. 5592 Highway Interchanges and Bridges Development Impact Fee, as Amended	
Land Use	Proposed Highway Interchanges & Bridges DIF (effective 4/27/15)
Residential	\$ / unit
Single Family	4,486.64
Multiple Family	2,947.11
Congregate Care	923.72
Commercial/Industrial	\$ / gross sq. ft.
Commercial	12.84
Industrial	6.11
Resort/Hotel	\$ / room
Resort/Hotel	2,243.32



City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Adoption of Resolution No. 5609, a Resolution of Intention to set the assessment for the City of Carpinteria's Assessment District No. 5 for the 2015-16 Fiscal Year.

STAFF RECOMMENDATION

Action Item **X**; Non-Action Item ____

Recommendation: Accept and file the annual report and set a public hearing date for May 26, 2015, when the City Council will consider authorizing the continuation of the Assessment District No. 5 and ordering the improvement.

Motion: I move to accept and file the District No. 5 annual report and to adopt Resolution No. 5609, as read by title only, thereby setting the public hearing date for the City Council to consider setting the annual assessment and ordering the construction of the improvement for Assessment District No. 5

BACKGROUND

The Winter Protection Berm Assessment District No. 5 was formed by Resolution No. 3061, dated December 14, 1992 in order to fund the annual storm wave damage reduction program. Each year, it is necessary to hold a public hearing to receive public input including any protests prior to consideration of setting the annual assessment charged to properties within the District's boundaries.

The Winter protection Berm provides a measure of protection against ocean storm waves along the Carpinteria City Beach. In past years when strong storms have generated flood or erosion events along the City beach, the Winter Protection Berm program has worked well to prevent damage.

The City continues to work with the United States Army Corps of Engineers on a study to identify if alternate approaches might be provide a more optimal solution to the

chronic threat of ocean storm wave damage to public and private improvements along the ocean front.

DISCUSSION

The passage of the aforementioned Resolution is the next step toward meeting this objective. This Resolution sets the date of the Public Hearing for May 26, 2015 when final action on the setting of the annual assessment for the District may be taken pursuant to Government Code § 54954.6, that pertains to notice of assessments. Also attached to this report is the Assessment District No. 5 Report that is presented as ordered by City Council Resolution No. 5601.

This report contains the following:

- A. Plans and specifications of the winter berm project.
- B. An estimate of the costs of the project.
- C. An assessment role.
- D. A statement of the method in which project costs were apportioned to each benefited parcel of land within the district.
- E. A list of the names and addresses of each owner of property within the district's boundaries known to the City.
- F. An assessment diagram.
- G. A statement which confirms the collection of assessments for the project may be subsequent to the work for the project.
- H. A statement of the total amount of assessments for all properties within district's boundaries which remains unpaid and the total true value, as near as may be determined, of the parcels of land and improvements that are proposed to be assessed. This is provided pursuant to §2961 of California's Streets and Highways Code known as the Majority Protest Act of 1931.

POLICY CONSISTENCY

The City's winter protection berm is an important public safety project that protects public and private property improvements along the City Beach in the City of Carpinteria. The intended purpose of the berm is to provide a measure of protection to the housing front on the Carpinteria City Beach. Protection is needed during the winter in the event of a severe winter storm. Historically, powerful waves generated by large Pacific Ocean storms have been known to overrun and flood local beaches and coastal structures. The winter protection berm is intended to help reduce the frequency of damage to the housing front which otherwise might occur if no berm was built.

The Safety Element of the City's General Plan and Local Coastal Plan states the following:

Objective S-4: Minimize the potential risks and reduce the loss of life, property and the economic and social dislocations resulting from flooding.

Further policy consistency may be found in the Safety Element's Implementation Measure No. 13:

13. The City shall support and facilitate the current Army Corps of Engineers (ACOE) feasibility study and otherwise pursue long-term solutions for beach nourishment and establishment of a vegetated dune system at City Beach. As an interim measure, and with permission from the Coastal Commission and US Army Corps of Engineers, the City may construct a sand berm on the City Beach parallel to the homes fronting on the beach.

FINANCIAL CONSIDERATIONS

The City utilizes the revenue from Assessment District No. 5 to partially pay for the construction and removal of the Winter Protection Berm. Should the District not collect the funds as programmed, the City would need to find an alternative source of funds or discontinue the Winter Protection Berm Program.

The proposed action is the next step toward continuing the District providing funding for annual Beach Berm construction.

District funding	\$22,228.34
City Contribution	<u>\$15,271.66</u>
Total Annual Cost	<u>\$35,500.00</u>

LEGAL

The minimal protection provided by the berm is not intended to serve as a substitute for any private storm protection improvements or private flood insurance. The winter protection berm is not impervious to being destroyed itself. Even with the winter protection berm in place, the possibility of damage to public and private improvements by winter storms still exists.

OPTIONS

The City Council, at its discretion, may modify the findings of this report in any respect (§10300 Streets and Highway code) and the modified report will stand as the report for all subsequent proceedings except that the City Council may not change the boundaries of the District to include any territory that will not, in its judgment, be benefited by the improvement.

PRINCIPAL PARTIES EXPECTED AT MEETING

None

ATTACHMENTS

Resolution No. 5609

Staff contact: Matthew Roberts
(805) 684-5405 x449

Reviewed by: Dave Durflinger, City Manager


Signature


Signature

RESOLUTION NO. 5609

**RESOLUTION OF INTENTION BY THE CITY COUNCIL
OF THE CITY OF CARPINTERIA
TO SET THE ASSESSMENT FOR THE CITY OF CARPINTERIA'S
ASSESSMENT DISTRICT NO. 5 FOR THE 2015-16 FISCAL YEAR.
(PURSUANT TO THE MUNICIPAL IMPROVEMENT ACT OF 1913)**

**NOW THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA DOES
HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:**

SECTION 1. The City Council intends to set the amount of the assessment for the City's Assessment District No. 5 and to levy and collect this assessment pursuant to the Municipal Improvement Act of 1913, Streets and Highways Code.

SECTION 2. The improvements to be made in this Assessment District are generally described as follows:

The annual installation and removal of The Carpinteria Beach Winter Protection Berm that is located along the entire length of the Carpinteria City Beach between Linden Avenue and the western limits of the City of Carpinteria along the coastline.

SECTION 3. This assessment district is known as Assessment District No. 5, City of Carpinteria, Santa Barbara County, California. The general location of the Assessment District includes the area northerly of the Carpinteria City Beach and southerly of Sandyland Avenue in the City of Carpinteria.

SECTION 4. In accordance with the City Council's Resolution Initiating Proceedings, the City Parks and Recreation Director has filed with the City Clerk the report required by the Municipal Improvement Act of 1913. All interested persons are referred to that report for a full and detailed description of the improvements, the boundaries of the Assessment District and the proposed assessments upon assessable lots and parcels of land within the assessment district.

SECTION 5. On Tuesday, the 26th day of May, 2015, at the hour of 5:30 o'clock PM, or as soon thereafter as may be heard, the City Council will conduct a public hearing on the levying of the proposed assessment and ordering the construction of the improvement. The hearing will be held in the City Council Chambers located at 5775 Carpinteria Avenue, Carpinteria, California.

SECTION 6. By adoption of this Resolution, the City Council indicates its intention to comply with the requirements of the Special Assessment Investigation, Limitation and Majority Protest Act of 1931, Streets and Highways code §2800 and following including specifically §2960 and §2961 thereof.

SECTION 7. The City Clerk is authorized and has been directed to give the notice of this hearing as required by § 54954.6 of the Government Code.

PASSED, APPROVED AND ADOPTED, this 27th day of April, 2015 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER

ABSENT: COUNCIL MEMBER

Mayor, City of Carpinteria

Attest:

City Clerk, City of Carpinteria

I hereby certify that the foregoing Resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held this 27th day of April, 2015.

City Clerk, City of Carpinteria

Approved as to form:

Peter N. Brown,
on behalf of Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

City of Carpinteria Assessment District #5
The Carpinteria Beach Winter Protection Berm
District's Engineer's Report
Fiscal Year 2015 – 16

City of Carpinteria Assessment District #5
The Carpinteria Beach Winter Protection Berm
District's Engineer's Report
Fiscal Year 2015 – 16

This report contains the following parts:

- A. Plans and specifications of the winter berm project.
- B. An estimate of the costs of the project.
- C. An assessment role.
- D. A statement of the method in which project costs were apportioned to each benefited parcel of land within the district.
- E. A list of the names and addresses of each owner of property within the district's boundaries know to the City.
- F. An assessment diagram.
- G. A statement which confirms the collection of assessments for the project may be subsequent to the work for the project.
- H. A statement of the total amount of assessments for all properties with in district's boundaries which remains unpaid and the total true value, as near as may be determined, of the parcels of land and improvements that are proposed to be assessed. This is provided pursuant to §2961 of California's Streets and Highways Code known as the Majority Protest Act of 1931.

PART A

City of Carpinteria Assessment District #5

The Carpinteria Beach Winter Protection Berm

District's Engineer's Report

Fiscal Year 2015 – 16

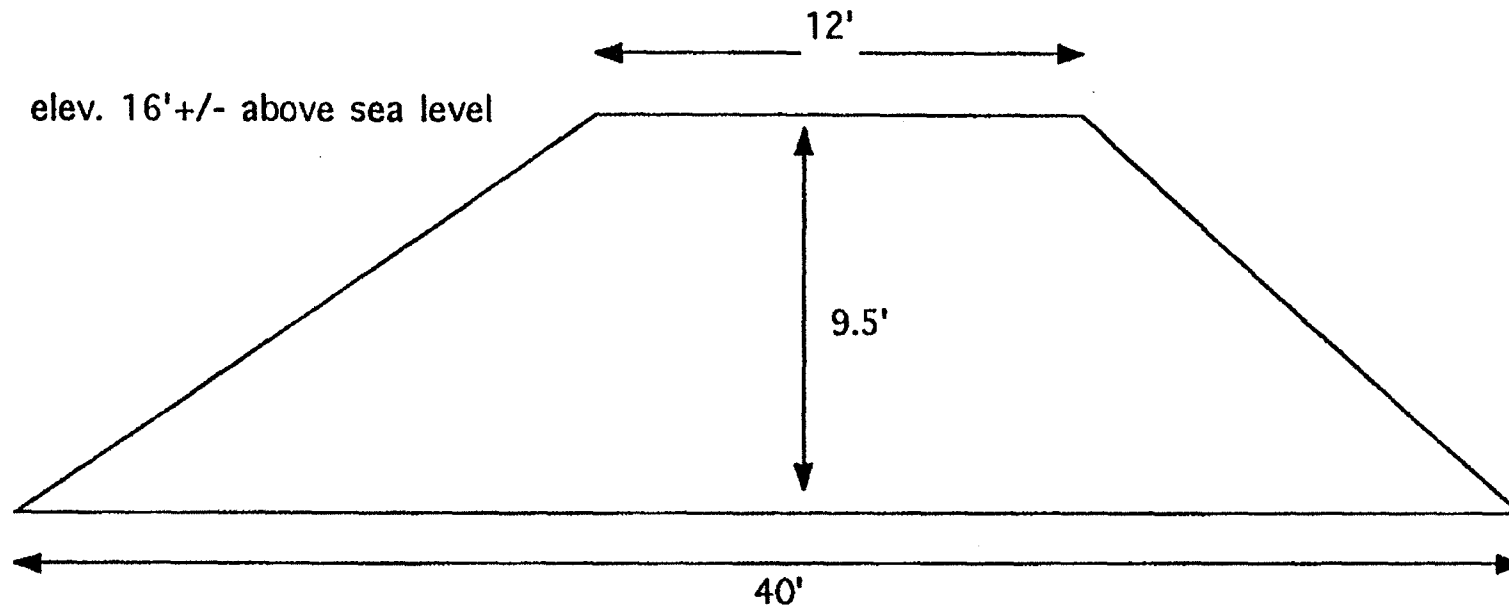
Engineer's Report
City of Carpinteria Assessment District # 5
The Carpinteria Beach Winter Protection Berm
April 27, 2015
Part A

Project Description.

The Carpinteria Winter Protection Berm is a Sand berm constructed in the fall of each year and then removed again in the spring. It is constructed of beach sand scraped from the upper tidal zone and placed in the back shore area of the City's beach. The berm is built along the entire City beach from the City's western most boundary to the west to the eastern limit of Linden Avenue on the east. The approximate length of the berm is 1,450 feet. Approximately twelve-thousand cubic yards of beach material are used in the construction of the berm. The berm is built to an approximate height of sixteen feet above sea level or higher depending upon field conditions and sand inventories.

The intended purpose of the berm is to provide a measure of protection to the housing front on the Carpinteria City Beach. Protection is needed during the winter in the event of a severe winter storm. Historically, powerful waves generated by large Pacific Ocean storms have been known to cause damage to local beaches and coastal structures. The winter protection berm is intended to help reduce the frequency of damage to the housing front which might otherwise occur if no berm was built. The minimal protection provided by the berm is not intended to serve as a substitute for any private storm protection improvements or private flood insurance. The winter protection berm is not impervious to being destroyed itself. Even with the winter protection berm in place, the possibility of housing front damage by winter storms is still present.

CITY OF CARPINTERIA WINTER PROTECTION BERM (TYPICAL SECTION)



Typical Section
(no scale)

Material for construction of berm shall be bulldozed from seaward side during low tide

Notes:

1. Typical section diagram is typical only and may vary depending upon field conditions
2. Estimated cubic yardage is 13,200 CY based on 1,440 linear feet. This will vary depending on field conditions.

Carpinteria City Beach

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ASH AVENUE

HOLLY AVENUE

SANDYLAND ROAD

ELM AVENUE

LINDEN AVENUE

Winter Protection Berm Project Site

PART B

City of Carpinteria Assessment District #5

The Carpinteria Beach Winter Protection Berm

District's Engineer's Report

Fiscal Year 2015 – 16

PART B
Engineer's Report
Estimate of Project Cost
Carpinteria City Beach Winter Protection Berm
Fiscal Year 2015-2016

The following estimate of project cost for the Carpinteria City Beach Winter Protection Berm is prepared in order to determine the anticipated cost of installing, maintaining, and removing the annual project. Variables that exist in this estimation include not knowing what beach conditions will be for the berm installation in the fall, what level of maintenance will be necessary to keep the berm intact during the winter and how much machine time will be needed to remove the berm in the springtime. Other components of the cost estimate are more reliable. These components include the cost of biological reporting and project administration.

The installation of the winter protection berm is accomplished by a negotiated contract pursuant to 22032(a) of the California Public Contract Code. This code states that public projects of forty-five thousand dollars (\$45,000) or less may be performed by the employees of a public agency by force account, by negotiated contract, or by purchase order.

The cost to construct the berm in 2014 / 2015 Fiscal Year is estimated to be \$12,000. This amount is based upon the following breakdown;

Machine time	\$12,000.00
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The quantity of maintenance needed throughout the winter storm season is based upon the severity of weather encountered during the time the berm is in place. Some winters require no maintenance while others can require a significant maintenance effort. An amount should be contributed each year in an effort to anticipate some level of maintenance. Again based upon empirical data, a contribution of five thousand dollars per year should on average be adequate.

\$5,000.00

Removal of the berm in order to return the berm material to the upper tidal zone and to reconfigure the beach to a natural spring profile also varies for several reasons. Should the winter be exceptionally mild, and the berm remains one hundred percent intact, a greater amount of time will be required to remove it. After some winter seasons, a significant portion of the berm is eroded away, reducing berm removal costs. Removal costs can thereby vary significantly from year to year.

An estimated cost for this procedure equals	\$12,000.00
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Biological monitoring is a requirement of the Army Corps of Engineers and California Coastal Commission who grants authority to the City to do this project. Under the monitoring plan, the California grunion and snowy plover bird must be studied each season in order to insure mechanical beach sand movement does not interfere with the

spawning or nesting grounds. This procedure is performed by City staff with consultation being performed by a qualified biologist.

The cost of this operation equals \$ 2,000.00

The general administration of the berm project overall includes the coordination for construction of the berm, supervision of the construction, any general or related tasks associated with berm management, monitoring of the berm in the winter to watch for berm erosion, preparation of the reports that must be submitted to the Army Corps of Engineers and the California Coastal Commission, berm assessment accounting and management

The cost of this operation equals \$4,500.00

Based, therefore, on the above anticipated cost of the project, the estimated cost in the fiscal year 2015-2016 would be thirty-five thousand five-hundred dollars. (\$35,500).

The Assessment District No. 5 total assessment was set in January 1997 by a mail ballot. In that process, the total District fees were set at **Twenty thousand two hundred twenty eight and 34/100 dollars (\$20,228.34).**

The City of Carpinteria may not raise that assessment without an affirmative vote of the affected property owners. As such, in 2015/16 the City will contribute to the District in an amount of fifteen thousand two-hundred seventy one dollars and 66 cents (\$15,271.66). **Therefore the net amount assessable on land within the district is Twenty thousand two hundred twenty eight dollars and 34 cents (\$20,228.34).**

PART C

City of Carpinteria Assessment District #5

The Carpinteria Beach Winter Protection Berm

District's Engineer's Report

Fiscal Year 2015 – 16

City of Carpinteria Assessment District #5 FY 2015-16

PART C An assessment role of the parcels
of land within the assessment district.

Owner	APN	Total Assessment
Joan Rock Bailard/ Bambi R. Bashore Seahorse Trust 1825 State Street #106, Santa Barbara, CA 93101	003-470-008	\$500.52
Claire Roberts 3330 Foothill Road, Carpinteria, CA 93013	003-470-010	\$494.21
Tharrell D./Dinah L. Ming 1113 Tam O Shanter Drive, Bakersfield, CA 93309	003-490-001	\$547.90
Brittany/Brook/Bridget Barnes / BABBB, LLC 210 South Orange Grove Boulevard, Pasadena, CA 91105	003-760-001	\$235.87
Judith Mulford 4709 Sandyland Road #2, Carpinteria, CA 93013	003-760-002	\$235.87
Tamara A. Campbell / Elsie Atkinson Family Trust 15421 Chemical Lane, Huntington Beach, CA 92647	003-760-003	\$235.87
James/Carol Miller 31838 Foxfield Drive, Westlake Village, CA 91361	003-760-004	\$235.87
William Grayer 14903 Valley Vista Blvd., Sherman Oaks, CA 91403	003-760-005	\$235.87
Adrienne A. Edmonston 467 Meadowbrook Drive, Santa Barbara, CA 93108	003-760-006	\$235.87
Greg/Deborah Galletly AMSS LLC 210 South Orange Grove Boulevard, Pasadena, CA 91105	003-760-007	\$235.87
Ruth Giacomuzzi 22419 Gilmore Street, Canoga Park, CA 91304-3707	003-760-008	\$235.87
Frank/ Margaret Roughan 884 Emerson Street, Thousand Oaks, CA 91362-2462	003-770-001	\$235.87
Judith Mulford 4709 Sandyland Road #2, Carpinteria, CA 93013	003-770-002	\$235.87
Rodney A./Ann B. Resnik 352 Calle Converse, Camarillo, CA 93010-1836	003-770-003	\$235.87
Rodney A./Ann B. Resnik 352 Calle Converse, Camarillo, CA 93010-1836	003-770-004	\$235.87
Lee/Krystyna Jamieson 3101 State Road, Bakersfield, CA 93308	003-800-001	\$235.87
Lee/Krystyna Jamieson 3101 State Road, Bakersfield, CA 93308	003-800-002	\$235.87
Dennis A. Maul Sales 2729 21st Street, Bakersfield, CA 93301	003-800-003	\$244.52
C/O Manager / 4975 Sandyland Road Association 4975 Sandyland Road #101, Carpinteria, CA 93013	003-830-001	\$193.80

City of Carpinteria Assessment District #5 FY 2015-16

PART C An assessment role of the parcels
of land within the assessment district.

Owner	APN	Total Assessment
Charles R./Cynthia G. Alleman / Robert Perreault 2004 N. Tracy Road, Simi Valley, CA 93063	003-830-002	\$193.80
Eric Schenker / Stanley L. Mayer, Trustee 3887 State Street #206, Santa Barbara, CA 93105	003-830-003	\$193.80
Mark/Fleur Chesler 4535 Encino Avenue, Encino, CA 91316	003-830-004	\$193.80
Vito Coletta 1466 Equistrian Avenue, Thousand Oaks, CA 91360	003-830-005	\$193.80
Karl Boeckmann 4194 Regal Oak Drive, Encino, CA 91436	003-830-006	\$193.80
Mark E./Kathleen D. Schroeder 5075 East Powers Avenue, Clovis, CA 93611	003-830-007	\$193.80
Luci Rogers 835 Holly Avenue, Carpinteria, CA 93013	003-830-008	\$193.80
Javier Echeverria 4403 Bear Mountain Blvd, Bakersfield, CA 93313	003-830-009	\$193.80
Peter Schiffrin, Trustee / The Estate of Leslie Schiffrin 9171 Gazette Avenue, Chatsworth, CA 91311-5918	003-830-010	\$193.80
Harvey C. Otis 3766 Oleander Drive, Highland, CA 92346	003-830-011	\$193.80
David/Curtis Blackburn PO BOX 4, Corona Del Mar, CA 92625	003-830-012	\$193.80
Kelly Palacios 585 Unique Lane, Simi Valley, CA 93065	003-830-013	\$193.80
Phyllis Keep/ Brian McKee 4212 E. Los Angeles Avenue #3441, Simi Valley, CA 93063	003-830-014	\$193.80
Nancy A. Wright 3153 Scottys Terrace, Simi Valley, CA 93063	003-830-015	\$193.80
Elizabeth Fortner Guyor P.O. Box 1530, Wrangell, AK 99929	003-830-016	\$193.80
Maury/Lisa Friedman 29480 Bertrand Drive, Agoura Hills, CA 91301-4126	003-830-017	\$193.80
Dawn Manolakos 1311 Kenwood Road, Santa Barbara, CA 93109	003-830-018	\$193.80
Peter C./Joanne C. Gerlach 1186 Deep Wood Drive, Westlake Village, CA 91362	003-830-019	\$193.80
Patricia Riner 23222 8th Street, Newhall, CA 91321	003-830-020	\$193.80
Jo Ann Day Trust 640 Terrace View Place, Port Hueneme, CA 93041	003-830-021	\$193.80

City of Carpinteria Assessment District #5 FY 2015-16

PART C An assessment role of the parcels
of land within the assessment district.

Owner	APN	Total Assessment
Mary Jane Mulholland 203 Quincy Avenue, Long Beach, CA 90803	003-830-022	\$193.80
Gerald Ryckman Trust 1784 Collingswood Court, Westlake Village, CA 91362-4730	003-830-023	\$193.80
Mike/Celine Kozman, Trustees / Family Trust 3630 Viewcrest Drive, Burbank, CA 91504	003-830-024	\$193.80
Robert/Jacquelyne Davis 12008 Wood Ranch Road, Granada Hills, CA 91344-2160	003-830-025	\$193.80
Mary P. Russo/Jose G. Sicari / Phillippe Sicari 32314 121 ST E, Juniper Hills, CA 93553	003-830-026	\$193.80
Laurence Press/ Marcela Ortuzar Press 10726 Esther Avenue, Los Angeles, CA 90064-3223	003-830-027	\$193.80
Daniel W./Janet L. Beaton 4611 Windsor Blvd, Cambria, CA 93428	003-830-028	\$193.80
Clifford/Patricia Aldrich 707 East Palm #A, Burbank, CA 91501	003-830-029	\$193.80
Stephen Genstil 798 Preakness Place, Newbury Park, CA 91320-4927	003-830-030	\$193.80
Francis X./Marion M. Schillo 91 Dombey Circle, Thousand Oaks, CA 91360	003-830-031	\$193.80
Karen Gichon-Marder 5321 Orrville Avenue, Woodland Hills, CA 91367	003-830-032	\$193.80
Linda Blackburn 8 Prado, Irvine, CA 92612	003-830-033	\$193.80
Hilda Murachanian 2370 Sherwood Road, San Marino, CA 91108	003-830-034	\$193.80
Marvin C./Jeanne C. Frey 29743 Arroyo Oak Lane, Castaic, CA 91384	003-830-035	\$193.80
Kathleen Bridgeland 2821 Westshire Drive, Los Angeles, CA 90068-1931	003-830-036	\$193.80
Darrell Friedman 31200 Cedar Valley Drive, Westlake Village, CA 91362-4035	003-840-013	\$251.03
Pat/Merry Henry 139 Wood Duck Avenue, Sanger, CA 93657	003-840-014	\$251.03
PANT PROPERTIES c/o Northridge Lumber Company 18537 Parthenia Street, Northridge, CA 91324-4026	003-840-015	\$251.03
Vincent Mezzio 18432 Oxnard Street, Ste. #202, Tarzana, CA 91356	003-840-016	\$251.03

City of Carpinteria Assessment District #5 FY 2015-16

PART C An assessment role of the parcels
of land within the assessment district.

Owner	APN	Total Assessment
Charles M./Bette L. Everett / Everett Trust 12-02-74 4925 Sandyland Road # E, Carpinteria, CA 93013	003-840-017	\$251.03
Mary M. Clark, Trustee 4925 Sandyland Road # F, Carpinteria, CA 93013	003-840-018	\$251.03
John D./Christina Lund 98 Olive Mill Lane, Santa Barbara, CA 93108	003-850-013	\$369.40
Jack L./Mechelle A. Sinclair 10811 Milstead Lane, Bentonville, AR 72712	003-850-014	\$251.03
Shelley Jensen 1025 Cheshire Hills Court Westlake Village, CA 91361	003-850-015	\$251.03
Joyce Resnik 888 Calle Del Norte, Camarillo, CA 93010	004-090-001	\$235.30
Louis/ Jacqueline Carnevale 4867 Sandyland # B, Carpinteria, CA 93013-2359	004-090-002	\$235.30
Brian G. Fitzgerald, Trustee 1935 Calamar Court, Camarillo, CA 93010-9279	004-090-003	\$235.30
John/Rosalia Zeolla 5327 Vanalden Avenue, Tarzana, CA 91356-3106	004-090-004	\$235.30
David/ Lorraine Paich 501 S. Beverly Dr., 3rd Floor, Beverly Hills, CA 90212-4520	004-091-001	\$235.24
Bank of America, Trustee, Attn: Russell Blair #66-90-090-8444374 901 Main Street, 16th Floor, Dallas, TX 75202	004-091-002	\$235.24
Judith Caratan 11611 Harrington Street, Bakersfield, CA 93311	004-091-003	\$235.24
Susan L. Caratan 3750 Three Bar Street, Bakersfield, CA 93314	004-091-004	\$235.24
Scott Harrison / Harrison Trust P.O. Box 81916, Bakersfield, CA 93308	004-091-005	\$235.24
Philip/Carole F. Gilligan 4885 Sandyland Road #6, Carpinteria, CA 93013	004-091-006	\$235.24
James F./Jennifer McIntosh 14919 La Cumbre Drive, Pacific Palisades, CA 90272	004-091-007	\$235.24
Richard De Mornay P.O. Box 4085, Santa Barbara, CA 93140-4085	004-091-008	\$235.24
Doris L. Ecker, Trustee 412 Oak Hill Drive, Belleville, ILL 62223-2236	004-101-001	\$219.45
Myron Cook 6612 Palm Tree Circle, Bakersfield, CA 93308	004-101-002	\$219.45

City of Carpinteria Assessment District #5 FY 2015-16

PART C An assessment role of the parcels
of land within the assessment district.

Owner	APN	Total Assessment
Joyce Resnik 888 Calle Del Norte, Camarillo, CA 93010-8442	004-101-003	\$219.45
Robert Schreiner 347 El Tuaca, Camarillo, CA 93010	004-101-004	\$219.45
Dennis/Angela Collier 185 Crestview Avenue, Camarillo, CA 93010-1759	004-101-005	\$219.45
John Lynn/Christina C. / Dewey Dewey Family Trust 2005 P.O. Box 907, Carpinteria, CA 93014	004-101-006	\$219.45
Katheryn A. Mullins, Trustee P. O. Box 156, Solvang, CA 93464-0156	004-101-007	\$219.45
Arthur J. Ecker, Trustee / Ecker Family Trust 14 Brentford Court #8, Camarillo, CA 93010-2013	004-101-008	\$219.45
Michael B. Duncan, Trustee P.O. Box 21235, Bakersfield, CA 93390	004-109-001	\$248.37
Michael B. Duncan, Trustee P.O. Box 21235, Bakersfield, CA 93390	004-109-002	\$248.37
Julio/Shirley M. Soares / Marina Shores 4681 Sierra Madre Rd., Santa Barbara, CA 93110	004-115-001	\$236.37
Julio/Shirley M. Soares / Marina Shores 4681 Sierra Madre Rd., Santa Barbara, CA 93110	004-115-002	\$236.37
Julio/Shirley M. Soares Marina Shores 4681 Sierra Madre Rd., Santa Barbara, CA 93110	004-115-003	\$236.37

PART D

City of Carpinteria Assessment District #5

The Carpinteria Beach Winter Protection Berm

District's Engineer's Report

Fiscal Year 2015 – 16

PART D
Engineer's Report
Method of Apportionment of Assessment
Carpinteria City Beach Winter Protection Berm
Fiscal Year 2015-2016

The Carpinteria City Beach Winter Protection Berm Project is constructed along the Carpinteria City Beach bordered on the east by Linden Avenue and to the west by Ash Avenue. The berm is constructed each fall in order to provide a measure of protection to the housing front from severe winter storms and wave action. In order to fairly assess the ocean front properties that directly benefit from the berm protection, a formula was developed which reflects the benefit each parcel of land receives.

First, the cost of providing the service is divided into fixed and variable costs. Fixed cost are those that are required regardless of the amount of sand actually moved. These include the permit compliance, biological monitoring and administration of the berm project. The fixed costs are apportioned equally to all properties within the boundaries of the assessment district. The contribution toward the maintenance fund is also included among the fixed costs. Variable costs include the cost of the actual machine time required to move the sand. Since properties in the District receive varying levels of benefit depending upon the property's length of beach frontage, variable cost is assigned to each property based upon its actual length of beach frontage. Condominium or apartment properties' beach frontage was determined by dividing the number of units in each complex by the length of the property's beach frontage. These variable and fixed costs were apportioned to each property and an assessment assigned as part of a Proposition 218 vote and the assessments have not been altered in subsequent years.

A City owned parcel within the District's boundaries is assessed in the same fashion as all other parcels within the District's boundaries in order to comply with Article XIII D of the California Constitution.

PART E

City of Carpinteria Assessment District #5

The Carpinteria Beach Winter Protection Berm

District's Engineer's Report

Fiscal Year 2015 – 16

City of Carpinteria Assessment District #5 FY 2015-16

PART E An assessment role of the parcels
of land within the assessment district.

	APN
Joan Rock Bailard/ Bambi R. Bashore / Seahorse Trust 1825 State Street #106, , Santa Barbara, CA 93101	003-470-008
Claire Roberts 3330 Foothill Road, , Carpinteria, CA 93013	003-470-010
Tharrell D./ Dinah L. Ming 1113 Tam O Shanter Drive, , Bakersfield, CA 93309	003-490-001
Brittany/Brook/Bridget Barnes BABBB, LLC 210 South Orange Grove Boulevard, , Pasadena, CA 91105	003-760-001
Judith Mulford 4709 Sandyland Road #2, , Carpinteria, CA 93013-2255	03-760-002
Tamara A. Campbell Elsie Atkinson Family Trust 15421 Chemical Lane, , Huntington Beach, CA 92647	003-760-003
James/ Carol Miller 31838 Foxfield Drive, , Westlake Village, CA 91361-4201	A03-760-004
William Grayer 14903 Valley Vista Blvd., , Sherman Oaks, CA 91403-4022	003-760-005
Adrienne A. Edmonston 467 Meadowbrook Drive, , Santa Barbara, CA 93108-2687	003-760-006
Greg/Deborah Galletly AMSS LLC 210 South Orange Grove Boulevard, , Pasadena, CA 91105	003-760-007
Ruth Giacopuzzi 22419 Gilmore Street, Canoga Park, CA 91304-3707	003-760-008
Frank/ Margaret Roughan 884 Emerson Street, , Thousand Oaks, CA 91362-2462	003-770-001
Judith Mulford 4709 Sandyland Road #2, , Carpinteria, CA 93013-2255	003-770-002
Rodney A./ Ann B. Resnik 352 Calle Converse, , Camarillo, CA 93010-1836	003-770-003
Rodney A./ Ann B. Resnik 352 Calle Converse, , Camarillo, CA 93010-1836	003-770-004
Lee/ Krystyna Jamieson 3101 State Road, , Bakersfield, CA 93308	003-800-001
Lee/ Krystyna Jamieson 3101 State Road, , Bakersfield, CA 93308	003-800-002
Dennis A. Maul Sales 2729 21st Street, , Bakersfield, CA 93301	003-800-003
C/O Manager 4975 Sandyland Road Association 975 Sandyland Road #101, , Carpinteria, CA 93013	003-830-001

City of Carpinteria Assessment District #5 FY 2015-16

PART E An assessment role of the parcels
of land within the assessment district.

