City of Carpinteria
City Council Regular Meeting Agenda
Monday, January 26, 2015
Council Chamber, 5775 Carpinteria Avenue

4:45 p.m. Closed Session – 5:30 p.m. Regular Session

INFORMATION ABOUT CITY COUNCIL MEETINGS:

- The time at which an item on the agenda is scheduled to be heard is an estimate only. The City Council may consider and act on an agenda item in any order it deems appropriate. In addition, an item may be considered earlier or later than the Estimated Time, depending on the length of time which is devoted to other agenda items. All persons interested in an item listed on the agenda are advised to be present throughout the meeting to ensure that they are present when the item is called.

- The regular meeting will be broadcast live over Government Access Television Channel 21. The City Council meetings will be rebroadcast on Wednesdays at 8:00 p.m. and Saturdays at 5:00 p.m.

- Materials related to an Agenda item submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office at 5775 Carpinteria Avenue, Carpinteria, during normal business hours. Such documents are also available on the City of Carpinteria website at www.carpinteria.ca.us subject to staff's ability to post the documents before the meeting.

- Any written or printed material and/or exhibits presented at a City Council meeting or work session become the property of the City.

- Any information presented to the City Council by the public on any agenda item and during Public Comment using electronic media to be displayed on the overhead projector must be arranged with staff for review of materials in advance of the meeting and no later than close of business Wednesday prior to the Council meeting.

- As a courtesy to others and to avoid interference with the public address system, please refrain from using cell phones in the Council Chambers and please turn off all audible pagers and rings.

- If you wish to speak on a matter scheduled for consideration in a closed session, you will have an opportunity to address the City Council before the Council retires to its closed session deliberations.

ESTIMATED TIME:

4:45 p.m . **CALL TO ORDER**

ROLL CALL

4:46 p.m . **PUBLIC COMMENT ON CLOSED SESSION MATTER(S)**

CLOSED SESSION

Conference with Designated Representatives – Government Code Section 54957.6

Agency Designated Representatives: Dave Durflinger, City Manager and Arlene Balmadrid, Human Resource Manager

Unrepresented Employees: All Fulltime Management and Miscellaneous Unrepresented Employees

4:51 p.m . **TEMPORARY ADJOURNMENT TO CLOSED SESSION**

5:30 p.m. **CALL BACK TO ORDER**

5:30 p.m. **ROLL CALL**

5:31 p.m. **CLOSED SESSION REPORT**

5:32 p.m. **PLEDGE OF ALLEGIANCE**

5:33 p.m. **INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS**

5:33 p.m. **PUBLIC INFORMATION REPORTS AND ANNOUNCEMENTS**

5:35 p.m. **CITY MANAGER'S REPORT**

The City Manager will report to the City Council regarding various City and/or community events and/or matters of interest. There will be no City Council discussion except to ask questions or refer matters to staff; and no action will be taken unless listed on a subsequent agenda.

5:37 p.m. **PRESENTATIONS BY CITIZENS/PUBLIC COMMENT**

This is a time for public comments on matters not otherwise on the agenda but within the subject matter jurisdiction of the City Council. The

Public Comment period is limited to 15 minutes and divided among those persons desiring to speak. No person shall speak longer than three minutes; however, the Mayor may establish shorter periods based on the time available and/or the number of persons waiting to speak. Persons wishing to speak on a specific item will be recognized at the time the agenda item is considered.

Please be aware that items on the consent calendar are considered to be routine and are normally enacted by one unanimous vote of the Council. If you wish to speak on a consent calendar item, or on a matter scheduled for consideration in a closed session at the end of the agenda, please do so during the Presentations by Citizens/Public Comment portion of the meeting.

The City and the City Council are not responsible for the content of statements made during the public comment period, or the factual accuracy of any such statements.

5:52 p.m. **AGENDA MODIFICATIONS:** The City Council may modify the regular agenda by tabling items, adding urgency items to the agenda, or changing the order in which items are considered. Changes to the Consent Calendar are considered at the time the Consent Calendar is considered.

5:53 p.m. **CONSENT CALENDAR (to be approved by roll call vote on one motion with all resolutions and ordinances as read by title only).** Individual items may be removed and considered separately at the request of a Councilmember. Any item, which is removed from the Consent Calendar, will be added to the end of the agenda under Other Business.

1. Minutes of the regular meeting held January 12, 2015.

2. Expenditures for the period ending January 20, 2015.

3. Quarterly Review of Investment Results and Certifications Concerning Compliance with Investment Policy and Cash Flow Needs for the Next Six Months.

4. Appropriate $9,270.18 from Tideland Trust Fund to Pay City's Expense of the Property Transaction Associated with the Implementation Agreement Between the City and Silver Sands Village, Inc.

ADMINISTRATIVE MATTER:

5:53 p.m. 5. Consider Making Appointments to Various City Boards, Commission, and Committees

Recommendation: Make appointments to various City Boards, Commission and Committees, as determined appropriate.

Staff Presenter: Dave Durflinger, City Manager

a. Staff Report
b. Public Comment
c. City Council Determination

6:10 p.m. **PUBLIC HEARING:** None

OTHER BUSINESS:

6:10 p.m. 6. Authorization for the Mayor to Sign a Letter to Caltrans Regarding the US 101 Northbound Lane Configuration

Recommendation: That the City Council authorize the Mayor to sign a letter to Caltrans regarding the US 101 Northbound 3-lane to 2-lane transition at the Bailard Interchange On-ramp.

Staff presenter: Charlie W. Ebeling, Public Works Director

a. Staff Report
b. Public Comment
c. City Council Determination

6:20 p.m. 7. A Request to Authorize an Agreement for Professional Consultant Services with Marine Research Specialists (MRS) for $477, 398 to prepare an Environmental Impact Report for the Paredon Project Proposed by Venoco, Incorporated

Recommendation: City Council accept the MRS proposal in the amount of $477,398, and authorize the City Manager to execute a contract agreement in a form approved by the City Attorney.

Staff presenter: Jackie Campbell, Community Development Director

a. Staff Report
b. Public Comment
c. City Council Determination

6:45 p.m. **8.** A Request to Enter in to a Memorandum of Understanding (MOU) with the California Coastal Commission and the State Lands Commission to Establish a Joint Review Panel for Review of the Environmental Impact Report (EIR) for the Paredon Project Proposed by Venoco, Incorporated

Recommendation: City Council enter into an MOU establishing a Joint Review Panel with eh Coastal Commission and State Lands Commission for preparation of the Paredon Project EIR.

Staff presenter: Jackie Campbell, Community Development Director

a. Staff Report
b. Public Comment
c. City Council Determination

7:05 p.m. **9.** Consolidated Annual Financial Report for the Fiscal Year Ended June 30, 2014

Recommendation: Receive and file the Consolidated Annual Financial Report for the fiscal year 2013-14.

Staff presenter: John Thornberry, Administrative Services Director

a. Staff Report
b. Public Comment
c. City Council Determination

7:25 p.m. **10.** Consider Adoption of Resolution No. 5564 Approving a Change in the Payment and Reporting Value of Employer Paid Member Contributions to the California Public Employees Retirement System

Recommendation: Adopt Resolution No. 5564, approving a change in the payment and reporting value of the employer paid member contributions to California Public Employees Retirement System, as read by title only.

Staff presenter: Arlene Balmadrid, Human Resources Manager

a. Staff Report
b. Public Comment
c. City Council Determination

7:40 p.m. 11. Adopt Resolutions Nos. 5586, 5587 and 5588 Approving Revisions to the Conditions of Employment for Management and Miscellaneous Unrepresented Personnel and Making Related Salary Grade Structure Adjustments for All Full-Time Employees

Recommendation: 1) Adopt Resolution No. 5586 and 5587, approving the Conditions of Employment for Management and Miscellaneous Unrepresented Personnel; and 2) Adopt Resolution NO. 5588, approving adjustment to management and miscellaneous unrepresented employee salary ranges effective the first full pay period after January 3, 2015, and approve and adopt revisions to the Conditions of Employment for Management Personnel, as read by title only.

Staff presenter: Arlene Balmadrid, Human Resources Manager

a. Staff Report
b. Public Comment
c. City Council Determination

7:55 p.m. **COMMITTEE REPORTS, INQUIRIES AND OTHER MATTERS PRESENTED BY COUNCILMEMBERS**

Informational reports from Councilmember representatives on the committees, commissions and organizations listed below. This is also an opportunity for Councilmembers to request information from staff or seek support from fellow Councilmembers for future agenda items.

1. Representatives to Regional Agencies and Committees

   a. Beach Erosion Authority for Clean Oceans and Nourishment (BEACON) (Shaw/Alt. Carty)
   b. California Joint Powers Insurance Authority (CJPIA) (Clark/Alt. Shaw)
   c. Channel Counties Division, League of California Cities (Nomura/Alt. Shaw)
   d. Santa Barbara Association of Governments (SBCAG)(Clark/Alt. Nomura)
   e. Santa Barbara County Air Pollution Control District (APCD)(Clark/Alt. Nomura)
   f. Santa Barbara Joint Housing Task Group (Carty &Shaw)
   g. Central Coast Collaborative on Homelessness (C3H) (Clark)
   h. South Coast Task Force on Youth Gangs Leadership and Executive Council (Carty & Clark)

2. Joint and Standing Committees

   a. City Council/Chamber of Commerce Board of Directors Committee (Carty & Nomura)
   b. City Council/Public Safety Committee (Clark & Shaw)

c. City Council/School Board Committee (Stein & Carty)
d. City Council/Utilities Committee (Stein & Nomura)
e. City Council/First District Supervisor Committee (Stein & Carty)
f. City Council Finance/Budget Committee (Stein & Clark)
g. 50th Anniversary Committee (Carty & Nomura)

3. Ad Hoc Committees

a. Public Facility Site Acquisition/Development Committee (Carty & Nomura)
b. Transportation Committee (Stein & Shaw)
c. Labor Relations Committee (Nomura & Shaw)

4. City Representatives on other Committees

a. Community Media Access Center (Gary Dobbins)
b. Santa Barbara Coastal Vector Control District (Ronald Hurd)
c. Santa Barbara Metropolitan Transit District (Chuck McQuary)

8:00 p.m. **ADJOURNMENT**

The next regular meeting to be held on **Monday, February 9, 2015** at **5:30** P.M., in the Council Chamber, City Hall, 5775 Carpinteria Avenue, Carpinteria, California.

In compliance with the Americans with Disabilities Act, if you need assistance to participate in this meeting, please contact the City Clerk's Office at 684-5405, extension 403 or the California Relay Service at (866) 735-2929. Notification two business days prior to the meeting will enable the City to make reasonable arrangements for accessibility to this meeting.

This agenda was posted on Wednesday, January 21, 2015, in the City Clerk's Office, on the City Hall Public Notices Board, and on the Internet.

City of Carpinteria
City Council Minutes
Regular Meeting
Council Chambers
Monday, January 12, 2015

CALL TO ORDER

Mayor Carty called the meeting to order at 5:30 p.m.

ROLL CALL

Councilmembers present:

Councilmember Al Clark
Councilmember Wade Nomura
Councilmember J. Bradley Stein
Vice Mayor Fred Shaw
Mayor Gregg Carty

Staff members present:

Dave Durflinger, City Manager
Peter Brown, Brownstein Hyatt Farber Schreck
Fidela Garcia, City Clerk
Julie Jeakel, Volunteer Services Coordinator
Jackie Campbell, Community Services Director
Charlie Ebeling, Public Works Director

PLEDGE OF ALLEGIANCE

All present were led in the salute to the flag by Mayor Carty.

Mayor Carty noted there was a request to move Item 7 following Item 1.

INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS

1. Presentation of Resolution No. 5565 Recognizing and Commending Lee Heller for Outstanding Volunteer Service to the Carpinteria Community.

Julie Jeakel, Volunteer Services Coordinator, provided introductory remarks.

Jackie Campbell, Community Services Director, presented the staff report. She read Resolution No. 5565 in honor of Lee Heller.

Motion by Vice Mayor Shaw, seconded by Councilmember Stein, to adopt Resolution No. 5565, as read by title only, recognizing and commending Lee Heller for outstanding volunteer service to Carpinteria community.

Upon voice vote, motion carried unanimously.

Mayor Carty presented the resolution to Lee Heller.

ADMINISTRATIVE MATTER:

7. Extension of an Agreement between the City of Carpinteria and the Housing Trust Fund of Santa Barbara County for Implementation of the Workforce Homebuyer Down Payment Loan Program through December 31, 2016

Jackie Campbell, Community Development Director, presented the staff report.