	APN
Charles R./ Cynthia G. Alleman Robert Perreault 2004 N. Tracy Road, , Simi Valley, CA 93063	003-830-002
Eric Schenker Stanley L. Mayer, Trustee 3887 State Street #206, , Santa Barbara, CA 93105	003-830-003
Mark/ Fleur Chesler 4535 Encino Avenue, , Encino, CA 91316	003-830-004
Vito Coletta 1466 Equistrian Avenue, , Thousand Oaks, CA 91360	003-830-005
Karl Boeckmann 4194 Regal Oak Drive, , Encino, CA 91436	003-830-006
Mark E./ Kathleen D. Schroeder 5075 East Powers Avenue, , Clovis, CA 93611	003-830-007
Luci Rogers 835 Holly Avenue, , Carpinteria, CA 93013	003-830-008
Javier Echeverria 4403 Bear Mountain Boulevard, , Bakersfield, CA 93313	003-830-009
Peter Schiffrin, Trustee The Estate of Leslie Schiffrin 9171 Gazette Avenue, , Chatsworth, CA 91311-5918	003-830-010
Harvey C. Otis 3766 Oleander Drive, , Highland, CA 92346	003-830-011
David/ Curtis Blackburn PO BOX 4, , Corona Del Mar, CA 92625	003-830-012
Kelly Palacios 585 Unique Lane, , Simi Valley, CA 93065	003-830-013
Phyllis Keep/ Brian McKee 4212 E. Los Angeles Avenue #3441, , Simi Valley, CA 93063	003-830-014
Nancy A. Wright 3153 Scottys Terrace, , Simi Valley, CA 93063	003-830-015
Elizabeth Fortner Guyor P.O. Box 1530, , Wrangell, AK 99929	003-830-016
Maury/ Lisa Friedman 29480 Bertrand Drive, , Agoura Hills, CA 91301-4126	003-830-017
Dawn Manolakos 1311 Kenwood Road, , Santa Barbara, CA 93109	003-830-018
Peter C./ Joanne C. Gerlach 1186 Deep Wood Drive, , Westlake Village, CA 91362	003-830-019
Patricia Riner 23222 8th Street, , Newhall, CA 91321	003-830-020
Jo Ann Day Trust 640 Terrace View Place, , Port Hueneme, CA 93041	003-830-021

City of Carpinteria Assessment District #5 FY 2015-16

PART E An assessment role of the parcels
of land within the assessment district.

	APN
Mary Jane Mulholland 203 Quincy Avenue, Long Beach, CA 90803	003-830-022
Gerald Ryckman Trust 1784 Collingswood Court, , Westlake Village, CA 91362-4730	003-830-023
Mike/ Celine Kozman, Trustees Family Trust 3630 Viewcrest Drive, , Burbank, CA 91504	003-830-024
Robert/ Jacquelyne Davis 12008 Wood Ranch Road, , Granada Hills, CA 91344-2160	003-830-025
Mary P. Russo/ Jose G. Sicari Phillippe Sicari 32314 121 ST E, , Juniper Hills, CA 93553	003-830-026
Laurence Press/ Marcela Ortuzar Press 10726 Esther Avenue, , Los Angeles, CA 90064-3223	003-830-027
Daniel W./ Janet L. Beaton 4611 Windsor Blvd, , Cambria, CA 93428	003-830-028
Clifford/ Patricia Aldrich 707 East Palm #A, , Burbank, CA 91501	003-830-029
Stephen Genstil 798 Preakness Place, , Newbury Park, CA 91320-4927	003-830-030
Francis X./ Marion M. Schillo 91 Dombey Circle, , Thousand Oaks, CA 91360	003-830-031
Karen Gichon-Marder 5321 Orrville Avenue, , Woodland Hills, CA 91367	003-830-032
Linda Blackburn 8 Prado, , Irvine, CA 92612	003-830-033
Hilda Murachanian 2370 Sherwood Road, , San Marino, CA 91108	003-830-034
Marvin C./ Jeanne C. Frey 29743 Arroyo Oak Lane, , Castaic, CA 91384	003-830-035
Kathleen Bridgeland 2821 Westshire Drive, , Los Angeles, CA 90068-1931	003-830-036
Darrell Friedman 31200 Cedar Valley Drive, , Westlake Village, CA 91362-4035	003-840-013
Pat/ Merry Henry 139 Wood Duck Avenue, , Sanger, CA 93657	003-840-014
PANT PROPERTIES c/o Northridge Lumber Company 18537 Parthenia Street, , Northridge, CA 91324-4026	003-840-015
Vincent Mezzio 18432 Oxnard Street, Ste. #202, , Tarzana, CA 91356-1557	003-840-016

City of Carpinteria Assessment District #5 FY 2015-16

PART E An assessment role of the parcels
of land within the assessment district.

	APN
Charles M./ Bette L. Everett Everett Trust 12-02-74 4925 Sandyland Road # E, , Carpinteria, CA 93013-2357	003-840-017
Mary M. Clark, Trustee 4925 Sandyland Road # F, , Carpinteria, CA 93013-2357	003-840-018
John D./ Christina Lund 98 Olive Mill Lane, , Santa Barbara, CA 93108	003-850-013
Jack L./ Mechelle A. 10811 Milstead Lane, , Bentonville, AR 72712	003-850-014
Shelley Jensen 1025 Cheshire Hills Court, , Westlake Village, CA 91361	003-850-015
Joyce Resnik 888 Calle Del Norte, , Camarillo, CA 93010	004-090-001
Louis/ Jacqueline Carnevale 4867 Sandyland # B, , Carpinteria, CA 93013-2359	004-090-002
Brian G. Fitzgerald, Trustee 1935 Calamar Court, , Camarillo, CA 93010-9279	004-090-003
John/ Rosalia Zeolla 5327 Vanalden Avenue, , Tarzana, CA 91356-3106	004-090-004
David/ Lorraine Paich 501 S. Beverly Dr., 3rd Floor, , Beverly Hills, CA 90212-4520	004-091-001
Attn: Russell Blair #66-90-090-8444374 901 Main Street, 16th Floor, Mail Code: TX1-492-16-10, Dallas, TX 75202	004-091-002
Judith Caratan 11611 Harrington Street, , Bakersfield, CA 93311	004-091-003
Susan L. Caratan 3750 Three Bar Street, , Bakersfield, CA 93314	004-091-004
Scott Harrison Harrison Trust P.O. Box 81916, , Bakersfield, CA 93308	004-091-005
Philip/ Carole F. Gilligan 4885 Sandyland Road #6, , Carpinteria, CA 93013	004-091-006
James F./ Jennifer McIntosh 14919 La Cumbre Drive, , Pacific Palisades, CA 90272	004-091-007
Richard De Mornay P.O. Box 4085, , Santa Barbara, CA 93140-4085	004-091-008
Doris L. Ecker, Trustee 412 Oak Hill Drive, , Belleville, ILL 62223-2236	004-101-001
Myron Cook 6612 Palm Tree Circle, , Bakersfield, CA 93308	004-101-002

City of Carpinteria Assessment District #5 FY 2015-16

PART E An assessment role of the parcels
of land within the assessment district.

	APN
Joyce Resnik 888 Calle Del Norte, , Camarillo, CA 93010-8442	004-101-003
Robert Schreiner 347 El Tuaca, , Camarillo, CA 93010	004-101-004
Dennis/ Angela Collier 185 Crestview Avenue, , Camarillo, CA 93010-1759	004-101-005
John Lynn/ Christina C. Dewey Dewey Family Trust 2005 P.O. Box 907, , Carpinteria, CA 93014	004-101-006
Katheryn A. Mullins, Trustee P. O. Box 156, , Solvang, CA 93464-0156	004-101-007
Arthur J. Ecker, Trustee Ecker Family Trust 14 Brentford Court #8, , Camarillo, CA 93010-2013	004-101-008
Michael B. Duncan, Trustee P.O. Box 21235, , Bakersfield, CA 93390	004-109-001
Michael B. Duncan, Trustee P.O. Box 21235, , Bakersfield, CA 93390	004-109-002
Julio/ Shirley M. Soares Marina Shores 4681 Sierra Madre Rd., , Santa Barbara, CA 93110-1322	004-115-001
Julio/ Shirley M. Soares Marina Shores 4681 Sierra Madre Rd., , Santa Barbara, CA 93110-1322	004-115-002
Julio/ Shirley M. Soares Marina Shores 4681 Sierra Madre Rd., , Santa Barbara, CA 93110-1322	004-115-003

PART F

City of Carpinteria Assessment District #5

The Carpinteria Beach Winter Protection Berm

District's Engineer's Report

Fiscal Year 2015 – 16

DIAGRAM SHOWING EACH PARCEL TO BE INCLUDED IN THE ASSESSMENT DISTRICT # 5
SEE ASSESSOR'S PARCEL MAPS FOR
EXACT LINES AND DEMENSIONS OF EACH
PARCEL (ATTACHED)

ASH AVENUE

THIS DIAGRAM NOT TO SCALE.

HOLLY AVENUE

SANDYLAND ROAD

ELM AVENUE

LINDEN AVENUE

3-470-13

3-760-01,02,03
04,05,06,07,08
3-770-01,02
03,04

3-470-08

3-470-09

3-470-10

3-490-01

3-800-01, 02, 03

004-115-001,002,003

3-490-16, 17, 18, 19
20, 21, 22, 23

4-090-01, 02, 03, 04

4-091-01, 02, 03, 04
05, 06, 07, 08

3-850-13

3-850-14, 15

3-840-13,14,
15,16,17,183-830-01,02,03,04,05,06,07,08,09,
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BEACH

PART G

City of Carpinteria Assessment District #5

The Carpinteria Beach Winter Protection Berm

District's Engineer's Report

Fiscal Year 2015 – 16

Part G

Collection Statement

The Collection of Assessment District No. 5 funds may take place after the construction, maintenance and / or removal of each season's project. Should in good faith, the City of Carpinteria construct the winter protection berm as described in the Park and Recreation Director's report, and subsequently, the proposed assessments be adopted, the district will reimburse the City of Carpinteria for the current season's project costs to the extent described in the Park and Recreation Director's report.

PART H

City of Carpinteria Assessment District #5

The Carpinteria Beach Winter Protection Berm

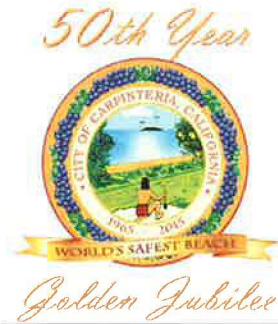
District's Engineer's Report

Fiscal Year 2015 – 16

PART H

Unpaid Assessment Statement

The total principal sum of unpaid assessments for all properties within the City of Carpinteria's Assessment District Number Five's boundaries which remain unpaid as computed pursuant to paragraph (1) of Subdivision (b) of §2961 of California's Streets and Highways Code known as the Majority Protest Act of 1931 is \$0.00. The total true value, as near as may be determined, of the parcels of land and improvements which are proposed to be assessed is equal to \$90,400,000.00 computed pursuant to paragraph (2) of subdivision (b) of §2961 of California's Streets and Highways Code known as the Majority Protest Act of 1931.



City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Closeout of City Maintenance Project No. 15018, Ninth St. Pedestrian Bridge Replacement Project

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Authorize the Mayor to sign the Closeout Agreement and Release of Claims for City Maintenance Project No. 15018 (Ninth St. Pedestrian Bridge Replacement Project) with J&H Engineering, and authorize the City Clerk to release Performance Bond No. 2190199 in the amount of \$231,248.15.

Motion: I move to authorize the Mayor to sign the Closeout Agreement and Release of Claims and direct the City Clerk to release the Performance Bond for Project No. 15018.

BACKGROUND

The Ninth Street Pedestrian Bridge improvement project is one of five City of Carpinteria grant applications funded in 2011 through the Measure A Safe Routes to School Program funds. The project was allocated \$105,000.

The existing bridge was found to be much older than originally estimated and was nearing the end of its useful life (**Figure 1**). The original rehabilitation project (estimated at \$210,000) would have extended the life of the bridge by only another 5-10 years before needing a complete replacement. In an effort to utilize City and Grant funds more efficiently, the Department of Public Works recommended that the scope of the project be changed to a bridge replacement with a preliminary engineer's estimate of \$240,000-290,000 for construction and a lifespan of 40-50 years.



Figure 1
Original Ninth Street Pedestrian Bridge

DISCUSSION

Public Works contracted Wallace Group to prepare the plans, specifications and estimates package for the bridge replacement. The Department also coordinated with Santa Barbara County Flood Control to obtain the required Secondary Use Agreement. In April 2014, City Council authorized Public Works to advertise for construction.

Bids from qualified contractors were solicited using the formal bid process required by California Public Contract Code, and the Uniform Construction Cost Accounting Commission Manual, as adopted by the City of Carpinteria. Requests for bids were issued on July 31, 2014 and a notice was posted in the Coastal View newspaper. Bids were received from three contractors and the lowest responsive bid was provided by J&H Engineering.

In August of 2014, City Council authorized the project to be awarded to J&H Engineering and approved a construction budget of \$274,372.97.

During construction, Public Works worked, as construction manager, closely with the geotechnical/concrete inspectors and the Contractor on site to verify all work was in accordance with the project plans and specifications as well as ensuring the inspection lab testing was performed at the appropriate times. The inspection lab results, as well as the helical pile foundation inspection summary, verified the materials were placed correctly and the City received a good product. The new bridge was opened to bike and pedestrian traffic in February 2015 (**Figure 2**).



Figure 2
Completed Ninth Street Pedestrian Bridge Replacement Project

POLICY CONSISTENCY

As required by the project specifications, the contractor and the City need to sign the Closeout Agreement and Release of Claims to finalize the project contract. The Department of Public Works, after inspection of the Contractor's work during and after the project, is satisfied that the Contractor completed the project according to the project plans and specifications and recommends that the City Council execute the Closeout Agreement. The Contractor has indicated that there are no pending claims and has signed the Closeout Agreement (**Attachment A**).

FINANCIAL CONSIDERATIONS

The Ninth Street Pedestrian Bridge Replacement Project was listed in the Departments Capital Improvements Program and the 2014/2015 Budget. The City authorized a construction budget of \$274,372.97 while the final construction cost was \$259,292.64. This project was funded by Measure A, Measure D and a Measure A Safe Routes To School Grant.

LEGAL

The Closeout Agreement is standard protocol according to the City's approved construction contract documents and boilerplate. The Agreement is required to complete the project.

OPTIONS

1. Approve and authorize the Mayor to Sign the Closeout Agreement and Release of Claims and direct the City Clerk to release the Performance Bond for Project No. 15018.
2. Decline to authorize the Mayor to Sign the Closeout Agreement and Release of Claims, not direct the City Clerk to release the Performance Bond for Project No. 15018, and provide further direction to staff.

PRINCIPAL PARTIES EXPECTED AT MEETING

There are no principal parties expected at the meeting.

ATTACHMENTS

Attachment A: Closeout Agreement for Project No. 15018

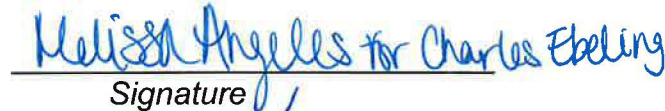
Attachment B: Notice of Completion for Project No. 15018

Staff contact:
Matt Maechler, P.E., Civil Engineer
(805) 684-5405, ext. 441,
mattm@ci.carpinteria.ca.us



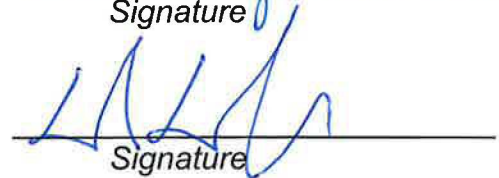
Signature

Reviewed by:
Charles W. Ebeling, P.E., T.E., Public Works Director



Signature

Reviewed by: Dave Durflinger, City Manager



Signature

ATTACHMENT A

O. CLOSEOUT AGREEMENT AND RELEASE OF CLAIMS

THIS AGREEMENT AND RELEASE OF CLAIMS (Agreement) is made in Carpinteria, California, this 16 day of, April, 2015, by and between the City of Carpinteria, (City), and J&H Engineering (Contractor).

KNOW ALL PERSONS BY THESE PRESENTS:

1. That the undersigned, as the authorized representative of Contractor, and for each of its successors, assigns and partners, for and in consideration of TWO HUNDRED THIRTY ONE THOUSAND TWO HUNDRED FORTY EIGHT (\$ 231,248.15), for the original Contract amount, and the sum of EIGHTEEN THOUSAND TWO HUNDRED FORTY FIVE (\$ 18,245.49) for Contract Change Orders Nos.(1) through FIVE (5), receipt of which is acknowledged, does release and forever discharge City, and each of its successors, assigns, council members, officers, agents, servants, volunteers and employees, from any and all rights, claims, causes of action, demands, debts, obligations, liabilities, actions, damages, costs and expenses (including but not limited to attorneys', paralegal and experts' fees, costs and expenses) and other claims, which may be asserted against City by reason of any matter or thing which was the subject matter of or basis for:
 - A. The performance of all terms and conditions of that certain agreement dated 9/15/2014, for City, project described as Ninth St. Pedestrian Bridge Replacement Project.
 - B. Change Orders Nos. one (1) through FIVE (5), as approved by the parties, pertaining to Purchase Order No. 1-5 and shown in Payment Request No. 4 AND 5 (), dated 4/9/2015.
2. Nothing contained in this Agreement shall waive or alter the rights, privileges, and powers of City or the duties, liabilities and obligations of Contractor and its surety(ies) in respect to any portion of the Contract.
3. City has received the following claims from Contractor NONE.
_____. Except as expressly provided in this section, City has received no other claims from Contractor.
4. Upon execution of this Agreement, City agrees to promptly record a Notice of Completion with the Santa Barbara County Recorder.
5. Contractor and City agree that the total adjusted Contract Price and time of performance after the execution of change orders, is as follows:

Original Contract Price	\$	<u>231,248.15</u>
Original Calendar Days		<u>60</u> days
Adjusted Contract Price	\$	<u>249,493.64</u>
Adjusted Calendar Days		<u>60</u> days
6. The current amount owing to Contractor is:

Adjusted Contract Price:	\$	<u>249,493.64</u>
Less: Amount Previously Paid (Request Nos. 1 through <u>5</u>)	\$	<u>(224,544.24)</u>
Retention	\$	<u>(24,949.40)</u>
BALANCE:	\$	<u>0.00</u>

The retention will be released to Contractor at the expiration of thirty-five (35) calendar days after the date of recording a Notice of Completion with the Santa Barbara County Recorder or when all stop notices have been released, whichever last occurs. The release provided pursuant to this Agreement shall not apply to Contractor's right to the retention amount until and to the extent such amounts are received by Contractor.
7. It is understood and agreed by Contractor that the facts with respect to which the release provided pursuant to this Agreement is given may turn out to be other than or different from the facts as now known or believed to be, and Contractor expressly assumes the risk of the facts turning out to be different than they now appear, and agrees that the release provided pursuant to this Agreement shall be, in all respects, effective and not subject to termination or rescission by any such difference in facts and Contractor expressly waives any and all rights it has or may have under California Civil Code Section 1542, which provides as follows:

"A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time of executing the Release which if known by him must have materially affected his settlement with the debtor."

8. The release made by Contractor is not to be construed as an admission or admissions of liability and Contractor denies any such liability. Contractor agrees that it will forever refrain and forebear from commencing, instituting or prosecuting any lawsuit, action or other proceeding against City based on, arising out of, or in any way connected with the subject matter of this release.
9. Except as specifically provided in this Agreement, the Contractor releases City from all claims, including but not limited to those of its Subcontractors for all delay and impact costs, if any.
10. The Contractor represents and warrants to City that Contractor has not assigned or transferred or purported to assign or transfer to any person, firm, corporation, association or entity any of the rights, claims, warranties, demands, debts, obligations, liabilities, actions, causes of action, damages, costs, expenses and other claims and Contractor agrees to indemnify and hold harmless City, its successors, assigns, council members, officers, agents, servants, volunteers and employees, from and against, without limitation, any and all rights, claims, warranties, demands, debts, obligations, liabilities, actions, causes of action, damages, costs, expenses and other claims, including but not limited to attorneys', paralegal and experts' fees, costs and expenses arising out of or connected with any such assignment or transfer or purported assignment or transfer.
11. The parties acknowledge that they have been represented by counsel of their own choice in connection with the preparation and execution of this Agreement. The parties acknowledge and represent that they understand and voluntarily consent and agree to each and every provision contained in this Agreement.
12. The persons executing this Agreement represent and warrant to the other party that the execution and performance of the terms of this Agreement have been duly authorized by all individual, corporate, partnership, or other entity requirements and that such persons have the right, power, legal capacity and authority to execute and enter into this Agreement.
13. The parties further acknowledge and represent that no promise, inducement or agreement, not expressed in this Agreement, have been made and that, with respect to the matters considered, this Agreement contains the entire agreement among the parties and that the terms of the Agreement are contractual and not a mere recital.

APPROVED AS TO FORM:

CITY OF CARPINTERIA

a Municipal Corporation

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
acting as City Attorney of the City of Carpinteria

Mayor

APPROVED AS TO CONTENT:

CONTRACTOR:

City Engineer

Sandra Jassetti
Authorized Signature

SECRETARY
Title

ATTEST:

City Clerk

ATTACHMENT B

RECORDING REQUESTED BY
AND WHEN RECORDED MAIL TO

City of Carpinteria
c/o City Clerk
5775 Carpinteria Avenue
Carpinteria, California 93013

NO FEE PER GOVERNMENT CODE SECTION 27383

Space above this line is for Recorder's use.

APN: NA

NOTICE OF COMPLETION

Notice is hereby given that:

1. The undersigned is owner or corporate officer of the owner of the interest or estate stated below in the property hereinafter described:
2. The full name of the owner is: City of Carpinteria, a Municipal Corporation
3. The full address of the owner is: 5775 Carpinteria Avenue
Carpinteria, California 93013
4. The nature of the interest or estate of the owner is; in fee.
5. A work of improvement on the property hereinafter described was completed on April 10, 2015 to the satisfaction of the City Engineer. The work done was: Ninth Street Pedestrian Bridge Replacement Project, City Maintenance Project No. 15018.
6. The name of the contractor, if any, for such work of improvement was: J&H Engineering.
7. The property on which said work of improvement was completed is in the City of Carpinteria, County of Santa Barbara, State of California, and is described as follows:

(Pedestrian bridge replacement over Franklin Creek at Ninth Street).

Dated: _____

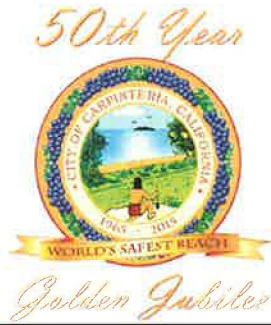
Signature of Owner or Agent

VERIFICATION

I, Dave Durflinger, City Manager of the declarant of the foregoing Notice of Completion; I have read said Notice of Completion and know the contents thereof; the same is true of my own knowledge. I declare under penalty of perjury that the foregoing is true and correct.

Executed on _____, 20____, at _____,
(Date of Signature) (City where signed)
California.

Dave Durflinger, City Manager



City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Report on Parking and Business Improvement Area Assessment District No. 4 for Fiscal Year 2015-16

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Adopt Resolution Nos. 5594 and 5595, acting to accept the mandatory annual report and budget and to set the matter of the continuation of Parking and Business Improvement Area District No. 4 for fiscal year 2015-2016 for public hearing at the regular City Council meeting of May 26, 2015.

Motion: I move to adopt Resolution Nos. 5594 and 5595, as read by title only, ~~thereby acting to accept the mandatory annual report and budget; and to set the matter of the continuation of Parking and Business Improvement Area District No. 4 for fiscal year 2015-16 for public hearing at the regular City Council meeting of May 26, 2015.~~

BACKGROUND

The Parking and Business Improvement Area (City Assessment District No. 4) was formed in September 1986 with the adoption of City Ordinance No. 393, pursuant to the California Parking and Business Improvement Area Law of 1979. Parking and Business Improvement Areas are formed to enhance a specific area and increase customer/tourist appeal. This has historically been accomplished through parking improvements, advertising/promotions, and increased public services like restroom and sidewalk cleanings. In 1989, the State of California repealed the Parking and Business Improvement Area Law of 1979 and enacted the Parking and Business Improvement Area Law of 1989 (Streets and Highways Code Section 36500 et seq.). This new law was the impetus for the adoption in 1990 of City Resolution No.1882, which appointed a required five member Parking and Business Improvement Advisory Board (PBIA Advisory Board) to advise the City Council regarding the level of assessments,

expenditure of revenues from the assessments, and to provide an annual report (Attachment A) outlining certain activities within the District for the coming fiscal year.

On March 23, 2015, by Resolution No. 5593, the City Council ordered a report on the status and projections for Carpinteria Parking and Business Improvement Area District No. 4 for Fiscal Year 2015-16.

This matter will allow the City Council, if determined appropriate, to accept the Report and to set a public hearing date for its consideration of continuing the District.

DISCUSSION

The Board has a duty to prepare and present an Annual Report to the City Council that addresses all matters relating to the Assessment District, including the methods and basis of levying the assessments and recommendations on the use of funds collected by the District. Additionally the Board makes recommendations to the City Council for general promotion of business activities, economic growth and stability of the District, and general maintenance issues within the Downtown-T.

The PBIA Advisory Board, at their January 3, 2014 meeting, passed a motion to recommend changing its name from the Parking and Business Improvement Area Advisory Board (PBIAAB) to the Downtown-T Business Advisory Board (DTBAB).

At its April 16, 2015 meeting, the Downtown-T Business Advisory Board passed a motion recommending that its Annual Report (Attachment A) be forwarded to the City Council. The Annual Report contains the following recommendations:

That the General Assessment Fee, applicable to all businesses located within the boundaries of Assessment District No. 4, remain at \$119.00 annually, and that the application of the inflationary index on the general assessment continue to be suspended for FY 2015-16.

Special Parking Assessment Fees, which apply only to selected businesses, remain the same from prior years as detailed below.

Parking Lot #1 and Parking Lot #2

Parking Lots #1 and #2 are paid off. No Change.

Parking Lot #3

Board recommends the assessments for Parking Lot #3 (Sub Area "B"), based on the parking space shortfall per business, remain at \$51.00 per space for FY 2015 - 16.

The Board recommends that the PBIA Fund continue to spend up to \$1,800 per parade for promotion until the Board acts to raise it. The remainder of parade costs for safety and janitorial services (approximately \$2,200) would be funded from the General Fund.

Although there are occasionally delinquencies in the payment of general business assessment fees, delinquent merchants continue to receive the benefits funded by the business assessment fees. The Downtown-T Business Advisory Board urges the City Council to continue to support staff in vigorously pursuing delinquent assessment fees, up to and including Small Claims proceedings, with any appeal made directly to the City Council.

Unpaid balances from an individual merchant continue to *not* be forgiven, rather that the balance due be carried forward and added to assessments billed to that business in the next fiscal year.

Any deficiencies in parking assessments continue to be financed through the City's General Fund. The Board has a duty to uniformly and equitably apply the parking fees throughout the Assessment District and does not feel the burden from a shortfall of funds between the parking fees assessed and collected; the amount charged for debt service and/or uncollected revenue, should be placed on other businesses in the District.

The PBIA District spends approximately \$13,000 on various Downtown T promotions and related activities including the Independence Day Parade, Halloween Trick or Treat, the Holiday Spirit Parade, and operation of the light pole banner program. Over the last 20 years, expenses for maintaining the City's downtown infrastructure have grown considerably. In the 1990's the City improved the Downtown with new pavement and sidewalks, landscaping, decorative street lighting and street furniture (benches, trash receptacles, newspaper vending racks, etc.) The City of Carpinteria now spends from Public Works funds, such as Gas Tax and Measure A funds, approximately \$10,000 per year on steam cleaning the Downtown sidewalks, approximately \$50,000 on operating and maintaining the restrooms adjacent to Parking Lot Nos. 1 and 3, and approximately \$20,000 on landscaping, lighting and street furniture maintenance. The District's Sub-Area "B" also collects an assessment to pay for a portion of the Certificates of Participation distributed for Parking Lot No. 3.

POLICY CONSISTENCY

The preparation and adoption of the Annual Report is consistent with the requirements laid out in Chapter 3 and 4 of the "Parking and Business Improvement Area Law of 1989" of the California Streets and Highways Code.

FINANCIAL CONSIDERATIONS

Total revenue from the District is projected to be \$27,975. The District collects fees from two main categories: General Assessment Fees and Special Parking Assessment Fees. Attachments C and D detail the state of current fund transactions, the financial condition of the district and the proposed assessment roll for fiscal year 2015-16. A description of the fees, including their status, is provided below:

General Assessment Fees: apply to all businesses within the district and are currently set at \$119.00 per business, and are used for promotion, maintenance, and revitalization activities within the District. As discussed above, the General Assessment is proposed to remain at \$119.00.

Special Parking Assessment Fees: apply only to selected businesses and are based on parking shortfalls as specified by City Zoning Code and a benefit ratio. The benefit ratio is based on a business's proximity to the location of a specific parking improvement and is used for acquisition, construction, and maintenance of those specific sub area parking improvements. The City has three publicly owned parking lots that are improved through special assessments. Only one special parking assessment (Parking Lot #3) remains active.

Parking Lot # 1 (Located on 9th Street between Yucca Lane and Elm Street)

The funding program for this parking lot, which was financed through the sale of serial bonds, was paid off in 1988 by means of property assessments on the individual property owners. In FY 1996-97 an assessment fee was levied on businesses adjacent to Parking Lot #1 to reimburse the City for half of the costs incurred for resurfacing of the parking lot. Final payments on this assessment were completed in June, 2006.

Parking Lot #2 (Located on Cactus Lane)

The funding program for Parking Lot #2 was established in FY 1987-88. SB 959 Grant monies were used to fund a portion of the cost and funding of the balance was shared equally by the City and the businesses located in the area of benefit. The business share of the assessment was paid off in June, 1997.

Parking Lot #3 (Located at the Amtrak Station on Linden Ave. and Fifth Street)

Parking Lot #3 was completed in FY 1989-90. SB 959 Grant monies were used on this project and, since this lot was intended to benefit both the downtown and the beach area, a portion of the cost was funded through the Tidelands Trust Fund as well as the City's General Fund. Businesses located in the proximity of Parking Lot #3 (also known as Sub Area "B") are currently paying an assessment of \$51.00 per parking space shortfall. This debt will be paid off in 2018.

Due to vacancies and delinquencies, there is a potential to create a shortfall in the PBIA budget. Monitoring of the PBIA funds and expenses throughout the year can manage the shortfall. Should a shortfall result, the City may contribute an allocation from the General Fund.

The projected fund balance at the end of this fiscal year (FY 2014-15) is \$48,651.89. Estimated revenue for Fiscal Year 2015-16 is \$27,975 and the proposed budget is \$27,975. The proposed budget can be found in Attachment A and includes expenditures for Downtown events and activities.

LEGAL

Assessments for District No. 4 are made on businesses located within the improvement area; not on property owners. As such these assessments are not subject to the provisions of Proposition 218. It is possible to establish a district that is property based making collections through the County property tax roll. Such a district and assessments would; however, be subject to Proposition 218 requirements.

OPTIONS

The City Council could choose to:

1. Levy assessments at the levels discussed in the staff report, or
2. Levy assessments at their current levels with a General Fund subsidy, or
3. Levy assessments at some intermediate level.

PRINCIPAL PARTIES EXPECTED AT MEETING

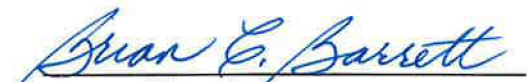
There are no principal parties expected at the meeting.

ATTACHMENTS

Attachment A:	Downtown-T Business Advisory Board Annual Report & FY 2015-16 Proposed Budget
Attachment B:	Map of the District Boundaries
Attachment C:	State of Fund Transactions and Financial Condition for the District
Attachment D:	Proposed Assessment Roll for 2015-16
Attachment E:	Resolution No. 5594
Attachment F:	Resolution No. 5595

Staff contact:

Brian C. Barrett, Management Analyst
(805) 684-5405, ext. 446
brianb@ci.carpinteria.ca.us


Signature

Reviewed by:

John Thornberry, Administrative Services Director


Signature

Reviewed by: Dave Durflinger, City Manager


Signature

ATTACHMENT A

**Downtown-T Business Advisory Board Annual Report & FY 2015-16 Proposed
Budget**

**City of Carpinteria
Downtown-T Business Advisory Board**

**ANNUAL REPORT RELATIVE TO
ASSESSMENT DISTRICT NO.4
Submitted April 27, 2015**

BOARD RESPONSIBILITIES

In compliance with local and State regulations, the Downtown-T Business Advisory Board (DTBAB) is responsible for providing an operational and financial mechanism to acquire, construct, and maintain off-street public parking facilities. Additionally the Board makes recommendations to the City Council for general promotion of business activities, economic growth and stability in the District, and general maintenance issues within the Downtown-T. The Board has a duty to prepare and present an Annual Report to the City Council that addresses all matters relating to the Assessment District, including the methods and basis of levying the assessments and recommendations on use of funds collected by the District. Prior to preparing this report the Board reviewed the status of delinquent assessment fees and changes that have taken place on the assessment roll during the past year.

BACKGROUND

California State Law and Streets and Highways Code Sections 36500-36504 prescribe the process for levying assessments and establish guidelines for the appointment of an Advisory Board to make recommendations on assessments and use of funds collected by the Assessment District. The Carpinteria Downtown-T Business Advisory Board is made up of five members appointed by the Mayor, subject to the advice and consent of the City Council. They serve at the pleasure of the City Council without pay. Three of the members must represent businesses paying assessment fees in Assessment District No. 4. The remaining two members, while preferably business-related and representative of the Assessment District, may be any qualified persons as determined by the Council.

ASSESSMENT DISTRICT NO. 4

Advisory Board

The Downtown-T Business Advisory Board meets at City Hall at 8:00 a.m. every other month on the first Thursday during the months of January, March, May, July, September, and November. Meetings are conducted in accordance with the Brown Act and are open to the public.

Current Board members:

Roxanne Barbieri (Chair)	Roxanne's A Wish and A Dream
Lynda Lang (Vice Chair)	Carpinteria Valley Chamber of Commerce
Gloria Tejada	Señor Frog's

The City is currently recruiting for the two vacancies on the Board, one vacancy must be a person paying assessments in Assessment District No. 4 while the remaining vacancy may be any qualified person as determined by the Council.

District Boundaries

The boundaries of Carpinteria Assessment District No. 4 include the areas adjacent to Linden Avenue from the railroad to Carpinteria Avenue and from Holly Avenue to Palm Avenue. All businesses located within the boundary of the Carpinteria Parking and Business Improvement Area District No. 4 are subject to a general business improvement assessment as set by City Ordinance and, where applicable, a special parking benefit assessment fee based on parking requirements as outlined in the City's zoning ordinance. The District Boundaries can be found in Attachment A.

PARKING ASSESSMENT

Businesses within the boundaries of the Assessment District are subject to a special parking benefit assessment fee, which is used to reimburse the City's General Fund for the costs associated with the City's three parking lots. By City Ordinance No. 393, the funds derived from this assessment must be used exclusively for the purpose of acquisition, construction, and maintenance of off-street parking.

The criteria used for establishing parking assessments is based on the parking requirement per the zoning ordinance, less any on-site parking provided by the business, adjusted to reflect the benefit derived from the parking lot based on distance and accessibility to the parking lot.

- **Parking Lot # 1** (Located on 9th Street between Yucca Lane and Elm Street)
The funding program for this parking lot, which was financed through the sale of serial bonds, was paid off in 1988 by means of property assessments on the individual property owners. In FY 1996-97 an assessment fee was levied on businesses adjacent to Parking Lot #1 to reimburse the City for costs incurred for resurfacing of the parking lot. Final payments on this assessment were completed in June, 2006.
- **Parking Lot #2** (Located on Cactus Lane)
The funding program for Parking Lot #2 was established in FY 1987-88. SB 959 Grant monies were used to fund a portion of the cost and funding of the balance was shared equally by the City and the businesses located in the area of benefit. The business share of the assessment was paid off in June, 1997.
- **Parking Lot #3** (Located at the Amtrak Station on Linden Ave. and Fifth Street)
Parking Lot #3 was completed in FY 1989-90. SB 959 Grant monies were used on this project and, since this lot was intended to benefit both the downtown and the beach area, a portion of the cost was funded through the Tidelands Trust Fund as well as the City's General Fund. Businesses located in the proximity of Parking Lot #3 are currently paying an assessment of \$51.00 per parking space shortfall. This assessment will be paid off in 2018.

Board Recommendation:

At a special meeting of the Downtown-T Business Advisory Board held on April 16, 2015, the Board passed a motion to make the following recommendations to the City Council:

Parking Lot # 1 and Parking Lot #2

Parking Lots #1 and #2 are paid off. No action taken by Board.

Parking Lot #3

Board recommends the assessments for Parking Lot #3, based on the parking space shortfall per business to remain at \$51.00 per space for FY 2015 - 2016.

GENERAL BUSINESS ASSESSMENT

All businesses located within the boundaries of District No. 4 are required to pay a general business assessment fee.

As outlined by City Ordinance No. 393, funds derived from the general business improvement assessment are restricted funds and must be used exclusively for the benefit of general business revitalization, promotion and improvement within the boundaries of the Parking and Business Improvement Assessment District No.4.

The General Business Assessment fee for all businesses located within the Parking and Business Improvement Area District No. 4 had not been increased since 1991 when City Resolution No. 1998 increased the assessment from the Fifty Dollars (\$50.00) set by Ordinance in 1988, to One Hundred Dollars (\$100.00) per business per year. On June 27, 2011, the annual general assessment was increased to One Hundred Fifteen Dollars (\$115.00) per business per year, including an annual inflationary adjustment with a maximum increase of 3% annually. In 2012, the general assessment fee was adjusted to \$117.00 and in 2013 was \$119.00 following the inflationary adjustments.

The annual inflationary index for this assessment is the Consumer Price Index for Urban Wage Earners and Clerical Workers for Los Angeles-Riverside-Orange County, CA. The calculation is based on a March to March increase evaluation, in accordance with other City fee adjustments.

Board Recommendation:

The Downtown-T Business Advisory Board on April 16, 2015, passed a motion to make the following recommendation to the City Council:

That the General Business Assessment annual fee is applicable to all businesses located within the boundaries of Assessment District No. 4, remain at \$119.00 per business and that the application of the inflationary index on the general assessment continue to be suspended for FY 2015-16.

FUNDING OF DTBAB EVENTS AND ACTIVITIES

With the adoption of a City Marketing Plan in 1993, the City Council authorized the Downtown-T Business Advisory Board (formerly the Parking and Business Improvement Area Advisory Board, or PBIAAB) to fund events and activities in the Downtown "T" with monies derived from the annual General Business Assessment fees. The use of the restricted business assessment fees as the primary funding source changed the scope of the 1993 City Marketing Plan, at least geographically, from focus on a "Community-wide" plan to a Downtown "T" plan.

The Downtown-T Business Advisory Board budget allocates the fees for planning and promotion of events held in the Downtown "T", as well as cooperative advertising programs, preparation and distribution of a courtesy map listing merchants in the Downtown "T", maintenance and replacement of the existing flag (banner) systems, a signage program, and many other maintenance/improvement activities.

It should be noted that the Project Carpinteria Committee, which was formed under the Marketing Plan and made up of PBIAAB members and representatives of the Carpinteria Valley Chamber of Commerce, has been dissolved and is no longer an active Committee under the Downtown-T Business Advisory Board.

In October, 2007 the City Council approved the formation of a more inclusive community committee, separating it from the PBIAAB. Funding for the new "Carpinteria First" Committee is provided by the General Fund, with the expectation that the Committee will focus on events and activities that benefit the entire community.

The Downtown-T Business Advisory Board continues to have the responsibility and duty to oversee the Assessment District parking and business assessment fees in compliance with State regulations, including the responsibility to promote and encourage the economic growth and stability of the district. The Board also continues to plan and promote activities and events in the Downtown "T".

The DTBAB reviewed the proposed 2015-16 budget prepared by City staff. The balanced budget included various promotional, marketing, improvements and special events that highlight the Downtown-T. In adopting the budget, the DTBAB recommended that the budget be reviewed during the next fiscal year to explore ways to reduce the costs of the special events and parades while increasing the funds allocated to promotions and marketing.

Board Recommendation:

The Downtown-T Business Advisory Board on April 16, 2015, passed a motion to make the following recommendation to the City Council:

The District to continue to spend up to \$1,800 per parade for promotion until the Board acts to raise it. The remainder of parade costs for safety and janitorial services (approximately \$2,200) would be funded through the General Fund.

PROPOSALS

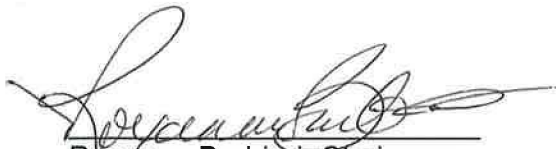
On April 16, 2015, the Downtown-T Business Advisory Board passed a motion to recommend the following proposals to the City Council:

1. Although delinquent in the payment of general business assessment fees, the delinquent merchants continue to receive the benefits funded by the business assessment fees. The Council is urged to continue to support staff in vigorously pursuing delinquent assessment fees, up to and including Small Claims proceedings, with any appeal made directly to the City Council.
2. Unpaid balances from an individual merchant continue to *not* be forgiven, but the balance due be carried forward and added to assessments billed to that business in the next fiscal year.
3. Any deficiencies in parking assessments continue to be financed through the City's General Fund. The Board has a duty to uniformly and equitably apply the parking fees throughout the Assessment District and does not feel the burden from a shortfall of funds between the parking fees assessed and collected and the amount charged for debt service and transfer created by vacancies and/or uncollected revenue should be placed on other businesses in the District.

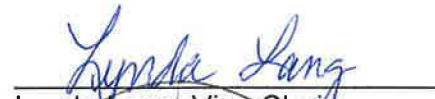
PRESENTATION OF REPORT

It is recommended that the City Council accept this report and continue the existing Parking and Business Improvement Area District No. 4 for Fiscal Year 2015-2016, including the various proposals and recommendations associated therewith as presented or with modification.

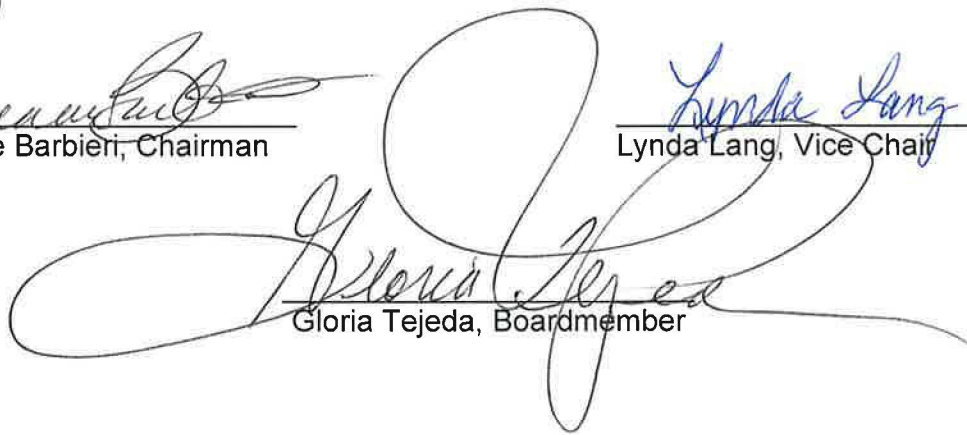
SUBMITTED April 27, 2015 by:



Roxanne Barbieri, Chairman



Lynda Lang, Vice Chair



Gloria Tejada, Boardmember

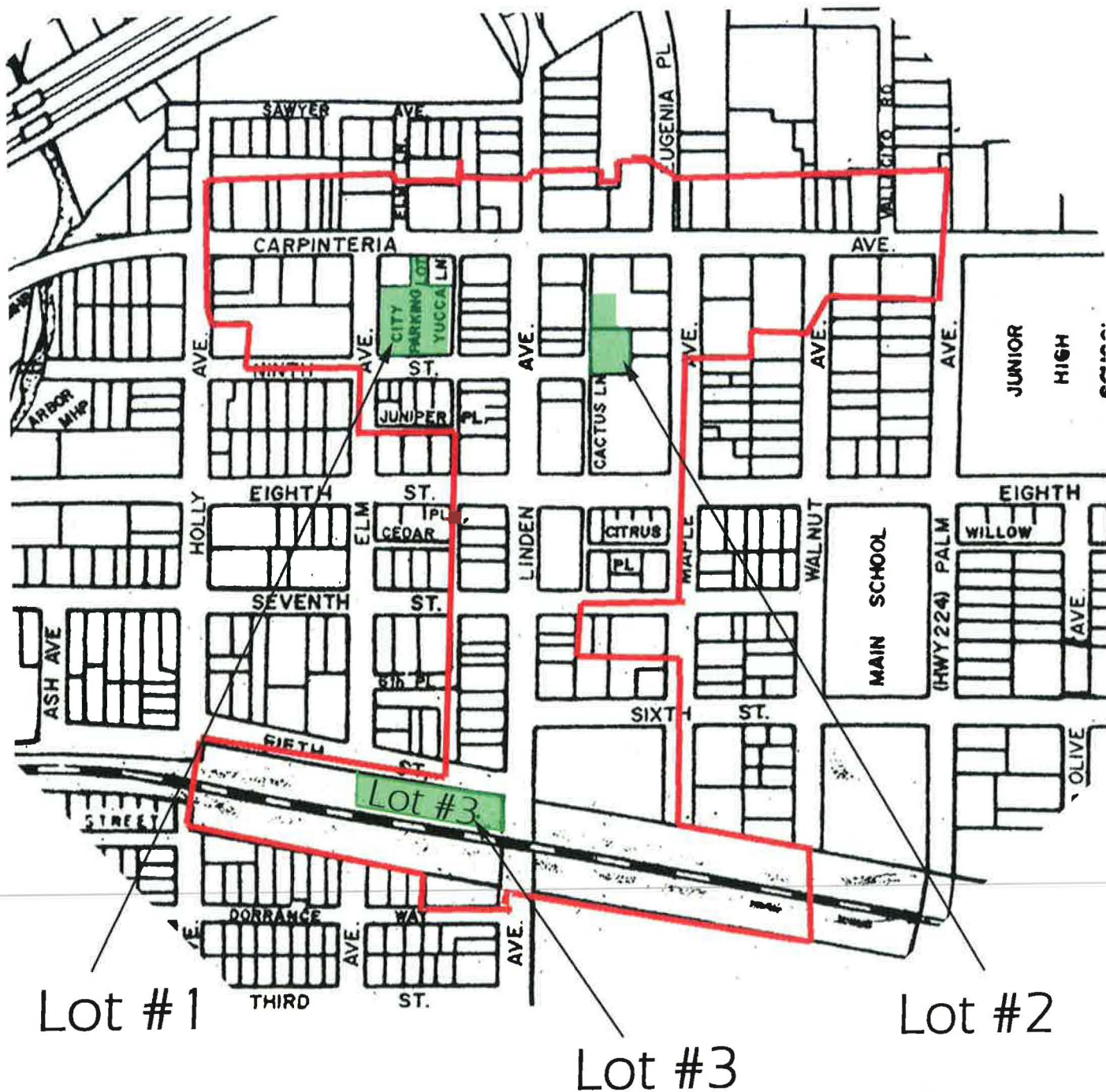
CITY OF CARPINTERIA
Assessment District No. 4
Parking and Business Improvement Area Fund 38
General Business Assessment Budget
FY 2015-2016

Estimated Fund Balance on 7/1/15 \$ 48,651.89
General Assessment \$ 119.00
Estimated General Assessment Revenue 2015-2016 \$ 14,875.00
Estimated Parking Lot Assessment Revenue 2015-16 \$ 13,100.00
Total Estimated Revenue \$ 27,975.00
Budgeted Expenditures \$ 27,975.00
Estimated General Fund Subsidy to Cover Parking Lot #3 Debt \$ 4,149.00

Item Description	FY 14/15 Actuals (Estimated)	FY 15/16 Proposed Budget	Revenue
MARKETING/PROJECT CARPINTERIA (38-45-22-2)			\$ 8,300.00
Independence Day & Holiday Spirit Parades	\$ 3,600.00	\$ 3,600.00	
Halloween Safe Trick or Treat Fliers and Bags	\$ 615.00	\$ 700.00	
Flags and Banners	\$ 469.08	\$ 1,000.00	
Shop, Play, Dine, Stay' and District Brochures	\$ -	\$ 2,400.00	
BMI/ASCAP Musical Licensing	\$ 435.00	\$ 500.00	
Miscellaneous	\$ 26.05	\$ 100.00	
SUB TOTAL	\$ 5,145.13	\$ 8,300.00	
DOWNTOWN T PROMOTIONS (38-45-22-8)			\$ 2,426.00
Advertising	\$ 182.00	\$ 2,000.00	
Miscellaneous	\$ -	\$ 426.00	
SUB TOTAL	\$ 182.00	\$ 2,426.00	
PARKING LOT #3 DEBT (38-78-53-3)			\$ 17,249.00
SUB TOTAL	\$ 17,249.00	\$ 17,249.00	
GRAND TOTAL	\$ 22,576.13	\$ 27,975.00	\$ 27,975.00
Unaudited Existing Fund Balance (Estimated)			\$ 52,800.89

ATTACHMENT B

Map of the District Boundaries



Attachment B

District Boundaries of Carpinteria
Parking and Business Improvement Area
District No. 4



Attachment B

Sub Area "B"

ATTACHMENT C

State of Fund Transactions and Financial Condition for the District

FUND 38
PARKING AND BUSINESS IMPROVEMENT AREA DISTR

	ACTUAL 2011-12	ACTUAL 2012-13	ACTUAL 2013-14	BUDGET 2014-15	PROJECTED 2015-16
A. SUMMARY OF FUND TRANSACTIONS:					
Fund Balance; July 1	19,153	20,301	16,678	16,678	19,099
Fiscal Year Revenues	27,829	25,248	48,745	30,396	30,396
Fiscal Year Expenditures	26,681	28,871	27,023	27,975	27,975
Fund Balance; June 30	20,301	16,678	38,400	19,099	21,520
Revenue Over/(Under) Expense	1,148	(3,622)	21,722	2,421	2,421
B. BALANCE SHEET, JUNE 30					
ASSETS:					
Cash in Savings	21,144	20,679	38,423	19,099	21,520
Time Deposits	0	0	0	0	0
Accounts Receivable	0	0	0	0	0
*Total Assets>>>>>>>>>>>>>>>>>>>>	21,144	20,679	38,423	19,099	21,520
LIABILITIES AND FUND BALANCE					
Accounts Payable	1,991	4,000	22	0	0
Fund Balance	20,301	16,678	38,400	19,099	21,520
*Total Liabilities & Fund Balance>>	22,292	20,678	38,422	19,099	21,520
C. FISCAL YEAR REVENUE DETAIL:					
Use of Money & Property	501	58	201	204	204
Assessment General BusinessDistrict #4 Assessme	13,232	14,397	17,204	14,756	14,756
Parking Lot Assessment	14,096	10,793	14,596	15,436	15,436
Transfers In	0	0	16,744	0	0
*Total Revenues>>>>>>>>>>>>>>>>>>>>	27,829	25,248	48,745	30,396	30,396
D. FISCAL YEAR EXPENDITURE DETAIL:					
Parking Lot #2 Payments					
Project Carpinteria					
Business Promotion/Revitalization	9,432	11,622	9,774	10,726	10,726
Parking Lot Maintenance	0	0	0	0	0
Parking Lot #3 Debt	17,249	17,249	17,249	17,249	17,249
Other Operating Expense	0	0	0	0	0
*Total Operating Expense>>>	26,681	28,871	27,023	27,975	27,975
Linden Avenue	0	0	0	0	0
Public Restroom					
*Total Capital Outlay Expense>>>>	0	0	0	0	0
*Total Expenditures>>>>>>>>>>>>>>>>>>>>	26,681	28,871	27,023	27,975	27,975

ATTACHMENT D

Proposed Assessment Roll for 2015-16

Lot 3 Assessment \$51.00
 Lot 1 Assessment \$0.00
 General Assessment \$119.00

LOT 3 ASSESSMENT 15,105.00
 LOT 3 COP PAYMENT 17,249.00
 G/F SUBSIDY (2,144.00)

Includes 0 occupants
 13 vacants

Proposed PBIA Assessment Roll FY 2015-16

16-Apr

Line #	Number	Address	Name	Gross Assessment		Benefit Adj Factor	Adjusted Assessment		Gen Bus Assess	Prior Year Unpaid Assessments	Total Assess	Sub Area	Total Sq Ft	Spaces Req	Spaces Provided	Deficit Lot 1	Deficit Lot 3	Assess Per Space		
				Lot 1	Lot 3		Lot 1	Lot 3												
				Totals				12.60											17,642.48	x=
	1	890	Cactus Lane		Petcetera	0.00	0.00	50.00%	0.00	0.00	119.00	0.00	119.00	C	900	3.60	4.00	0.00	0.00	0
	2	4806	Carpinteria Ave		Sansum Clinic CLOSED	0.00	0.00	30.00%	0.00	0.00	0.00	0.00	A	9,742	36.00	26.00	10.00	0.00	0.00	
	3	4819	Carpinteria Ave		VACANT LOT	0.00	0.00	30.00%	0.00	0.00	0.00	0.00	A	1,474	0.00	0.00	0.00	0.00	0.00	
	4	4835	Carpinteria Ave		Taqueria El Buen Gusto	0.00	0.00	30.00%	0.00	0.00	119.00	119.00	A	2,000	25.00	12.00	13.00	0.00	0	
	5	4846	Carpinteria Ave		Angels	2.50	0.00	30.00%	1.00	0.00	119.00	0.00	A	2,752	5.50	3.00	2.50	0.00	1	
	7	4850	Carpinteria Ave		Rockwell Cleaners	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	1,925	3.85	2.50	1.35	0.00	0.00	
	6	4850	Carpinteria Ave		Rockwell Printing	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	625	1.25	2.50	0.00	0.00	1	
	8	4856	Carpinteria Ave		Carpinteria - Summerland Coastal	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	624	1.24	1.00	0.24	0.00	0.00	
	9	4859	Carpinteria Ave		DNA Design & Art	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	1,250	2.50	5.00	0.00	0.00	0.00	
	10	4860	Carpinteria Ave		Coastal Liquor	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	1,900	3.80	1.00	2.80	0.00	0.00	
	11	4869	Carpinteria Ave		Husted Plumbing PO Box 812, Car	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	756	1.51	5.00	0.00	0.00	0	
	12	4890	Carpinteria Ave		Danny's Deli / Car Wash	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	1,056	7.00	7.00	0.00	0.00	0.00	
	13	4897	Carpinteria Ave		Beach Motors & Tires	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	1,854	3.70	5.00	0.00	0.00	0.00	
	14	4904	Carpinteria Ave		Nueva Imagen	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	680	1.36	1.00	0.36	0.00	0.00	
	15	4912	Carpinteria Ave		Casa Don Roge	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	680	1.36	1.00	0.36	0.00	0.00	
	16	4915-A	Carpinteria Ave		Peggy's Used Treasures	3.75	0.00	150.00%	6.00	0.00	119.00	0.00	A	2,375	4.75	1.00	3.75	0.00	1	
	17	4915-B/C	Carpinteria Ave		Seascape Realty	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	A	1,858	3.71	1.00	2.71	0.00	0.00	
	18	4915-D	Carpinteria Ave		Farmers Insurance	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	A	760	1.52	1.00	0.52	0.00	0.00	
	19	4915-F	Carpinteria Ave		Axis One Mortgage/CLOSED	0.00	0.00	150.00%	0.00	0.00	0.00	0.00	A	760	1.52	1.00	0.52	0.00	0.00	
	20	4915-G	Carpinteria Ave		A-1 Solar	0.00	0.00	150.00%	0.00	0.00	119.00	119.00	A	860	1.72	1.00	0.72	0.00	0	
	21	4915-H	Carpinteria Ave		Rhio Company, Inc	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	A	480	0.96	1.00	0.00	0.00	0.00	
	22	4916	Carpinteria Ave		Plaza Theatre	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	3,917	43.20	12.00	31.20	0.00	0.00	
	23	4920	Carpinteria Ave		Valley Barbers MAIL 4764 CARP A	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	680	1.36	1.00	0.36	0.00	0.00	
	24	4924	Carpinteria Ave		Puerto Vallarta	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	680	1.36	1.00	0.36	0.00	0.00	
	25	4928	Carpinteria Ave		La Tiendita	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	A	680	1.36	1.00	0.36	0.00	0.00	
	27	4939	Carpinteria Ave		Karen Gruszka Art Studio/CLOSED	0.00	0.00	150.00%	0.00	0.00	0.00	0.00	A	174	0.34	0.00	0.34	0.00	0.00	
	26	4939	Carpinteria Ave		Wally's World of Locks	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	A	633	1.27	0.00	1.27	0.00	0.00	
	28	4939	Carpinteria Ave		Bill's Coins	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	A	174	0.34	0.00	0.34	0.00	0.00	
	29	4943-B	Carpinteria Ave		L's Salon	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	A	633	1.27	0.00	1.27	0.00	0.00	
	30	4945	Carpinteria Ave		Lemos Feed & Pet Supply	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	A	4,500	14.99	4.00	10.99	0.00	0.00	
	31	4950	Carpinteria Ave		El Payasito	0.00	0.00	90.00%	0.00	0.00	119.00	119.00	A	496	1.00	2.00	0.00	0.00	0	
	32	4954	Carpinteria Ave		Carpinteria & Linden Pub	5.00	0.00	90.00%	5.00	0.00	119.00	0.00	A	1,100	7.00	2.00	5.00	0.00	1	

Lot 3 Assessment \$51.00
 Lot 1 Assessment \$0.00
 General Assessment \$119.00

LOT 3 ASSESSMENT 15,105.00
 LOT 3 COP PAYMENT 17,249.00
 G/F SUBSIDY (2,144.00)

Includes 0 occupants
 13 vacants

Proposed PBIA Assessment Roll FY 2015-16

16-Apr

Line #	Number	Address	Name	Gross Assessment		Benefit	Adjusted Assessment		Gen Bus	Prior Year	Total	Sub	Total	Spaces	Spaces	Deficit	Deficit	Assess
				Lot 1	Lot 3	Adj Factor	Lot 1	Lot 3	Assess	Unpaid	Assess							
				Totals	12.60	17,642.48	x=	13.00	15,105.00	15,946.00	476.00		31,540.00					
33	4960	Carpinteria Ave	Yesenia's Boutique	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	630	1.26	0.50	0.76	0.00	0.00
34	4961	Carpinteria Ave	Yudith's Salon	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	680	1.36	1.00	0.36	0.00	0.00
35	4962	Carpinteria Ave	El Corte Perfecto	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	630	1.26	0.50	0.76	0.00	0.00
36	4991	Carpinteria Ave	The Coffee Bean and Tea Leaf ma	0.36	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	680	1.36	1.00	0.36	0.00	1
37	4994	Carpinteria Ave	Bank of America	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	4,000	8.00	35.00	0.00	0.00	0.00
38	5003	Carpinteria Ave	Giovannis Pizza	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	4,000	28.00	10.00	0.00	0.00	0.00
39	5030	Carpinteria Ave	Rabobank	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	1,925	3.60	5.00	0.00	0.00	0.00
40	5032	Carpinteria Ave	Actief	0.00	0.00	90.00%	0.00	0.00	119.00	119.00	238.00	C	0	0.00	0.00	0.00	0.00	0
41	5034	Carpinteria Ave	Epsteen & Associates	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	700	2.00	2.00	0.00	0.00	0.00
42	5036	Carpinteria Ave	Epsteen & Associates	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00	0.00
43	5050	Carpinteria Ave	Jack's Famous Bagels	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	5,800	36.60	24.00	0.00	0.00	0.00
44	5065	Carpinteria Ave	RINCON BREWERY	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	4,250	8.50	7.00	0.00	0.00	0.00
45	5080	Carpinteria Ave	VACANT	0.00	0.00	125.00%	0.00	0.00	0.00	0.00	0.00	C	0	0.00	0.00	0.00	0.00	0.00
46	5085	Carpinteria Ave	Union 76	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	850	3.40	4.00	0.00	0.00	0.00
47	5096	Carpinteria Ave	Cabo's Baja Grill & Cantina	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	2,200	24.40	19.00	0.00	0.00	0.00
48	5100	Carpinteria Ave	Rincon Cycles	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	4,050	16.20	6.00	0.00	0.00	0.00
49	5100	Carpinteria Ave	Lucky Llama	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00	0.00
50	5205	Carpinteria Ave	Foster Freeze	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	2,800	21.20	18.00	0.00	0.00	0.00
51	5210	Carpinteria Ave	Karen Lacks & Co. Real Estate	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	3,150	12.60	0.00	0.00	0.00	0.00
52	5212	Carpinteria Ave	VACANT	0.00	0.00	70.00%	0.00	0.00	0.00	0.00	0.00	C	1,450	4.00	1.00	0.00	0.00	0.00
53	5250	Carpinteria Ave	Rusty's Pizza	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	2,840	29.00	22.00	0.00	0.00	0.00
54	5277	Carpinteria Ave	Eugenia Motel	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	0	12.00	0.00	0.00	0.00	0.00
55	5285	Carpinteria Ave	Carpinteria Toy Company	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C		0.00	0.00	0.00	0.00	0.00
56	5292	Carpinteria Ave	City Market Center	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00	0.00
57	5292	Carpinteria Ave	Tom Watts Automotive	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00	0.00
58	5292 - B	Carpinteria Ave	Miri Mara	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00	0.00
60	5025	Eighth Street	Hickey Bros Land Co.	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	B	0	0.00	0.00	0.00	0.00	0.00
59	5025	Eighth Street	Beach Medical Clinic	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	B	0	0.00	0.00	0.00	0.00	0.00
61	915	Elm Avenue	Carpinteria Valley Lumber	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	5,130	20.50	11.00	9.50	0.00	0.00
62	933	Elm Avenue	Carpinteria Landscape Nursery	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	700	4.69	5.00	0.00	0.00	0.00
146	929	Linden Ave #E	Tatiana's Pilates	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	A	545	1.09	0.00	1.09	0.00	0.00
64	389	Linden Avenue	The Spot	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	B	864	9.78	14.00	0.00	0.00	1

Lot 3 Assessment \$51.00
 Lot 1 Assessment \$0.00
 General Assessment \$119.00

LOT 3 ASSESSMENT 15,105.00
 LOT 3 COP PAYMENT 17,249.00
 G/F SUBSIDY (2,144.00)

Includes 0 occupants
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Proposed PBIA Assessment Roll FY 2015-16

16-Apr

Line #	Number	Address	Name	Gross Assessment		Benefit Adj Factor	Adjusted Assessment		Gen Bus Assess	Prior Year Unpaid Assessments	Total Assess	Sub Area	Total Sq Ft	Spaces Req	Spaces Provided	Deficit Lot 1	Deficit Lot 3	Assess Per Space
				Lot 1	Lot 3		Lot 1	Lot 3										
			Totals	12.60	17,642.48	x=	13.00	15,105.00	15,946.00	476.00	31,540.00							
65	501	Linden Avenue	City Market #15	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	B	2,080	4.16	9.00	0.00	0.00	0.00
66	507	Linden Avenue	Esau's	0.00	1,020.00	125.00%	0.00	1,275.00	119.00	0.00	1,394.00	B	1,900	20.00	0.00	0.00	20.00	51.00
67	509	Linden Avenue	Jiramat Ketloy	0.00	772.65	125.00%	0.00	966.00	119.00	0.00	1,085.00	B	2,075	15.15	0.00	0.00	15.15	51.00
68	550	Linden Avenue	Kilovac Corporation/Tyco	0.00	3,737.28	125.00%	0.00	4,672.00	119.00	0.00	4,791.00	B	40,000	80.28	7.00	0.00	73.28	51.00
69	600	Linden Avenue	Prufrocks Bed & Breakfast/VACAN	0.00	153.00	100.00%	0.00	0.00	0.00	0.00	0.00	B	3,200	7.00	4.00	0.00	3.00	51.00
70	606	Linden Avenue	Blum & Sons Electric	0.00	120.36	70.00%	0.00	84.00	119.00	0.00	203.00	B	3,184	6.36	4.00	0.00	2.36	51.00
71	619	Linden Avenue	Tidepools	0.00	96.90	70.00%	0.00	68.00	119.00	0.00	187.00	B	950	1.90	0.00	0.00	1.90	51.00
72	655	Linden Avenue	Coast Calibration Co., Inc	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	B	3,300	3.00	6.60	0.00	0.00	0.00
73	659	Linden Avenue	Rincon Designs	0.00	53.04	70.00%	0.00	37.00	119.00	0.00	156.00	B	2,520	5.04	4.00	0.00	1.04	51.00
74	666	Linden Avenue	GiannFranco's Trattoria	0.00	510.00	70.00%	0.00	357.00	119.00	0.00	476.00	B	1,200	10.00	0.00	0.00	10.00	51.00
75	686	Linden Avenue	Sly's Steakhouse & Seafood	0.00	1,479.00	70.00%	0.00	1,035.00	119.00	0.00	1,154.00	B	3,319	33.00	4.00	0.00	29.00	51.00
76	699	Linden Avenue	Tony's Restaurant	0.00	357.00	50.00%	0.00	179.00	119.00	0.00	298.00	B	2,109	20.00	13.00	0.00	7.00	51.00
77	700	Linden Avenue	Austin's Home Center/CLOSED	0.00	1,173.00	50.00%	0.00	0.00	0.00	0.00	0.00	B	15,000	30.00	7.00	0.00	23.00	51.00
78	701	Linden Avenue	The Palms Restaurant	0.00	1,938.00	50.00%	0.00	969.00	119.00	0.00	1,088.00	B	1,890	47.00	9.00	0.00	38.00	51.00
79	741	Linden Avenue	Oaxaca Fresh	0.00	561.00	50.00%	0.00	281.00	119.00	0.00	400.00	B	1,530	15.00	4.00	0.00	11.00	51.00
81	751	Linden Avenue	Rainbow Ice Cream	0.00	151.47	50.00%	0.00	76.00	119.00	0.00	195.00	B	1,988	4.97	2.00	0.00	2.97	51.00
80	751	Linden Avenue	Tacos Don Roge	0.00	344.25	50.00%	0.00	172.00	119.00	0.00	291.00	B	440	6.75	0.00	0.00	6.75	51.00
82	768	Linden Avenue	Traveling Pants	0.00	2.20	50.00%	0.00	1.00	119.00	0.00	120.00	B	1,100	2.20	0.00	0.00	2.20	1
83	771	Linden Avenue	Lola Bella Salon	0.00	0.00	50.00%	0.00	0.00	119.00	0.00	119.00	B	1,038	2.07	3.00	0.00	0.00	0.00
84	776	Linden Avenue	Vintage Blues	0.00	40.80	50.00%	0.00	20.00	119.00	0.00	139.00	B	900	1.80	1.00	0.00	0.80	51.00
85	794	Linden Avenue	Beach Liquors/ Tacos To Go	0.00	102.00	50.00%	0.00	51.00	119.00	0.00	170.00	B	1,500	3.00	1.00	0.00	2.00	51.00
86	801	Linden Avenue	Rite Aid #5776	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	6,400	12.80	14.00	0.00	0.00	0.00
87	850	Linden Avenue	Von's Market (see mailing address)	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	27,720	110.90	138.00	0.00	0.00	0.00
88	855	Linden Avenue	Carpinteria Valley Art Council (po k	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	600	1.20	0.90	0.30	0.00	0.00
89	865	Linden Avenue	Cajun Kitchen	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	1,656	15.32	0.00	15.32	0.00	0.00
90	873	Linden Avenue	Island Outfitters on Linden	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	2,160	4.32	4.41	0.00	0.00	0.00
91	877	Linden Avenue	Linden Laundromat	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	1,080	2.16	2.20	0.00	0.00	0.00
92	887	Linden Avenue	Head to Toe	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	1,080	2.16	2.20	0.00	0.00	0.00
93	888	Linden Avenue	Neumann Mendro Andrulaitis Arch	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	3,395	6.75	0.09	0.00	0.00	0.00
94	892	Linden Avenue	Senor Frogs	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	1,800	11.00	0.56	0.00	0.00	0.00
95	897	Linden Avenue	Reynaldo's Bakery	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	1,363	2.73	2.78	0.00	0.00	0.00
96	900	Linden Avenue	Robitaille's Fine Candy	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	3,750	7.50	1.40	0.00	0.00	0.00