There was no Public Comment

Motion by Councilmember Stein, seconded by Councilmember Carty, to authorize the City Manager to sign the agreement between the City of Carpinteria and the Housing Trust Fund of Santa Barbara County to extend the term to implement the Workforce Homebuyer Down Payment Loan Program through December 31, 2016.

Upon voice vote, motion carried unanimously.

INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS (continued)

2. Presentation of Employee Service Awards:

Arlene Balmadrid, Human Resources Manager, presented the staff report recognizing the following Employee Services Awards:

5 Years - Charlie Ebeling, Director of Public Works
10 Years - John Keiser, Maintenance Worker
15 Years – Dave Durflinger, City Manager
20 Years – Kevin Silk, Assistant to the City Manager

3. Presentation by Gas Company representative on hydrostatic testing of high pressure natural gas pipelines

Tim Mahoney, representing Southern California Gas Company, accompanied by Project Manager Andy Haque, Outreach Manager Raul Gordillo, and Assistant Project Manager Andre Stutts, provided a PowerPoint presentation of the Pipeline Safety Enhancement Project for the transmission lines.

PUBLIC INFORMATION REPORTS AND ANNOUNCEMENTS: None

CITY MANAGER'S REPORT

- The City is seeking volunteers to serve on City Committees, Boards, and Commissions to fill vacancies on the Tree Advisory Board, Mobile Home Rent Stabilization Board, Bluffs Committee, Carpinteria First Committee, Downtown Business Advisory Board, and Integrated Pest Management Committee. Information regarding the vacancies is available at the City Manager's Office or City Clerk's Office and on the City's website. Application deadline is January 16, 2015.
- HopeNet will hold the Behavioral Health Service Forum on January 13, 2015, at 6:00 p.m., at the Women's Club.
- South Coast Task Force on Youth Gang meeting is scheduled on January 14, 2015, at 3:00 p.m., at the Main School in the cafeteria.
- Architectural Review Board meeting is scheduled on January 15, 2015, at 5:30 p.m. to review four single-family home projects, one new home on Dorrance, and some home remodels.
- Annual Work Plan meeting is scheduled on January 24, 2015, from 8:00 a.m. to 1:00 p.m., in the Council Chambers.

Councilmember Clark inquired whether applications for City Committees, Board, and Commissions would be accepted after the January 16 deadline. City Manager Dave Durflinger responded applications are typically accepted until vacancies are filled. Councilmember Clark encouraged the residents to volunteer for these vacancies.

PRESENTATIONS BY CITIZENS/PUBLIC COMMENT

Mary Pat O'Conner noted she spoke at a previous City Council meeting where she expressed her concern with the on-ramp at Bailard. She inquired why there has been no change at this location and whether the Caltrans District Office in Los Angeles County had been contacted.

Charlie Ebeling, Public Works Director, responded that staff contacted the Caltrans District Office overseeing the current project from Ventura into Santa Barbara County regarding the plans for the lane configurations and the on-ramp. He noted that staff had not yet received a response.

Councilmember Stein inquired whether the lanes would be adjusted with the completion of the work on Linden Avenue and Carpinteria Creek. Mr. Ebeling stated that the finalization of the plans for Linden Avenue and Casitas Pass Road Interchanges project were still in the works. He noted that the Caltrans District Office in San Luis Obispo County is in charge of this project and they indicated the lane configurations would not change with the freeway interchanges project. He also noted the lane configurations would not change until the fourth phase of the freeway projects for the South Coast HOV project.

Councilmember Carty expressed concern with the safety of the on-ramp and having to wait years before anything is done at this location. He inquired whether anything could be done in the interim. Mr. Ebeling responded that Highway 101is not in the City's jurisdiction. He suggested it would be best to continue to influence Caltrans from both Districts that are in charge of this area to make a change to the configuration. City Manager Dave Durflinger noted that the tools that cities have to influence Caltrans include having the City Council take action to ask Caltrans to provide a response. He suggested the City Council could place an item on the agenda to send a letter for the Mayor's signature to Caltrans. Mr. Ebeling added that staff would continue to seek a satisfactory response from Caltrans.

Vice Mayor Shaw requested that an item be placed on the next earliest agenda to send a letter from the City Council to Caltrans asking them to do something with the onramp at this location. Mayor Carty suggested that the entire City Council sign the letter. Councilmember Clark stated the would bring this up at next Santa Barbara County Association of Governments (SBCAG) meeting.

Betty Coffey expressed her concern about the on-ramp at Bailard and supported the comments made by Mary Pat O'Conner.

Dave Moore noted that the Santa Barbara County Department of Alcohol, Drug, and Mental Health would hold a community forum on January 13, 2015, at 6:00 at Carpinteria Community Church to review Carpinteria's services and provide an update on local expansion plans. He encouraged everyone to attend.

AGENDA MODIFICATIONS: None

CONSENT CALENDAR

Motion by Councilmember Stein, seconded by Vice Mayor Shaw, to approve the Consent Calendar.

Upon voice vote, motion carried unanimously.

4. Minutes of the regular meeting held December 8, 2014 and the minutes of the special meetings held December 15 and December 22, 2014.

5. Expenditures for the period ending December 2, 2014 and January 5, 2015.

6. Contracts Awarded by the City Manager for the Period of October through December 2014.

PUBLIC HEARING: None

OTHER BUSINESS:

8. Contract Amendment No. 3 with Drake Haglan and Associates for Preliminary Engineering Services for the Carpinteria Avenue Bridge Project

Recommendation: Approve and authorize the Mayor to sign Amendment No. 3 to the agreement for professional engineering services with Drake Haglan and Associates, Inc. for additional environmental studies and structural analysis for Carpinteria Avenue Bridge.

Charlie Ebeling, Director of Public Works, presented the staff report and PowerPoint presentation. He responded to questions regarding the geotechnical study, bridge foundation, plans to mitigate impacts to pedestrians and bicyclists during construction, and project design for potential changes to hydraulic flow of Carpinteria Creek to accommodate the future freeway interchanges project.

There was no Public Comment.

Motion by Vice Mayor Shaw, seconded by Councilmember Nomura, to approve and authorize the Mayor to sign Amendment No. 3 to the agreement for professional engineering services with Drake Haglan and Associates, Inc. for additional environmental studies and structural analysis for Carpinteria Avenue Replacement Bridge Project.

Upon voice vote, motion carried unanimously.

9. Schedule of Annual Work Plan Meeting

Recommendation: Set the special meeting date of Saturday, January 24, 2015, 8 a.m. - 1 p.m., for consideration of the Annual Work Plan.

Dave Durflinger, City Manager, presented the staff report.

There was no Public Comment.

Motion by Councilmember Shaw, seconded by Councilmember Nomura, to set the special meeting date for Saturday, January 24, 2015, 8 a.m. – 1 p.m., for consideration of the Annual Work Plan.

Upon voice vote, motion carried unanimously.

10. Budget for 50^{th} Anniversary Events and Materials Related to Appropriately Recognizing the City's Anniversary

Recommendation: Receive the recommendation of the City Council ad hoc committee and approve a 50th Anniversary budget.

Dave Durflinger, City Manager, presented the staff report.

Mayor Carty recognized Wade and Roxanne Nomura for their efforts on the 50th Anniversary celebration.

Roxanne Nomura stated she was in charge of merchandising for this event. She noted that part of the budget would go towards development of the logo. She also noted that the committee is asking other organizations to celebrate using a 50's theme.

Larry Nimmer stated that he hoped to be involved in the development of the video that will include decade-by-decade documentation of all the major news events that have occurred in Carpinteria.

Councilmember Nomura reviewed the budget for the development of the logo, production of a historical video, merchandising, street flags and banners and potential sponsorship, community events, promotion and advertising, facelift of City Hall, and 50th Anniversary signage at both ends of the City and in front of City Hall.

Vice Mayor Shaw suggested advertising on the shuttle buses.

Motion by Councilmember Stein, seconded by Councilmember Clark, to approve the recommended 50th Anniversary budget to be included in the midyear adjustments.

Upon voice vote, motion carried unanimously.

11. Selection of Council Representatives on Regional Agencies, Committees and Ad Hoc Committees

Recommendation: Appoint Councilmembers to Regional Agencies, Committees and Ad Hoc Committees, as determined appropriate.

Dave Durflinger, City Manager, presented the staff report.

There was no Public Comment.

Mayor Carty spoke in support of moving forward with appointments to Regional Agencies and Committees, 1a through 1h, as listed in the staff report, with the exception appointing Councilmember Clark to the Central Coast Collaborative on Homelessness (C3H).

Motion by Councilmember Stein, seconded by Vice Mayor Shaw, to appoint Councilmembers to the Regional Agencies and Committees, 1a through 1h, as listed in the staff report, with the exception of appointing Councilmember Clark to C3H.

Upon voice vote, motion carried unanimously.

City Manager Dave Durflinger noted that the 50^{th} Anniversary Committee should be listed under Joint and Standing Committees, and suggested that this committee be included as Item 2g in the Joint and Standing Committees.

Motion by Councilmember Stein, seconded by Councilmember Clark, to appoint Councilmembers to the Joint and Special Committees, 2a through 2f, as listed in the staff report, including 2g, 50^{th} Anniversary Committee.

Upon voice vote, motion carried unanimously.

Motion by Councilmember Stein, seconded by Councilmember Nomura, to appoint Councilmembers to the ad hoc committees, 3a through 3c, as listed in the staff report.

Councilmember Clark inquired regarding the reason the 50^{th} Anniversary Committee was moved to Joint and Standing Committees. Mr. Durflinger responded that the City Council previously changed this committee to a community committee. He noted that once this committee has completed its task, the City Council would then take formal action to terminate the community committee. He also noted that community members could not be a part of an ad hoc committee.

Upon voice vote, motion carried unanimously.

Durflinger recognized Gary Dobbins who serves as the representative to the Community Media Access, Ronald Hurd who serves as the representative to the Santa Barbara Coastal Vector Control District, and Chuck McQuary who serves as the representative to the Santa Barbara Metropolitan Transit District.

Mayor Carty inquired regarding receiving reports from the representatives who serve on the Community Media Access and Santa Barbara Coastal Vector Control District. Mr. Durflinger responded that the representatives provide updates to City staff and the City Council could request that they provide an update during a City Council meeting.

Motion by Councilmember Stein, seconded by Vice Mayor Shaw, to appoint the persons as representatives on the committees, 4a through 4c, listed in the staff report.

Upon voice vote, motion carried unanimously.

COMMITTEE REPORTS, INQUIRIES AND OTHER MATTERS PRESENTED BY COUNCILMEMBERS

Councilmember Nomura noted the 50th Anniversary Committee would meet on January 13, 2015, at 5:30 p.m. in the Council Chambers.

Mayor Carty inquired regarding the upcoming Santa Claus Coastal Trail Meeting scheduled on January 28, 2015. City Manager Dave Durflinger responded this is a Santa Barbara County Association of Governments meeting to be held at City Hall to discuss the Santa Claus Lane and Rincon Trails associated with the Local Coastal Plan Amendment.

ADJOURNMENT

The meeting was adjourned at 7:09 p.m. by Mayor Carty.

Gregg Carty, Mayor

ATTEST:

Fidela Garcia, CMC, City Clerk

AGENDA SECTION: CONSENT
AGENDA ITEM # 2
REPORT # 15-11

STAFF REPORT
CITY COUNCIL MEETING
January 26, 2015

ITEM FOR COUNCIL CONSIDERATION

EXPENDITURES FOR THE PERIOD BEGINNING JANUARY 6, 2015 AND ENDING JANUARY 20, 2015.

Report prepared by: Monique Epley, Finance Supervisor	Signature
Reviewed by: John Thornberry, Administrative Services Director	Signature
Reviewed by: Dave Durflinger, City Manager	Signature

STAFF RECOMMENDATION

Action Item __; Non-Action Item X

That the City Council accept this report concerning the current list of warrants pursuant to Carpinteria Municipal Code 2.08.150(F).

I. DISCUSSION

As part of each regular City Council agenda, a list of all checks (attached) dispersed since the last reporting period is presented to the City Council pursuant to C.M.C. 2.08.150(F). The purpose of the presentation, in part, is the Staff certification that the expenditures have been reviewed and are within the budgeted appropriations.

II. FINANCIAL CONSIDERATIONS

The warrant register included as an attachment is designed to communicate fiscal activity since the previous regular City Council agenda. No action is requested as part of this report.

III. ATTACHMENT

Warrant Register for the period beginning January 6, 2015 and ending January 20, 2015

CITY OF CARPINTERIA
Warrant Register
January 6 - 20, 2015

Num	Date	Name	Source Name	Memo	Account	Paid Amount
84857	**01/07/15**	**A T & T MOBILITY**	**A T & T MOBILITY**	**ACCOUNT 337015224648**	**1000101 · Operating Cash 10**	
3370152246...	12/19/14		A T & T MOBILITY	PW SUPERVISOR	2531301 · Telephone 31	(62.51)
			A T & T MOBILITY	PW CREW	1013308 · Supplies & Materials 13	(43.26)
			A T & T MOBILITY	PW CREW	3332308 · Supplies & Materials 32	(97.52)
			A T & T MOBILITY	PW CREW	2531308 · Supplies & Materials 31	(17.52)
TOTAL						(220.81)
84858	**01/07/15**	**ACCESS CONTROL SECURITY, INC.**	**ACCESS CONTRO...**	**INVOICE 0020475**	**1000101 · Operating Cash 10**	
0020475	12/29/14		ACCESS CONTRO...	VETS HALL SECURITY	4848352 · VETS HALL RENTALS	(141.75)
TOTAL						(141.75)
84859	**01/07/15**	**ALLEN ASSOCIATES**	**ALLEN ASSOCIATES**	**PROJECT 5672 REFUND**	**1000101 · Operating Cash 10**	
PROJ 5572 ...	01/05/15		ALLEN ASSOCIATES	PROJECT 5572 REFUND	1000251 · Development Deposits	(2,313.14)
TOTAL						(2,313.14)
84860	**01/07/15**	**AT & T MOBILITY**	**AT & T MOBILITY**	**ACCOUNT 832685259**	**1000101 · Operating Cash 10**	
832685259	12/21/14		AT & T MOBILITY	CODE ENF	1047213 · Animal Sheltering	(126.06)
			AT & T MOBILITY	CODE ENF	1047213 · Animal Sheltering	(192.11)
			AT & T MOBILITY	CODE ENF	1047213 · Animal Sheltering	(42.11)
TOTAL						(360.28)
84861	**01/07/15**	**ATT**	**ATT**	**ACCOUNT 030-149-5366-001**	**1000101 · Operating Cash 10**	
030-149-53...	12/19/14		ATT	CIVIC CENTER	1013301 · Telephone 13	(758.92)
			ATT	PW	2531301 · Telephone 31	(33.84)
			ATT	BEACH	2862301 · Telephone 62	(123.26)
			ATT	POOL	4866301 · Telephone 66	(135.35)
TOTAL						(1,051.37)
84862	**01/07/15**	**CA DEPT CONSERVATION**	**CA DEPT CONSER...**	**SMIP REPORT: OCT - DEC 2014**	**1000101 · Operating Cash 10**	
Q4 2014 SM...	12/02/14		CA DEPT CONSER...	SMIP REPORT: OCT - DEC 2014	1000202 · SMIP Payable	(122.27)
TOTAL						(122.27)
84863	**01/07/15**	**CALIFORNIA BUILDING STANDARDS COM...**	**CALIFORNIA BUIL...**	**2014 FEES QUARTERS 3 & 4**	**1000101 · Operating Cash 10**	
Q3 & 4 2014	01/05/15		CALIFORNIA BUILD...	STATE BUILDING STANDARDS FUND JUL - D...	1000226 · SB1473 State Building ...	(211.00)
TOTAL						(211.00)
84864	**01/07/15**	**CALIFORNIA STATE DISBURSEMENT UNIT**	**CALIFORNIA STAT...**	**CASE NUMBER 0850182383-01**	**1000101 · Operating Cash 10**	
PAYROLL 0...	01/06/15		CALIFORNIA STAT...	PAYROLL WITHHOLDING 01-08-15	1000105 · ICMA Cash	(330.46)
TOTAL						(330.46)

CITY OF CARPINTERIA
Warrant Register
January 6 - 20, 2015

Num	Date	Name	Source Name	Memo	Account	Paid Amount
84865	**01/07/15**	**CARPINTERIA-SUMMERLAND FIRE DISTR...**	**CARPINTERIA-SU...**	**SEP-DEC 2014 FIRE FEES**	**1000101 · Operating Cash 10**	
2014 FIRE ...	01/05/15		CARPINTERIA-SU...	PROJ 1735	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	BP 11266	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	BP 11267	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	BP 11269	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PROJ 1738	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PROJ 1470	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PROJ 1742	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PROJ 1746	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	BP 11328	1000261 · Fire District Fees	(205.00)
			CARPINTERIA-SU...	PROJ 1747	1000261 · Fire District Fees	(205.00)
TOTAL						(2,050.00)
84866	**01/07/15**	**CARPINTERIA VETERINARY HOSPITAL INC**	**CARPINTERIA VET...**		**1000101 · Operating Cash 10**	
226758	12/03/14		CARPINTERIA VET...	DOG LICENSE	1047213 · Animal Sheltering	(30.00)
227194	12/11/14		CARPINTERIA VET...	DOG LICENSE	1047213 · Animal Sheltering	(25.00)
227636	12/18/14		CARPINTERIA VET...	DOG LICENSE	1047213 · Animal Sheltering	(20.00)
TOTAL						(75.00)
84867	**01/07/15**	**CASSO-CLOONAN, AKELA**	**CASSO-CLOONAN,...**	**PAYROLL 12-24-14**	**1000101 · Operating Cash 10**	
PAYROLL 1...	01/07/15		CASSO-CLOONAN,...	PAYROLL 12-24-14	1000101 · Operating Cash 10	(89.50)
TOTAL						(89.50)
84868	**01/07/15**	**CENTRAL COAST IMAGING SOLUTIONS**	**CENTRAL COAST I...**	**INVOICE #AR29011**	**1000101 · Operating Cash 10**	
AR29011	12/29/14		CENTRAL COAST I...	COPIER MAINTENANCE	1018222 · Equipment Maintenance	(199.04)
TOTAL						(199.04)
84869	**01/07/15**	**CHANNEL ISLANDS DO IT BEST**	**CHANNEL ISLAND...**	**10001**	**1000101 · Operating Cash 10**	
759720	12/01/14		CHANNEL ISLAND...	POOL	4866308 · Supplies & Materials 66	(55.82)
TOTAL						(55.82)
84870	**01/07/15**	**COAST AUTO PARTS, INC.**	**COAST AUTO PAR...**	**DECEMBER 2014 BILLING**	**1000101 · Operating Cash 10**	
DEC 2014 B...	12/30/14		COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 · Vehicle Oper & Mainten...	(65.04)
			COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 · Vehicle Oper & Mainten...	(66.19)
			COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 · Vehicle Oper & Mainten...	(12.86)
			COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 · Vehicle Oper & Mainten...	(173.72)
TOTAL						(317.81)
84871	**01/07/15**	**COASTAL VIEW NEWS**	**COASTAL VIEW N...**	**44794**	**1000101 · Operating Cash 10**	
44794	12/24/14		COASTAL VIEW NE...	CARPINTERIA FIRST	1044302 · Marketing Materials	(578.00)
TOTAL						(578.00)

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84872	**01/07/15**	**DATA TICKET INC**	**DATA TICKET INC**	**INVOICE 58149**	**1000101 · Operating Cash 10**	
58149	12/29/14		DATA TICKET INC	CITATION PROCESSING	1022214 · Hearing Officer	(200.00)
TOTAL						(200.00)
84873	**01/07/15**	**DEL MAR CONSTRUCTION**	**DEL MAR CONSTR...**	**PROJ 5653 REFUND**	**1000101 · Operating Cash 10**	
PROJ 5611 ...	01/05/15		DEL MAR CONSTR...	PROJ 5611 REFUND	1000251 · Development Deposits	(2,359.07)
PROJ 5653 ...	01/05/15		DEL MAR CONSTR...	PROJ 5653 REFUND	1000251 · Development Deposits	(1,654.07)
TOTAL						(4,013.14)
84874	**01/07/15**	**DRAKE HAGLAN & ASSOCIATES, INC.**	**DRAKE HAGLAN &...**	**INVOICE #12034-24**	**1000101 · Operating Cash 10**	
12034-24	12/08/14	15016	DRAKE HAGLAN & ...	HBR GRANT	2000261 · Deferred Revenue 20	(9,485.44)
		15016	DRAKE HAGLAN & ...	HBR GRANT	2079614 · FHWA HBR 20	(9,485.46)
		15016	DRAKE HAGLAN & ...	DIF PROGRAM HIGHWAY BRIDGES & INTERC...	3100232 · DIF Highway Interchange	(1,228.95)
		15016	DRAKE HAGLAN & ...	DIF PROGRAM HIGHWAY BRIDGES & INTERC...	3179616 · FHWA HBR 31	(1,228.95)
TOTAL						(21,428.80)
84875	**01/07/15**	**EASY LIFT TRANSPORTATION**	**EASY LIFT TRANS...**	**INVOICE 6184**	**1000101 · Operating Cash 10**	
6184	01/01/15		EASY LIFT TRANS...	CLAIM PER CONTRACT FOR JANUARY	2734221 · Easy Lift/CART 27	(1,000.00)
TOTAL						(1,000.00)
84876	**01/07/15**	**EDD-SDI**	**EDD-SDI**	**776-5147-9**	**1000101 · Operating Cash 10**	
PAYROLL 0...	01/06/15		EDD-SDI	PAYROLL 01-08-15	1000223 · SDI Withheld 10	(977.06)
TOTAL						(977.06)
84877	**01/07/15**	**EDD-SIT**	**EDD-SIT**	**932-0283-6**	**1000101 · Operating Cash 10**	
PAYROLL 0...	01/06/15		EDD-SIT	PAYROLL 01-08-15	1000221 · SIT Withheld 10	(3,854.09)
TOTAL						(3,854.09)
84878	**01/07/15**	**GRAINGER**	**GRAINGER**		**1000101 · Operating Cash 10**	
9478756696	07/01/14		GRAINGER	FY 13-14 VEGA EQUIP REIMB	2531197 · Indiv Equip Reimburse...	(44.62)
9478136675	07/01/14		GRAINGER	FY 13-14 VEGA EQUIP REIMB	2531197 · Indiv Equip Reimburse...	(23.07)
9478010730	07/01/14		GRAINGER	FY 13-14 VEGA EQUIP REIMB	2531197 · Indiv Equip Reimburse...	(88.93)
TOTAL						(156.62)
84879	**01/07/15**	**INNOVATIVE CONSTRUCTION SOLUTION**	**INNOVATIVE CON...**	**PROJECT 5599 REFUND**	**1000101 · Operating Cash 10**	
PROJ 5599 ...	01/05/15		INNOVATIVE CONS...	PROJ 5599 REFUND	1000251 · Development Deposits	(5,207.98)
TOTAL						(5,207.98)

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84880	**01/07/15**	**J L ASHFORD CONSTRUCTION**	**J L ASHFORD CON...**	**PROJ 5661 REFUND**	**1000101 · Operating Cash 10**	
PROJ 5661 ...	01/05/15		J L ASHFORD CON...	PROJ 5661 REFUND	1000251 · Development Deposits	(2,883.91)
TOTAL						(2,883.91)
84881	**01/07/15**	**JOHNSTONE SUPPLY**	**JOHNSTONE SUPP...**	**INVOICE #354533**	**1000101 · Operating Cash 10**	
354533	12/29/14		JOHNSTONE SUPP...	CITY HALL	1013224 · Interior Maintenance	(79.22)
TOTAL						(79.22)
84882	**01/07/15**	**JUAN C CASTILLO**	**JUAN C CASTILLO**	**CLEANING DEPOSIT REFUND**	**1000101 · Operating Cash 10**	
VETS HALL...	01/07/15		JUAN C CASTILLO	SECURITY REFUND	4848352 · VETS HALL RENTALS	(14.25)
			JUAN C CASTILLO	CLEANING DEPOSIT REFUND	1000231 · Veterans Building Depo...	(100.00)
TOTAL						(114.25)
84883	**01/07/15**	**KRIEG, TERRY E CPA**	**KRIEG, TERRY E C...**	**FY 13-14 FINAL PROGRESS BILLING**	**1000101 · Operating Cash 10**	
FY13-14 FI...	12/28/14		KRIEG, TERRY E C...	FY 13-14 FINAL PROGRESS BILLING	1014229 · Annual Audit	(3,500.00)
TOTAL						(3,500.00)
84884	**01/07/15**	**LEAGUE OF CA CITIES**	**LEAGUE OF CA CI...**	**VOID: INVOICE #147498**	**1000101 · Operating Cash 10**	
TOTAL						0.00
84885	**01/07/15**	**LESLIE'S POOL SUPPLIES INC**	**LESLIE'S POOL SU...**	**INVOICE #308-267864**	**1000101 · Operating Cash 10**	
308-267864	12/19/14		LESLIE'S POOL SU...	CITY HALL FURNACE	1013224 · Interior Maintenance	(205.19)
TOTAL						(205.19)
84886	**01/07/15**	**MARIBEL VALLEJ O**	**MARIBEL VALLEJ O**	**CLEANING DEPOSIT REFUND**	**1000101 · Operating Cash 10**	
VETS HALL...	01/07/15		MARIBEL VALLEJ O	CLEANING DEPOSIT REFUND	1000231 · Veterans Building Depo...	(200.00)
TOTAL						(200.00)
84887	**01/07/15**	**SEUI LOCAL 620**	**SEUI LOCAL 620**	**PAYROLL 01-08-15**	**1000101 · Operating Cash 10**	
PAYROLL 0...	01/06/15		SEUI LOCAL 620	PAYROLL 01-08-15	1000229 · SEIU Local 620	(146.19)
TOTAL						(146.19)
84888	**01/07/15**	**SO CA EDISON**	**SO CA EDISON**		**1000101 · Operating Cash 10**	
2-17-070-74...	12/27/14		SO CA EDISON	1 CARP	2923220 · Street Lighting	(61.98)
2-19-146-82...	12/27/14		SO CA EDISON	9TH STREET BATHROOM	2923220 · Street Lighting	(41.49)
TOTAL						(103.47)