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16-Apr

Line #	Number	Address	Name	Gross Assessment Lot 1	Gross Assessment Lot 3	Benefit Adj Factor	Adjusted Assessment Lot 1	Adjusted Assessment Lot 3	Gen Bus Assess	Prior Year Unpaid Assessments	Total Assess	Sub Area	Total Sq Ft	Spaces Req	Spaces Provided	Deficit Lot 1	Deficit Lot 3	Assess Per Space
Totals				12.60	17,642.48	x=	13.00	15,105.00	15,946.00	476.00	31,540.00							
97	901	Linden Avenue	The Beach Bowl	0.00	0.00	80.00%	0.00	0.00	119.00	0.00	119.00	A	700	1.60	0.61	0.99	0.00	0
98	901-B	Linden Avenue	The Gym Next Door	0.99	0.00	80.00%	1.00	0.00	119.00	0.00	120.00	A	700	1.60	0.61	0.99	0.00	1
99	901-C	Linden Avenue	Coast Auto Parts	0.00	0.00	80.00%	0.00	0.00	119.00	0.00	119.00	A	866	1.73	1.21	0.52	0.00	0.00
100	905	Linden Avenue	Blue Orchid	0.00	0.00	80.00%	0.00	0.00	119.00	0.00	119.00	A	1,525	3.05	0.56	2.49	0.00	0
101	910	Linden Avenue	Corktree Cellars	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	2,270	9.54	0.34	0.00	0.00	0.00
63	910-A	Linden Avenue	S.O.A.P.	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	350	1.00	0.00	0.00	0.00	0.00
102	914	Linden Avenue	Hurley Optical	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	1,219	2.44	0.48	0.00	0.00	0.00
103	915	Linden Avenue	Nutbelly Pizzeria & Deli	0.00	0.00	80.00%	0.00	0.00	119.00	0.00	119.00	A	2,750	20.50	1.00	19.50	0.00	0.00
104	916	Linden Avenue	Mirame Salon	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	1,147	2.29	0.45	0.00	0.00	0.00
105	919	Linden Avenue	Seastrand	0.00	0.00	80.00%	0.00	0.00	119.00	0.00	119.00	A	925	1.85	0.56	1.29	0.00	0.00
106	919-B	Linden Avenue	VACANT	0.00	0.00	80.00%	0.00	0.00	0.00	0.00	0.00	A	350	0.70	0.56	0.14	0.00	0.00
107	929-A	Linden Avenue	Vacant	0.00	0.00	80.00%	0.00	0.00	0.00	0.00	0.00	A	1,120	2.24	1.00	1.24	0.00	0.00
108	933	Linden Avenue	United Studios of Self Defense	0.00	0.00	80.00%	0.00	0.00	119.00	0.00	119.00	A	1,500	9.50	1.30	8.20	0.00	0.00
109	943	Linden Avenue	Homestead Antiques	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	2,000	4.00	4.00	0.00	0.00	0.00
110	944	Linden Avenue	Pacific Health Foods	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	2,800	5.60	2.00	0.00	0.00	0.00
111	954	Linden Avenue	Carpinteria Barber	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	710	3.42	2.00	0.00	0.00	0.00
112	961	Linden Avenue	Magpie	0.00	0.00	80.00%	0.00	0.00	119.00	0.00	119.00	A	2,512	4.00	1.93	2.07	0.00	0.00
113	962	Linden Avenue	Whimsy	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	1,710	3.42	1.88	0.00	0.00	0.00
114	963	Linden Avenue	En Plein Aire	0.00	0.00	80.00%	0.00	0.00	119.00	0.00	119.00	A	424	1.00	2.00	0.00	0.00	0.00
115	970	Linden Avenue	Sushi Teri House	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	4,550	25.00	5.01	0.00	0.00	0.00
116	973	Linden Avenue	Worker Bee Cafe	0.00	0.00	80.00%	0.00	0.00	119.00	0.00	119.00	A	1,282	12.00	4.00	8.00	0.00	0.00
117	977	Linden Avenue	Little Rainbow Foot Spa	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	4,550	25.00	5.01	0.00	0.00	0.00
118	991	Linden Avenue	Luxury Nail Salon	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	4,550	25.00	5.01	0.00	0.00	0.00
119	505	Maple Avenue	VACANT	0.00	0.00	90.00%	0.00	0.00	0.00	0.00	0.00	B	0	0.00	0.00	0.00	0.00	0.00
120	509	Maple Avenue	Brothers of Industry	0.00	10.40	90.00%	0.00	9.00	119.00	0.00	128.00	B	5,200	10.40	0.00	0.00	10.40	1
121	517	Maple Avenue	Rincon Catering	0.00	489.60	90.00%	0.00	441.00	119.00	0.00	560.00	B	4,800	9.60	0.00	0.00	9.60	51.00
122	555	Maple Avenue	VACANT	0.00	0.00	50.00%	0.00	0.00	0.00	0.00	0.00	B	1,450	2.90	7.00	0.00	0.00	0.00
123	601	Maple Avenue	Phos Industries Inc	0.00	367.20	70.00%	0.00	257.00	119.00	0.00	376.00	B	3,600	7.20	0.00	0.00	7.20	51.00
124	919	Maple Avenue	Roxanne's Wish & a Dream	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	2,690	12.00	7.00	0.00	0.00	0.00
125	919	Maple Avenue	Sea Rose Salon	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	400	12.00	7.00	0.00	0.00	0.00
129	957	Maple Avenue	Roland Rotz PhD	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	480	0.96	0.65	0.00	0.00	0.00
128	957	Maple Avenue	VACANT	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	480	0.96	0.65	0.00	0.00	0

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				Lot 1	Lot 3		Lot 1	Lot 3											
				Totals				12.60											17,642.48
127	957	Maple Avenue		VACANT	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	480	0.96	0.65	0.00	0.00	99
130	957	Maple Avenue		Matthew I. Berger Law Group	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	480	0.96	0.65	0.00	0.00	0.00
126	957	Maple Avenue		Twice As Nice	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	300	0.60	0.41	0.00	0.00	0.00
131	4909	Ninth Street		9th Street Dance Shop	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	440	0.88	2.00	0.00	0.00	0.00
132	4915	Ninth Street		Curtis Dance Studio	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	880	1.76	2.00	0.00	0.00	0.00
133	4921-A	Ninth Street		Watermark	0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	506	2.00	0.00	2.00	0.00	0.00
134	5042	Seventh Street		Whimsy	0.00	36.72	50.00%	0.00	18.00	119.00	0.00	137.00	B	360	0.72	0.00	0.00	0.72	51.00
135	5040	Sixth Street		Serigraph Resort Wear Inc.	0.00	1,157.70	90.00%	0.00	1,042.00	119.00	0.00	1,161.00	B	11,328	22.70	0.00	0.00	22.70	51.00
136	5045	Sixth Street		Pagliei Warehouse	0.00	346.80	90.00%	0.00	312.00	119.00	0.00	431.00	B	3,400	6.80	0.00	0.00	6.80	51.00
137	5049	Sixth Street		Island Brewing Comany	0.00	941.46	125.00%	0.00	1,177.00	119.00	0.00	1,296.00	B	7,230	18.46	0.00	0.00	18.46	51.00
138	5055	Sixth Street		Super Springs	0.00	268.26	125.00%	0.00	335.00	119.00	0.00	454.00	B	2,632	5.26	0.00	0.00	5.26	51.00
139	5095	Sixth Street		Holly Workshop / Flag Factory	0.00	1,412.39	90.00%	0.00	1,271.00	119.00	0.00	1,390.00	B	13,847	27.69	0.00	0.00	27.69	51.00
140	5096	Sixth Street		Colson's Towing Inc.	0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	B	3,482	6.96	8.00	0.00	0.00	0.00
141	5025	Wullbrandt Way		Carpinteria Cotton Co	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	546	1.09	0.17	0.00	0.00	0.00
142	5035	Wullbrandt Way		Carpinteria Nails	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	532	1.06	0.18	0.00	0.00	0.00
143	5045	Wullbrandt Way		Santa Cruz Island Foundation	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	456	0.91	0.30	0.00	0.00	0.00
144	871 A	Yucca Lane		STP Screenprinting	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	A	0	0.00	0.00	0.00	0.00	0.00
145	871 B	Yucca Lane		Seven Sins Choppers & Black Crow Arts	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	A	0	0.00	0.00	0.00	0.00	0.00
147	972	Yucca Lane		Vacant	0.00	0.00	125.00%	0.00	0.00	0.00	0.00	0.00	A	0	0.00	0.00	0.00	0.00	0.00

ATTACHMENT E

Resolution No. 5594

RESOLUTION NO. 5594

**A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
ACCEPTING AND ORDERING THE FILING OF THE REPORT MADE PURSUANT TO
THE REQUIREMENTS OF
RESOLUTION NO. 5593 OF SAID COUNCIL
PARKING AND BUSINESS IMPROVEMENT AREA DISTRICT NO. 4
(FY 2015-16)**

WHEREAS, the City Council of the City of Carpinteria, California, did in Resolution No. 5593, adopted March 23, 2015, pursuant to the provisions of Chapters 3 and 4 "Parking and Business Improvement Area Law of 1989" of the State of California (Part 6 of Division 18 Section 36530 et seq. of the Streets and Highways Code of said State), require the Downtown-T Business Advisory Board to make and file with the Clerk of the City Council a report in writing, presenting certain matters relating to the proposed acquisition, construction or maintenance of parking facilities for the benefit of the area; decoration of any public place in the area; promotion of public events which are to take place on or in public places in the area; furnishing music in any public place in the area; and general promotion of business activities in the area as contemplated under the provisions of said "Parking and Business Improvement Area Law of 1989"; and

WHEREAS, said Downtown-T Business Advisory Board, pursuant to the requirements of said City Council as expressed in said Resolution and of said law, did make and on the 27th day of April, 2015, file in the office of the City Clerk (who is ex-officio Clerk of the City Council) of said City, the report in writing responsive to the requirements of said Resolution and as contemplated under the provisions of said "Parking and Business Improvement Area Law of 1989"; and

WHEREAS, said City Clerk has presented the said report to the City Council of said City, and said Council has proceeded to carefully examine, inspect and consider the said report and is satisfied with said report and with each and all of the items therein set forth;

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA, DOES HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:

SECTION 1. That the report of the Downtown-T Business Advisory Board of the City of Carpinteria, California, bearing date of the 27th of April, 2015, which said report was prepared and filed pursuant to the requirements of the provisions of Resolution No. 5593 of the City Council of said City and in conformity with the provisions of the "Parking and Business Improvement Area Law of 1989," of the State of California, (Part 6 of Division 18 of the Streets and Highways Code of said State), and which said report was filed in the office of the City Clerk of the City of Carpinteria, California, on the 27th

day of April, 2015, be and the same is hereby approved as so prepared, presented and filed.

SECTION 2. That the City Clerk shall certify to the passage and adoption of this resolution; shall cause the same to be entered among the original resolutions of the City Council of said City and shall make a minute of the passage and adoption thereof in the records of the proceedings of the City Council of said City in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 27th day of April, 2015 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of April, 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

ATTACHMENT F
Resolution No. 5595

RESOLUTION NO. 5595

**A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
DECLARING ITS INTENTION TO ORDER THE
CONTINUATION OF AN ASSESSMENT DISTRICT
AND COLLECT ASSESSMENTS PURSUANT TO THE
PARKING AND BUSINESS IMPROVEMENT AREA LAW OF 1989
FOR PURPOSES OF ACQUISITION, CONSTRUCTION
OR MAINTENANCE OF PARKING FACILITIES AND GENERAL PROMOTION
OF BUSINESS ACTIVITIES IN THE AREA DESCRIBED AS SHOWN ON
THE PLAN AND DIAGRAM FOR THE FISCAL YEAR COMMENCING
JULY 1, 2015 AND ENDING JUNE 30, 2016;
AND APPOINTING A TIME AND PLACE FOR
HEARING PROTESTS IN RELATION THERETO --
CARPINTERIA PARKING AND BUSINESS IMPROVEMENT AREA DISTRICT NO. 4
(FY 2015-16)**

**THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA, DOES
HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:**

SECTION 1. That the public interest and convenience require, and that it is the intention of the City Council of the City of Carpinteria, California, to order the continuation of an assessment district known as the Carpinteria Parking and Business Improvement Area District No. 4 and to levy and collect assessments pursuant to the Parking and Business Improvement Area Law of 1989 (Streets and Highways Code 36500 et seq.) and to order the acquisition, construction and maintenance of parking facilities as well as to undertake such other activities for the purpose of the general promotion of business activities within the District as described herein, shown on the diagram and plan for the Carpinteria Parking and Business Improvement Area District No. 4, all as on file in the office of the City Clerk, for the fiscal year beginning July 1, 2015.

SECTION 2. The following summarizes the proposed boundaries, assessments and programs for Fiscal Year 2015-16 for Improvement Area District No. 4:

1. The boundaries of the Parking and Business Improvement Area District No. 4 are proposed to be unchanged from FY 1997-98.
2. The General Business Assessment, applicable to all businesses within the Improvement Area District No. 4, for FY 2015-16 is proposed to remain at \$119.00 per business per year and the application of the inflationary index on the general assessment continue to be suspended for FY 2015-16.
3. The Parking Lot #3 assessment within Sub-Area "B" will be \$51.00 per parking space shortfall per business as detailed in the Report dated April 27, 2015.

4. Proceeds from the General Business Assessment will be used to promote and advertise business activities within the Improvement Area, develop a directional signage program, and contribute to parking lot maintenance activities.

SECTION 3. To expedite the making of said improvement, the City Council may at any time transfer into the Carpinteria Parking and Business Improvement Area District No. 4 Fund, from the General Fund, such sums as it shall deem necessary, and the sums so transferred shall be deemed a loan to such Fund and shall be repaid out of the proceeds of the assessments provided for in this resolution, and pursuant to the provisions of the "Parking and Business Improvement Area Law of 1989," referred to herein.

SECTION 4. That said contemplated work and improvement, in the opinion of the City Council, is of more than local or ordinary benefit, and said Council hereby makes the expense of said work and improvement chargeable upon a district, which district said Council hereby declares to be the district benefited by said work and improvement, and to be assessed to pay the cost and expense thereof, which said district consists of portions of the City of Carpinteria as shown on the Diagram and Plan for said District No. 4 on file in the office of the City Clerk, and to which said Diagram and Plan reference is hereby made for a complete and detailed description of said district, excepting from said district any portion of any public street, alley or court that may be included therein. The said Diagram and Plan so on file shall govern for all details as to the extent of said assessment district.

SECTION 5. Any lots or parcels of land known as public property, as the same is defined in Section 22663, Streets and Highways Code, hereinafter referred to, which are included in the said assessment district, shall be omitted and exempt from the assessment to be made to cover the costs and expenses of said contemplated improvement.

SECTION 6. The improvement contemplated by this Resolution of Intention shall be done under the provisions of the "Parking and Business Improvement Area Law of 1989" (now Part 6 of Division 18 of the Streets and Highways Code of the State of California).

SECTION 7. That Tuesday, May 26, 2015, at the hour of 5:30 P.M. of said day, be and the same is hereby appointed as the time, and the Council Chamber in the City Hall, at 5775 Carpinteria Avenue in the City of Carpinteria, California, is hereby appointed as the place for hearing protests in reference to said improvement.

SECTION 8. That the City Clerk is hereby authorized, designated and directed to give notice of said hearing in time, form and manner as required by law.

SECTION 9. That the Coastal View, a newspaper of general circulation, published in the County of Santa Barbara and circulated in the City of Carpinteria, California, is hereby designated as the newspaper in which such notice shall be published.

SECTION 10. That this resolution shall take effect immediately, and the City Clerk shall certify to the passage and adoption of this resolution; shall cause the original of the same to be entered among the original resolutions of the City Council of said City; and shall make a minute of the passage and adoption thereof in the records of the proceedings of the City Council of said City in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 27th day of April, 2015 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of April, 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria



City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Contract with Avila and Associates, Inc. for professional engineering services for the Linden Avenue and Casitas Pass Road Interchanges Project.

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

Authorize the execution of an agreement for professional engineering services with Avila and Associates, Inc. for additional engineering services necessary to prepare FEMA floodplain revision documents.

Motion: I move to authorize the City Manager to sign the agreement for professional engineering services with Avila and Associates, Inc. for the services described.

BACKGROUND

The Linden Avenue and Casitas Pass Road Interchanges Project consists of replacing both the Linden Avenue and Casitas Pass Road US 101 overcrossings, reconfiguring the northbound on- and off-ramps at Casitas Pass Road, extending the northbound Linden Avenue on-ramp, replacing the US 101 freeway bridges over Carpinteria Creek and extending Via Real to Casitas Pass Road and to Linden Avenue. The project will also include new retaining walls, sound walls, traffic signals and drainage structures.

On November 10, 2014, the Department of Public Works updated the City Council on the Linden Avenue and Casitas Pass Road Interchanges Project. The update included a detailed project description and project delivery status report. The update also included a detailed discussion of coordination efforts with FEMA to address project related floodplain issues.

On February 23, 2015, the Department of Public Works outlined a strategy for addressing FEMA's concerns regarding the Carpinteria Creek floodplain and received

authorization from the City Council to proceed with preparing a FEMA request for a Letter of Map Revision (LOMR) for the Carpinteria Flood Insurance Rate Map.

DISCUSSION

The Linden Avenue and Casitas Pass Road Interchanges Project includes replacing the two US 101 freeway bridges over Carpinteria Creek to enable new Casitas Pass Road on- and off-ramp configurations. The project also includes a new bridge over Carpinteria Creek, upstream of the freeway, for the Via Real extension to Casitas Pass Road. Separately, the City of Carpinteria has initiated the Carpinteria Avenue Bridge Replacement Project. The Carpinteria Avenue Bridge crosses Carpinteria Creek approximately 580 feet downstream of US 101.

The project engineering work includes revising the Flood Insurance Rate Maps in order to accurately reflect existing conditions and any influence of the project on Carpinteria Creek flood projections. In 2007, FEMA provided guidance on how to approach this work.

After the City, Caltrans, and Avila and Associates began performing the necessary engineering work and producing the materials needed for a Conditional Letter of Map Revision/Letter of Map Revision (CLOMR/LOMR) request, FEMA changed its position regarding the CLOMR/LOMR for the Linden Avenue and Casitas Pass Road Interchanges Project. FEMA indicated that the project, as proposed, would violate federal regulations. A detailed accounting of FEMA's position was included in the November 10, 2014 Staff Report and Presentation to the City of Carpinteria City Council by the City's Department of Public Works.

In response to FEMA's concerns, a Flood Insurance Rate Map Correction alternative has been developed for Carpinteria Creek. The Map Correction alternative was discussed in detail in a Staff Report and presentation to the City Council on February 23, 2015. The Department of Public Works received approval from the City Council to pursue the Map Correction alternative including public notification to affected property owners. A summary of the proposed process to obtain a LOMR that corrects the Flood Insurance Rate Map for the Carpinteria Creek area within the City is presented below:

1. Perform hydrology and hydraulic engineering analyses for the 100-year flood for Carpinteria Creek – *Completed*
2. Seek authorization from the City Council to proceed with preparing a request for a LOMR for Carpinteria Creek – *Completed*
3. Perform public notification as required for federal law

4. Prepare additional hydrology and hydraulic engineering analyses for the FEMA required 10-, 50- and 500-year floods (the 100-year has been completed)
5. Prepare the request for LOMR package for FEMA
6. Seek final authorization from the City Council to proceed with submittal of the LOMR request package to FEMA

Once the Flood Insurance Rate Map has been corrected to accurately reflect the existing risk to both the Franklin Creek area of the City and the Carpinteria Creek area south of US 101, the Linden Avenue and Casitas Pass Road Interchanges Project can be shown to eliminate the partial diversion of floodwaters to the Franklin Creek area of the City. The elimination of that diversion will reduce or eliminate the flood risk for as many as 270 homes.

To complete the necessary hydrology and hydraulic engineering work and prepare the request for the FEMA LOMR, Avila and Associates has prepared a scope-of-work and cost in the amount of \$142,933. The proposed contract, scope-of-work and cost proposal is included in Attachment A of this report.

Because it would be costly and time consuming to perform a new professional civil engineering service provider selection process, staff recommends contracting directly with Avila and Associates, Inc. for this additional scope-of-work and not as an amendment to the Carpinteria Avenue Bridge Replacement Contract with Drake Haglan and Associates, Inc./Avila and Associates, Inc. as was previously arranged. This approach will enable Caltrans to fully reimburse the City for the contract work proposed herein.

The California Department of Transportation (Caltrans) has agreed that, as an applicant to the City of Carpinteria's development review process, Caltrans will reimburse the City for 100% of the Avila and Associates contract cost including City staff contract management cost and overhead. Public Works will return to the City Council with the separate professional civil engineering contract proposals to complete the project specific CLOMR/LOMRs requests to FEMA as the project preparation continues.

POLICY CONSISTENCY

The Linden Avenue and Casitas Pass Road Interchanges Project was envisioned through the policies and objectives adopted in the 2003 (current) City of Carpinteria General Plan. These project objectives are consistent with the City's Objective C-1 and Policy C-3f in the Circulation Element. The General Plan proposes the following for the Linden Avenue and Casitas Pass Road Interchanges:

- *Reconfiguration and reconstruction of the Linden Avenue/US 101 Interchanges, including the addition of southbound on-ramps and northbound off-ramps, installation of traffic signals and widening of the overpass.*
- *Reconfiguration and reconstruction of the Casitas Pass Road/US 101 Interchange, construction of turn lanes, installation of traffic signals and upgrades of the on- and off-ramps.*

Using the City's adopted policies, the City has been able to work with Caltrans to evolve the project design in a manner that ensures greater consistency with the goals and objectives of the General Plan. The Linden Avenue and Casitas Pass Road Interchanges Project and the Carpinteria Avenue Bridge Replacement Project are both included in the City's adopted Capital Improvement Plan.

FINANCIAL CONSIDERATIONS

The City is reimbursed for all staff time and consultant costs associated with processing of the subject project application. Public Works staff time for the Carpinteria Avenue Bridge Replacement Project is reimbursed by the federal aid Highway Bridge Program grant. The grant reimburses the City for 88.53% of all project eligible costs including City staff labor.

LEGAL

This proposed City Council action is consistent with the California Public Contract Code.

OPTIONS

1. Authorize the execution of an agreement for professional engineering services with Avila and Associates, Inc.
2. Do not authorize the execution of the proposed agreement and provide further direction to staff.

PRINCIPAL PARTIES EXPECTED AT MEETING

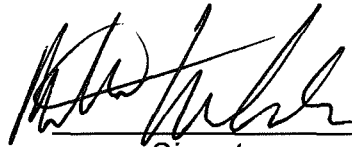
David Beard, P.E., P.M.P., Project Manager, Caltrans District 5
Fred Luna, Project Manager, Santa Barbara County Association of Governments

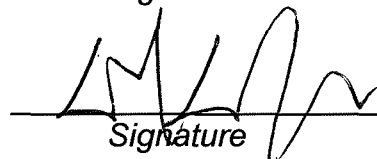
ATTACHMENTS

Attachment A – Avila and Associates, Inc. contract
Attachment B – Caltrans reimbursement commitment letter

Staff contact:
Charles W. Ebeling, P.E., T.E.
(805) 684-5405, ext. 402
cebeling@ci.carpinteria.ca.us

Reviewed by: Dave Durflinger, City Manager


Signature For Charlie


Signature

ATTACHMENT A

Avila and Associates, Inc. Contract

AGREEMENT FOR CONSULTANT SERVICES

THIS AGREEMENT FOR CONSULTANT SERVICES (“**Agreement**”) is made and effective as of the ____ day of _____, 2015 by and between the City of Carpinteria, a municipal corporation (“**City**”), and Avila and Associates, Inc. (“**Consultant**”) (collectively, the “**Parties**”) at Carpinteria, California, with reference to the following facts:

- A. City has determined that it is necessary and appropriate to engage a design professional to carry out the services described herein; and
- B. Consultant has represented itself as being fully qualified and available to perform the consultant services necessary to complete the work in a timely manner; and
- C. City desires to contract with Consultant and Consultant is willing to perform the consultant services, subject to the terms and conditions of this Agreement.

NOW, THEREFORE, in consideration of the mutual covenants and conditions set forth herein, the sufficiency of which is hereby acknowledged, the parties agree as follows:

1. SERVICES.

- 1.1 **Basic Services.** City hereby retains Consultant to perform the services described and set forth in the attached Exhibit A (“**Basic Services**”), which is incorporated by this reference as though set forth in full. Consultant hereby agrees to perform said services within the designated time frames and accepts this retention. Consultant shall complete the Basic Services according to a schedule of performance which is also set forth in Exhibit A. The Parties agree and acknowledge that time is of the essence in performance of this Agreement.
- 1.2 **Additional Services.** In addition to the Basic Services, City may elect to have Consultant perform additional services that are beyond the current scope of the Basic Services, but within the expertise of Consultant (“**Additional Services**”). Such Additional Services shall be mutually agreed to in advance and specified in a writing, which shall also specify the basis for the Consultant’s fee for such additional services. Basic Services and Additional Services are referenced collectively as “**Services.**”

2. PERFORMANCE.

- 2.1 **Standard of Performance.** Consultant shall faithfully, competently and diligently perform the obligations and responsibilities required by this Agreement, applying best management practices and the same standards of care utilized by persons commonly engaged in providing similar services as are required of Consultant hereunder in meeting its obligations under this Agreement. Consultant shall not engage the assistance of subcontractors for performance of the Services unless previously agreed to in writing by the City.

- 2.2 **Labor and Materials.** Consultant shall furnish, at its own expense, all labor, materials, equipment, tools, transportation and services necessary for the successful completion of the Services. Consultant shall give its attention and supervision to the fulfillment of the provisions of this Agreement by its employees and subcontractors and shall be responsible for the timely performance of the Services required by this Agreement.
- 2.3 **Review of Service.** Consultant shall furnish City with reasonable opportunities from time to time to ascertain whether the Services of Consultant are being performed in accordance with this Agreement. All work done and materials furnished shall be subject to final review and approval by City; Consultant is not providing final approval or review, which is solely City's function and role. City review and approval of such work and Services shall not, however, relieve Consultant of any of its obligations under this Agreement.
- 2.4 **Contract Administration.** The City Manager or his/her designee shall represent the City in all matters relating to the administration of this Agreement. The City Manager or his/her designee shall have the authority to act on the City's behalf to review and approve all products submitted by Consultant and may execute all necessary documents to authorize Consultant to perform Additional Services as provided for herein.
- 2.5 **Representations and Warranties.** Consultant represents and warrants to City that: (i) its fulfillment of its obligations and, as applicable, performance the Services under this Agreement, will not violate any applicable or breach any contracts with third parties and (ii) it has the right, power and authority to fulfill its obligations, and, as applicable perform the Services, and enter into this Agreement; (iii) the Services contemplated hereunder will be performed by adequately trained, competent personnel, in a professional manner, with such personnel having the requisite skill and expertise necessary to perform and complete the Services in accordance with industry standards and in accordance with the terms and conditions of this Agreement; and (iv) the Services and all deliverables provided hereunder will conform to the specifications agreed-to by the parties.

3. **TERM.** This Agreement shall be effective as of the date first above written and shall continue until all Services to be provided by Consultant are completed to the satisfaction of the City, but in no event later than the 30 day of December, 2016; unless terminated earlier as provided for herein.

4. **COMPENSATION.**

- 4.1 **Basic Services.** For Basic Services, City shall pay Consultant on a time and materials basis, not to exceed amount of One Hundred and Forty-Two Thousand, Nine Hundred and Thirty-Three Dollars (\$142,933) as full compensation for all labor, materials, equipment, tools, transportation, and Services. This compensation shall be paid in accordance with the payment rates and schedule as set forth in Exhibit B, attached hereto and incorporated herein by this reference as though set forth in full, based on the actual time spent on the tasks and the actual price of the necessary materials.

- 4.2 **Additional Services.** Consultant shall not be compensated for any services rendered in connection with its performance of this Agreement which are in addition to the Basic Services, unless such Additional Services are authorized in advance and in writing in the method provided for herein. Consultant will submit fee estimates for such Additional Services upon request of City.
- 4.3 **Invoices.** Each and every payment by City shall be subject to City's receipt of an invoice outlining the items for which payment is requested. Payment to Consultant as to any undisputed fees shall be made, after verification of Consultant's performance, within 30 (thirty) days of receipt of invoice. If City disputes any of Consultant's fees, it shall give written notice to Consultant within 30 (thirty) days of receipt of an invoice of such disputed fees.
- 4.4 **Withholding.** City reserves the right to withhold future payment to Consultant if any aspect of the Consultant's work is found to be substantially inadequate. City shall notify Consultant in writing of deficiencies believed to be substantially inadequate within thirty (30) days after receipt of product.
- 4.5 **Taxes/Insurance/Licenses.** Consultant shall be solely responsible for the payment of any federal, state, or local income tax, social security tax, workers' compensation insurance, state disability insurance, and any other taxes or insurance which Consultant is responsible for paying as an independent contractor under federal, state or local law. At all times during the term of this Agreement, Consultant and any approved subcontractors performing Services under this Agreement shall have in full force and effect all licenses necessary for the performance of Services hereunder, including without limitation, business licensing from City, all at the sole cost of Consultant.

5. **RECORDS.**

- 5.1 **Financial Records.** Consultant shall maintain complete and accurate records with respect to sales, costs, expenses, receipts and other such information required by City that relate to the performance of Services under this Agreement. Consultant shall also maintain adequate records of Services provided in sufficient detail to permit an evaluation of Services. All such records shall be maintained in accordance with generally accepted accounting principles and shall be clearly identified and readily accessible.
- 5.2 **Access to Records.** Consultant shall provide free access to the representatives of City or its designees at reasonable times to books and records as set forth in section 5.1; shall give City the right to examine and audit said books and records; shall permit City to make transcripts therefrom as necessary; and shall allow inspection of all work, data, documents, proceedings and activities related to this Agreement. Such records, together with supporting documents, shall be maintained for a period of four (4) years after receipt of final payment.
- 5.3 **Original Records.** Upon completion of, or in the event of termination or suspension of this Agreement, all completed and incomplete original agreements, data, documents, designs, drawings, exhibits, maps, models, computer files, reports, studies, surveys,

notes, and other work, materials or documents prepared or used to prepare Consultant's work product in the course of providing the Services pursuant to this Agreement ("**Consultant Work Product**") shall become the sole property of City once the Consultant has received payment. City may duplicate, disclose, disseminate, use, reuse or otherwise dispose of Consultant Work Product in whole or in part in any manner it deems appropriate, without the permission of Consultant. With respect to computer files, Consultant shall make available to the City, at Consultant's office and upon reasonable written request by City, the necessary computer software and hardware for purposes of accessing, compiling, transferring and printing computer files. Consultant may retain copies of such Consultant Work Product as a part of its record of professional activity.