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84889	**01/07/15**	**SOUTHERN CALIFORNIA SWIMMING**	**SOUTHERN CALIF...**	**USA SWIMMING RENEWAL - 2**	**1000101 · Operating Cash 10**	
USA SWIM ...	12/31/14		SOUTHERN CALIF...	USA SWIMMING RENEWAL	4868308 · Supplies & Materials 68	(840.00)
TOTAL						(840.00)
84890	**01/07/15**	**STAPLES ADVANTAGE**	**STAPLES ADVANT...**		**1000101 · Operating Cash 10**	
3251132074	12/12/14		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(45.73)
3251132072	12/12/14		STAPLES ADVANT...	POOL	4866308 · Supplies & Materials 66	(28.61)
3251199128	12/13/14		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(130.91)
TOTAL						(205.25)
84891	**01/07/15**	**T. ROWE PRICE**	**T. ROWE PRICE**	**PAYROLL CONTRIBUTION - ANTHONY VEGA**	**1000101 · Operating Cash 10**	
PAYROLL 0...	01/06/15		T. ROWE PRICE	PAYROLL 01-08-15 - ANTHONY VEGA	1000105 · ICMA Cash	(50.00)
TOTAL						(50.00)
84892	**01/07/15**	**THE RINCONS BAND**	**THE RINCONS BAND**	**JAN 2015 SNOW DAY PERFORMANCE**	**1000101 · Operating Cash 10**	
JAN 2015 S...	12/29/14		THE RINCONS BAND	JAN 2015 SNOW DAY PERFORMANCE	1044302 · Marketing Materials	(350.00)
TOTAL						(350.00)
84893	**01/07/15**	**UNITED WAY OF SANTA BARBARA COUN...**	**UNITED WAY OF S...**	**PAYROLL 01-08-15**	**1000101 · Operating Cash 10**	
PAYROLL 0...	01/06/15		UNITED WAY OF S...	PAYROLL 01-08-15	1000224 · United Way Payable	(20.00)
TOTAL						(20.00)
84894	**01/07/15**	**VANTAGEPOINT TRANSFER AGENTS - 457**	**VANTAGEPOINT T...**	**PAYROLL 01-08-15**	**1000101 · Operating Cash 10**	
PAYROLL 0...	01/06/15		VANTAGEPOINT T...	PAYROLL CONTRIBUTION 01-08-15	1000105 · ICMA Cash	(1,050.00)
TOTAL						(1,050.00)
84895	**01/07/15**	**VERIZON CALIFORNIA**	**VERIZON CALIFOR...**	**0117111126512506601**	**1000101 · Operating Cash 10**	
0117111265...	12/22/14		VERIZON CALIFOR...	OUTSIDE PD	1013301 · Telephone 13	(87.18)
TOTAL						(87.18)
84896	**01/07/15**	**FIRST BANKCARD**	**FIRST BANKCARD**	**1438**	**1000101 · Operating Cash 10**	
1438	12/29/14		FIRST BANKCARD	HOLIDAY PARTY	1016320 · Pre-placement Health S...	(12.32)
			FIRST BANKCARD	LATE FEE	1016308 · Supplies & Materials 16	(39.00)
			FIRST BANKCARD	HOLIDAY PARTY	1016310 · Employee/Public Relati...	(133.95)
			FIRST BANKCARD	HOLIDAY PARTY	1016310 · Employee/Public Relati...	(114.92)
			FIRST BANKCARD	HOLIDAY PARTY	1016310 · Employee/Public Relati...	(12.32)
			FIRST BANKCARD	HOLIDAY PARTY	1016310 · Employee/Public Relati...	(308.16)
TOTAL						(620.67)

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84897	**01/07/15**	**KIMBALL MIDWEST**	**KIMBALL MIDWEST**		**1000101 · Operating Cash 10**	
3947954	12/15/14		KIMBALL MIDWEST	STREETS	2531308 · Supplies & Materials 31	(164.81)
3947875	12/15/14		KIMBALL MIDWEST	UNIT #320	2531307 · Vehicle Oper & Mainten...	(150.12)
TOTAL						(314.93)
84898	**01/07/15**	**STAPLES ADVANTAGE**	**STAPLES ADVANT...**		**1000101 · Operating Cash 10**	
3251549520	12/16/14		STAPLES ADVANT...	OFFICE SUPPLIES	1011308 · Supplies & Materials 11	(58.87)
3251660376	12/18/14		STAPLES ADVANT...	PW SUPPLIES	2531308 · Supplies & Materials 31	(185.47)
3251660377	12/18/14		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(116.73)
TOTAL						(361.07)
84899	**01/07/15**	**VENCO WESTERN INC**	**VENCO WESTERN ...**	**VOID: INVOICE #0149019-IN**	**1000101 · Operating Cash 10**	
TOTAL						0.00
84900	**01/07/15**	**CENTRAL COAST IMAGING SOLUTIONS**	**CENTRAL COAST I...**	**INVOICE #AR28744**	**1000101 · Operating Cash 10**	
AR28744	11/24/14		CENTRAL COAST I...	COPIER MAINTENANCE	1018222 · Equipment Maintenance	(254.50)
TOTAL						(254.50)
84901	**01/07/15**	**LEAGUE OF CA CITIES**	**LEAGUE OF CA CI...**	**INVOICE #147498**	**1000101 · Operating Cash 10**	
147498	12/08/14		LEAGUE OF CA CI...	MEMBERSHIP DUES - 2015	1051306 · Meetings & Travel 51	(5,639.17)
TOTAL						(5,639.17)
84902	**01/07/15**	**FIRST BANKCARD**	**FIRST BANKCARD**		**1000101 · Operating Cash 10**	
9259	12/29/14		FIRST BANKCARD	CITY TREES	3332220 · Public Tree Maintenance	(90.72)
			FIRST BANKCARD	PW SUPPLIES	3332308 · Supplies & Materials 32	(73.52)
0570	01/06/15		FIRST BANKCARD	PW PHONES	2531301 · Telephone 31	(198.72)
			FIRST BANKCARD	1099 SUPPLIES	1013308 · Supplies & Materials 13	(200.87)
			FIRST BANKCARD	HOLIDAY SUPPLIES	3332308 · Supplies & Materials 32	(11.32)
			FIRST BANKCARD	POOL SHOWERS	4866308 · Supplies & Materials 66	(471.05)
			FIRST BANKCARD	LATE FEES	4866308 · Supplies & Materials 66	(82.58)
TOTAL						(1,128.78)
84903	**01/08/15**	**VALLEY CREST TREE COMPANY**	**VALLEY CREST TR...**	**INVOICE SO/689653**	**1000101 · Operating Cash 10**	
SO/689653	01/08/15		VALLEY CREST TR...	CITY TREES	3332220 · Public Tree Maintenance	(977.40)
TOTAL						(977.40)
84904	**01/14/15**	**76 FLEET SERVICES**	**76 FLEET SERVICES**	**INVOICE 39326798**	**1000101 · Operating Cash 10**	
39326798	12/31/14		76 FLEET SERVICES	VEHICLE FUEL	2531307 · Vehicle Oper & Mainten...	(229.46)
			76 FLEET SERVICES	VEHICLE FUEL	3332307 · Vehicle Oper & Mainten...	(299.47)
TOTAL						(528.93)

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84905	**01/14/15**	**AERIOCONNECT**	**AERIOCONNECT**	**747305**	**1000101 · Operating Cash 10**	
747305	01/01/15		AERIOCONNECT	DSL MONTHLY SERVICE CHARGE - FEBRUAR...	1018222 · Equipment Maintenance	(66.06)
TOTAL						(66.06)
84906	**01/14/15**	**CARPINTERIA VALLEY WATER DISTRICT**	**CARPINTERIA VAL...**	**DECEMBER 2014 BILLING**	**1000101 · Operating Cash 10**	
DEC 2014 B...	12/28/14		CARPINTERIA VAL...	CIVIC CENTER	1013312 · Utility - Water 13	(351.72)
			CARPINTERIA VAL...	STREETS	3332312 · Utility - Water 32	(1,262.37)
			CARPINTERIA VAL...	PARKS	2361312 · Utility - Water 23	(2,630.86)
			CARPINTERIA VAL...	POOL	4866312 · Utility - Water 66	(663.80)
			CARPINTERIA VAL...	VETS HALL	4865309 · Misc Operating Expens...	(145.75)
			CARPINTERIA VAL...	PROJ 4865 VETS HALL	1000251 · Development Deposits	(295.93)
TOTAL						(5,350.43)
84907	**01/14/15**	**CENTRAL COAST IMAGING SOLUTIONS**	**CENTRAL COAST I...**	**INVOICE #AR29091**	**1000101 · Operating Cash 10**	
AR29091	01/07/15		CENTRAL COAST I...	COPIER MAINTENANCE	1018222 · Equipment Maintenance	(728.87)
TOTAL						(728.87)
84908	**01/14/15**	**COX COMMUNICATIONS**	**COX COMMUNICA...**	**001-3011-022093901**	**1000101 · Operating Cash 10**	
001-3011-0...	01/01/15		COX COMMUNICA...	INTERNET SERVICES	1018222 · Equipment Maintenance	(132.00)
TOTAL						(132.00)
84909	**01/14/15**	**DFM ASSOCIATES**	**DFM ASSOCIATES**	**CITY CLERK SUPPLIES**	**1000101 · Operating Cash 10**	
2015 ELEC...	01/01/15		DFM ASSOCIATES	CITY CLERK SUPPLIES	1011211 · Elections Contract	(54.00)
TOTAL						(54.00)
84910	**01/14/15**	**DIVISION OF THE STATE ARCHITECT**	**DIVISION OF THE ...**	**2014 Q4 DISABILITY ACCESS FEES**	**1000101 · Operating Cash 10**	
2014 Q4 FE...	12/31/14		DIVISION OF THE S...	2014 Q4 DISABILITY ACCESS FEES	1000262 · Disability Access Fee	(12.90)
TOTAL						(12.90)
84911	**01/14/15**	**DRAKE HAGLAN & ASSOCIATES, INC.**	**DRAKE HAGLAN &...**	**INVOICE #12034-25**	**1000101 · Operating Cash 10**	
12034-25	12/08/14	15016	DRAKE HAGLAN & ...	HBR GRANT	2000261 · Deferred Revenue 20	(70.72)
		15016	DRAKE HAGLAN & ...	HBR GRANT	2079614 · FHWA HBR 20	(70.71)
			DRAKE HAGLAN & ...	PROJ 1522	1000251 · Development Deposits	(1,517.79)
		15016	DRAKE HAGLAN & ...	DIF PROGRAM HIGHWAY BRIDGES & INTERC...	3100232 · DIF Highway Interchange	(9.16)
		15016	DRAKE HAGLAN & ...	DIF PROGRAM HIGHWAY BRIDGES & INTERC...	3179616 · FHWA HBR 31	(9.16)
TOTAL						(1,677.54)
84912	**01/14/15**	**FIDELITY SECURITY LIFE INSURANCE**	**FIDELITY SECURIT...**	**INVOICE #9688603**	**1000101 · Operating Cash 10**	
9688609	01/01/15		FIDELITY SECURIT...	JANUARY 2015 VISION	1016194 · Wellness 16	(17.56)
			FIDELITY SECURIT...	JANUARY 2015 VISION	1041194 · Wellness 41	(8.78)

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			FIDELITY SECURIT...	JANUARY 2015 VISION	1030194 · Wellness 30	(8.78)
			FIDELITY SECURIT...	JANUARY 2015 VISION	1030194 · Wellness 30	(25.81)
			FIDELITY SECURIT...	JANUARY 2015 VISION	1022194 · Wellness 22	(17.56)
			FIDELITY SECURIT...	JANUARY 2015 VISION	2531194 · Wellness 31	(25.81)
			FIDELITY SECURIT...	JANUARY 2015 VISION	1069194 · Wellness 69	(8.78)
			FIDELITY SECURIT...	JANUARY 2015 VISION	1051194 · Wellness 51	(16.68)
			FIDELITY SECURIT...	JANUARY 2015 VISION	1011194 · Wellness 11	(8.78)
			FIDELITY SECURIT...	JANUARY 2015 VISION	1069194 · Wellness 69	(25.81)
			FIDELITY SECURIT...	JANUARY 2015 VISION	1044194 · Wellness 44	(16.68)
			FIDELITY SECURIT...	JANUARY 2015 VISION	2531194 · Wellness 31	(8.78)
TOTAL						(189.81)
84913	**01/14/15**	**FIRST BANKCARD**	**FIRST BANKCARD**		**1000101 · Operating Cash 10**	
7882	12/29/14		FIRST BANKCARD	MEMBERSHIP - JEAKLE	1024305 · Dues & Subscriptions 24	(75.00)
1081	12/29/14		FIRST BANKCARD	PARKS	2361221 · Miscellaneous Contracts	(53.31)
			FIRST BANKCARD	GRAPHIC DOWNLOAD	1069308 · Supplies & Materials 69	(13.58)
			FIRST BANKCARD	POOL	4868308 · Supplies & Materials 68	(22.65)
			FIRST BANKCARD	POOL	2862308 · Supplies & Materials 62	(374.34)
1644	12/29/14		FIRST BANKCARD	SNOW DAY	1044302 · Marketing Materials	(50.00)
			FIRST BANKCARD	SANTA	1016310 · Employee/Public Relati...	(25.00)
			FIRST BANKCARD	OFFICE SUPPLIES	1051308 · Supplies & Materials 51	(19.77)
			FIRST BANKCARD	EMERGENCY COORD	1045308 · Supplies & Materials 45	(135.00)
			FIRST BANKCARD	LATE FEE PAID IN DISPUTE	1011308 · Supplies & Materials 11	(39.02)
1214	12/29/14		FIRST BANKCARD	LATE FEE PAID IN DISPUTE	1012308 · Supplies & Materials 12	(36.75)
TOTAL						(844.42)
84914	**01/14/15**	**GRACENOTE**	**GRACENOTE**	**INVOICE #0642300**	**1000101 · Operating Cash 10**	
0642300	01/01/15		GRACENOTE	PROJ 4863 GATV LISTING	1000251 · Development Deposits	(57.97)
TOTAL						(57.97)
84915	**01/14/15**	**HOME DEPOT CREDIT SERVICES**	**HOME DEPOT CRE...**	**ACCT #1587**	**1000101 · Operating Cash 10**	
DEC 2015 S...	12/18/14		HOME DEPOT CRE...	POOL	4866338 · Chemicals	(148.18)
			HOME DEPOT CRE...	SHOP	3332308 · Supplies & Materials 32	(43.20)
TOTAL						(191.38)
84916	**01/14/15**	**HUGO'S AUTO DETAIL**	**HUGO'S AUTO DE...**	**NOVEMBER 2014 BILLING**	**1000101 · Operating Cash 10**	
NOV 2014 B...	01/01/15		HUGO'S AUTO DET...	NOV 2014 MAINTENANCE	1013307 · Vehicle Oper & Mainten...	(100.00)
TOTAL						(100.00)
84917	**01/14/15**	**LINCOLN FINANCIAL GROUP**	**LINCOLN FINANCI...**	**JANUARY 2015 BILLING**	**1000101 · Operating Cash 10**	
JAN 2015 BI...	12/19/14		LINCOLN FINANCIA...	JANUARY 2015	1051191 · Life Insurance Premium...	(67.75)
			LINCOLN FINANCIA...	JANUARY 2015	1011191 · Life Insurance Premium...	(100.63)
			LINCOLN FINANCIA...	JANUARY 2015	1012191 · Life Insurance Premium...	(122.49)
			LINCOLN FINANCIA...	JANUARY 2015	1013191 · Life Insurance Premium...	(32.07)
			LINCOLN FINANCIA...	JANUARY 2015	1014191 · Life Insurance Premium...	(184.06)
			LINCOLN FINANCIA...	JANUARY 2015	1016191 · Life Insurance Premium...	(172.37)

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			LINCOLN FINANCIA...	JANUARY 2015	1030191 · Life Insurance Premium...	(283.10)
			LINCOLN FINANCIA...	JANUARY 2015	2531191 · Life Insurance Premium...	(181.69)
			LINCOLN FINANCIA...	JANUARY 2015	1041191 · Life Insurance Premium...	(390.29)
			LINCOLN FINANCIA...	JANUARY 2015	1042191 · Life Insurance Premium...	(184.15)
			LINCOLN FINANCIA...	JANUARY 2015	1069191 · Life Insurance Premium...	(184.07)
			LINCOLN FINANCIA...	JANUARY 2015	1022191 · Life Insurance Premium...	(129.06)
			LINCOLN FINANCIA...	JANUARY 2015	3332191 · Life Insurance Premium...	(73.64)
			LINCOLN FINANCIA...	JANUARY 2015	1044191 · Life Insurance Premium...	(91.90)
			LINCOLN FINANCIA...	JANUARY 2015	2361191 · Life Insurance Premium...	(58.71)
			LINCOLN FINANCIA...	JANUARY 2015	4868191 · Life Insurance Premium...	(65.04)
TOTAL						(2,321.02)
84918	**01/14/15**	**MAILFINANCE**	**MAILFINANCE**	**INVOICE #H5067397**	**1000101 · Operating Cash 10**	
H5067397	12/18/14		MAILFINANCE	LEASE PAYMENT JAN - APR 2015	1018308 · Supplies & Materials 18	(630.18)
TOTAL						(630.18)
84919	**01/14/15**	**MESA TREE CO.**	**MESA TREE CO.**	**SPECIMEN TREE RELOCATION**	**1000101 · Operating Cash 10**	
TREE REL...	10/22/14		MESA TREE CO.	SPECIMEN TREE RELOCATION	3332221 · Miscellaneous Contract...	(1,650.00)
TOTAL						(1,650.00)
84920	**01/14/15**	**MISSION UNIFORM SERVICE**	**MISSION UNIFORM...**	**DECEMBER 2014 UNIFORM**	**1000101 · Operating Cash 10**	
DEC 2014 U...	12/31/14		MISSION UNIFORM...	UNIFORM EXPENSE	2531198 · Uniform Rental 31	(92.84)
			MISSION UNIFORM...	UNIFORM EXPENSE	3332198 · Uniform Rental 32	(92.84)
			MISSION UNIFORM...	UNIFORM EXPENSE	1013198 · Uniform Rental 13	(46.42)
			MISSION UNIFORM...	UNIFORM EXPENSE	2361198 · Uniform Rental 61	(46.40)
TOTAL						(278.50)
84921	**01/14/15**	**ON TRAC**	**ON TRAC**	**INVOICE 8116006**	**1000101 · Operating Cash 10**	
8116006	01/03/15		ON TRAC	SHIPPING	1030308 · Supplies & Materials 30	(5.35)
TOTAL						(5.35)
84922	**01/14/15**	**PACIFIC DESIGN GROUP**	**PACIFIC DESIGN G...**	**BP#11267 REFUND**	**1000101 · Operating Cash 10**	
BP 11267 R...	01/14/15		PACIFIC DESIGN G...	BP 11267 REFUND	1010188 · BLDG PLAN CHECK C...	(2,415.00)
TOTAL						(2,415.00)
84923	**01/14/15**	**PRAXAIR**	**PRAXAIR**	**INVOICE #51323104**	**1000101 · Operating Cash 10**	
51323104	12/20/14		PRAXAIR	PW SUPPL,IES	2531308 · Supplies & Materials 31	(26.35)
TOTAL						(26.35)
84924	**01/14/15**	**RINCON BREWERY**	**RINCON BREWERY**	**PROJECT 1681 REFUND**	**1000101 · Operating Cash 10**	
PROJ 1681 ...	12/08/14		RINCON BREWERY	PROJ 1681 REFUND	1000251 · Development Deposits	(4,238.70)

CITY OF CARPINTERIA
Warrant Register
January 6 - 20, 2015

Num	Date	Name	Source Name	Memo	Account	Paid Amount
TOTAL						(4,238.70)
84925	**01/14/15**	**ROCKWELL PRINTING**	**ROCKWELL PRINT...**	**INVOICE #25682**	**1000101 · Operating Cash 10**	
25682	12/17/14		ROCKWELL PRINTI...	BUSINESS CARDS - COUNCIL	1051308 · Supplies & Materials 51	(90.72)
TOTAL						(90.72)
84926	**01/14/15**	**SO CA EDISON**	**SO CA EDISON**		**1000101 · Operating Cash 10**	
2-22-684-64...	12/30/14		SO CA EDISON	STREET LIGHTING	2923220 · Street Lighting	(32.13)
2-30-652-42...	12/31/14		SO CA EDISON	SEASIDE	4865309 · Misc Operating Expens...	(66.94)
2-32-832-75...	12/31/14		SO CA EDISON	PROJ 4865 VETS HALL	1000251 · Development Deposits	(643.20)
			SO CA EDISON	VETS HALL	4865309 · Misc Operating Expens...	(316.80)
2-14-791-58...	12/31/14		SO CA EDISON	STREET LIGHTING	2923220 · Street Lighting	(123.04)
2-26-504-72...	01/02/15		SO CA EDISON	STREET LIGHTING	2923210 · Traffic Signals	(28.94)
TOTAL						(1,211.05)
84927	**01/14/15**	**SUN COAST RENTALS**	**SUN COAST RENT...**	**INVOICE 27387**	**1000101 · Operating Cash 10**	
27387	12/29/14	15068	SUN COAST RENT...	STREETS/ROW	2779612 · Pavement Rehabilitation	(22.00)
TOTAL						(22.00)
84928	**01/14/15**	**SUNBURST PRINTERS**	**SUNBURST PRINT...**	**INVOICE 16992**	**1000101 · Operating Cash 10**	
16992	12/01/14		SUNBURST PRINT...	NO PARKING SIGNS	2531308 · Supplies & Materials 31	(1,075.80)
TOTAL						(1,075.80)
84929	**01/14/15**	**THE STORAGE PLACE**	**THE STORAGE PL...**	**STORAGE RENTAL JANUARY 2015**	**1000101 · Operating Cash 10**	
JAN 2015 S...	01/03/15		THE STORAGE PL...	OFFSITE STORAGE RENTAL	1013221 · Miscellaneous Contract...	(315.00)
TOTAL						(315.00)
84930	**01/14/15**	**TRANSAMERICA EMPLOYEE BENEFITS**	**TRANSAMERICA E...**	**GROUP ID#G000026466**	**1000101 · Operating Cash 10**	
JAN 2015 ...	01/01/15		TRANSAMERICA E...	JANUARY 2015 WELLNESS	1030194 · Wellness 30	(18.75)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1016194 · Wellness 16	(35.70)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1030194 · Wellness 30	(56.75)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1041194 · Wellness 41	(36.45)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1051194 · Wellness 51	(33.12)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	4868194 · Wellness 68	(35.70)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1011194 · Wellness 11	(18.75)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1030194 · Wellness 30	(40.68)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1012194 · Wellness 12	(33.12)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1022194 · Wellness 22	(35.70)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1042194 · Wellness 42	(99.37)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1041194 · Wellness 41	(87.43)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1041194 · Wellness 41	(33.12)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1022194 · Wellness 22	(72.48)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1012194 · Wellness 12	(18.75)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1042194 · Wellness 42	(67.40)