6. **TERMINATION.**

- 6.1 **Termination Without Cause.** This Agreement may be terminated by either party for any reason upon ten (10) days prior written notice by the terminating party to the other party. In the event of a termination, the date of termination shall be deemed to be the first business day occurring after the expiration of the notice period. Upon receipt of said notice, Consultant shall immediately cease all work under this Agreement, unless the notice provides otherwise.
- 6.2 **Termination With Cause.** Either party may terminate this Agreement with cause, effective immediately upon written notice of such termination to the other party and failure of the breaching party to correct within five (5) days of receiving such notice, based upon the occurrence of any of the following events:
- Material breach of this Agreement;
 - Cessation of Consultant to be licensed, as required;
 - Failure to substantially comply with any applicable federal, state or local law or regulation;
 - Filing by or against Consultant of any petition under any law for the relief of debtors; and,
 - Filing of a criminal complaint against Consultant for any crime, other than minor traffic offenses.
- 6.3 **Performance Upon Termination.** In the event this Agreement is terminated pursuant to this Section, City shall pay Consultant for the outstanding balance owed for work performed up to the time of termination. Upon termination of the Agreement, Consultant shall submit an invoice to City as provided for herein and shall submit to the City all of its files for any billable or non-billable matters in which the Consultant is involved under the scope of this Agreement.
- 6.4 **Termination Upon Mutual Consent.** This agreement may also be terminated by mutual consent of the parties and in accordance with the terms and conditions of any plan of termination established by the parties. In the event of a termination by mutual consent, the date of termination shall be such date as is agreed upon by the parties. The parties may agree to suspend or terminate a portion of this Agreement and such suspension or termination shall not make void or invalidate the remainder of this Agreement.

7. **DISPUTE RESOLUTION.** If any dispute, claim or disagreement shall arise relative to the interpretation or enforcement of this Agreement, the parties shall use commercially reasonable efforts to settle the dispute, claim or disagreement. To this end, they shall consult and negotiate with each other in good faith and, recognizing their mutual interests, attempt to reach a just and equitable solution satisfactory to both parties. If they do not reach such a solution within a period of thirty (30) days, then, upon notice by either party to the other, the dispute, claim or disagreement shall be submitted to final, binding arbitration administered by the American Arbitration Association in accordance with its Commercial Arbitration Rules. The arbitrator shall have the authority to assess arbitration costs and expenses against either or both parties. The decision in the arbitration shall be binding on all parties, and judgment on any arbitration award may be entered in any court of competent jurisdiction.

8. **INSURANCE.** Consultant shall maintain prior to the beginning of and for the duration of this Agreement insurance coverage as specified in the attached Exhibit C, which is incorporated by this reference as though set forth in full.

9. **INDEMNIFICATION.**

Indemnification for Professional Liability. To the fullest extent permitted by law, Consultant shall indemnify, protect, defend and hold harmless City and any of its officials, employees and agents ("**Indemnified Parties**") from and against any and all losses, liabilities, damages, costs, and expenses, including attorneys' fees and costs, which arise out of the negligence, recklessness or willful misconduct of Consultant.

10. **RELEASE OF INFORMATION.**

10.1 **Confidentiality.** All information gained by Consultant in performance of this Agreement shall be considered confidential and shall not be released except to the City, directly or indirectly, by Consultant without City's prior written authorization. Consultant, its officers, employees, subcontractors or sub-consultants shall not voluntarily provide declarations, letters of support, testimony at depositions, response to interrogatories or other information concerning the Services performed under this Agreement unless requested by the City Attorney or authorized in writing by the City Manager. Response to a subpoena or court order shall not be considered "voluntary" provided that Consultant shall give City prompt written notice of any such court order or subpoena. The provisions of this Paragraph shall survive the termination of this Agreement.

10.2 **Notice and Cooperation.** Consultant shall promptly notify the City Manager and City Attorney in writing if Consultant, its officers, employees, agents, subcontractors or subconsultants are served with any summons, complaint, subpoena, notice of deposition, request for documents, interrogatories, request for admissions or other discovery request, court order or subpoena from any person or party related to this Agreement and/or Consultant's related Services. City has no obligation to, but may exercise discretion to represent Consultant and/or be present at any deposition, hearing or similar proceeding. Consultant agrees to cooperate fully with City and to provide the City an opportunity to review any response to discovery requests provided by Consultant. However, City's right to review any such response does not grant or imply a right of City to control, direct, dictate or rewrite said response.

11. **RELATIONSHIP TO CITY.**

- 11.1 **Independent Contractor.** Consultant is and shall at all times remain as to the City a wholly independent contractor. The personnel performing the services under this Agreement on behalf of Consultant shall at all times be under Consultant's exclusive direction and control. Neither City nor any of its officers, employees or agents shall have control over the conduct of Consultant or any of Consultant's officers, employees or agents, except as set forth in this Agreement. Consultant shall not at any time or in any manner represent that it or any of its officers, employees or agents are in any manner officers, employees or agents of City. Consultant shall not incur or have the power to incur any debt, obligation or liability whatever against City, or bind City in any manner.
- 11.2 **No Employee Privileges.** No City employee benefits shall be available to Consultant in connection with the performance of this Agreement. Except for the fees paid to Consultant as provided in the Agreement, City shall not pay salaries, wages or other compensation to Consultant for performing Services hereunder for City. City shall not be liable for compensation or indemnification to Consultant for injury or sickness arising out of performing Services hereunder.
- 11.3 **Consultant Duty to City.** Consultant understands and agrees that its responsibility to provide complete and accurate Services is owed solely to City and that its accountability under this contract shall likewise be solely to City and not to any City applicants or any other third person or entity.
- 11.4 **Interest of Consultant.** Consultant represents and warrants to City that it presently has no interests, and covenants that it shall not acquire any interests, direct or indirect, financial or otherwise, which would conflict with the performance of the services to be provided by Consultant under this Agreement. Consultant further covenants that, in the performance of this Agreement, no subcontractor or employee having such an interest shall be employed by Consultant. Consultant certifies that no one who has or will have any financial interest under this Agreement is: (a) an officer or employee of City, or (b). an officer or employee of the applicant and any of its consultants. Consultant agrees to comply with any applicable conflict of interest code.
- 11.5 **Undue Influence.** Consultant declares and warrants that no undue influence or pressure is used against or in concert with any officer or employee of City in connection with the award, terms or implementation of this Agreement, including any method of coercion, confidential financial arrangement or financial inducement. No officer or employee of City will receive compensation, directly or indirectly, from Consultant or any officer, employee or agent of Consultant, in connection with the award of this Agreement or any work to be conducted as a result of this Agreement. Violation of this Section shall be a material breach of this Agreement entitling City to any and all remedies at law or in equity.
- 11.6 **Third Party Beneficiaries.** This Agreement is entered into solely for the benefit of the City and the Consultant and will not confer any rights upon any person not expressly a party to this Agreement.

12. GENERAL PROVISIONS.

- 12.1 **Assignment of Rights.** Contractor agrees to assign City all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act or under the Cartwright Act arising from purchases of goods, services, or materials pursuant to this Agreement.
- 12.2 **Further Assurances.** City and Consultant each agree to cooperate with one another, to use their best efforts, to act in good faith, and to promptly perform such acts and execute such documents or instruments as are reasonably necessary and proper to consummate the transactions contemplated by this Agreement.
- 12.3 **Notices.** All notices, requests, demands and other communications under this Agreement shall be in writing and shall be deemed to have been duly given on the date of service if personally served or on the second day after mailing if mailed by first-class mail, registered or certified, return receipt requested, postage prepaid and properly addressed as follows:

To City:

Dave Durflinger, City Manager
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013-2698
P: (805) 684-5405
F: (805) 684-5304

To Consultant:

Cathy Avila, P.E.
Avila and Associates, Inc.
712 Bancroft Road, #333
Walnut Creek, CA 94598
P: (925) 673-0549
F: (925) 673-0509

Any party may change their address for the purpose of this paragraph by giving the other party written notice of the new address in the above manner.

- 12.4 **Legal Responsibilities.** Consultant shall keep itself informed of state, federal and laws and regulations which in any manner affect those employed by it or in any way affect the performance of its Service pursuant to this Agreement. The Consultant shall reasonably observe and comply with such laws and regulations. The City and its officers and employees shall not be liable at law or in equity occasioned by failure of the Consultant to comply with this Section.
- 12.5 **Licenses.** At all times during the term of this Agreement, Consultant shall have in full force and effect, all licenses required by law for the performance of services described in this Agreement.
- 12.6 **Labor Conditions.** City is a public entity in the state of California, and therefore, City and Consultant are subject to the provisions of the Government Code and the Labor Code of the state of California. All provisions of law applicable to public contracts and/or this Agreement are incorporated herein by this reference and are made a part of this Agreement to the same extent as if they were fully stated in the Agreement and shall be complied with by Consultant.

- 12.7 **Labor Requirements.** Consultant shall abide by all federal and California laws and regulations regarding wages, including, without limitation, the Fair Labor Standards Act and the California Labor Code, which, in part, mandate that 8 hours' labor constitutes a legal days' work, and require Consultant to pay the general prevailing wage rates. The California statutory provisions for penalties for failure to pay prevailing wages will be enforced and the statutory provisions for penalties for failure to comply with California's wage and hour laws will be enforced. In addition, Consultant is required to comply with the statutory requirements relating to employment of apprentices. Consultant also must secure the payment of workers' compensation to its employees as provided by California law. Consultant acknowledges its obligation to secure payment of Workers' Compensation before beginning work as set forth in the attached Exhibit D ("Acknowledgement of Workers' Compensation Obligation") which is incorporated by this reference as through set forth in full. Additionally, Consultant is required to comply with all statutory requirements relating to certified payroll records, including the maintenance of the records, their certification, and their availability for inspection.
- 12.8 **Discrimination.** No person shall be excluded from employment in the performance of this Agreement on the grounds of race, creed, color, sex, age, marital status, sexual orientation or place of national origin. Consultant shall comply with all local, state, and federal laws relating to equal employment opportunity rights.
- 12.9 **Assignment.** Consultant shall not assign the performance of this Agreement, nor any part thereof, nor any monies due hereunder, without prior written consent of City, which shall have the sole discretion to consent to any proposed assignment. Because of the personal nature of the Services to be rendered pursuant to this Agreement, only Consultant shall perform the services described in this Agreement.
- 12.10 **Waiver.** No waiver of a provision of this Agreement shall constitute a waiver of any other provision, whether or not similar. No waiver shall constitute a continuing waiver. No waiver shall be binding unless executed in writing by the party making the waiver.
- 12.11 **Force Majeure.** Neither Consultant nor City shall be liable for any delays resulting from circumstances or causes beyond its reasonable control, including, without limitation, fire or other casualty, act of God, strike or labor dispute, war or other violence, or any law, order or requirement of any governmental agency or authority.
- 12.12 **Construction of Terms.** All parts of this Agreement shall in all cases be construed according to their plain meaning and shall not be construed in favor or against either of the parties. If any term, provision, covenant or condition of this Agreement is held by a court of competent jurisdiction to be invalid, void or unenforceable, in whole or in part, the remainder of this Agreement shall remain in full force and effect and shall not be affected, impaired or invalidated thereby. In the event of any provision shall be adjudged invalid, void or unenforceable, the parties hereto agree to enter into a supplemental agreement to effectuate the intent of the parties and the purposes of this Agreement.
- 12.13 **Controlling Law.** The City and Consultant understand and agree that the laws of the State of California shall govern the rights, obligations, duties and liabilities of the parties

to this Agreement and also govern the interpretation of this Agreement, with venue proper only in the County of Santa Barbara, State of California.

- 12.14 **Authorization.** All officers and individuals executing this and other documents on behalf of the respective parties hereby certify and warrant that they have the capacity and have been duly authorized to execute said documents on behalf of the entities indicated.
- 12.15 **Entire Agreement.** This Agreement, along with its attached exhibits, which are incorporated herein by this reference, constitutes the entire Agreement between the parties and supersedes all prior and contemporaneous agreements, representations and understandings of the parties. This Agreement may be altered, amended or modified only by a supplemental writing executed by the parties to this Agreement and by no other means. Each party waives any future right to claim, contest, or assert that this Agreement was modified, canceled, superseded, or changed by any oral agreement, course of conduct, waiver or estoppel.
- 12.16 **Counterparts.** This Agreement may be executed in counterparts, each of which shall remain in full force and effect as to each party.
- 12.17 **Severability.** In the event that any term or provision of this Agreement shall be held to be invalid, void or unenforceable, then the remainder of this Agreement shall not be affected, impaired or invalidated, and each such term and provision of this Agreement shall be valid and enforceable to the fullest extent permitted by law.

IN WITNESS WHEREOF, the parties have executed this Agreement at the place and as of the date first written above.

"CITY"
City of Carpinteria

"CONSULTANT"
Avila and Associates, Inc.

By: _____
David Durflinger, City Manager

By: _____
Cathy Avila, P.E.

APPROVED AS TO FORM:
City of Carpinteria

By: _____
Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

EXHIBIT A

TASKS TO BE PERFORMED

**Carpinteria Creek Existing Conditions LOMR /
FEMA Map Correction**

This scope of work was prepared by Avila and Associates Consulting Engineers, Inc. (Avila) at the request of the City of Carpinteria and Caltrans. The purpose of this study is to complete the hydraulic analysis to obtain prepare FEMA Conditional Letter of Map Revision (CLOMR) and Letter of Map Revision (LOMR) for Carpinteria Creek and Franklin Creek based on the U.S. Highway 101 Bridge, Carpinteria Avenue Bridge and 8th Street Bridge replacements on Carpinteria Creek. The Highway 101 project also includes construction of a bridge for a local road (Via Real) upstream of the highway. The Carpinteria Creek Bridge replacement includes the replacement of the existing Carpinteria Creek Bridge and the 8th Street Bridge is an existing bridge that was not included in the effective FEMA model. Described below are the anticipated project tasks to be performed by Avila and Associates.

Task 1: Embankment Failure Analysis

Per the request of FEMA, recreate to the extent possible the failure of the roadway embankment at the Route 101 Bridge during the 1969 storm events. In conjunction with Caltrans, complete the following:

1. Gage and/or other hydrologic analysis to estimate the recurrence interval for the 1969 discharge
2. Failure Analysis of the embankment with Caltrans including recreating the 1969 discharge and look at the failure mode of overtopping and/or debris blockage
3. Compose a letter report to document the analysis

Assumptions: Caltrans to provide RSP locations and sizes and provide geotechnical information to support the analysis

Deliverables: Letter Report

Task 2: Presentations and Coordination

1. Prepare for and attend a Public Workshop in the City of Carpinteria
2. Prepare for and attend a presentation to the City Council in Carpinteria
3. Coordination with the City of Carpinteria and Caltrans
4. Coordination with FEMA

Assumptions: Coordination up to the budget limits

Deliverables: Public Workshop and City Council Meeting presentations and participation

Task 3 Complete Hydraulic Modeling – Carpinteria Creek

WEST recently updated the HEC-RAS (River Analysis System) hydraulic model for Carpinteria Creek. The revised model is georeferenced and includes new cross sections based on the best available topographic data. The revised model was run using the 1% annual chance exceedance (100-year) discharge. The study reach extends from the Pacific Ocean to approximately 2,000 feet upstream of U.S. Highway 101 where an adequate tie-in (i.e., 0.5 ft or less) to the effective FEMA flood profile was achieved.

The HEC-RAS model will be updated to include the 10-, 50-, and 500-year peak discharges, as required by FEMA for a detailed Zone AE map revision. A floodway analysis will also be completed (100-year

discharge). A “duplicate effective” model, “corrected effective” model, and an “existing conditions” model will be submitted. The only difference between the corrected effective and existing conditions models is the newer 8th Street Pedestrian Bridge, which was replaced since the date of the effective FEMA model.

WEST will check that the hydraulic model assumptions, parameters, and results are consistent with FEMA’s *Guidelines and Specifications for Flood Hazard Mapping Partners* and applicable National Flood Insurance Program (NFIP) regulations. FEMA’s CHECK-RAS computer program will also be used as part of the review process.

Deliverable: HEC-RAS models

Assumptions: The roadway embankments adjacent to the Highway 101 Bridge can wash out during a major flood event. The washed-out embankments will allow the entire 12,000 cfs to flow downstream of the bridge.

Task 4 Floodplain Mapping

WEST will delineate floodplains within the study area for the 100- and 500-year flood events. Floodplain workmaps will be developed and annotated/revised Digital Flood Insurance Rate Map (DFIRM) panels will be prepared. Digital files will be organized and submitted to FEMA.

Deliverable: Digital DFIRM mapping and 3 copies of printed version

Assumptions:

- Downstream of Highway 101, the floodplain mapping will be based on the 12,000 cfs flow due to the potential roadway embankment failure. Upstream of Highway 101, the mapping will be based on the roadway embankments remaining in place, which is more conservative upstream.
- New mapping procedures are currently under development by FEMA; however, it is not known when they will be implemented. This cost estimate assumes typical floodplain mapping, and does not include the preparation of flood depth grids.

Task 5 LOMR Application and Follow-up

Preparation by Avila and Associates of documentation for the LOMR application package will include copies of the completed FEMA MT-2 certification forms, hydraulic model input and output, CHECK-RAS comments and responses, floodplain workmaps, flood profiles, floodway data table, and annotated DFIRM panels showing the revised floodplain. Hydraulic model files and GIS layers will also be provided.

Public notice and/or individual property owner notification may be required by FEMA. Avila will provide text for the public notice and/or notification letter to be sent out by the community.

The Avila/WEST team will coordinate with the City of Carpinteria, Caltrans, and the County of Santa Barbara, State and Federal Agencies, if needed, regarding the LOMR submittal. One review meeting is included in the proposal. Also included is follow-up with FEMA and their Technical Evaluation Contractor to clarify any questions related to the LOMR submittal or to furnish available information not previously submitted, including minor revisions to the hydraulic models.

Deliverable: LOMR application package and correspondence with local, state and federal agencies (if needed).

Assumptions:

- FEMA recently launched the Online Letter of Map Change (LOMC) web tool. It is anticipated that the LOMR application will be submitted and processed through the Online LOMC. No hard

copies of the LOMR application will be created.

- This scope assumes that a LOMR will be processed. If FEMA changes the LOMR into a Physical Map Revision (PMR) due to the number of affected map panels, additional coordination over a longer period may be required, which is beyond this scope of work.
- *FEMA LOMR Review Fee.* We do not believe that FEMA will require a LOMR review fee because the map revision request is based on more detailed data and a change in modeling approach, and not based on a newly-constructed project. However, in the unlikely event that FEMA policy changes and a review fee is required, the FEMA review fee of up to \$8,250 would be paid by the client. It is *not* include in the attached cost estimate.

Task 6 Project Management and Coordination

The Avila/WEST Project Managers will perform project management and coordination tasks throughout the project, and will participate in conference calls with Avila (and the City of Carpinteria, Caltrans, and/or FEMA, as requested by Avila).

Deliverable: None

Assumptions: PM/Coordination up to the budget limits.

Optional Tasks

Task 7 8th Street Bridge Analysis

Per the request of FEMA, determine the impact (if any) of the 8th Street Bridge on the FEMA flood plain including a critical analysis of the Wildan study for the bridge replacement. Complete the following:

1. Obtain and review 8th Street Bridge analysis from Wildan. Determine why the Wildan analysis differs from the recent analysis by Avila and Associates.
2. Revise the 8th Street Bridge HEC-RAS model as needed to meet the FEMA standards
3. Determine which properties (if any) are impacted by the 8th Street Bridge
4. Letter Report documenting the 8th Street Bridge Analysis
5. Investigate potential mitigation to minimize or eliminate the impact of the 8th Street Bridge on insurable properties
6. Complete 2D analysis of the 8th Street Bridge existing and proposed Conditions (by WEST Consultants)

Assumptions: 8th Street Bridge impacts (if any) are mitigatable.

Deliverables: Letter Report

Task 8: Report Writing and Special Flood Analysis:

1. Complete additional report writing as needed by the City of Carpinteria
2. Analyze the Special Flood Hazard per FEMA request
3. Additional meetings and coordination with the City of Carpinteria or Caltrans

Assumptions: Task completion up to the budget limits

Deliverables: Report writing as needed

Two-dimensional Modeling of Roadway Embankment Failure

If requested, WEST will perform two-dimensional (2-D) modeling to simulate the failure of the Highway 101 roadway embankments. The 2-D modeling will be performed using the existing conditions XPSTORM model previously created for Carpinteria Creek. WEST will create figures showing the 2-D model results and will prepare a brief summary of the 2-D modeling.

Deliverable: 2-D modeling section and figures (included in LOMR report)

Project Schedule

We expect that it will take approximately 2 to 3 months from notice to proceed to complete the HEC-RAS modeling and create the LOMR submittal package and optional services will be negotiated as needed.. The LOMR submittal will first be reviewed by the City of Carpinteria and Caltrans (and the County of Santa Barbara, if applicable). Once accepted, it will be sent to FEMA for review and approval. In our experience, the FEMA review process typically takes 3 to 4 months for a LOMR of this size, although we have seen review times as short as 2 months and as long as 6 months for this type of submittal.

CLOMR and LOMR fees to be paid by Caltrans and are not included in the Fee proposal.

EXHIBIT B

PAYMENT SCHEDULE

The following pertains to Public Contract Code Sections 20104 through 20104.6 (Resolution of Construction Claims)

1. This article applies to all public works claims of three hundred seventy-five thousand dollars (\$375,000) or less which arise between a contractor and a local agency.
2. This article shall not apply to any claims resulting from a contract between a contractor and a public agency when the public agency has elected to resolve any disputes pursuant to Article 7.1 (commencing with Section 10240) of Chapter 1 of Part 2.
3. "Public work" means "public works contract" as defined in Section 1101 but does not include any work or improvement contracted for by the state or the Regents of the University of California.
4. "Claim" means a separate demand by the contractor for (a) a time extension, (b) payment of money or damages arising from work done by, or on behalf of, the contractor pursuant to the contract for a public work and payment of which is not otherwise expressly provided for or the claimant is not otherwise entitled to, or (c) an amount the payment of which is disputed by the local agency.
5. The provisions of this article or a summary thereof shall be set forth in the plans or specifications for any work which may give rise to a claim under this article.
6. This article applies only to contracts entered into on or after January 1, 1991.
7. For any claim subject to this Agreement, the following requirements apply:
 - a. The claim shall be in writing and include the documents necessary to substantiate the claim. Claims must be filed on or before the date of final payment. Nothing in this subdivision is intended to extend the time limit or supersede notice requirements otherwise provided by contract for the filing of claims.
 - b. (1) For claims of less than fifty thousand dollars (\$50,000), the City shall respond in writing to any written claim within 45 days of receipt of the claim, or may request, in writing, within 30 days of receipt of the claim, any additional documentation supporting the claim or relating to defenses to the claim the City may have against the claimant.

(2) If additional information is thereafter required, it shall be requested and provided pursuant to this subdivision, upon mutual agreement of the City and the claimant.

(3) The City's written response to the claim, as further documented, shall be submitted to the claimant within 15 days after receipt of the further documentation or within a period of time no greater than that taken by the claimant in producing the additional information, whichever is greater.

- c. (1) For claims of over fifty thousand dollars (\$50,000) and less than or equal to three hundred seventy-five thousand dollars (\$375,000), the City shall respond in writing to all written claims within 60 days of receipt of the claim, or may request, in writing, within 30 days of receipt of the claim, any additional documentation supporting the claim or relating to defenses to the claim the City may have against the claimant.

(2) If additional information is thereafter required, it shall be requested and provided pursuant to this subdivision, upon mutual agreement of the City and the claimant.

(3) The City's written response to the claim, as further documented, shall be submitted to the claimant within 30 days after receipt of the further documentation, or within a period of time no greater than that taken by the claimant in producing the additional information or requested documentation, whichever is greater.

- d. If the claimant disputes the City's written response, or the City fails to respond within the time prescribed, the claimant may so notify the City, in writing, either within 15 days of receipt of the City's response or within 15 days of the City's failure to respond within the time prescribed, respectively, and demand an informal conference to meet and confer for settlement of the issues in dispute. Upon a demand, the City shall schedule a meet and confer conference within 30 days for settlement of the dispute.
- e. Following the meet and confer conference, if the claim or any portion remains in dispute, the claimant may file a claim as provided in Chapter 1 (commencing with Section 900) and Chapter 2 (commencing with Section 910) of Part 3 of Division 3.6 of Title 1 of the Government Code. For purposes of those provisions, the running of the period of time within which a claim must be filed shall be tolled from the time the claimant submits his or her written claim pursuant to subdivision (a) until the time that claim is denied as a result of the meet and confer process, including any period of time utilized by the meet and confer process.
- f. This article does not apply to tort claims and nothing in this article is intended nor shall be construed to change the time periods for filing tort claims or actions specified by Chapter 1 (commencing with Section 900) and Chapter 2 (commencing with Section 910) of Part 3 of Division 3.6 of Title 1 of the Government Code.

The following procedures are established for all civil actions filed to resolve claims subject to this article:

- 8. Within 60 days, but no earlier than 30 days, following the filing or responsive pleadings, the court shall submit the matter to nonbinding mediation unless waived by mutual stipulation of

both parties. The mediation process shall provide for the selection within 15 days by both parties of a disinterested third person as mediator, shall be commenced within 30 days of the submittal, and shall be concluded within 15 days from the commencement of the mediation unless a time requirement is extended upon a good cause showing to the court or by stipulation of both parties. If the parties fail to select a mediator within the 15-day period, any party may petition the court to appoint the mediator.

9. (a) If the matter remains in dispute, the case shall be submitted to judicial arbitration pursuant to Chapter 2.5 (commencing with Section 1141.10) of Title 3 of Part 3 of the Code of Civil Procedure, notwithstanding Section 1141.11 of that code. The Civil Discovery Act (Title 4 (commencing with Section 2016.010) of Part 4 of the Code of Civil Procedure) shall apply to any proceeding brought under this subdivision consistent with the rules pertaining to judicial arbitration.

(b) Notwithstanding any other provision of law, upon stipulation of the parties, arbitrators appointed for purposes of this article shall be experienced in construction law, and, upon stipulation of the parties, mediators and arbitrators shall be paid necessary and reasonable hourly rates of pay not to exceed their customary rate, and such fees and expenses shall be paid equally by the parties, except in the case of arbitration where the arbitrator, for good cause, determines a different division. In no event shall these fees or expenses be paid by state or county funds.

(c) In addition to Chapter 2.5 (commencing with Section 1141.10) of Title 3 of Part 3 of the Code of Civil Procedure, any party who after receiving an arbitration award requests a trial de novo but does not obtain a more favorable judgment shall, in addition to payment of costs and fees under that chapter, pay the attorney's fees of the other party arising out of the trial de novo.
10. The court may, upon request by any party, order any witnesses to participate in the mediation or arbitration process.
11. The City shall not fail to pay money as to any portion of a claim which is undisputed except as otherwise provided in the contract.
13. In any suit filed under Section 20104.4, the City shall pay interest at the legal rate on any arbitration award or judgment. The interest shall begin to accrue on the date the suit is filed in a court of law.
14. Pursuant to California Public Contract Code Section 7201, the City may not retain greater than five (5%) percent of contract price.

Carpinteria Creek Existing Conditions LOMR / FEMA Map Correction

January 2015 Rates

		Avila					WEST Consultants											
		Project Manager	Assistant Engineer	GIS Technical Specialist	Technical Editor	Total Task Hours	COST	Associate	Project Manager	Senior Engineer	Staff Engineer	Hydro./ GIS	Engin. Tech.	Clerical	Total Task Hours	COST		
Hourly Rate		174.59	98.21	147.32	120.03			231.00	192.00	142.00	112.00	127.00	78.00	52.00				
A. LABOR COSTS																		
TASK No.		DESCRIPTION																
1	FEMA Revised Existing Conditions Modeling																	
	Task 1: Embankment Failure Analysis for FEMA submittal																	
	(a)	Gage Analysis/other for Recurrence Interval	4	8	4	16	\$ 2,073		4							4	\$ 768	
	(b)	Complete Failure Analysis with Caltrans	16	24		40	\$ 5,150		4							4	\$ 768	
	(c)	Compose Letter Report	16	12		28	\$ 3,972		2							2	\$ 384	
		Subtotal	36	44	4	84	\$ 11,196	0	10	0	0	0	0	0	10	\$ 1,920		
2	Presentations and Coordination																	
	(a)	Prepare for and attend a Public Workshop	24	8	8	4	44	\$ 6,635		4						4	\$ 768	
	(b)	Prepare for and attend 1 City Council meeting	24	8	8	4	44	\$ 6,635		4						4	\$ 768	
	(c)	Coordination with the City and Caltrans	30				30	\$ 5,238		4						4	\$ 768	
	(d)	Coordination with the FEMA	24				24	\$ 4,190		2						2	\$ 384	
		Subtotal	102	16	16	8	142	\$ 22,697	0	14	0	0	0	0	14	\$ 2,688		
3	Hydraulic Modeling - Carpinteria Creek																	
	(a)	Corrected Effective HEC-RAS model - multiple discharges		2			2	\$ 196		4		12				16	\$ 2,112	
	(b)	Existing Conditions HEC-RAS model - multiple discharges		2			2	\$ 196		1		4				5	\$ 640	
	(c)	Run/check floodway analysis - 100-year discharge					0	\$ -		1	4					5	\$ 760	
	(d)	Run CHECK-RAS and prepare CHECK-RAS responses					0	\$ -		1		8				9	\$ 1,088	
	(e)	Analyze results and perform QA review; response to comments and backcheck	2	2			4	\$ 546		2	4	4				10	\$ 1,400	
		Subtotal	2	6	0	0	8	\$ 938	0	9	8	28	0	0	0	45	\$ 6,000	
4	Floodplain Mapping																	
	(a)	Prepare floodplain workmaps	2	2			4	\$ 546		2			20			22	\$ 2,924	
	(b)	Prepare annotated FIRM panel(s)	2	2			4	\$ 546		2			16			18	\$ 2,416	
		Subtotal	4	4	0	0	8	\$ 1,091	0	4	0	0	36	0	0	40	\$ 5,340	
5	LOMR Application and Follow-up																	
	(a)	Update FEMA MT-2 forms					0	\$ -		1		4				5	\$ 640	
	(b)	Create FEMA Flood Profiles using RASPLLOT					0	\$ -		2	16					18	\$ 2,656	
	(c)	LOMR Study Report and Exhibits					0	\$ -		8		16	2			26	\$ 3,582	
	(d)	Assemble LOMR documentation, perform QA review					0	\$ -	2	6		8			4	20	\$ 2,718	
	(e)	Assist with property owner notification	12				12	\$ 2,095		4		4			1	9	\$ 1,268	
	(f)	Coordination and follow-up w/ City, County, and Caltrans; including 1 in-person meeting	12	8			20	\$ 2,881		16		16				32	\$ 4,864	
	(g)	Coordination and follow-up w/ FEMA; minor model revisions					0	\$ -	2	16		16	4		1	39	\$ 5,886	
		Subtotal	24	8	0	0	32	\$ 4,976	4	53	16	64	6	0	6	149	\$ 21,614	
6	Project Management/Coordination																	
	(a)	Project management	8				8	\$ 1,397		4					2	6	\$ 872	
	(b)	Conference calls and correspondence	8				8	\$ 1,397		4						4	\$ 768	
		Subtotal	16	0	0	0	16	\$ 2,793	0	8	0	0	0	0	2	10	\$ 1,640	
TOTAL HOURS		184	78	20	8	290		4	98	24	92	42	0	8	268			
TOTAL LABOR COST							\$43,692										\$39,202	

B. DIRECT COSTS																		
																		COST
WEST Travel - Mileage (410 miles r/t x 0.575/mile)																		236
Avila Travel - Mileage (680 miles r/t x .575/mile)																		1,564
Shipping, copies, maps, etc.																		500
TOTAL DIRECT COST																		\$2,300
TOTAL FOR ALL TASKS																		\$85,193

C. OPTIONAL TASK																		
TASK No.	DESCRIPTION																	
7	8th Street Bridge Analysis																	
	(a) Obtain and review Wildan hydraulic analysis	8					\$ 1,397									0	\$ -	
	(b) Revise HEC-RAS analysis to meet FEMA standards	12	16	8			\$ 4,845									0	\$ -	
	(c) Outline impacts of the bridge to affected properties	6	8	12			\$ 3,601									0	\$ -	
	(d) Prepare a technical memorandum documenting analysis	12	16	4	4		\$ 4,736									0	\$ -	
	(e) Investigate 8th Street Bridge mitigation measures (if needed)	24	24	10			\$ 8,020									0	\$ -	
	(f) Outline property impacts of the bridge (if needed)	6	8	12			\$ 3,601									0	\$ -	

January 2015 Rates

January 2025 Rates																
Avila							WEST Consultants									
		Project Manager	Assistant Engineer	GIS Technical Specialist	Technical Editor	Total Task Hours		Associate	Project Manager	Senior Engineer	Staff Engineer	Hydrol./GIS	Engin. Tech.	Clerical	Total Task Hours	
Hourly Rate		174.59	98.21	147.32	120.03		COST	231.00	192.00	142.00	112.00	127.00	78.00	52.00		COST
8	(g) 2D Modeling of the 8th Street Bridge (if needed)	8					\$ 1,397		2	8	32				42	\$ 5,104
	Subtotal	76	72	46	4	0	\$27,597	0	2	8	32	0	0	0	42	\$ 5,104
	Report Writing and Special Flood analysis															
	(a) Report Writing	8					\$ 1,397								0	\$ -
	(b) Special Flood Hazard Analysis	12	16	8			\$ 4,845								0	\$ -
9	(c) Technical Report documenting analysis	24	24	8	4		\$ 8,206								0	\$ -
	Subtotal	44	40	16	4	0	\$ 14,448	0	0	0	0	0	0	0	0	\$ -
	Two-dimensional Modeling of Roadway Embankment Failure															
	(a) Perform 2-D modeling of Hwy 101 roadway embankment failure	8	4				\$ 1,790		4	16					20	\$ 3,040
	(b) Analyze results and perform QA review; response to comments and backcheck		4				\$ 393		2	4					6	\$ 952
	(c) Prepare figures showing 2-D modeling results; prepare summary of 2-D modeling	4					\$ 698	1	4	12		8			25	\$ 3,719
	Subtotal	12	8	0	0	0	\$ 2,881	1	10	32	0	8	0	0	51	\$ 7,711
	TOTAL HOURS							1	12	40	32	8	0	0	93	
TOTAL LABOR COST							\$44,925									\$12,815
TOTAL FOR ALL TASKS (INCLUDING OPTIONAL TASK)																\$142,933

Note: A FEMA review fee is not expected for this LOMR; however, if required, it will be paid by the client and is not included in this cost estimate (see Scope of Work for additional information).