CITY OF CARPINTERIA
Warrant Register
January 6 - 20, 2015

Num	Date	Name	Source Name	Memo	Account	Paid Amount
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	2531194 · Wellness 31	(109.37)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1030194 · Wellness 30	(35.70)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	2531194 · Wellness 31	(67.40)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1011194 · Wellness 11	(35.70)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	1022194 · Wellness 22	(18.75)
			TRANSAMERICA E...	JANUARY 2015 WELLNESS	4868194 · Wellness 68	(18.75)
TOTAL						(1,008.94)
84931	**01/14/15**	**UPS STORE**	**UPS STORE**	**INVOICE #1089**	**1000101 · Operating Cash 10**	
1089	08/26/14		UPS STORE	SHIPPING CHARGES	2923220 · Street Lighting	(147.89)
TOTAL						(147.89)
84932	**01/14/15**	**VENCO WESTERN INC**	**VENCO WESTERN ...**	**INVOICE #0149370-IN**	**1000101 · Operating Cash 10**	
0149370-IN	12/15/14		VENCO WESTERN ...	ROW	3332221 · Miscellaneous Contract...	(1,793.19)
			VENCO WESTERN ...	CITY HALL	1013225 · Grounds Maintenance	(742.10)
			VENCO WESTERN ...	PROJ 4865	1000251 · Development Deposits	(221.26)
			VENCO WESTERN ...	VETS HALL	4865220 · Grounds Maintenance 65	(108.66)
			VENCO WESTERN ...	POOL	4866220 · Grounds Maintenance 66	(221.73)
			VENCO WESTERN ...	ROW	3323220 · Parking Lot Landscape	(422.38)
			VENCO WESTERN ...	PARKS	2361225 · Park Maintenance 61	(4,523.56)
TOTAL						(8,032.88)
84933	**01/14/15**	**VERIZON CALIFORNIA**	**VERIZON CALIFOR...**		**1000101 · Operating Cash 10**	
0117111143...	12/18/14		VERIZON CALIFOR...	CIVIC CENTER	1013301 · Telephone 13	(853.93)
			VERIZON CALIFOR...	PW	2531301 · Telephone 31	(38.07)
			VERIZON CALIFOR...	BEACH	2862301 · Telephone 62	(120.80)
			VERIZON CALIFOR...	POOL	4866301 · Telephone 66	(235.25)
			VERIZON CALIFOR...	CONCESSION	2864308 · Supplies & Materials 64	(113.71)
0117111286...	12/25/14		VERIZON CALIFOR...	OUTSIDE PD	1013301 · Telephone 13	(87.18)
TOTAL						(1,448.94)
84934	**01/14/15**	**WAUSAU TILE INC**	**WAUSAU TILE INC**	**INVOICE 475211**	**1000101 · Operating Cash 10**	
475211	12/12/14		WAUSAU TILE INC	ROW	3332308 · Supplies & Materials 32	(1,285.67)
TOTAL						(1,285.67)
84935	**01/14/15**	**BRANCH OUT TREE CARE, INC.**	**BRANCH OUT TRE...**	**INVOICE #4664**	**1000101 · Operating Cash 10**	
4664	12/22/14		BRANCH OUT TRE...	STONE PINE EVALUATION	2732220 · Public Tree Maintenanc...	(125.00)
TOTAL						(125.00)
84936	**01/14/15**	**HOUSE SANITARY SUPPLY**	**HOUSE SANITARY ...**	**INVOICE #135307**	**1000101 · Operating Cash 10**	
135307	12/24/14		HOUSE SANITARY ...	POOL	4866308 · Supplies & Materials 66	(119.07)
TOTAL						(119.07)

CITY OF CARPINTERIA
Warrant Register
January 6 - 20, 2015

	Num	Date	Name	Source Name	Memo	Account	Paid Amount
	84937	**01/14/15**	**RBF CONSULTING**	**RBF CONSULTING**	**INVOICE #896075**	**1000101 · Operating Cash 10**	
	896075	12/23/14	15045	RBF CONSULTING	MEASURE A GRANT 7	2000261 · Deferred Revenue 20	(636.27)
			15045	RBF CONSULTING	MEASURE A GRANT 7	2079275 · Public Work Grants	(636.26)
			15045	RBF CONSULTING	VIA REAL SIDEWALK	2779623 · Ped Facilities Improve...	(1,557.74)
TOTAL							(2,830.27)
	84938	**01/14/15**	**SO CA EDISON**	**SO CA EDISON**	**2-01-201-6465**	**1000101 · Operating Cash 10**	
	2-01-201-64...	01/08/15		SO CA EDISON	STREET LIGHTING	2923220 · Street Lighting	(7,680.25)
TOTAL							(7,680.25)
	84939	**01/14/15**	**STAPLES ADVANTAGE**	**STAPLES ADVANT...**	**3252137832**	**1000101 · Operating Cash 10**	
	3252137832	12/24/14		STAPLES ADVANT...	POOL	4866308 · Supplies & Materials 66	(74.50)
TOTAL							(74.50)
	84940	**01/14/15**	**TRI-CO BLUE PRINT & SUPPLY**	**TRI-CO BLUE PRIN...**	**17385**	**1000101 · Operating Cash 10**	
	17385	12/23/14		TRI-CO BLUE PRIN...	PROJ 1735	1000251 · Development Deposits	(46.87)
TOTAL							(46.87)

TOTAL $111.099.38

AGENDA SECTION CONSENT
AGENDA ITEM # 3
REPORT # 15-12

STAFF REPORT
COUNCIL MEETING DATE:
JANUARY 26, 2015

ITEM FOR COUNCIL CONSIDERATION:

Quarterly Review of Investment Results and Certifications Concerning Compliance with Investment Policy and Cash Flow Needs for the next six months

Prepared by: John Thornberry, City Treasurer

Department: Administrative Services

Signature

Reviewed by:

City Manager ______________________
Signature

ACTION ITEM ___; **NON-ACTION ITEM** x

STAFF RECOMMENDATION: Receive and file the report

MOTION: None Required

I. BACKGROUND:

California Government Code Section 53600 states that "all governing bodies of local agencies or persons authorized to make investment decisions on behalf of those local agencies are trustees and therefore fiduciaries subject to the "prudent investor standard"

The prudent investor standard states that "a trustee shall act with the care, skill, prudence and diligence that a prudent person acting in a like capacity would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the agency. When investing public funds, the primary objective of a trustee shall be to safeguard the principal of the funds under its control. The secondary objective shall be to meet the liquidity needs of the depositor. The third objective shall be to achieve a return on the funds under its control."

California Government Code Section 53646 mandates that cities annually adopt a written investment policy and that quarterly reports be prepared concerning the City's financial holdings, a statement of compliance with the policy and a statement concerning the City's ability to meet its cash flow needs in the ensuing six months.

This report is no longer mandatory but is provided each quarter because it is informative for the Council and the public, and because the cost of preparation is minimal. However, because the City chooses to prepare the report, copies of the second and fourth calendar quarter reports must be submitted to the California Debt and Investment Advisory Commission within 60 days after the close of the second and fourth calendar quarters.

II. POLICY:

All portfolio investment transactions for the quarter ended December 31, 2014, have been made in full compliance with the Statement of Investment Policy adopted October 27, 2014.

As a part of the City's 2004 work program, the Council directed staff to investigate alternative investment practices that could yield better returns while maintaining security. The first step in this process was the change in the investment policy. On December 27, 2004 the City Council, by adoption of Resolution 4898, modified the City's investment policy, expanding the list of 'Authorized Investments'. Now, in addition to investment in the Local Agency Investment Fund and other FDIC insured accounts, the City can invest in 'Other investments authorized and governed by California Government Code 53601.

On November 22, 2004 the City Council adopted Resolution No. 4910 authorizing investments in the Treasury Direct program whereby Treasury Securities would be purchased directly from the United States Treasury.

III. FINANCIAL CONSIDERATIONS:

Cash Statement: At December 31, 2014, the City held cash investments as shown in the table below.

Table I

	Bank Balance at 12/31/14	Quarterly Earnings	Avg. Annualized Return
Treasury Direct/Schwab	$12,329,754.65	$35,490.28	1.13%
LAIF	20,650.36	12.57	0.25%
MB&T Checking	1,886,693.97	0	0.00%
MB&T Money Market	174,061.62	382.99	0.29%
Total	**$14,411,160.60**	**$35,885.84**	**1.01%**

Investments:

Changes in the market value of Treasury securities are inversely proportional to changes in interest rates demanded in the open market, i.e. when rates rise market values fall, when rates fall market values rise. The sensitivity of a portfolio's market value to these changes in market rates is a function of its days to maturity and its coupon rate. Securities with longer maturities and/or lower coupon rates are more sensitive to changes in market interest rates. As a security matures, changes in its value become less sensitive to market rate changes.

At December 31 the portfolio's average days to maturity was 880 days or 2.41 years, the average coupon rate was 1.08%, the average yield to maturity was 1.17% and the par value was $12,353,900. The portfolio had a net positive cash flow of $374,536.97 during the quarter.

Staff estimates the market value of the portfolio at $12,586,652. This represents a net unrealized gain of $256,898 or 2.08% of the net investment of $12,329,755. In other words, if the City were to sell all of its holdings, the proceeds from the sale would be approximately this much more than what was paid for them. The City does not intend to sell nor does it have any foreseeable need to sell any securities. The intention of the City's investment policy is to hold all securities to maturity; and securities so held are redeemed at par value resulting in no net market gain or loss.

Attached for your review are statements from Treasury Direct, Charles Schwab, LAIF, and Montecito Bank & Trust as of December 31, 2014. Also attached is a recap of investment activity during the quarter, a subsidiary ledger listing showing the various yields and maturities of securities in the Treasury Direct/Charles Schwab portfolio, a Treasury Note portfolio segment analysis and a market value estimate calculation.

The City of Carpinteria will meet all expenditure obligations that might reasonably be anticipated for the six-month period ending June 30, 2015.

IV. LEGAL ISSUES:

This report has been prepared in compliance with California Government Code Sections 53600 and 53646.

V. ATTACHMENTS:

A. Treasury Direct Statement
B. Charles Schwab Statement
C. LAIF Statement
D. Montecito Bank & Trust Bank Statement
E. Investment Activity Recap
F. Treasury Direct/Charles Schwab Subsidiary Ledger Listing
G. Treasury Direct/Charles Schwab Portfolio Segment Analysis
H. Market Value Estimate Calculation

Attachment A

Treasury Direct Statement

000567

Legacy Treasury Direct®
Treasury Retail Securities
PO Box 9150
Minneapolis, MN 55480-9150

Servicing Site

TREASURY RETAIL SECURITIES
PO BOX 9150
MINNEAPOLIS, MN 55480-9150

www.treasurydirect.gov
1-800-722-2678

CITY OF CARPINTERIA CA
5775 CARPINTERIA AVENUE
CARPINTERIA, CA 93013

RECEIVED
JAN 08 2015
CITY OF CARPINTERIA

Telephone Number:

Legacy Treasury Direct Account Number: 4800-770-3487 **Total Par as of 01/02/2015** $1,802,900.00

ACCOUNT HOLDINGS

SECURITY							NEXT PAYMENT	
CUSIP	Description	Par Amount	Issue Date	Maturity Date	Purchase Price/$100 of Par	Yield/ Rate (%)	Date	Type / Amount
912828MH0	2 1/4 NOTE G 15	116,200	02/01/10	01/31/15	99.438	2.3700	01/31/15	Maturity 116,200.00
912828MR8	2 3/8 NOTE H 15	119,400	03/01/10	02/28/15	99.906	2.3950	02/28/15	Maturity 119,400.00
912828MW7	2 1/2 NOTE J 15	102,200	03/31/10	03/31/15	99.511	2.6050	03/31/15	Maturity 102,200.00
912828MZ0	2 1/2 NOTE L 15	95,800	04/30/10	04/30/15	99.813	2.5400	04/30/15	Maturity 95,800.00
912828NF3	2 1/8 NOTE M 15	107,100	06/01/10	05/31/15	99.976	2.1300	05/31/15	Maturity 107,100.00
912828NL0	1 7/8 NOTE N 15	107,100	06/30/10	06/30/15	99.432	1.9950	06/30/15	Maturity 107,100.00
912828NP1	1 3/4 NOTE P 15	99,000	08/02/10	07/31/15	99.781	1.7960	01/31/15	Interest 866.25
912828NV8	1 1/4 NOTE Q 15	105,000	08/31/10	08/31/15	99.403	1.3740	02/28/15	Interest 656.25

IF YOU HAVE QUESTIONS CONCERNING THIS STATEMENT, PLEASE CONTACT YOUR TREASURY RETAIL SECURITIES SITE AND PROVIDE YOUR ACCOUNT NUMBER.

Attachment B

Charles Schwab Statement

charles SCHWAB

Account Statement

Retain for Your Records

Schwab One® Account
Account Number: 8975-8685

Statement Period: December 1, 2014 to December 31, 2014

Last Statement: November 30, 2014

Cut paper clutter.
Switch to eStatements at schwab.com/paperless.
Questions? Call 1-800-435-4000

Account Of

CITY OF CARPINTERIA
5775 CARPINTERIA AVE
CARPINTERIA CA 93013-2603

CITY OF CARPINTERIA
5775 CARPINTERIA AVE
CARPINTERIA CA 93013-2603

Account Value Summary

Cash & Sweep Money Market Funds	$ 18,158.10
Total Investments Long	$ 10,315,569.58
Total Investments Short	$ 0.00
Total Account Value	**$ 10,333,727.68**

Change in Account Value

Starting Account Value	$ 10,369,063.86
Transactions & Income	$ 14,456.28
Income Reinvested	$ 0.00
Change in Value of Investments	$ (49,792.46)
Ending Account Value	**$ 10,333,727.68**
Year-to-DateChange in Value Since 1/1/14	$ 2,831,597.84

Rate Summary

Value Adv Money Fd SWVXX	0.01%
Sch Investor Money Fund	0.01%

Please see "Endnotes For Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Attachment C

LAIF Statement

BETTY T. YEE

California State Controller

LOCAL AGENCY INVESTMENT FUND
REMITTANCE ADVICE

Agency Name	CARPINTERIA
Account Number	98-42-142

As of 01/15/2015, your Local Agency Investment Fund account has been directly credited with the interest earned on your deposits for the quarter ending 12/31/2014.

Earnings Ratio		.00000696536180771
Interest Rate		0.25%
Dollar Day Total	$	1,899,657.14
Quarter End Principal Balance	$	20,650.36
Quarterly Interest Earned	$	13.23

Attachment D

Montecito Bank & Trust Bank Statement

H

City of Carpinteria 30-0
16
* HOLD AT BRANCH * 212

On behalf of all of the associates at Montecito Bank & Trust, we wish you a happy holiday season, and a new year filled with peace and prosperity.

SUMMARY OF ACCOUNTS

ACCOUNT TYPE	ACCOUNT NUMBER	CURRENT BALANCE	MATURITY DATE
Business Money Market ACCOUNT	195020078	174,061.62	
Analysis Checking ACCOUNT	195024588	1,886,693.97	
TOTAL CURRENT BALANCE		2,060,755.59	

Analysis Checking ACCOUNT 195024588

		LAST STATEMENT 11/28/14	675,931.26
MINIMUM BALANCE	93,441.18	74 CREDITS	2,632,338.75
AVG AVAILABLE BALANCE	425,280.94	284 DEBITS	1,421,576.04
AVERAGE BALANCE	436,809.98	THIS STATEMENT 12/31/14	1,886,693.97

- - - - - - - - - - - DEPOSITS - - - - - - - - - - -

REF #	TRACE #	DATE	AMOUNT	REF #	TRACE #	DATE	AMOUNT
	0195138558	12/01	1,627.35		0195101573	12/17	834.66
	0195138545	12/01	111,299.12		0195101553	12/17	4,409.77
	0195228538	12/02	49,797.13		0195169589	12/18	6,752.04
	0195275504	12/03	5,075.91		0195250557	12/19	1,201.40
	0195454568	12/05	23,219.97		0195426583	12/23	55,058.57
	0195569535	12/08	2,012.64		0195623529	12/29	3,634.95
	0195709022	12/10	2,302.84		0195731036	12/30	1,645.86
	0195776053	12/11	1,486.23		0195823037	12/31	74,205.89

* * * C O N T I N U E D * * *

City of Carpinteria <T> 30-0
5775 Carpinteria Ave 0
Carpinteria CA 93013-2603 0

On behalf of all of the associates at Montecito Bank & Trust, we wish you a happy holiday season, and a new year filled with peace and prosperity.

SUMMARY OF ACCOUNTS

ACCOUNT TYPE	ACCOUNT NUMBER	CURRENT BALANCE	MATURITY DATE
Business Money Market ACCOUNT	195020078	174,061.62	
Analysis Checking ACCOUNT	195024588	1,886,693.97	
TOTAL CURRENT BALANCE		2,060,755.59	

Business Money Market ACCOUNT 195020078

		LAST STATEMENT 11/28/14	800,923.66
INTEREST THIS STATEMENT	137.96	3 CREDITS	190,137.96
INTEREST PAID 2014	4,661.34	2 DEBITS	817,000.00
MINIMUM BALANCE	173,923.66	THIS STATEMENT 12/31/14	174,061.62
AVG AVAILABLE BALANCE	765,620.62		
AVERAGE BALANCE	765,620.62		

- - - - - - - - - OTHER CREDITS - - - - - - - - -

DESCRIPTION	DATE	AMOUNT
BUSINESS BANKER TRANSFER FROM 195024588 12/02/14 119524386	12/02	99,000.00
BUSINESS BANKER TRANSFER FROM 195024588 12/03/14 113535690	12/03	91,000.00
INTEREST	12/31	137.96

- - - - - - - - - - OTHER DEBITS - - - - - - - - -

DESCRIPTION	DATE	AMOUNT
BUSINESS BANKER TRANSFER TO 195024588 12/10/14 115077162	12/10	257,000.00
BUSINESS BANKER TRANSFER TO 195024588 12/30/14 112175228	12/30	560,000.00

* * * C O N T I N U E D * * *

Attachment E

Investment Activity Recap

Investment Activity Recap

December 31, 2014

Month	Average Daily Investment	Return	ROI %	Ending Balance	% Portfolio
	Treasury Direct				
10	$12,670,215.27	$12,050.42	1.14%	$12,555,582.56	89.89%
11	12,555,582.56	11,821.74	1.13%	12,442,247.66	89.26%
12	12,442,247.66	11,618.12	1.12%	12,329,754.65	85.56%
Total / Average	$12,556,019.87	$35,490.28	1.13%		
	LAIF				
10	$20,637.79	$12.57		$20,650.36	0.15%
11	20,650.36			20,650.36	0.15%
12	20,650.36			20,650.36	0.14%
Total / Average		$12.57			
	Montecito Bank & Trust Checking				
10	$489,738.59			$741,956.21	5.31%
11	871,075.73			675,931.26	4.85%
12	436,809.98			1,886,693.97	13.09%
Total / Average	$596,253.02				
	Montecito Bank & Trust Money Market				
10	$655,775.40	$111.39	0.20%	$648,790.02	4.65%
11	871,075.73	133.64	0.18%	800,923.66	5.75%
12	765,620.62	137.96	0.22%	174,061.62	1.21%
Total / Average	$762,995.09	$382.99	0.20%		
	$1.15				
	Recap				
10	$13,836,367.05	$12,174.38	1.06%	$13,966,979.15	100.00%
11	14,318,384.38	11,955.38	1.00%	13,939,752.94	100.00%
12	13,665,328.62	11,756.08	1.03%	14,411,160.60	100.00%
Total / Average	$13,915,267.98	$35,885.84	1.03%		

Attachment F

Treasury Direct/Charles Schwab Subsidiary Ledger Listing

City of Carpinteria
Direct Subsidiary Ledger Listing
Portfolio

Amount (10,328.57)

December 31, 2014

Line	Type	Issue Date	CUSIP	Maturity Date	Net	Discount	Par Value	Coupon $	Coupon %	Yield %	Days to Maturity	Accrued Interest $/$1,000	Price per $100	Modified Duration	Next Coupon Date	Line
1	5	12/31/2009	912828ME7	12/31/2014	113,488.41	211.59	113,700.00	1,492.31	2.6250%	2.6650%	*	*	99.813909	*	12/31/2014	1
2	2	12/31/2012	912828UD0	12/31/2014	997.61	2.39	1,000.00	0.63	0.1250%	0.2450%	*	*	99.760733	*	12/31/2014	2
3	5	01/31/2010	912828MH0	01/31/2015	115,546.42	653.58	116,200.00	1,307.25	2.2500%	2.3700%	31	0.06215	99.437540	0.0833	01/31/2015	3
4	2	01/31/2013	912828UK4	01/31/2015	999.24	0.76	1,000.00	1.25	0.2500%	0.2880%	31	*	99.924273	0.0833	01/31/2015	4
5	5	02/28/2010	912828MR8	02/28/2015	119,288.07	111.93	119,400.00	1,417.88	2.3750%	2.3950%	59	0.06454	99.906254	0.1667	02/28/2015	5
6	2	02/28/2013	912828UP3	02/28/2015	999.86	0.14	1,000.00	1.25	0.2500%	0.2570%	59	*	99.986045	0.1667	02/28/2015	6
5	5	03/31/2010	912828MW7	03/31/2015	101,699.97	500.03	102,200.00	1,277.50	2.5000%	2.6050%	90	*	99.510730	0.2500	03/31/2015	5
6	2	03/31/2013	912828UT5	03/31/2015	999.90	0.10	1,000.00	1.25	0.2500%	0.2550%	90	0.00683	99.990045	0.2500	03/31/2015	6
7	5	04/30/2010	912828MZ0	04/30/2015	95,621.13	178.87	95,800.00	1,197.50	2.5000%	2.5400%	120	*	99.813289	0.3333	04/30/2015	7
8	2	04/30/2013	912828UY4	04/30/2015	997.85	2.15	1,000.00	0.63	0.1250%	0.2330%	120	*	99.784628	0.3333	04/30/2015	8
9	5	05/31/2010	912828NF3	05/31/2015	107,074.68	25.32	107,100.00	1,137.94	2.1250%	2.1300%	151	0.05806	99.976355	0.4167	05/31/2015	9
10	2	05/31/2013	912828VD9	05/31/2015	999.34	0.66	1,000.00	1.25	0.2500%	0.2830%	151	*	99.934233	0.4167	05/31/2015	10
11	5	06/30/2010	912828NL0	06/30/2015	106,491.29	608.71	107,100.00	1,004.06	1.8750%	1.9950%	181	*	99.431646	0.5000	06/30/2015	11
12	2	06/30/2013	912828VH0	06/30/2015	999.60	0.40	1,000.00	1.88	0.3750%	0.3950%	181	0.01019	99.960135	0.5000	06/30/2015	12
13	5	07/31/2010	912828NP1	07/31/2015	98,783.29	216.71	99,000.00	866.25	1.7500%	1.7960%	212	0.09511	99.781106	0.5790	01/31/2015	13
14	2	07/31/2013	912828VN7	07/31/2015	998.29	1.71	1,000.00	1.25	0.2500%	0.3360%	212	*	99.828720	0.5827	01/31/2015	14
15	5	08/31/2010	912828NV8	08/31/2015	104,372.94	627.06	105,000.00	656.25	1.2500%	1.3740%	243	*	99.402797	0.6636	02/28/2015	15
16	2	08/31/2013	912828VU1	08/31/2015	999.78	0.22	1,000.00	1.88	0.3750%	0.3860%	243	0.03108	99.978190	0.6657	02/28/2015	16
17	5	09/30/2010	912828NZ9	09/30/2015	179,713.14	86.86	179,800.00	1,123.75	1.2500%	1.2600%	273	*	99.951690	0.7469	03/31/2015	17
18	2	09/30/2013	912828VY3	09/30/2015	998.05	1.95	1,000.00	1.25	0.2500%	0.3480%	273	*	99.804850	0.7494	03/31/2015	18
19	5	10/31/2010	912828PE4	10/31/2015	142,946.71	553.29	143,500.00	896.88	1.2500%	1.3300%	304	0.03453	99.614432	0.8302	04/30/2015	19
20	2	10/31/2013	912828WB2	10/31/2015	146,786.24	213.76	147,000.00	183.75	0.2500%	0.3230%	304	*	99.854588	0.8327	04/30/2015	20
21	5	11/30/2010	912828PJ3	11/30/2015	140,256.64	243.36	140,500.00	965.94	1.3750%	1.4110%	334	*	99.826792	0.9133	05/31/2015	21
22	2	11/30/2013	912828A26	11/30/2015	137,862.89	137.11	138,000.00	172.50	0.2500%	0.2999%	334	0.01374	99.900644	0.9160	05/31/2015	22
23	5	12/31/2010	912828PM6	12/31/2015	95,591.66	108.34	95,700.00	1,016.81	2.1250%	2.1490%	365	*	99.886797	0.9947	06/30/2015	23
24	2	12/31/2013	912828A67	12/31/2015	136,740.82	259.18	137,000.00	171.25	0.2500%	0.3450%	365	*	99.810817	0.9994	06/30/2015	24
25	5	01/31/2011	912828PS3	01/31/2016	94,216.91	183.09	94,400.00	944.00	2.0000%	2.0410%	396	*	99.806052	1.0685	01/31/2015	25
26	2	01/31/2014	912828B41	01/31/2016	147,985.26	14.74	148,000.00	277.50	0.3750%	0.3800%	396	*	99.990041	1.0805	01/31/2015	26
27	5	02/28/2011	912828QJ2	02/28/2016	108,267.41	332.59	108,600.00	1,153.88	2.1250%	2.1900%	424	*	99.693745	1.1426	02/28/2015	27
28	2	02/28/2014	912828B82	02/28/2016	145,738.31	261.69	146,000.00	182.50	0.2500%	0.3400%	424	*	99.820762	1.1565	02/28/2015	28
29	5	03/31/2011	912828QA1	03/31/2016	96,254.71	45.29	96,300.00	1,083.38	2.2500%	2.2600%	456	*	99.952972	1.2334	03/31/2015	29
30	2	03/31/2014	912828C40	03/31/2016	143,730.86	269.14	144,000.00	270.00	0.3750%	0.4690%	456	*	99.813097	1.2472	03/31/2015	30
31	5	04/30/2011	912828QF0	04/30/2016	91,760.24	539.76	92,300.00	923.00	2.0000%	2.1239%	486	0.10870	99.415214	1.3185	04/30/2015	31
32	2	04/30/2014	912828C81	04/30/2016	146,789.50	210.50	147,000.00	275.63	0.3750%	0.4470%	486	*	99.856800	1.3305	04/30/2015	32
33	5	05/31/2011	912828QP8	05/31/2016	100,098.95	301.05	100,400.00	878.50	1.7500%	1.8130%	517	*	99.700152	1.4037	05/31/2015	33
34	2	05/31/2014	912828WM8	05/31/2016	147,950.05	49.95	148,000.00	277.50	0.3750%	0.3920%	517	0.02049	99.966250	1.4139	05/31/2015	34
35	5	06/30/2011	912828QR4	06/30/2016	104,024.42	575.58	104,600.00	784.50	1.5000%	1.6150%	547	*	99.449734	1.4889	06/30/2015	35
36	2	06/30/2014	912828WQ9	06/30/2016	145,968.08	31.92	146,000.00	365.00	0.5000%	0.5110%	547	*	99.978140	1.4963	06/30/2015	36
37	5	07/31/2011	912828QX1	07/31/2016	96,218.76	(3,218.76)	93,000.00	697.50	1.5000%	0.7926%	578	2.32333	103.461030	1.5613	01/31/2015	37
38	2	07/31/2014	912828WX4	07/31/2016	150,868.02	131.98	151,000.00	377.50	0.5000%	0.5440%	578	*	99.912595	1.5759	01/31/2015	38
39	5	08/31/2011	912828RF9	08/31/2016	93,617.58	(617.58)	93,000.00	465.00	1.0000%	0.8640%	609	0.71430	100.664060	1.6518	02/28/2015	39
40	2	08/31/2014	912828D64	08/31/2016	140,916.18	83.82	141,000.00	352.50	0.5000%	0.5299%	609	0.02762	99.940552	1.6592	02/28/2015	40
41	5	09/30/2011	912828RJ1	09/30/2016	92,932.16	67.84	93,000.00	465.00	1.0000%	1.0150%	639	*	99.927052	1.7351	03/31/2015	41
42	2	09/30/2014	912828F47	09/30/2016	138,754.39	245.61	139,000.00	347.50	0.5000%	0.5890%	639	*	99.823303	1.7425	03/31/2015	42
43	5	10/31/2011	912828RM4	10/31/2016	239,358.75	641.25	240,000.00	1,200.00	1.0000%	1.0550%	670	*	99.732813	1.8184	04/30/2015	43
44	2	10/31/2014	912828F88	10/31/2016	999.00	1.00	1,000.00	1.88	0.3750%	0.4253%	670	*	99.900000	1.8277	04/30/2015	44
45	5	11/30/2011	912828RU6	11/30/2016	241,268.77	731.23	242,000.00	1,058.75	0.8750%	0.9370%	700	*	99.697840	1.9036	05/31/2015	45
46	2	11/30/2014	912828G46	11/30/2016	999.17	0.83	1,000.00	2.50	0.5000%	0.5419%	700	0.01374	99.916676	1.9092	05/31/2015	46
47	5	12/31/2011	912828RX0	12/31/2016	241,940.96	59.04	242,000.00	1,058.75	0.8750%	0.8800%	731	0.07212	99.975602	1.9870	06/30/2015	47
48	2	12/31/2014	912828H29	12/31/2016	998.45	1.55	1,000.00	3.13	0.6250%	0.7030%	731	*	99.845361	1.9907	06/30/2015	48
49	5	01/31/2012	912828SC5	01/31/2017	262,692.06	307.94	263,000.00	1,150.63	0.8750%	0.8990%	762	*	99.882914	2.0616	01/31/2015	49
50	5	02/28/2012	912828SJ0	02/28/2017	279,658.51	341.49	280,000.00	1,225.00	0.8750%	0.9000%	790	*	99.878039	2.1450	02/28/2015	50
51	5	03/31/2012	912828SM3	03/31/2017	270,473.67	526.33	271,000.00	1,355.00	1.0000%	1.0400%	821	0.05464	99.805782	2.2252	03/31/2015	51
52	5	04/30/2012	912828SM3	04/30/2017	270,841.30	158.70	271,000.00	1,185.63	0.8750%	0.8870%	851	*	99.941438	2.3116	04/30/2015	52