EXHIBIT C

INSURANCE REQUIREMENTS

Prior to the beginning of and throughout the duration of the Work, Consultant will maintain insurance in conformance with the requirements set forth below. Consultant will use existing coverage to comply with these requirements. If that existing coverage does not meet the requirements set forth here, Consultant agrees to amend, supplement or endorse the existing coverage to do so. Consultant acknowledges that the insurance coverage and policy limits set forth in this section constitute the minimum amount of coverage required. Any insurance proceeds available to City in excess of the limits and coverage required in this agreement and which is applicable to a given loss, will be available to City.

Consultant shall provide the following types and amounts of insurance:

Commercial General Liability Insurance using Insurance Services Office "Commercial General Liability" policy form CG 00 01 or the exact equivalent. Defense costs must be paid in addition to limits. There shall be no cross liability exclusion for claims or suits by one insured against another. Limits are subject to review but in no event less than \$1,000,000 per occurrence.

Business Auto Coverage on ISO Business Auto Coverage form CA 00 01 including symbol 1 (Any Auto) or the exact equivalent. Limits are subject to review, but in no event to be less than \$1,000,000 per accident. If Consultant owns no vehicles, this requirement may be satisfied by a non-owned auto endorsement to the general liability policy described above. If Consultant or Consultant's employees will use personal autos in any way on this project, Consultant shall provide evidence of personal auto liability coverage for each such person.

Workers Compensation on a state-approved policy form providing statutory benefits as required by law with employer's liability limits no less than \$1,000,000 per accident or disease.

Excess or Umbrella Liability Insurance (Over Primary) if used to meet limit requirements, shall provide coverage at least as broad as specified for the underlying coverages. Any such coverage provided under an umbrella liability policy shall include a drop down provision providing primary coverage above a maximum \$25,000 self-insured retention for liability not covered by primary but covered by the umbrella. Coverage shall be provided on a "pay on behalf" basis, with defense costs payable in addition to policy limits. Policy shall contain a provision obligating insurer at the time insured's liability is determined, not requiring actual payment by the insured first. There shall be no cross liability exclusion precluding coverage for claims or suits by one insured against another. Coverage shall be applicable to City for injury to employees of Consultant, subconsultants or others involved in the Work. The scope of coverage provided is subject to approval of City following receipt of proof of insurance as required herein. Limits are subject to review but in no event less than \$1,000,000 per occurrence.

Professional Liability or Errors and Omissions Insurance as appropriate shall be written on a policy form coverage specifically designed to protect against acts, errors or omissions of the consultant and "Covered Professional Services" as designated in the policy must specifically include work performed under this agreement. The policy limit shall be no less than \$1,000,000 per claim and in the aggregate. The policy must "pay on behalf of" the insured and must include a provision establishing the insurer's duty to defend. The policy retroactive date shall be on or before the effective date of this agreement.

Insurance procured pursuant to these requirements shall be written by insurers that are admitted carriers in the state of California and with an A.M. Bests rating of A- or better and a minimum financial size VII.

General conditions pertaining to provision of insurance coverage by Consultant. Consultant and City agree to the following with respect to insurance provided by Consultant:

1. Consultant agrees to have its insurer endorse the third party general liability coverage required herein to include as additional insureds City, its officials, employees, volunteers and agents, using standard ISO endorsement No. CG 2010 with an edition prior to 1992. Consultant also agrees to require all contractors and subcontractors to do likewise.
2. No liability insurance coverage provided to comply with this Agreement shall prohibit Consultant, or Consultant's employees or agents, from waiving the right of subrogation prior to a loss. Consultant agrees to waive subrogation rights against City regardless of the applicability of any insurance proceeds, and to require all contractors and subcontractors to do likewise.
3. All insurance coverage and limits provided by Contractor and available or applicable to this agreement are intended to apply to the full extent of the policies. Nothing contained in this Agreement or any other agreement relating to the City or its operations limits the application of such insurance coverage.
4. None of the coverages required herein will be in compliance with these requirements if they include any limiting endorsement of any kind that has not been first submitted to City and approved of in writing.
5. No liability policy shall contain any provision or definition that would serve to eliminate so-called "third party action over" claims, including any exclusion for bodily injury to an employee of the insured or of any contractor or subcontractor.
6. All coverage types and limits required are subject to approval, modification and additional requirements by the City, as the need arises. Consultant shall not make any reductions in scope of coverage (e.g., elimination of contractual liability or reduction of discovery period) that may affect City's protection without City's prior written consent.
7. Proof of compliance with these insurance requirements, consisting of certificates of insurance evidencing all of the coverages required and an additional insured endorsement to Consultant's general liability policy, shall be delivered to City at or prior to the execution of this Agreement. In the event such proof of any insurance is not delivered as required, or in the event such insurance is canceled at any time and no replacement coverage is provided, City has the right, but not the duty, to obtain any insurance it deems necessary to protect its interests under this or any other agreement and to pay the premium. Any premium so paid by City shall be charged to and promptly paid by Consultant or deducted from sums due Consultant, at City option.
8. Certificate(s) are to reflect that the insurer will provide 30 (thirty) days notice to City of any cancellation of coverage. Consultant agrees to require its insurer to modify such certificates to delete any exculpatory wording stating that failure of the insurer to mail written notice of cancellation imposes no obligation, or that any party will "endeavor" (as opposed to being required) to comply with the requirements of the certificate.

9. It is acknowledged by the parties of this agreement that all insurance coverage required to be provided by Consultant or any subcontractor, is intended to apply first and on a primary, non-contributing basis in relation to any other insurance or self insurance available to City.
10. Consultant agrees to ensure that subcontractors, and any other party involved with the project who is brought onto or involved in the project by Consultant, provide the same minimum insurance coverage required of Consultant. Consultant agrees to monitor and review all such coverage and assumes all responsibility for ensuring that such coverage is provided in conformity with the requirements of this section. Consultant agrees that upon request, all agreements with subcontractors and others engaged in the project will be submitted to City for review.
11. Consultant agrees not to self-insure or to use any self-insured retentions or deductibles on any portion of the insurance required herein and further agrees that it will not allow any contractor, subcontractor, Architect, Engineer or other entity or person in any way involved in the performance of work on the project contemplated by this agreement to self-insure its obligations to City. If Consultant's existing coverage includes a deductible or self-insured retention, the deductible or self-insured retention must be declared to the City. At that time the City shall review options with the Consultant, which may include reduction or elimination of the deductible or self-insured retention, substitution of other coverage, or other solutions.
12. The City reserves the right at any time during the term of the contract to change the amounts and types of insurance required by giving the Consultant ninety (90) days advance written notice of such change. If such change results in substantial additional cost to the Consultant, the City will negotiate additional compensation proportional to the increased benefit to City.
13. For purposes of applying insurance coverage only, this Agreement will be deemed to have been executed immediately upon any party hereto taking any steps that can be deemed to be in furtherance of or towards performance of this Agreement.
14. Consultant acknowledges and agrees that any actual or alleged failure on the part of City to inform Consultant of non-compliance with any insurance requirement in no way imposes any additional obligations on City nor does it waive any rights hereunder in this or any other regard.
15. Consultant will renew the required coverage annually as long as City or its employees or agents face an exposure from operations of any type pursuant to this Agreement. This obligation applies whether or not the Agreement is canceled or terminated for any reason. Termination of this obligation is not effective until City executes a written statement to that effect.
16. Consultant shall provide proof that policies of insurance required herein expiring during the term of this Agreement have been renewed or replaced with other policies providing at least the same coverage. Proof that such coverage has been ordered shall be submitted prior to expiration. A coverage binder or letter from Consultant's insurance agent to this effect is acceptable. A certificate of insurance and/or additional insured endorsement as required in these specifications applicable to the renewing or new coverage must be provided to City within five days of the expiration of the coverages.

17. The provisions of any workers' compensation or similar act will not limit the obligations of Consultant under this Agreement. Consultant expressly agrees not to use any statutory immunity defenses under such laws with respect to City, its employees, officials and agents.
18. Requirements of specific coverage features or limits contained in this section are not intended as limitations on coverage, limits or other requirements nor as a waiver of any coverage normally provided by any given policy. Specific reference to a given coverage feature is for purposes of clarification only as it pertains to a given issue and is not intended by any party or insured to be limiting or all-inclusive.
19. These insurance requirements are intended to be separate and distinct from any other provision in this agreement and are intended by the parties here to be interpreted as such.
20. The requirements in this Section supersede all other sections and provisions of this Agreement to the extent that any other section or provision conflicts with or impairs the provisions of this Section.
21. Consultant agrees to be responsible for ensuring that no contract used by any party involved in any way with the project reserves the right to charge City or Consultant for the cost of additional insurance coverage required by this agreement. Any such provisions are to be deleted with reference to City. It is not the intent of City to reimburse any third party for the cost of complying with these requirements. There shall be no recourse against City for payment of premiums or other amounts with respect thereto.
22. The City's failure to comply with reporting requirements should not affect coverage required under the Agreement.
23. The consultant's insurance is primary to that of any insurance claimed by the City.

Consultant agrees to provide immediate notice to City of any claim or loss against Consultant arising out of the work performed under this agreement. City assumes no obligation or liability by such notice, but has the right (but not the duty) to monitor the handling of any such claim or claims if they are likely to involve City.



CERTIFICATE OF LIABILITY INSURANCE

 DATE (MM/DD/YYYY)
4/21/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER SelectSolutions Insurance Services, LLC 1350 Carlbach Avenue Walnut Creek, CA 94596	CONTACT NAME: PHONE (A/C, No, Ext): 866-500-6359 FAX (A/C, No): (855) 804-8449 E-MAIL ADDRESS: PRODUCER CUSTOMER ID #:														
INSURED Avila and Associates Consulting Engineers, Inc. 712 Bancroft Road, #333 Walnut Creek, CA 94598-	<table border="1"> <thead> <tr> <th>INSURER(S) AFFORDING COVERAGE</th> <th>NAIC #</th> </tr> </thead> <tbody> <tr> <td>INSURER A : Continental Casualty Company</td> <td>20443</td> </tr> <tr> <td>INSURER B : Travelers Property Casualty Company of America</td> <td>25674</td> </tr> <tr> <td>INSURER C : The Hanover American Insurance Company</td> <td>36064</td> </tr> <tr> <td>INSURER D : Massachusetts Bay Insurance Company</td> <td>22306</td> </tr> <tr> <td>INSURER E :</td> <td></td> </tr> <tr> <td>INSURER F :</td> <td></td> </tr> </tbody> </table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A : Continental Casualty Company	20443	INSURER B : Travelers Property Casualty Company of America	25674	INSURER C : The Hanover American Insurance Company	36064	INSURER D : Massachusetts Bay Insurance Company	22306	INSURER E :		INSURER F :	
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COVERAGES
CERTIFICATE NUMBER:
REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
D	GENERAL LIABILITY			ODF-8992534-04	2/20/2015	2/20/2016	EACH OCCURRENCE	\$ 2,000,000
	☒ COMMERCIAL GENERAL LIABILITY						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 1,000,000
	☐ CLAIMS-MADE ☒ OCCUR						MED EXP (Any one person)	\$ 5,000
	GEN'L AGGREGATE LIMIT APPLIES PER: ☒ POLICY ☐ PROJECT ☐ LOC	Yes	Yes				PERSONAL & ADV INJURY	\$ 2,000,000
B	AUTOMOBILE LIABILITY			BA-9654P347-15	4/20/2015	4/20/2016	GENERAL AGGREGATE	\$ 4,000,000
	☒ ANY AUTO						PRODUCTS - COMP/OP AGG	\$ 4,000,000
	☒ ALL OWNED AUTOS							\$
	☒ SCHEDULED AUTOS							\$
D	☒ UMBRELLA LIAB ☒ EXCESS LIAB			ODF-8992534-04	2/20/2015	2/20/2016	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000
	☐ CLAIMS-MADE						BODILY INJURY (Per person)	\$
	DEDUCTIBLE						BODILY INJURY (Per accident)	\$
	☒ RETENTION \$						PROPERTY DAMAGE (Per accident)	\$
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			WZF-9036273-04	3/9/2015	3/9/2016		\$
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	Y/N N	N/A					\$
	If yes, describe under DESCRIPTION OF OPERATIONS below							\$
								\$
A	Professional Liability (Errors and Omissions)			AEH 254013304	2/20/2015	2/20/2016		\$5,000,000 / \$5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Re: Hydraulic Analysis. City of Carpinteria, its officials, employees, volunteers and agents are named as Additional Insured as their interests may appear in regards to General Liability policy per attached endorsement. This insurance is primary and non-contributory to any other insurance provided as respects General Liability policy. Waiver of subrogation in favor of the certificate holder with regard to the General Liability policy. The Professional Liability Limits shown represent the Per Claim/Aggregate Limits of Liability.

CERTIFICATE HOLDER
CANCELLATION

 City of Carpinteria
 Department of Public Works
 5775 Carpinteria Avenue
 Carpinteria, CA 93013

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

BUSINESSOWNERS LIABILITY SPECIAL BROADENING ENDORSEMENT

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

This endorsement modifies insurance provided under the following:

BUSINESSOWNERS COVERAGE FORM

SUMMARY OF COVERAGES

	Limits	Page
1. Additional Insured by Contract, Agreement or Permit		1
2. Additional Insured - Broad Form Vendors		2
3. Alienated Premises		2
4. Bodily Injury Redefined		2
5. Broad Form Property Damage - Borrowed Equipment, Customers Goods and Use of Elevators		2
6. Incidental Malpractice (Employed Nurses, EMT's and Paramedics)		3
7. Personal and Advertising Injury - Broad Form		3
8. Product Recall Expense	\$25,000 Occurrence \$50,000 Aggregate	3
9. Unintentional Failure to Disclose Hazards		5
10. Unintentional Failure to Notify		5

This endorsement amends coverages provided under the Businessowners Coverage Form through new coverages and broader coverage grants. This coverage is subject to the provisions applicable to the Businessowners Coverage Form, except as provided below.

1. Additional Insured by Contract, Agreement or Permit

Under **SECTION II - LIABILITY, C. Who Is An Insured**, Paragraph 4. is added as follows:

a. Any person or organization for whom you are performing operations when you and such person or organization have agreed in writing in a contract, agreement or permit that such person or organization be added as an additional insured on your policy. Such person or organization is an additional insured only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:

- (1) Your acts or omissions; or
- (2) The acts or omissions of those acting on your behalf,

but only with respect to:

- (3) "Your work" for the additional insured(s) at the location designated in the contract, agreement or permit; or
- (4) Premises you own, rent, lease, control or occupy.

This insurance applies on a primary basis if that is required by the written contract, agreement or permit.

b. This provision does not apply:

- (1) Unless the written contract or written agreement has been executed or permit has been issued prior to the "bodily injury", "property damage" or "personal and advertising injury";
- (2) To any person or organization included as an insured by an endorsement issued by us and made part of this Policy;
- (3) To any person or organization included as an insured under Item 1.a.2. of this endorsement;
- (4) To any lessor of equipment:
 - (a) After the equipment lease expires; or
 - (b) If the "bodily injury", "property damage" or "personal and advertising injury" arises out of the sole negligence of the lessor;

(5) To any:

- (a) Owners or other interests from whom land has been leased which takes place after the lease for that land expires; or
- (b) Managers or lessors of premises if:
 - (i) The occurrence takes place after you cease to be a tenant in that premises; or
 - (ii) The "bodily injury", "property damage" or "personal and advertising injury" arises out of structural alterations, new construction or demolition operations performed by or on behalf of the manager or lessor; or

(6) To "bodily injury", "property damage" or "personal and advertising injury" arising out of the rendering of or the failure to render any professional services.

- c. Additional insured coverage provided by this provision will not be broader than coverage provided to any other insured.
- d. All other insuring agreements, exclusions, and conditions of the policy apply.

2. Additional Insured - Broad Form Vendors

Under **SECTION II - LIABILITY, C. Who Is An Insured**, paragraph 5. is added as follows:

- 5. Any person or organization with whom you agreed, because of a written contract or written agreement to provide insurance, but only with respect to "bodily injury" or "property damage" arising out of "your products" which are distributed or sold in the regular course of the vendor's business.

The insurance afforded the vendor does not apply to:

- a. "Bodily injury" or "property damage" for which the vendor is obligated to pay damages by reason of the assumption of liability in a contract or agreement. This exclusion does not apply to liability for damages that the vendor would have in the absence of the contract or agreement;
- b. Any express warranty unauthorized by you;
- c. Any physical or chemical change in the product made intentionally by the vendor;
- d. Repackaging, unless unpacked solely for the purpose of inspection,

demonstration, testing, or the substitution of parts under instruction from the manufacturer, and then repackaged in the original container;

- e. Any failure to make such inspection, adjustments, tests or servicing as the vendor has agreed to make or normally undertakes to make in the usual course of business in connection with the distribution or sale of the product;
- f. Demonstration, installation, servicing or repair operations, except such operations performed at the vendor's premises in connection with the sale of the product;
- g. Products which, after distribution or sale by you, have been labeled or relabeled or used as a container, part or ingredient of any thing or substance by or for the vendor; or
- h. "Bodily injury" or "property damage" arising out of the sole negligence of the vendor for its own acts or omissions or those of its employees or anyone else acting on its behalf. However, this exclusion does not apply to:

(1) The exceptions contained in paragraphs 5.d. or 5.f.; or

(2) Such inspections, adjustments, test or servicing as the vendor has agreed to make or normally undertakes to make in the usual course of business, in connection with the distribution or sale of the products.

This insurance does not apply to any insured person or organization, from whom you have acquired such products, or any ingredient, part or container, entering into, accompanying or containing such products.

3. Alienated Premises

Under **SECTION II - LIABILITY, B. Exclusions**, paragraph 1.k.(2) is replaced in its entirety with the following:

- (2) Premises you sell, give away or abandon, if the "property damage" arises out of any part of those premises and occurred from hazards that were known by you, or should have reasonably been known by you, at the time the property was transferred or abandoned.

4. Bodily Injury Redefined

Under **SECTION II - LIABILITY, F. Liability and Medical Expenses Definitions**, definition 4. is replaced in its entirety by the following:

4. "Bodily injury" means bodily injury, disability, sickness or disease sustained by a person, including death resulting from any of these at any time. "Bodily Injury" includes mental anguish or other mental injury resulting from "bodily injury".
5. **Broad Form Property Damage - Borrowed Equipment, Customers Goods, Use of Elevators**
 - a. Under **SECTION II - LIABILITY, B. Exclusions**, paragraph 1.k., the following is added:

Paragraph (4) does not apply to "property damage" to borrowed equipment while at a jobsite and not being used to perform operations.

Paragraph (3), (4) and (6) do not apply to "property damage" to "customers goods" while on your premises nor to the use of elevators.
 - b. Under **SECTION II - LIABILITY, F. Liability and Medical Expenses Definitions**, the following additional definition is added:

"Customers goods" means property of your customer on your premises for the purpose of being:

 - a. Worked on; or
 - b. Used in your manufacturing process.
 - c. The insurance afforded under this provision is excess over any other valid and collectible property insurance (including deductible) available to the insured whether primary, excess, contingent or on any other basis.
6. **Incidental Malpractice - Employed Nurses, EMT's and Paramedics**

Under **SECTION II - LIABILITY, C. Who Is An Insured**, paragraph 2.a.(1)(d) does not apply to a nurse, emergency medical technician or paramedic employed by you if you are not engaged in the business or occupation of providing medical, paramedical, surgical, dental, x-ray or nursing services.
7. **Personal and Advertising Injury - Broad Form**

Under **SECTION II - LIABILITY, F. Liability and Medical Expenses Definitions**, definition 15, "Personal and Advertising Injury", paragraph h. is added as follows:

 - h. Discrimination or humiliation (unless insurance thereof is prohibited by law) that results in injury to the feelings or reputation of a natural person, but only if such discrimination or humiliation is:
 - (1) Not done intentionally by or at the direction of:
 - (a) The insured; or
 - (b) Any officer of the corporation, director, stockholder, partner or member of the insured; and
 - (2) Not directly or indirectly related to an "employee", nor to the employment, prospective employment or termination of any person or persons by an insured.
8. **Product Recall Expense**
 - a. Under **SECTION II - LIABILITY, B. Exclusions**, Paragraph 1. o. is replaced in its entirety by the following:
 - o. **Recall of Products, Work or Impaired Property**

Damages claimed for any loss, cost or expense incurred by you or others for the loss of use, withdrawal, recall, inspection, repair, replacement, adjustment, removal or disposal of:

 - (1) "Your product";
 - (2) "Your work"; or
 - (3) "Impaired property";

If such product, work or property is withdrawn or recalled from the market or from use by any person or organization because of a known or suspected defect, deficiency, inadequacy or dangerous condition in it, but this exclusion does not apply to "product recall expenses" that you incur for the "covered recall" of "your product". The exception to the exclusion does not apply to "product recall expenses" resulting from:

 - (1) Failure of any products to accomplish their intended purpose;
 - (2) Breach of warranties of fitness, quality, durability or performance;
 - (3) Loss of customer approval, or any cost incurred to regain customer approval;
 - (4) Redistribution or replacement of "your product" which has been recalled by like products or substitutes;
 - (5) Caprice or whim of the insured;
 - (6) A condition likely to cause loss of which any insured knew or had reason to know at the inception of this insurance;
 - (7) Asbestos, including loss, damage or clean up resulting from asbestos or asbestos containing materials; or

- (8) Recall of "your products" that have no known or suspected defect solely because a known or suspected defect in another of "your products" has been found.
- b. Under **SECTION II - LIABILITY, C. Who Is An Insured**, paragraph 4.c. is added as follows:
- c. "Bodily injury" or "property damage" do not apply to "product recall expense" arising out of any withdrawal or recall that occurred before you acquired or formed the organization.
- c. Under **SECTION II - LIABILITY, E. Liability and Medical Expense General Conditions, 2. Duties in the Event of Occurrence, Offense, Claim or Suit**, paragraph e. is added as follows:
- e. You must see to it that the following are done in the event of an actual or anticipated "covered recall" that may result in "product recall expense":
- (1) Give us prompt notice of any discovery or notification that "your product" must be withdrawn or recalled. Include a description of "your product" and the reason for the withdrawal or recall;
 - (2) Cease any further release, shipment, consignment or any other method of distribution of like or similar products until it has been determined that all such products are free from defects that could be a cause of loss under this insurance.
- d. Under **SECTION II - LIABILITY, F. Liability and Medical Expenses Definitions**, the following additional definitions are added:
- "Covered recall" means a recall made necessary because you or a government body has determined that a known or suspected defect, deficiency, inadequacy, or dangerous condition in "your product" has resulted or will result in "bodily injury" or "property damage".
- "Product recall expense(s)" means:
- a. Necessary and reasonable expenses for:
 - (1) Communications, including radio or television announcements or printed advertisements including stationary, envelopes and postage;
 - (2) Shipping the recalled products from any purchaser, distributor or user to the place or places designated by you;
 - (3) Remuneration paid to your regular "employees" for necessary overtime;
 - (4) Hiring additional persons, other than your regular "employees";
 - (5) Expenses incurred by "employees" including transportation and accommodations;
 - (6) Expenses to rent additional warehouse or storage space;
 - (7) Disposal of "your product", but only to the extent that specific methods of destruction other than those employed for trash discarding or disposal are required to avoid "bodily injury" or "property damage" as a result of such disposal, you incur exclusively for the purpose of recalling "your product"; and
 - b. Your lost profit resulting from such "covered recall".
- e. Under **SECTION II - LIABILITY, D. Liability and Medical Expenses Limits of Insurance**, the following is added:
5. The Limits of Insurance and rules stated below fix the most that we will pay under this Product Recall Expense Coverage.
- (1) The Aggregate Limit is the most that we will reimburse you for the sum of all "product recall expenses" incurred for all "product recall expenses" initiated during the policy period.
 - (2) The Occurrence Limit shown on the Summary of Coverages is the most we will pay in connection with any one defect or deficiency.
 - (a) All "product recall expenses" in connection with substantially the same general harmful condition will be deemed to arise out of the same defect or deficiency and considered one "occurrence".

- (b) Any amount reimbursed for "product recall expenses" in connection with any one "occurrence" will reduce the amount of the Aggregate Limit available for reimbursement of "product recall expenses" in connection with any other defect or deficiency.
- (c) If the Aggregate Limit has been reduced by reimbursement of "product recall expenses" to an amount that is less than the Occurrence Limit, the remaining Aggregate Limit is the most that will be available for reimbursement of "product recall expenses" in connection with any other defect or deficiency.

6. A deductible of \$500 applies per each "Occurrence".

9. Unintentional Failure to Disclose Hazards

Under **SECTION II - LIABILITY, E. Liability and Medical Expenses General Conditions**, paragraph 6. is added as follows:

6. Representations

We will not disclaim coverage under this Coverage Form if you fail to disclose all hazards existing as of the inception date of the policy provided such failure is not intentional.

10. Unintentional Failure to Notify

Under **SECTION II - LIABILITY, E. Liability and Medical Expenses General Conditions, 2. Duties in the Event of Occurrence, Offense, Claim or Suit**, paragraph f. is added as follows:

- f. Your rights afforded under this Coverage Form shall not be prejudiced if you fail to give us notice of an "occurrence", offense, claim or "suit", solely due to your reasonable and documented belief that the "bodily injury" or "property damage" is not covered under this Policy.

EXHIBIT D

WORKERS' COMPENSATION REQUIREMENTS

The Consultant is required to sign and file a statement acknowledging its obligation to secure payment of Workers' Compensation before beginning work.

ACKNOWLEDGEMENT OF WORKERS' COMPENSATION OBLIGATION

I, Cathy Avila, P.E., hereby acknowledge my obligation to secure payment of Workers' Compensation before beginning work.

Avila and Associates, Inc.

By: _____
Cathy Avila, P.E.

Dated: _____

ATTACHMENT B

Caltrans Reimbursement Commitment Letter

DEPARTMENT OF TRANSPORTATION

50 HIGUERA STREET
SAN LUIS OBISPO, CA 93401-5415
PHONE (805) 549-3016
FAX (805) 549-3620
TTY 711
<http://www.dot.ca.gov/dist05/>



*Serious drought
Help save water!*

April 14, 2015

Charlie Ebeling
Director of Public Works/City Engineer
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

Dear Mr. Ebeling:

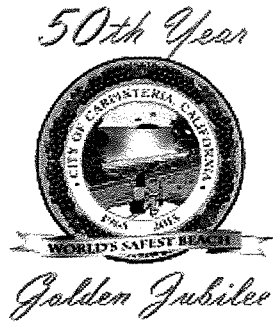
For some time now, Caltrans and the City have partnered on the floodplain analysis required to correct the FEMA flood mapping at Carpinteria Creek. The flood map correction and subsequent CLOMR/LOMR are necessary steps for Caltrans' Linden Avenue and Casitas Pass Road Interchanges and Via Real Extension (Linden-Casitas) project. As the project applicant, Caltrans is responsible for reimbursement of the City's costs for this effort as part of the Coastal Development Permit and related requirements as described below.

The reimbursement is a Linden-Casitas project capital cost towards the Coastal Development Permit (CDP) and Local Coastal Program Amendment (LCPA), both of which are mandatory for successful delivery of the project. Our work towards correcting the FEMA flood map is nearing a positive outcome. I would like to affirm Caltrans' commitment to the reimbursement, and urge the City to continue working under this arrangement to bring the floodplain analysis and interaction with FEMA to its conclusion.

Sincerely,

A handwritten signature in cursive script that reads "David Beard".

DAVID C. BEARD
Project Manager



City of Carpinteria

COUNCIL AGENDA STAFF REPORT APRIL 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Report On Street Lighting District No. 1 -- FY 2015-16

STAFF RECOMMENDATION

Action Item x ; Non-Action Item

Adopt Resolution Nos. 5604 and 5605, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Street Lighting District No. 1 for fiscal year 2015-16 for public hearing at the regular City Council meeting of May 25, 2015.

Motion: I recommend adoption of Resolution Nos. 5604 and 5605, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Street Lighting District No. 1 for fiscal year 2015-16 for public hearing at the regular City Council meeting of May 25, 2015.

BACKGROUND/DISCUSSION

On March 23, 2015, by Resolution No. 5599, the City Council ordered a report on the status and projections for Street Lighting District No. 1 for Fiscal Year 2015-16. This status report is required annually under the California Street Lighting Act of 1919, as amended, and is one of several steps required each year in order to continue the District. The purpose of the District is to provide a funding source to finance the cost of electrical power and maintenance and replacement of outdoor public lighting facilities. The following are the steps: A.) Ordering the report and Notice of Intent to continue the District, B.) Accept the report and set for public hearing, C.) Hold a Public hearing and decide on continuing the District.

POLICY CONSISTENCY

The City General Plan/Local Coastal Plan includes policies that address the maintenance of street corridors in the City. Specifically, Policies CD-8a, CD-8b, CD-9a, CD-9b, CD-9c, CD-9d and CD-9f address pedestrian and bicycle pathways. The Carpinteria Lighting, Landscaping and Right of Way Improvement District No.3 is the financial means by which the City can, in part, implement these policies.

FINANCIAL CONSIDERATIONS

The ending fund balance as of June 30, 2014, was \$951,935. Revenues, expenditures and transfers to other funds for FY 2014-15 are projected to be \$289,480, \$241,000 and \$111,615 respectively leaving a fund balance at June 30, 2015, of \$888,800. These funds are used for both operations and maintenance of the lighting system and, once a sufficient fund balance is accumulated, these funds are also used to finance capital projects such as new or replacement street lights, upgrades to traffic signals, etc.

LEGAL

This district is an ad valorem (property tax) district and as such is governed by the provisions of Proposition 13 and is not subject to the provisions of Proposition 218. Attached are two proposed resolutions for your consideration and adoption. The first resolution accepts the report as presented and the second resolution states the Council's intent to continue the District for FY 2015-16 and sets the matter for public hearing on May 25, 2015.

PRINCIPAL PARTIES EXPECTED AT MEETING

Unknown

ATTACHMENTS

- A. Report of the City Manager
 - 1) Map of the District boundaries
 - 2) Street Light Inventory
 - 3) State of Fund Transactions and Financial Condition.
- B. Resolution No. 5604
- C. Resolution No. 5605

Attachment A
City of Carpinteria, Street Lighting District No. 1
Report of the City Manager Relative to the Continuation
of Carpinteria Street Lighting District No. 1

**CITY OF CARPINTERIA
CARPINTERIA STREET LIGHTING DISTRICT NO. 1
REPORT OF THE CITY MANAGER RELATIVE
TO THE CONTINUATION OF CARPINTERIA
STREET LIGHTING DISTRICT NO. 1**

1. INTRODUCTION:

This report is prepared pursuant to Resolution No. 5599 as adopted by the City Council on March 23, 2015. The objective of the report is to describe the status of the district, which provides street lighting for the City and to provide projections for FY 2015-16.

2. BACKGROUND:

The City assumed responsibility for funding street lighting within the City on July 1, 1977, in conjunction with the detachment of the City from County Service Area No. 11, the funding vehicle for street lighting prior to July 1, 1977. The City ultimately established two special districts to provide funding for street lighting; both are governed by the City Council and both cover the entire City. The second district, Street Lighting District #2, was suspended in 1985.

Street Lighting District No. 1 was formed by the City Council on November 22, 1976, for a period of five (5) years starting July 1, 1977 and has been continued each year thereafter in one year increments. The Street Lighting Act of 1919 was the legal basis for this action. The district was formed to establish a way of financing street lights through the collection of the ad valorem (property) tax. The initial tax rate was about 15 cents per \$100.00 of assessed valuation and the second year was 12.95 cents per \$100.00. With the adoption of Proposition 13, a limit was placed on the overall tax rate as well as a reduction of the total tax base. Since the passage of Proposition 13, the district's share of the property tax has been about 1.8% of all property tax or a tax rate equivalent to about 1.8 cents per \$100.00 of assessed valuation.

Most of the Street lighting in Carpinteria is owned and operated by Southern California Edison Company. The City has a contract with Edison to provide street lighting based on a monthly flat rate as set by the California Public Utilities Commission. The same rate is charged year round. As owner of the lights, Edison has the responsibility for maintenance and operations. As the customer, the City determines number, location, and type of lights and pays the bill.

With respect to other public lighting provided by the District, included are lights on the Franklin Creek and Carpinteria Creek Bikeways, Civic Center parking lights, and parking lot lights in Parking Lots #1 #2 and #3, lights on Linden Avenue between Carpinteria Avenue and the beach and street-end parking lot lights at Elm, Holly and Ash Avenues. All of these lights are City-owned and charges are based on meter readings. Traffic signal lights are also supported by the District. The four-way lights

located at Linden and Carpinteria Avenues, 7th Street and Casitas Pass and Carpinteria Avenues are City-owned.

In addition to electrical power, funding from this district pays for both the maintenance and operations costs of the city-owned portion of the lighting system.

3. ANNEXATIONS:

There were no annexations to either the City or the District during the past fiscal year.

4. STREET LIGHTING DISTRICT NO. 1:

The attached Exhibit 1 is a map of the City and District. The District boundaries are coterminous with the City boundaries with the exception of that portion located off-shore.

5. STREET LIGHT INVENTORY:

Please refer to the attached Exhibit 2 for a listing of projected street lights by size and cost.

6. CAPITAL IMPROVEMENTS:

A total of \$1,186,676 is estimated to be available for capital improvements during fiscal 2015-16.

7. FINANCIAL DATA AND PROPOSED FY 2015-16 BUDGET:

Exhibit 3 is a Statement of Fund Transactions and Financial Condition for the past three years, current year and next year.

The District also has its own Appropriations Limit pursuant to Proposition 4.

8. RECOMMENDATION;

It is recommended that the City Council adopt Resolution Nos. 5604 and 5605, thereby acting to accept and file the mandatory annual report and set the matter of the continuation of Street Lighting District No. 1 for fiscal year 2015-16 for public hearing at the regular City Council meeting of May 25, 2015.

Dave Durflinger
City Manager

Exhibit 1
Map of the District Boundaries

Exhibit 2
Street Lighting Inventory

**CITY OF CARPINTERIA
STREET LIGHTING DISTRICT NUMBER 1**

STREET LIGHTING INVENTORY

LOCATION	NUMBER	WATTS	TYPE
CARPINTERIA AVE.	66	23	LED
DOWNTOWN "T"	8	23	LED
LNDEN AVE.	69	23	LED
LINDEN BEACH	6	23	LED
ELM AVE.	4	70	HIGH PRESSURE SODIUM VAPOR
HOLLY AVE.	4	70	HIGH PRESSURE SODIUM VAPOR
ASH AVE.	4	70	HIGH PRESSURE SODIUM VAPOR
WULLBRANDT WAY	3	23	LED
PARKING LOT #1	5	150	HIGH PRESSURE SODIUM VAPOR
PARKING LOT #2	2	150	HIGH PRESSURE SODIUM VAPOR
PARKING LOT #3	12	26	CFL
TRAIN STATION	28	23	LED
FRANKLIN CREEK BIKEWAY	8	26	CFL
CARPINTERIA CREEK BIKEWAY	5	250	HIGH PRESSURE SODIUM VAPOR
TRAFFIC LIGHTS/SIGNALS	12		
SEAL FOUNTAIN	16	3	LEDs

Exhibit 2

Exhibit 3
State of Fund Transactions and Financial Condition

CITY OF CARPINTERIA

STREET LIGHTING DISTRICT NUMBER 1

STATEMENT OF FUND TRANSACTIONS AND FINANCIAL CONDITION

FUND 290

STREET LIGHTING FUND

STREET LIGHTING FUND	ACTUAL 2011-12	ACTUAL 2012-13	ACTUAL 2013-14	BUDGET 2014-15	PROJECTED 2015-16
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A. SUMMARY OF FUND TRANSACTIONS:

Fund Balance; July 1	769,655	854,667	959,899	951,935	888,800
Fiscal Year Revenues	278,433	287,402	298,841	289,480	297,876
Fiscal Year Expenditures	193,421	182,170	306,805	352,615	361,283
Fund Balance; June 30	854,667	959,899	951,935	888,800	825,393

Revenue Over/(Under) Expense	85,012	105,232	(7,964)	(63,135)	(63,407)
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B. BALANCE SHEET, JUNE 30

ASSETS:

Cash in Savings	858,620	968,091	952,817	888,800	825,393
Time Deposits	0	0	0	0	0
Accounts Receivable	0	0	0	0	0
*Total Assets>>>>>>>>>>>>>>>>	858,620	968,091	952,817	888,800	825,393

LIABILITIES AND FUND BALANCE

Accounts Payable	3,953	8,192	882	0	0
Fund Balance	854,667	959,899	951,935	888,800	825,393
*Total Liabilities & Fund Balance>>	858,620	968,091	952,817	888,800	825,393

C. FISCAL YEAR REVENUE DETAIL:

Use of Money & Property	11,331	4,497	5,950	7,446	7,446
District #1-Property Taxes, Secured	249,300	260,294	272,418	256,602	264,300
District #1-Property Taxes, Unsecured	11,842	12,862	12,224	13,201	13,597
District #1-Supplemental Roll	2,660	4,178	5,657	10,068	10,370
District #1-Homeowners Reimbursement	1,785	1,785	1,776	1,133	1,133
Prior Years and Penalties	1,515	3,786	816	1,030	1,030
Special District Assistance	0	0	0	0	0
Transfers from Other Funds	0	0	0	0	0
*Total Revenues>>>>>>>>>>>>>>	278,433	287,402	298,841	289,480	297,876

D. FISCAL YEAR EXPENDITURE DETAIL:

Traffic Signals	863	7,469	70,350	58,000	58,000
Public Right of Way Lighting	14,619	1,429	1,192	60,000	60,000
Street Lighting	85,245	107,477	97,606	105,000	105,000
Parking Lot Lighting	11,825		8,331	8,000	8,000
Miscellaneous Operating Expense	2,738		900	10,000	10,000
*Total Operating Expense>>>>>	115,289	116,375	178,379	241,000	241,000

*Transfers Out>>>>	67,026	65,795	128,426	111,615	120,283
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Street Lights	11,106	0	0	0	0
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*Total Capital Outlay Expense>>>>	11,106	0	0	0	0
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*Total Expenditures>>>>>>>>>>	193.421	182.170	306.805	352.615	361.283
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Attachment B
Resolution No. 5604

RESOLUTION NO. 5604

**A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
ACCEPTING AND ORDERING THE FILING OF THE
REPORT OF THE CITY MANAGER OF SAID CITY
FOR THE FURNISHING OF ELECTRIC CURRENT AND
THE MAINTENANCE OF CERTAIN LIGHTING FIXTURES AND
APPLIANCES IN THE CITY OF CARPINTERIA
STREET LIGHTING DISTRICT NO. 1 (FY 2015-16)**

WHEREAS, pursuant to the provisions of the "Street Lighting Act of 1919" of the State of California (Part 1 of Division 14, of the Streets and Highways Code of said State), as amended, the City Council of the City of Carpinteria did on March 23, 2015, adopt Resolution No. 5599 instructing the City Manager to make and file with the City Council a report in writing presenting certain matters relating to street lighting assessment districts for the furnishing of electric energy and the servicing and maintenance of all street lights in said City: and

WHEREAS, the City Manager of the City of Carpinteria has presented to the City Council a report in writing dated April 27, 2015, presenting certain matters relating to the furnishing of electric energy and the servicing and maintenance of all street lights in the City of Carpinteria Street Lighting District No. 1; and

WHEREAS, proceedings for the lighting, maintenance and servicing district are to be taken pursuant to the provisions of the "Street Lighting Act of 1919," Part 1 of Division 14, of the Streets and Highways Code, as amended, and particularly as contemplated under the provisions of said law; and

WHEREAS, this City Council has carefully examined and inspected the report of the City Manager, and is satisfied with each and all of the items set forth in said report;

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA DOES HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:

SECTION 1.

That the report of the City Manager of the City of Carpinteria, dated April 27, 2015, is hereby accepted and is hereby ordered filed with the City Clerk of the City.

SECTION 2.

That the City Clerk shall certify to the passage and adoption of this resolution and shall make a minute of the passage and adoption thereof in the records of the proceedings of the City Council in the minutes of the meeting at which the same is passed and adopted.

Resolution 5604

Page 2

PASSED, APPROVED AND ADOPTED this 27th day of April, 2015 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of April, 2015 .

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
acting as City Attorney of the City of Carpinteria

Attachment C
Resolution No. 5605

RESOLUTION NO. 5605

**A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
DECLARING ITS INTENTION TO CONTINUE FURNISHING
ELECTRICAL ENERGY, SERVICING AND MAINTENANCE OF ALL
STREET LIGHTS, APPLIANCES AND FIXTURES ON THE STREETS AND
PUBLIC PLACES IN THE CITY OF CARPINTERIA, FOR THE PERIOD
BEGINNING JULY 1, 2015 AND ENDING JUNE 30, 2016,
AS PERMITTED BY
THE STREET LIGHTING ACT OF 1919, FOR
CARPINTERIA STREET LIGHTING DISTRICT NO. 1, AND
APPOINTING A TIME AND PLACE FOR HEARING PROTESTS IN
RELATION THERETO
(FY 2015-16)**

The City Council of the City of Carpinteria, California, does hereby resolve, declare, determine and order as follows:

SECTION 1.

That the public interest and convenience require, and it is the intention of the City Council of the City of Carpinteria, to order the furnishing of electric energy, servicing and maintenance of all street lights, appliance and fixtures, on portions of public streets, places, avenues, courts, and drives within said City, all as shown on Diagram and Plan No. LP-1977-2002-E, on file in the office of the City Clerk to be maintained and electric current to be furnished for lighting said fixtures and appliances for the period beginning July 1, 2015 , and ending June 30, 2016 (Street Lighting District No. 1) permitted by the Street Lighting Act of 1919, in accordance with the report of the City Manager dated April 27, 2015, on file in the office of the City Clerk, and which is hereby referred to and by this reference incorporated herein and made a part hereof. The period of time for the furnishing of electric current and maintenance for the fixtures and appliances on said streets (or rights-of-way stated above) shall be set forth in a "NOTICE OF IMPROVEMENT," which said notice the law requires to be both published and posted. All of the work and improvement shall be done in accordance with the plans and specifications referred to in the said report of the City Manager dated April 27, 2015.

SECTION 2.

That public interest and convenience further requires, and that it is the intention of the said City Council, from time to time to add certain lighting fixtures, lamps and appliances and/or the replacement of certain existing street lighting fixtures, lamps and appliances on and along said public streets, places, avenues, courts and drives, as they become necessary, said cost to be included in the District costs.

SECTION 3.

That the City Treasurer has established a special fund known as "Carpinteria Street Lighting District, No. 1 Fund"; said City Treasurer shall place in said special fund all moneys collected by the Tax Collector pursuant to the provision of Section 6 of this resolution as soon as said moneys have been transferred to said City Treasurer. Payments shall be made out of said special fund only for the purposes provided for in this resolution. To expedite the making of this improvement, the City Council may transfer into said special fund, out of any money in the general fund, such sums as it may deem necessary, and the sums so transferred, shall be deemed a loan to such special fund, and shall be repaid out of the proceeds of the assessments provided for in this resolution.

SECTION 4.

That said contemplated work of improvement, in the opinion of the City Council, is of more than local or ordinary benefit, and said Council hereby makes the expense of said work and improvement chargeable upon a district, which district said Council hereby declares to be the district benefited by said work and improvements, and to be assessed to pay the cost and expense thereof, which said District includes the entire City of Carpinteria as shown on Diagram and Plan No. LP-1977-2002-E (District No. 1) on file in the office of the City Clerk, on which Diagram and Plans the extent of said District is indicated, and the exterior boundaries thereof are described by a boundary line, (being the City Boundary Lines including annexations completed prior to June 30, 1992) and to which said Diagram and Plan reference is hereby made for a complete and detailed description of said district, excepting from said district any portion of any public street or alley that may be included therein. The said diagram on file shall govern for all details as to the extent of said District.

SECTION 5.

Any lots or parcels of land known as public property, as the same is defined in Section 18014, Part 1, Division 14, Streets and Highways Code, as amended ("Street Lighting Act of 1919"), hereinafter referred to, which are included in the said District shall be exempt from the assessment to be made to cover and expenses of said contemplated improvement.

SECTION 6.

That the improvements contemplated by the Resolution of Intention shall be done under the provisions of the "Street Lighting Act of 1919" (now Part 1 of Division 14 of the Streets and Highways Code of the State of California), as amended.

SECTION 7.

That Tuesday, May 25, 2015 at the hour of 5:30 p.m. of the said day, be and the same is hereby appointed as the time, and the Council Chambers in the City Hall, 5775 Carpinteria Avenue, in said City of Carpinteria, California, is hereby appointed as the place for hearing protests in reference to the said proposed improvement and also in reference to those matters stated in Section 2, above.

SECTION 8.

That the City Clerk is hereby authorized, designated and directed to give notice of said hearing in time, form and manner as required by law.

SECTION 9.

That the Coastal View, a newspaper of general circulation, published in the County of Santa Barbara and circulated within the City of Carpinteria, is hereby designated as the newspaper in which such notice shall be published.

SECTION 10.

That this resolution shall take effect immediately and the City Clerk shall certify to the passage and adoption of this resolution; shall enter the same among the original resolutions of the City Council of said City, and shall make a minute of the passage and adoption thereof in the records of the proceedings of the City Council of said City in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 27th day of April, 2015 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

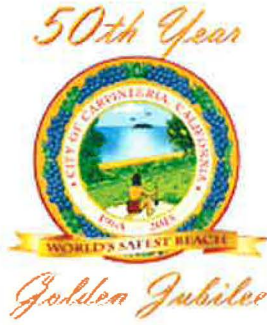
City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of April, 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
acting as City Attorney of the City of Carpinteria



City of Carpinteria

COUNCIL AGENDA STAFF REPORT APRIL 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Report on Right-of-Way Improvement District No. 3 -- FY 2015-16

STAFF RECOMMENDATION

Action Item x ; Non-Action Item

Adopt Resolution Nos. 5606 and 5607, acting to accept and file the mandatory annual report and set the matter of the continuation of Right-of-Way Improvement District No. 3 for fiscal year 2015-16 for public hearing at the regular City Council meeting of May 25, 2015.

MOTION: I recommend adoption of Resolution Nos. 5606 and 5607, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Right-of-Way Improvement District No. 3 for fiscal year 2015-16 for public hearing at the regular City Council meeting of May 25, 2015.

BACKGROUND/DISCUSSION

On March 23, 2015, by Resolution No. 5602, the City Council ordered a report on the status and projections for Carpinteria Right -Of- Way Improvement District No. 3 for Fiscal Year 2015-16. The District provides general maintenance and repairs of curb gutter and sidewalks, tree planting, tree trimming and tree removal as well as installation and maintenance of landscaped street planters and medians. This status report is required annually under the California Streets and Highways Code starting with Section 22500, and is one of several steps required each year in order to continue the subject Assessment District. The following are the steps: A.) Order the report and issue the Notice of Intent to continue the assessment District, B.) Accept the report and set for public hearing, C.) Conduct the Public hearing and decide on continuing the District.

POLICY CONSISTENCY

The City General Plan/Local Coastal Plan includes policies that address the maintenance of street corridors in the City. Specifically, Policies CD-8a, CD-8b, CD-9a, CD-9b, CD-9c, CD-9d and CD-9f address pedestrian and bicycle pathways. The Carpinteria Lighting, Landscaping and Right of Way Improvement District No.3 is the financial means by which the City can, in part, implement these policies.

FINANCIAL CONSIDERATIONS

This District's income stream is derived from a fixed dollar assessment on property while a majority of the District's expenses are subject to inflationary pressures. Projects such as the Carpinteria Avenue Improvement project have also increased the District's maintenance and utility expenses, due to the construction of many landscaped planters. To preserve the fund balance, capital improvements are no longer being funded by this source. The beginning fund balance for fiscal 2014-15 was \$0. The ending fund balances for fiscal years 2014-15 and 2015-16 are projected to be \$0. Please see Exhibit B. It is estimated that the District will require a General Fund subsidy of approximately \$242K to balance.

LEGAL

The following is the sequence of events for continuing the District:

March 23 --- Adopt a resolution ordering the preparation of a report describing the financial status and recommending assessments for fiscal year 2015-16.

April 27 --- The report is prepared and presented by staff with recommendations.
Adopt a resolution accepting the report.
Adopt a resolution of intention to continue the District and to levy assessments for next year and set for public hearing.

May 25 ---Conduct a public hearing on the proposal to continue the District.
Adopt a resolution continuing the District and levying assessments for fiscal year 2015-16.

Attached are two resolutions for your consideration and adoption. The first resolution accepts the report as presented and the second resolution states the Council's intent to continue the District for FY 2015-16 and sets the matter for public hearing on May 25, 2015.

OPTIONS

Discontinue the District

Modify scope/purpose of the District

PRINCIPAL PARTIES EXPECTED AT MEETING

Unknown

ATTACHMENTS

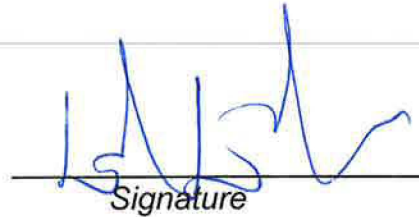
- A. Report of the City Engineer
 - 1) Map of the District boundaries
 - 2) State of Fund Transactions and Financial Condition for the District
- B. Resolution No. 5606
- C. Resolution No. 5607

Staff contact: John Thornberry

Phone: 805-684-5405 x 448
Email: JohnT@ci.carpinteria.ca.us


Signature

Reviewed by: Dave Durflinger, City Manager


Signature

Attachment A
Report of the City Engineer

CITY OF CARPINTERIA

REPORT OF THE CITY ENGINEER RELATIVE TO THE ASSESSMENT OF RIGHT-OF-WAY IMPROVEMENT DISTRICT NO. 3

April 27, 2015

1. INTRODUCTION

This report is prepared pursuant to Resolution No. 5602 as adopted by the City Council on March 23, 2015. The objective of the report is to describe the status of the District which provides funding for maintenance and improvements to the public right-of-way, including maintenance and repair of curbs, gutters, sidewalks, street trees and related activities. Further, the purpose is to provide a recommendation for assessments for the coming year which provides revenue to offset the costs involved in the district.

2. BACKGROUND

The Carpinteria Right-Of-Way Improvement District No. 3 was formed under the authority of the Landscaping and Lighting Act of 1972 found in the California Streets and Highways Code, starting with Section 22500. The District was formed after lengthy study and hearings that were completed in June 1985.

Establishment of the District, which serves as a backup for street light funding, resulted in the suspension of Street Lighting District No. 2, which formerly performed a similar function.

Under the provisions of the above Act, an annual report must be presented and a hearing conducted on the proposed assessments for the upcoming fiscal year, FY 2015-16. The assessment is not considered a tax in that the "benefit" is derived in accordance with the assessment, which varies from location to location depending upon the level of maintenance required in the public right-of-way adjacent to a parcel.

Effective with the adoption of the FY 1992-93 budget, assessments were increased \$150,000 to finance the cost of park maintenance previously financed by the General Fund.

With the passage of Proposition 218 in FY 1996-97 park maintenance costs became ineligible for funding from this source and the \$150,000 assessment for park maintenance was removed from the roll in FY 1997-98. In that same year voters approved a Park Maintenance Tax, accounted for in a separate Park Maintenance Fund, to finance these activities.

3. FINANCIAL DATA/ASSESSMENT INFORMATION

The income stream to the District consists of a fixed dollar assessment on real property, interest and transfers from other funds. Fund transfers, such as from the Gas Tax Fund, are permitted to offset the costs associated with District employees performing activities as a part of District implementation that qualify for payment through the Gas Tax program. Although the expenses of the District are subject to inflationary pressures there is no corresponding provision for inflationary adjustments to the assessments. Please see Exhibit 2.

4. DISTRICT BOUNDARIES

The attached Exhibit 1 is a map of the City and District. The District boundaries are coterminous with the City boundaries with the exception of that portion located offshore.

Public and other exempt properties are not assessed by this district.

5. ANNEXATIONS

There were no annexations to either the City or the District during the past fiscal year.

6. RECOMMENDATION

It is recommended that the City Council adopt Resolution Nos. 5606 and 5607, thereby acting to accept and file the mandatory annual report and set the matter of the continuation of Right of Way Improvement District No. 3 for fiscal year 2015-16 for public hearing at the regular City Council meeting of May 25, 2015.



for

Charles W. Ebeling, C.E., T.E.
City Engineer

Exhibit 1
Map of the District Boundaries

Report of the City Engineer
Exhibit 1
Map of the District



City of Carpinteria
Right-of-Way Improvement District #3
District Boundary (Identical to City Limit)

Report of the City Engineer

Exhibit 2

State of Fund Transactions and Financial Condition for the District

Exhibit 2

2) State of Fund Transactions and Financial Condition for the District

Attachment B
Resolution No. 5606

RESOLUTION NO. 5606

**A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
ACCEPTING AND ORDERING THE FILING OF THE REPORT OF THE
CITY ENGINEER OF SAID CITY MADE PURSUANT TO THE
REQUIREMENTS OF RESOLUTION NO. 5606 OF SAID COUNCIL
CARPINTERIA LIGHTING, LANDSCAPING AND RIGHT-OF-WAY
IMPROVEMENT DISTRICT NO. 3
(FY 2015-16)**

WHEREAS, the City Council of the City of Carpinteria, California, did in Resolution No. 5602, adopted March 23, 2015, pursuant to the provisions of the Landscaping and Lighting Act of 1972" of the State of California (Part 2 of Division 16 Section 22500 et seq. of the Streets and Highways Code of said State) , require the City Engineer to make and file with the Clerk of the City Council a report in writing, presenting certain matters relating to the proposed furnishing to certain street lighting fixtures and appurtenances to be installed; electrical energy and maintenance to be furnished for all street lights throughout the City; and for the furnishing of landscaping maintenance, appurtenances and appurtenant work in the City, as contemplated under the provisions of said "Landscaping and Lighting Act of 1972"; and

WHEREAS, said City Engineer, pursuant to the requirements of said City Council as expressed in Resolution No. 5602 and of said law, did make and on April 27, 2015, file in the office of the City Clerk (who is ex-officio Clerk of the City Council) of said City, the report in writing responsive to the requirements of said Resolution No. 5602 and as contemplated under the provisions of said "Landscaping and Lighting Act of 1972"; and

WHEREAS, said City Clerk has presented the said report to the City Council of said City, and said Council has proceeded to carefully examine, inspect and consider the said report and is satisfied with said report and with each and all of the items therein set forth;

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA, DOES HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:

SECTION 1. That the report of the City Engineer and City Engineer of the City of Carpinteria, California, dated April 27, 2015, which said report was prepared and filed pursuant to the requirements of the provisions of Resolution No.5602 of the City Council of said City and in conformity with the provisions of the "Landscaping and Lighting Act of 1972," of the State of California, (Part 2 of Division 16 of the Streets and Highways Code of said State), and which said report was filed in the office of the City Clerk of the City of Carpinteria, California, on the 27th day of April 2015, be and the same is hereby approved as so prepared, presented and filed.

SECTION 2. That the City Clerk shall certify to the passage and adoption of this resolution; shall cause the same to be entered among the original resolutions of the City

Council of said City and shall make a minute of the passage and adoption thereof in the records of the passage and adoption thereof in the records of the proceedings of the City Council of said City in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 27th day of April, 2015 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of April, 2015

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
acting as City Attorney of the City of Carpinteria

Attachment C
Resolution 5607

RESOLUTION NO. 5607

**A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
DECLARING ITS INTENTION TO ORDER THE CONTINUATION OF AN
ASSESSMENT DISTRICT AND COLLECT ASSESSMENTS, AND TO ORDER
CERTAIN STREET LIGHTING FIXTURES AND APPURTENANCES TO BE
INSTALLED; ELECTRICAL ENERGY AND MAINTENANCE TO BE FURNISHED FOR
ALL STREET LIGHTS THROUGHOUT THE CITY; AND TO MAINTAIN ALL
LANDSCAPING, INCLUDING THE MAINTENANCE OF ALL TREES LOCATED ON
CITY PROPERTY ; INSTALLATION AND MAINTENANCE OF IRRIGATION
SYSTEMS; STREET SWEEPING, AND MAINTENANCE OF ALL DESIGNATED
PARKWAYS, LANDSCAPED AREAS AND CURB, GUTTER AND SIDEWALKS AS
SHOWN ON PLAN AND DIAGRAM
FOR THE FISCAL YEAR COMMENCING
JULY 1, 2015, AND ENDING JUNE 30, 2016;
AND APPOINTING A TIME AND PLACE FOR HEARING PROTESTS IN RELATION
THERETO
CARPINTERIA LIGHTING, LANDSCAPING AND RIGHT-OF-WAY IMPROVEMENT
DISTRICT NO. 3 (FY 2015-16)**

THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA, DOES
HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:

SECTION 1. That the public interest and convenience require, and that it is the intention of the City Council of the City of Carpinteria, California, to order the continuation of an assessment district known as the Carpinteria Lighting, Landscaping and Right-of-Way Improvement District No. 3 and to levy and collect assessments pursuant to the Landscaping and Lighting Act of 1972 (Streets and Highways Code Section 22500 et seq.) and to order certain street lighting fixtures and appurtenances to be installed, electrical energy and maintenance to be furnished for all street lights throughout the City; and to maintain all landscaping, which includes the maintenance of all trees throughout the City of Carpinteria located on City property; replacing of same and appurtenances and appurtenant work relating to the above said landscaping and tree maintenance; the installation and maintenance of all irrigation systems necessary for the above; street sweeping, maintaining of all designated parkways, landscaped areas and curb, gutter and sidewalks as shown on DIAGRAM and PLAN for Carpinteria for Carpinteria Lighting, Landscaping and Right-of-Way Improvement District No. 3, and such areas as the City Council may require; all as on file in the office of the City Clerk, for the fiscal year beginning July 1, 2015 and ending June 30, 2016.

SECTION 2. To expedite the making of said improvement, the City Council may at any time transfer into the Carpinteria Lighting, Landscaping and Right-of-Way Improvement District No. 3 Fund, out of any money in the General Fund, such sums as it shall deem necessary, and the sums so transferred shall be deemed a loan to such Fund and shall be repaid out of the proceeds of the assessments provided for in this resolution,

and pursuant to the provisions of Section 22657, "Landscaping and Lighting Act of 1972," referred to herein.

SECTION 3. That said contemplated work and improvement, in the opinion of the City Council is of more than local or ordinary benefit, and said Council hereby makes the expense of said work and improvement chargeable upon a district, which district said Council hereby declares to be the district benefited by said work and improvement, and to be assessed to pay the cost and expense thereof, which said district is the City of Carpinteria as shown on Diagram and Plan for said District No. 3 on file in the office of the City Clerk, and to which said Diagram and Plan reference is hereby made for a complete and detailed description of said district, excepting from said district any portion of any public street, alley or court that may be included therein. The said Diagram and Plan so on file shall govern for all details as to the extent of said assessment district.

SECTION 4. Any lots or parcels of land known as public property, as the same is defined in Section 22663, Part 2, Division 16, Streets and Highways Code, ("Landscaping and Lighting Act of 1972"), hereinafter referred to, which are included in the said assessment district, shall be omitted and exempt from the assessment to be made to cover the costs and expenses of said contemplated improvement.

SECTION 5. The improvement contemplated by this Resolution of Intention shall be done under the provisions of the "Landscaping and Lighting Act of 1972" (now Part 2 of Division 16 of the Streets and Highways Code of the State of California).

SECTION 6. That May 25, 2015, at the hour of 5:30 P.M. of said day, be and the same is hereby appointed as the time, and the Council Chamber in the City Hall, at 5775 Carpinteria Avenue in the City of Carpinteria, California, is hereby appointed as the place for hearing protests in reference to said improvement.

SECTION 7. That the City Clerk is hereby authorized, designated and directed to give notice of said hearing in time, form and manner as required by law.

SECTION 8. That the Coastal View, a newspaper of general circulation, published in the County of Santa Barbara and circulated in the City of Carpinteria, California, is hereby designated as the newspaper in which such notice shall be published.

SECTION 9. That this resolution shall take effect immediately, and the City Clerk shall certify to the passage and adoption of this resolution; shall cause the original of the same to be entered among the original resolutions of the City Council of said City; and shall make a minute of the passage and adoption thereof in the records of the proceedings of the City Council of said City in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 27th day of April 2015 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of April 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
acting as City Attorney of the City of Carpinteria



City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Third Quarter Financial Results for the current Fiscal Year (2014-15) and Preliminary Revenue and Expense Projections for the next Fiscal Year (2015-16).

STAFF RECOMMENDATION

Action Item ☐; Non-Action Item ☒

No changes to the budget are being recommended at this time. Receive the report and direct staff as determined appropriate.

Motion: None Required

BACKGROUND/DISCUSSION

The City conducts quarterly budget reviews in October, January and April of the fiscal year. This report compares third quarter financial results of the current budget year to the adopted budget revenue and expenditure estimates in order to identify corrections or adjustments that may be necessary. This report also reviews the condition of significant revenue and expenditure categories and other factors that are expected to influence the preparation of next year's budget. The budget committee (Clark, Stein) have reviewed the results being presented herein.

Attachment A to this report is a series of tables that show actual, budget and variance to budget figures three quarters of the way through the fiscal year. The tables illustrate that the City's budget projections within each Fund were generally sound. Of primary interest is the General Fund, which is the funding source for a majority of the City's basic services including law enforcement. Attachment B is a table that shows General Fund audited results (Actual) for last year, i.e., 2014 fiscal year, and for the current year: adopted budget figures, Year to Date (YTD) estimates, and Final 2015 year end estimates. Figures that are particularly relevant to the understanding the City's current estimate of the position of the General Fund are the (i) Net Income (Loss) line that is the

net of income and expenses, (ii) the Operational Excess (Deficit) line which, after Net Income (Loss) factors in the results of interfund transfers and subsidies to/from the General Fund, and (iii), the Change in Available Fund Balance and, (iv) the Available Fund Balance.

The Adopted budget projected General Fund expenses exceeding revenues by (\$60,784). After interfund transfers and subsidies to other funds, the net operational position was projected to be an excess of \$59,914. The City's operational excess reflecting the mid-year expense adjustments, was estimated to be \$37,481. The table below illustrates adopted budget, mid-year and current year end projections of the City General Fund net and operational positions.

	Adopted	Mid Year	Final
Net Income	(\$60,784)	(\$72,976)	\$354,860
Operational Excess	59,914	37,481	310,448
Change in Fund Balance	(156,390)	(82,779)	275,023
Available Fund Balance	1,173,213	1,455,826	1,813,628
Reserves	6,307,468	6,211,425	6,233,213

These estimates show continuing improvement of the City's financial position over the course of the year as actual results suggest slightly less spending and greater revenues than projected. The change in total Fund Balance and Available Fund Balance are influenced by the size of the General Fund budget and the application of the reserve policies

A slow recovery from the recession continues to be the watchword from experts and is apparent in City revenues. The City continues to benefit from not having volatility in local economic factors that affect most of its revenue. For example, not having large retailers such as auto dealers and big box retailers, businesses which experienced significant drops in sales during the recession, has contributed to stable sales tax revenue throughout the recession. This same consistency is expected to result in a slow and modest recovery of City revenues in years to come.

The greatest potential for positive change remains in hotel bed tax revenue due to the continued growth in local and regional tourism and the potential for additional hotel units to be added to the City's inventory. The greatest potential for additional income remains in property tax revenue. Due to the size of the property tax base, even small percentage increases result in significant revenues relative to budget.

Below, estimated year-end results for key revenue and expense categories are discussed. These estimates will be the basis, in part, for budget estimates being developed for the next fiscal year.

FINANCIAL CONSIDERATIONS

GENERAL FUND OPERATING REVENUES

The Adopted budget projected overall General Fund revenues of \$8.2M. The City's projection after three quarters is that the City will end the fiscal year with General Fund revenues exceeding the budget projection by \$278K.

Property Tax

The adopted budget projected an increase of a little more than 1.4% in property tax over the prior year.

Staff is projecting an increase of \$48,278 in the property taxes over the budgeted amount.

Sales Tax

Staff works closely with the City's sales tax consultant, Hinderliter-deLamas (HdL) in tracking sales tax revenues, trends, and developing estimates. Sales tax revenues can be very volatile due to numerous factors such as late payments, misallocations, double up payments, etc.

Staff is projecting final 2015 sales tax revenue of \$2,061,373, (\$31,627) less than the adopted budget.

Transient Occupancy Tax

The Adopted Budget projected TOT revenue at \$1,991,895. Actual 2014 TOT revenue was \$1,923,538, Revenues thru March are running 23% or \$283K more than the same period a year ago.

Staff is projecting a \$220K increase in this revenue to \$2,212,069.

Franchise Fees

The City collects franchise fees from various private business operators that use the public rights-of-way in order to bring their services to customers in the City. Total revenue from franchise fees is budgeted just over \$618K. The City currently has franchise agreements with Harrison Industries (Waste Management), Cox Cable (cable television), Southern California Edison (electricity), and Southern California Gas Company (natural gas). Electric and gas franchise revenues are received later in the year. To date, franchise fee revenues are tracking slightly ahead of budget and last year.

Staff is projecting final 2015 Franchise Fees of \$618,357.

Investment Income

The City invests primarily in the Local Agency Investment Fund (LAIF) and Treasury Notes. The City relies on interest income to provide revenue to the operating budget and seeks to maximize returns within the parameters established by law and the City's investment policy. The budget projected \$102,171 in General Fund investment income.

Staff is projecting final 2015 Interest income of \$98,185. This includes \$22K that will be added to reserves.

Licenses & Permits

Licenses & Permits were set at \$133,270 in the adopted budget, \$23,361 greater than 2014. Revenues to date are tracking ahead of the budget estimate.

Staff is projecting final 2015 License & Permits revenue of \$143,452.

Charges for Services

Charges for Services were set at \$168,000 in the adopted budget. Revenue is trending at budgeted amounts. This was increased to \$178,418 at mid year.

Staff is projecting final 2015 revenues of \$219,892.

GENERAL FUND OPERATING EXPENSES

The Adopted budget projected overall General Fund expenses of \$8,303,413. The City's projection after three quarters is that the City will end the fiscal year with General Fund expenses of \$8,175,293. This is due to increased employee pension cost sharing,

deferral of certain contracts to next year and utility cost savings. Savings in personnel costs are discussed below.

Personnel Costs

Total wages are estimated to finish the year slightly under budget at \$2,104,855 and benefit costs will be less than budget by approximately \$7K. Insurance costs will be slightly less than 2014 levels. Total General Fund pension costs are estimated at \$419,693, approximately \$10,000 less than budget.

Contract Costs

Law enforcement contract service costs represent the City's largest single expense at approximately \$3.35 million in the current year. This contract cost is funded primarily from the General Fund. Several special revenue sources dedicated to law enforcement also contribute revenue including the Public Safety Sales tax (approximately \$50K), the Traffic Safety Fund (approximately \$25K), and the State COPS grant (\$100K).

Current year-end projections are for contract expenses of approximately \$3.37 million, consistent with the budget. Other Contracts include legal services, parks and facilities maintenance contracts, plan check and inspection services for construction permitting. Contract services were adjusted downward by \$59,214 at midyear.

OTHER FUNDS

There are no changes to other funds at this time. It is expected that year end results will closely track budget estimates; meaning that revenue and expenses attributable to these funds are what was anticipated in the budget and, also, that inter-fund transfers are tracking as expected.

Preliminary 2015-16 Revenue and Expense Projections

The projected 2014-15 budget results provide information that will be important for determining revenue and expense projections for the coming year. In general, key revenues, are expected to continue to trend positively, although revenue gains are expected to continue to be modest. Although past adjustments to employee benefits, staffing and contract costs will continue to mitigate expenses, increased employee pension and health insurance expenses are expected to, for the most part, offset revenue growth. Overall, staff anticipates being able to recommend a balanced budget in June.

The City's largest single revenue source, property tax, rose 3.1% in the current year, providing \$90K in additional revenue. The local and regional real estate markets

suggest that any growth of the property tax will remain modest and the County of Santa Barbara estimates property tax growth in Carpinteria at approximately 3.1%.

Sales tax reached approximately \$1.95 million in 2009 but subsequently dropped to approximately \$1.89 million and \$1.61 million in 2010 and 2011 respectively. Since that decline sales tax has been growing again and is projected to end the year at a new high of \$2,109,074, \$48K better than 2015.

Hotel bed tax revenue (aka Transient Occupancy Tax or TOT), trended upward for nearly a decade, peaking in 2007 at \$1.45 million, before declining for three consecutive years to a 2010 low of \$1.26 million. Positive local and regional trends in the hospitality industry, in conjunction with the voter approved increase in the TOT rate and a greater number of TOT remitters related to City enforcement efforts, are expected to continue the positive TOT revenue trend in the coming year.

Fees and charges for service related to development activity are expected to remain strong in the next fiscal year due to ongoing and anticipated construction activity.

As has been discussed in detail in the past, annual operating losses in special funds that have a fixed income or limited revenue potential and declining/low interest income, are projected to continue in the next fiscal year and into the foreseeable future.

The Parks Maintenance, Recreation Services and the Right-of-Way Assessment District Funds have been experiencing annual losses and require subsidies from other Funds, including the General Fund, in order to balance each year. The Park Maintenance and Right-of-Way Assessment District Funds receive revenue from a parcel tax and benefit assessment district respectively. The Recreation Services Fund's primary revenue source is user fees. These subsidies compromise the General Fund's ability to keep up with growing expenses in other programs and services

Park Maintenance Fund

The Park Maintenance tax was set in 1985, reconfirmed by voters in 1997, and is approximately \$36 per residential parcel. The tax is flat with no annual or inflationary increase. As such, Fund revenue has grown very little since the tax was first established 27 years ago. In 1997 annual Fund revenue was \$142,000 and this revenue was sufficient to pay for annual park maintenance in the City. The amount of park space and addition of facilities such as restroom buildings in many parks, have driven up the per acre cost to maintain the system beyond what the Fund can afford.

Besides the Parks Maintenance Tax, other revenues contributing to this fund include an annual Bluffs Endowment of \$40,000, user fees of \$43,000 and a General Fund subsidy of \$162,259.

Right-of-Way Assessment District Fund

This fund pays for repairs and improvements to curbs, gutters, sidewalks, and other improvements and maintenance activities in public street rights-of-way. Revenue comes from a parcel based benefit assessment district. Similar to the Park Maintenance Fund, the assessment formula does not account for inflation and revenues to the Fund have changed little since 1997. Current year assessment revenue is expected to be approximately \$193,900. Maintenance costs, largely related to contract services, exceed annual revenues to the Fund. Other Funds that contribute to right-of-way maintenance are Park Maintenance, \$8,452, Gas Tax Fund, \$92,974, AB939 Fund, \$8,452 and a General Fund subsidy of \$104,588. Gas Tax revenues are also essentially flat. As such, going forward, the Assessment District Fund will annually require greater subsidies from the General Fund or service levels will need to be reduced.

Recreation Services Fund

The Recreation Services Fund was created in 1996/97. This Fund includes operating costs related to providing recreational facilities and programs at the Community Pool, the Beach, Veteran's Memorial Building, and at various parks; Fund revenue comes from user fees. Carpinteria Municipal Code Chapter 3.34 establishes targets for cost recovery of various Leisure and Cultural Services programs, including most of the services funded from the Recreation Services Fund. The cost recovery rates range from 0% to 100% for various recreation programming provided by the City. The Community Pool represents the greatest single facility operating cost and the established rate of cost recovery is 50% for public swimming. Revenue from all user charges for the pool is estimated to be just under 50% of pool operating costs. The Recreational Services Fund is projected to require supplemental General Fund revenues of \$147,276 in the current budget year.

See Attachment C for a history of General Fund subsidies to these funds.

Reserves

Healthy reserves are essential to ensure that the City is protected in the event of significant economic downturns, local disaster and emergencies, and can respond to occasional unanticipated and significant major capital, equipment and/or maintenance costs; minimizing deferred maintenance expenses. Interest earnings are a significant source of revenue for building City reserves. Current General Fund operating reserves of approximately \$6.2 million are at policy levels established by the City Council.

The City's infrastructure maintenance needs, in particular, for street maintenance, are expected to continue to grow and budgets in future years will need to reflect increased pavement maintenance expenditures in order to mitigate loss in level of service. It is also expected that the City will need to invest in acquiring additional revenue through grant applications and leverage private development investment in order to maintain service levels.

ATTACHMENTS

- A. Fund Comparison Tables
- B. General Fund Estimates
- C. General Fund Subsidies

John Thornberry, Administrative Services Director

805-684-5405 x 448

JohnT@ci.carpinteria.ca.us

Reviewed by: Dave Durflinger, City Manager



Signature



Signature

Attachment A
Fund Comparison Tables

Third Quarter Financial Results
 April 27, 2015
 Page 10

Budget to Date March

Fund	Revenue		Transfers In	Expenditures		Transfers Out	Excess / (Deficit)
TOTAL	\$9,621,647	100.0%	\$927,454	\$10,943,423	100%	\$927,454	(\$1,321,776)
10 GENERAL FUND	6,150,470	63.9%	497,355	6,246,239	57.1%	194,867	206,719
13 GENERAL RESERVE FUND	40,061	0.4%	0	0	0.0%	0	40,061
11 TRAFFIC SAFETY FUND	15,826	0.2%	0	15,767	0.1%	0	59
20 REVOLVING FUND	1,144,370	11.9%	0	832,500	7.6%	60,749	251,120
21 REPLACEMENT FUND	774	0.0%	0	6,342	0.1%	0	(5,568)
22 PARK DEVELOPMENT FUND	407	0.0%	0	26,362	0.2%	0	(25,955)
23 PARK MAINTENANCE FUND	169,117	1.8%	44,711	224,378	2.1%	54,717	(65,267)
25 GAS TAX FUND	300,827	3.1%	161,719	316,465	2.9%	95,261	50,820
26 LOCAL TRANSPORTATION FUND	8,078	0.1%	0	7,500	0.1%	422	156
27 MEASURE A FUND	546,779	5.7%	77,526	1,612,877	14.7%	108,254	(1,096,825)
28 TIDELANDS TRUST FUND	276,476	2.9%	0	138,419	1.3%	124,466	13,692
29 STREET LIGHTING FUND	217,110	2.3%	0	180,750	1.7%	83,711	(47,351)
31 TRUST & AGENCY FUND	171,376	1.8%	0	161,260	1.5%	10,126	0
33 R-O-W ASSESSMENT DISTRICT FUND	145,426	1.5%	101,143	283,577	2.6%	48,795	(85,804)
38 PBIA FUND	22,797	0.2%	0	20,981	0.2%	0	1,816
39 AB 939 FUND	137,344	1.4%	0	42,000	0.4%	107,233	(11,889)
41 MEASURE-D FUND	6,716	0.1%	0	427,500	3.9%	0	(420,785)
42 CAPITAL IMPROVEMENT FUND	28,290	0.3%	0	3,000	0.0%	0	25,290
48 RECREATION SERVICES FUND	239,405	2.5%	45,000	397,517	3.6%	38,855	(151,966)

Actual To Date March

Fund	Revenue		Transfers In	Expenditures		Transfers Out	Excess / (Deficit)
TOTAL	\$7,984,158	100.0%	\$927,454	\$9,184,942	100%	\$927,454	(\$1,200,784)
10 GENERAL FUND	5,602,394	58.2%	497,355	6,187,325	67.4%	194,866	(282,442)
13 GENERAL RESERVE FUND	7,840	0.1%	0	0	0.0%	0	7,840
11 TRAFFIC SAFETY FUND	8,335	0.1%	0	19,800	0.2%	0	(11,465)
20 REVOLVING FUND	274,803	3.4%	0	274,803	3.0%	60,749	(60,749)
21 REPLACEMENT FUND	47	0.0%	0	0	0.0%	0	47
22 PARK DEVELOPMENT FUND	1,543	0.0%	0	35,149	0.4%	0	(33,606)
23 PARK MAINTENANCE FUND	159,055	2.0%	44,711	233,314	2.5%	54,717	(84,265)
25 GAS TAX FUND	278,868	3.5%	161,719	286,770	3.1%	95,260	58,557
26 LOCAL TRANSPORTATION FUND	7,185	0.1%	0	0	0.0%	422	6,763
27 MEASURE A FUND	500,182	6.3%	77,526	858,727	9.3%	108,254	(389,278)
28 TIDELANDS TRUST FUND	245,506	3.1%	0	140,266	1.5%	124,466	(19,216)
29 STREET LIGHTING FUND	184,376	2.3%	0	25,704	0.3%	83,711	74,961
31 TRUST & AGENCY FUND	86,317	1.1%	0	88,441	1.0%	10,126	(12,250)
33 R-O-W ASSESSMENT DISTRICT FUND	113,528	1.4%	101,143	263,512	2.9%	48,795	(97,636)
38 PBIA FUND	30,472	0.4%	0	20,776	0.2%	0	9,696
39 AB 939 FUND	138,769	1.7%	0	12,759	0.1%	107,233	18,777
41 MEASURE-D FUND	7,497	0.1%	0	210,336	2.3%	0	(202,839)
42 CAPITAL IMPROVEMENT FUND	1,050	0.0%	0	1,050	0.0%	0	0
48 RECREATION SERVICES FUND	336,391	4.2%	45,000	526,220	5.7%	38,855	(183,684)

Variance to Budget March

	Revenue		Transfers In	Expenditures		Transfers Out	Excess / (Deficit)
TOTAL	(\$1,637,489)	100.0%	\$0	\$1,768,481	100%	(\$0)	\$120,992
10 GENERAL FUND	(548,076)	-8.9%	0	58,914	0.9%	1	(489,161)
13 GENERAL RESERVE FUND	(32,221)	-80.4%	0	0	0.0%	0	(32,221)
11 TRAFFIC SAFETY FUND	(7,491)	-47.3%	0	(4,034)	-25.6%	0	(11,524)
20 REVOLVING FUND	(869,567)	-76.0%	0	557,697	67.0%	0	(311,869)
21 REPLACEMENT FUND	(727)	-93.9%	0	6,342	100.0%	0	5,615
22 PARK DEVELOPMENT FUND	1,136	278.9%	0	(8,787)	-33.3%	0	(7,652)
23 PARK MAINTENANCE FUND	(10,062)	-5.9%	(0)	(8,936)	-4.0%	0	(18,998)
25 GAS TAX FUND	(21,959)	-7.3%	0	29,695	9.4%	1	7,737
26 LOCAL TRANSPORTATION FUND	(893)	-11.1%	0	7,500	100.0%	0	6,607
27 MEASURE A FUND	(46,597)	-8.5%	0	754,150	46.8%	(1)	707,552
28 TIDELANDS TRUST FUND	(30,970)	-11.2%	0	(1,837)	-1.3%	(1)	(32,808)
29 STREET LIGHTING FUND	(32,734)	-15.1%	0	155,046	85.8%	0	122,312
31 TRUST & AGENCY FUND	(85,059)	-49.6%	0	72,809	45.2%	(0)	(12,260)
33 R-O-W ASSESSMENT DISTRICT FUND	(31,897)	-21.9%	0	20,065	7.1%	0	(11,832)
38 PBIA FUND	7,675	33.7%	0	205	1.0%	0	7,880
39 AB 939 FUND	1,425	1.0%	0	29,241	69.6%	(0)	30,666
41 MEASURE-D FUND	782	11.6%	0	217,164	50.8%	0	217,946
42 CAPITAL IMPROVEMENT FUND	(27,240)	-96.3%	0	1,950	65.0%	0	(25,290)
48 RECREATION SERVICES FUND	96,986	40.5%	0	(128,704)	-32.4%	(1)	(31,718)

Attachment B
General Fund Estimates

CITY OF CARPINTERIA
Estimated 2015-16 Budget
GENERAL FUND

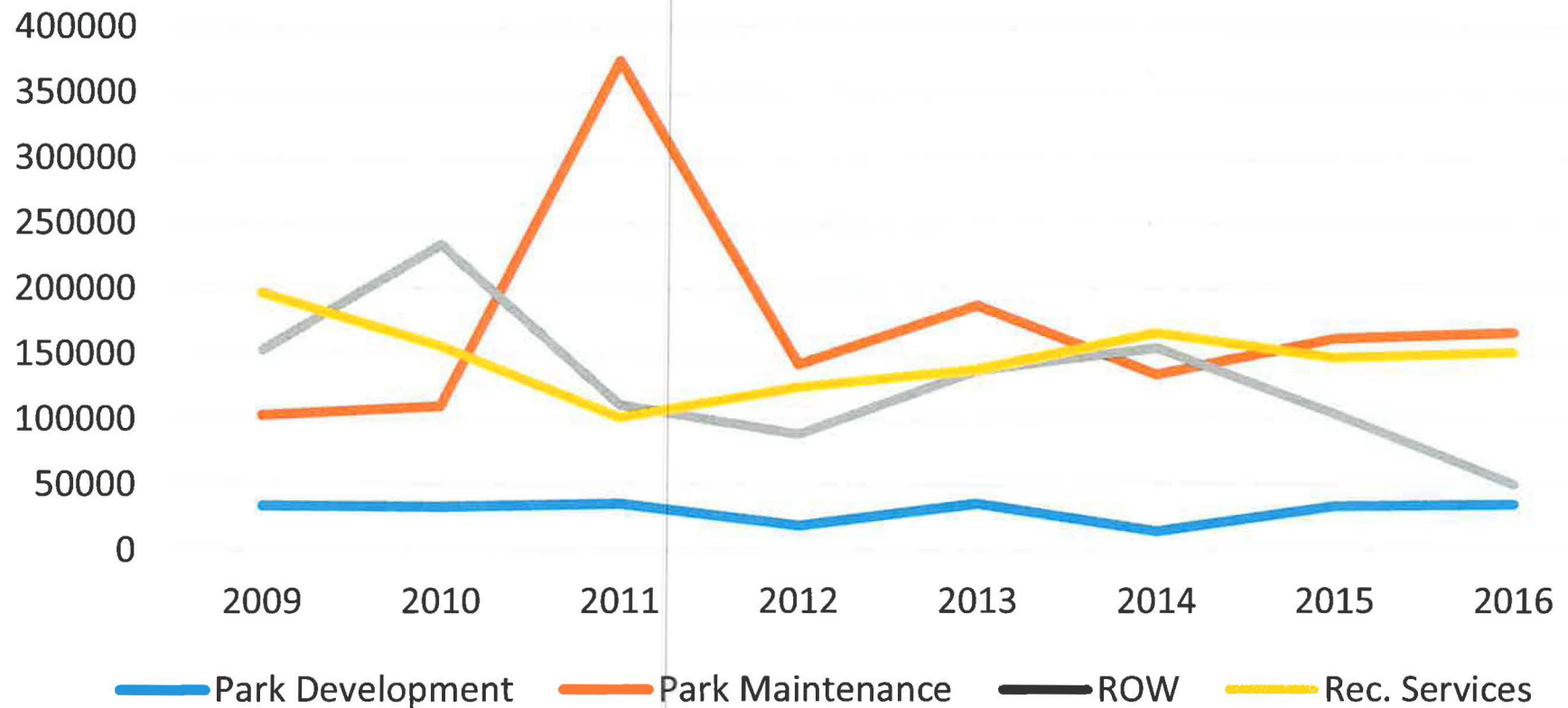
The General Fund is the general operating, and largest, fund of the City. This fund is used to account for all financial resources except those resources required to be accounted for in another fund. The projected ending balance of this fund is considered a contingency reserve for unexpected purposes during the course of the fiscal year.

	ACTUAL 14		YTD		MID YEAR 15		PROJECTED 15		ESTIMATED 16	
Taxes										
Property Taxes	\$2,861,510	36.1%	\$1,614,671	28.8%	\$2,903,733	35.2%	\$2,952,011	34.6%	\$3,040,571	35.1%
Sales Taxes	1,900,971	24.0%	1,418,064	25.3%	2,061,373	25.0%	2,061,373	24.2%	2,109,074	24.4%
Franchise Taxes	602,583	7.6%	468,647	8.4%	600,914	7.3%	618,357	7.2%	618,501	7.1%
Transient Occupancy Taxes	1,923,538	24.3%	1,512,500	27.0%	1,991,895	24.1%	2,212,069	25.9%	2,278,431	26.3%
Other Taxes	127,167	1.6%	89,336	1.6%	109,777	1.3%	106,000	1.2%	106,000	1.2%
Total Taxes	7,415,769	93.6%	5,103,218	91.1%	7,667,692	92.9%	7,949,809	93.2%	8,152,577	94.1%
Interest	61,375	0.8%	56,171	1.0%	152,444	1.8%	98,185	1.2%	93,987	1.1%
Licenses & Permits	109,909	1.4%	86,722	1.5%	133,270	1.6%	143,452	1.7%	143,452	1.7%
Charges for Services	205,934	2.6%	267,285	4.8%	178,418	2.2%	219,892	2.6%	161,360	1.9%
Fines & Forfeitures	100,454	1.3%	60,328	1.1%	69,978	0.8%	74,706	0.9%	72,478	0.8%
Intergov Grants	32,220	0.4%	19,560	0.3%	35,000	0.4%	35,000	0.4%	35,000	0.4%
Miscellaneous			9,110	0.2%	17,239	0.2%	9,109	0.1%	320	0.0%
TOTAL REVENUE	\$7,925,661	100.0%	\$5,602,394	100.0%	\$8,254,041	100.0%	\$8,530,153	100.0%	\$8,659,174	100.0%
Regular Wages	\$2,035,668	26.4%	\$1,485,637	24.0%	\$2,051,356	24.6%	\$2,031,142	24.8%	\$2,250,208	26.6%
Part-time Wages	30,930	0.4%	19,487	0.3%	39,010	0.5%	22,854	0.3%	38,941	0.5%
Overtime Wages	7,486	0.1%	6,870	0.1%	8,153	0.1%	8,378	0.1%	5,400	0.1%
Other Wages	42,573	0.6%	29,382	0.5%	42,330	0.5%	42,482	0.5%	44,822	0.5%
Total Wages	2,116,657	27.5%	1,541,376	24.9%	2,140,849	25.7%	2,104,855	25.7%	2,339,371	27.7%
Health/Life/Dental Insurance	467,167	6.1%	335,762	5.4%	464,141	5.6%	465,524	5.7%	476,500	5.6%
Retirement	395,475	5.1%	331,558	5.4%	419,784	5.0%	419,693	5.1%	427,001	5.1%
Unemployment Insurance	4,160	0.1%	3,143	0.1%	4,153	0.0%	4,153	0.1%	4,499	0.1%
MediTax	30,699	0.4%	22,668	0.4%	30,274	0.4%	30,274	0.4%	32,627	0.4%
Other Benefits	2,060	0.0%	8,785	0.1%	10,895	0.1%	8,916	0.1%	10,895	0.1%
Total Benefits	899,561	11.7%	701,916	11.4%	929,247	11.2%	928,560	11.4%	951,522	11.3%
TOTAL WAGES & BENEFITS	3,016,218	39.2%	2,243,292	36.3%	3,070,096	36.9%	3,033,415	37.1%	3,290,893	39.0%
WAGES & BENEFITS										
Contract Services	738,945	9.6%	618,778	10.0%	1,101,225	13.2%	1,016,193	12.4%	910,661	10.8%
Sheriff Contract	3,320,423	43.1%	2,787,830	45.1%	3,373,998	40.5%	3,373,998	41.3%	3,543,539	42.0%
Utilities	40,232	0.5%	29,365	0.5%	44,500	0.5%	40,039	0.5%	41,023	0.5%
Other Operating Exp.	173,881	2.3%	113,110	1.8%	231,550	2.8%	219,365	2.7%	204,065	2.4%
Non-Operating Exp.	273,595	3.6%	272,268	4.4%	376,859	4.5%	369,558	4.5%	331,994	3.9%
Minor Capital	21,594	0.3%	9,792	0.2%	15,000	0.2%	15,000	0.2%	15,000	0.2%
Debt Service	113,788	1.5%	107,725	1.7%	113,788	1.4%	107,725	1.3%	107,725	1.3%
TOTAL EXPENDITURES	\$7,698,676	100.0%	\$6,182,160	100.0%	\$8,327,016	100.0%	\$8,175,293	100.0%	\$8,444,900	100.0%
NET INCOME / (LOSS)	\$226,985		(\$579,766)		(\$72,975)		\$354,860		\$214,274	
Transfers In	735,278		497,355		663,140		663,140		562,780	
Transfers (Out)	(147,868)		(194,866)		(259,822)		(259,822)		(265,561)	
NET TRANSFERS	587,410		302,489		403,318		403,318		297,219	
Subsidies Received / (Provided)	(477,257)		(219,646)		(292,861)		(447,730)		(403,588)	
Operational Excess / (Deficit)	337,138		(496,923)		37,482		310,448		107,905	
Decrease / (Increase) in Reserves	(160,989)		(13,638)		(120,261)		(35,426)		(356,970)	
CHANGE IN AVAILABLE FUND BALAN	176,149		(510,561)		(82,779)		275,023		(249,065)	
Beginning Fund Balance	1,362,457		1,538,606		1,538,606		1,538,606		1,813,628	
ENDING AVAILABLE FUND BALANCE	\$1,538,606		\$1,028,045		\$1,455,827		\$1,813,628		\$1,564,564	

Non Spendable Reserve	4,167	4,167	4,167	4,167	4,167
Restricted for PEG	324,734	218,111	324,734	218,111	206,111
Total Restricted Reserves	328,901	222,278	328,901	222,278	210,278
Uncertainty Reserve	4,006,054	4,126,315	4,126,315	4,471,902	4,388,454
General Reserve	1,065,548	1,065,548	1,065,548	1,076,668	1,130,083
Replacement / Repair Reserve	1,019,562	1,019,562	1,019,562	1,030,230	1,083,645
Total Committed Reserves	6,091,164	6,211,425	6,211,425	6,578,800	6,602,182
Unassigned Fund Balance (AFB)	1,538,606	1,028,045	1,455,827	1,730,850	1,481,785
Total Fund Balances	7,958,671	7,461,748	7,996,152	8,531,927	8,294,245

Attachment C
General Fund Subsidies

General Fund Subsidies





City of Carpinteria

COUNCIL AGENDA STAFF REPORT April 27, 2015

ITEM FOR COUNCIL CONSIDERATION

Adoption of Resolution No. 5608, approving a 50th Anniversary City seal, and authorization to announce to federal, state and local officials the City's 50th Anniversary and invite participation in planned celebrations.

STAFF RECOMMENDATION

Action Item X ; Non-Action Item

1. Adopt Resolution No. 5608, approving use for official purposes a 50th Anniversary seal.
2. Authorize that letters be sent under the Mayor's signature to Carpinteria's federal and state representatives and other public agencies as deemed appropriate in order to communicate information about the City's anniversary and invite participation at specific events.

Motion: I move to adopt Resolution No. 5608, as read by title only, and authorize that letters be sent under the Mayor's signature to Carpinteria's federal and state representatives and other public agencies, as deemed appropriate, in order to communicate information about the City's anniversary and invite participation at specific events.

BACKGROUND

This year the City of Carpinteria celebrates its 50th year of incorporation. The incorporation anniversary date is September 28. A 50th Anniversary Committee, established by the City Council, is meeting regularly to develop and implement plans for various events and activities aimed at recognizing the community milestone. The Committee has recommended that the City Council officially endorse a 50th Anniversary seal that is already in use and initiate the process of corresponding with other public agencies and elected representatives in order that they may recognize the milestone and/or participate in coming events.

DISCUSSION

- A. 50th Anniversary Seal. The City's seal was established shortly after incorporation via a student design contest. The description of the process from the City's historical record is attached to this report. The 17 year old winner of the contest was Robert Perez, and the announcement was reported by the Los Angeles Times in an article and photograph appearing on February 28, 1966.

The 50th Anniversary committee has recommended that a design developed by local artist John Wullbrandt and implemented in digital form by Matt Roberts be used during the City's 50th year as the City's official seal. The 50th Anniversary seal includes a slightly modified version of the original with text that reads "50th Year Golden Jubilee", and "World's Safest Beach". The seal concept has been shared with Robert Perez and he has endorsed the modified version of his original design.

The seal will be included on letterhead and all other documents produced in-house by the City of Carpinteria wherein the City seal has traditionally been affixed in order to communicate the official nature of the document.

A Resolution has been prepared that recites information about the seal and its context and includes as an exhibit a graphic representation of the seal. By approving the use of the seal via adoption of a resolution, the City Council will both recognize the seal for the City's historical record and ensure that it is used in a uniform and consistent manner in the City organization.

- B. Official Communications. It is customary for cities to communicate major anniversaries to federal and state representatives and to other public agencies in its region in order that public officials and agencies have the opportunity, should they wish, to offer official congratulations and/or participate in official events. The City's 50th Anniversary Committee is requesting that the City initiate this process and requests that the City Council authorize the Mayor to sign appropriate announcement letters. Should the City Council approve the request, staff will prepare the letters for review by the Committee and the Mayor's signature.

FINANCIAL CONSIDERATIONS

The interim use of the new seal, as proposed, will not result in any cost to the City of Carpinteria as letter head and other documents are produced in-house on an as needed basis.

LEGAL

It is not required that the City Council approve an official seal but doing so serves to establish the seal for the City's historical record and ensure its uniform use throughout the City organization.

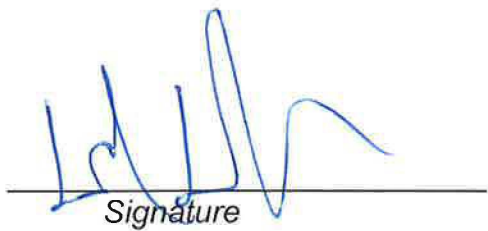
PRINCIPAL PARTIES EXPECTED AT MEETING

50 Anniversary Committee members

ATTACHMENTS

Resolution No. 5608
Historical account of City Seal development

Staff contact: Dave Durlinger
(805 684-5405, daved@ci.carpinteria.ca.us)



Signature

RESOLUTION NO. 5608

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA
APPROVING A 50TH ANNIVERSARY SEAL**

WHEREAS, On February 14, 1966, the City of Carpinteria City Council selected a design by Robert Perez as the winning entry in the City seal contest; and

WHEREAS, the seal has been in use since that time as the official seal of the City of Carpinteria, included on business cards, letterhead and official documents;

WHEREAS, September 28, 2015 marks the 50th anniversary of City incorporation and the City's 50th Anniversary Committee has recommended that an appropriate seal be established in recognition of this important milestone; and

WHEREAS, Local artist John Wullbrandt, son of the late Mayor and Council member Ernest C. Wullbrandt, has designed a seal that has been endorsed by the Committee and implemented in appropriate digital form; and

NOW THEREFORE, it is hereby resolved by the City Council of the City of Carpinteria, California, as follows:

1. That a 50th Anniversary seal is approved as depicted in the attached Exhibit A.
2. That the seal is to be used on City letterhead and all other documents produced in-house by the City of Carpinteria wherein the City seal has traditionally been affixed for the period of time up to and including the City's anniversary date of September 28, 2015.
3. That this Resolution is effective upon its adoption.

PASSED, APPROVED, AND ADOPTED this 27th day of April 2015, by the following called vote;

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBER(S):

ABSENT: COUNCILMEMBER(S):

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

50th Year



Golden Jubilee

Attachment to City Council Staff Report, April 27, 2015

Historical Account of City Seal

CITY OF CARPINTERIA
CARPINTERIA, CALIFORNIA

THE CARPINTERIA CITY SEAL

Shortly after incorporation as a city on September 28, 1965, the Carpinteria City Council set upon the task of establishing an appropriate city seal. It was decided to conduct a contest among interested local high school art students in order to establish the basic design.

Under the guidance of art instructor Mrs. Dorothy Tripp, the students researched the subject and after much thought and effort submitted their designs to the Council for judging.

All of the designs showed considerable thought and talent. After careful study the Council declared the design submitted by Robert Perez as the winner and the official design for the seal.

The new seal appropriately represents the past, present, and future of the new City of Carpinteria.

From the past the world-famous Carpinteria grape-vine, one of the world's largest and now only a memory, is depicted by a wreath of grape-vine and fruit forming the border of the seal. In the center a Canalino Indian is shown kneeling at his vantage point in the foothills and overlooking the fertile valley and Pacific Ocean with the Channel Islands in the background.

The present is represented by the rich agricultural lands, a branch of lemon on one side and avocado on the other - crops of great importance to the local economy. The words "World's Safest Beach" form an arc at the top of the seal, indicating the chief recreational attraction of the city.

The future is suggested by the growing, modern city nestled in the beautiful Carpinteria Valley on the blue Pacific Ocean

The designer, Robert Perez, 17, a junior in Carpinteria High School, is the youngest son of Mr. and Mrs. Leo Perez. In addition to his talent as an artist, Robert plays first-string guard on the varsity football team and is active in several campus organizations.

The final seal was rendered by a professional artist with the 3M Company and now adorns the doors of official city vehicles as well as being used in other appropriate instances.

Robert received a plaque with the finished seal mounted above an inscription crediting him as the official designer of the City Seal of the City of Carpinteria.