Amount (10,328.57)

City of Carpinteria
Direct Subsidiary Ledger Listing
Portfolio

December 31, 2014

Line	Type	Issue Date	CUSIP	Maturity Date	Net	Discount	Par Value	Coupon $	Coupon %	Yield %	Days to Maturity	Accrued Interest $/$1,000	Price per $100	Modified Duration	Next Coupon Date	Line
53	5	05/31/2012	912828SY7	05/31/2017	285,270.72	1,729.28	287,000.00	896.88	0.6250%	0.7480%	882	*	99.397464	2.4011	05/31/2015	53
54	5	06/30/2012	912828TB6	06/30/2017	297,970.79	29.21	298,000.00	1,117.50	0.7500%	0.7520%	912	0.04076	99.990200	2.4814	06/30/2015	54
55	5	07/31/2012	912828TG5	07/31/2017	296,768.27	1,231.73	298,000.00	745.00	0.5000%	0.5840%	943	*	99.586667	2.5647	01/31/2015	55
56	5	08/31/2012	912828TM2	08/31/2017	293,799.25	1,200.75	295,000.00	921.88	0.6250%	0.7080%	974	*	99.592967	2.6434	02/28/2015	56
57	5	09/30/2012	912828TS9	09/30/2017	284,692.16	307.84	285,000.00	890.63	0.6250%	0.6470%	1004	0.01717	99.891985	2.7267	03/31/2015	57
58	5	10/31/2012	912828TW0	10/31/2017	274,676.92	323.08	275,000.00	1,031.25	0.7500%	0.7740%	1035	*	99.882515	2.8054	04/30/2015	58
59	5	11/30/2012	912828UA6	11/30/2017	282,777.54	222.46	283,000.00	884.38	0.6250%	0.6410%	1065	*	99.921392	2.8934	05/31/2015	59
60	5	12/31/2012	912828UE8	12/31/2017	280,738.61	261.39	281,000.00	1,053.75	0.7500%	0.7690%	1096	*	99.906978	2.9721	06/30/2015	60
61	5	01/31/2013	912828UJ7	01/31/2018	291,800.51	199.49	292,000.00	1,277.50	0.8750%	0.8890%	1127	*	99.931681	3.0379	01/31/2015	61
62	5	02/28/2013	912828UR9	02/28/2018	301,600.88	399.12	302,000.00	1,132.50	0.7500%	0.7770%	1155	*	99.867840	3.1277	02/28/2015	62
63	5	03/31/2013	912828UU2	03/31/2018	281,861.96	138.04	282,000.00	1,057.50	0.7500%	0.7600%	1186	0.02049	99.951048	3.2110	03/31/2015	63
64	5	04/30/2013	912828UZ1	04/30/2018	280,824.57	1,175.43	282,000.00	881.25	0.6250%	0.7100%	1216	*	99.583182	3.3007	04/30/2015	64
65	5	05/31/2013	912828VE7	05/31/2018	295,352.75	647.25	296,000.00	1,480.00	1.0000%	1.0450%	1247	*	99.781333	3.3648	05/31/2015	65
66	5	06/30/2013	912828VK3	06/30/2018	303,404.37	1,595.63	305,000.00	2,096.88	1.3750%	1.4839%	1277	0.03736	99.476843	3.4290	06/30/2015	66
67	5	07/31/2013	912828VQ0	07/31/2018	292,506.59	493.41	293,000.00	2,014.38	1.3750%	1.4100%	1308	*	99.831599	3.4890	01/31/2015	67
68	5	08/31/2013	912828RE2	08/31/2018	291,264.43	1,735.57	293,000.00	2,197.50	1.5000%	1.6238%	1339	0.12431	99.407655	3.5637	02/28/2015	68
69	5	09/30/2013	912828RH5	09/30/2018	286,158.25	841.75	287,000.00	1,973.13	1.3750%	1.4360%	1369	*	99.706706	3.6556	03/31/2015	69
70	5	10/31/2013	912828WD8	10/31/2018	133,676.67	323.33	134,000.00	837.50	1.2500%	1.3000%	1400	*	99.758710	3.7473	04/30/2015	70
71	5	11/30/2013	912828A34	11/30/2018	125,453.87	546.13	126,000.00	787.50	1.2500%	1.3399%	1430	0.06868	99.566562	3.8306	05/31/2015	71
72	5	12/31/2013	912828A75	12/31/2018	124,401.64	598.36	125,000.00	937.50	1.5000%	1.6000%	1461	*	99.521314	3.8971	06/30/2015	72
73	5	01/31/2014	912828B33	01/31/2019	134,534.37	465.63	135,000.00	1,012.50	1.5000%	1.5720%	1492	*	99.655089	3.9514	01/31/2015	73
74	5	02/28/2014	912828C24	02/28/2019	132,808.64	191.36	133,000.00	997.50	1.5000%	1.5300%	1520	*	99.856123	4.0349	02/28/2015	74
75	5	03/31/2014	912828C65	03/31/2019	130,437.37	562.63	131,000.00	1,064.38	1.6250%	1.7150%	1551	*	99.570515	4.1073	03/31/2015	75
76	5	04/30/2014	912828D23	04/30/2019	133,316.06	683.94	134,000.00	1,088.75	1.6250%	1.7320%	1581	*	99.489600	4.1905	04/30/2015	76
77	5	05/31/2014	912828WL0	05/31/2019	134,915.80	84.20	135,000.00	1,012.50	1.5000%	1.5130%	1612	0.08197	99.937629	4.2849	05/31/2015	77
78	5	06/30/2014	912828WS5	06/30/2019	132,714.05	285.95	133,000.00	1,080.63	1.6250%	1.6700%	1642	*	99.784997	4.3575	06/30/2015	78
79	5	07/31/2014	912828WW6	07/31/2019	136,379.00	621.00	137,000.00	1,113.13	1.6250%	1.7200%	1673	*	99.546716	4.4053	01/31/2015	79
80	5	08/31/2014	912828D80	08/31/2019	127,871.54	128.46	128,000.00	1,040.00	1.6250%	1.6460%	1704	0.08978	99.899637	4.4891	02/28/2015	80
81	5	09/30/2014	912828F39	09/30/2019	125,700.04	299.96	126,000.00	1,102.50	1.7500%	1.8000%	1734	*	99.761940	4.5590	03/31/2015	81
82	5	10/31/2014	912828F62	10/31/2019	996.79	3.21	1,000.00	7.50	1.5000%	1.5670%	1765	*	99.678995	4.6688	04/30/2015	82
83	5	11/30/2014	912828G61	11/30/2019	995.45	4.55	1,000.00	7.50	1.5000%	1.5950%	1795	0.04121	99.545300	4.7520	05/31/2015	83
84	5	12/31/2014	912828G95	12/31/2019	994.56	5.44	1,000.00	8.13	1.6250%	1.7390%	1826	*	99.456337	4.8219	06/30/2015	84
******																******
				05/28/2017	12,444,240.67	$24,359.33	$12,468,600.00	$1,492.94	1.0849%	1.1673%	880	0.03778	$99.804635	2.3815	31-Dec-14	
				less Maturations	114,486.02	213.98	114,700.00									
					$12,329,754.65	$24,145.35	$12,353,900.00									

Attachment G

Treasury Direct/Charles Schwab Portfolio Segment Analysis

December 31, 2014

Portfolio Segment Analysis

Month	Average Daily Investment	Return	ROI %	Net Cash Flow
10	$12,670,215.27	$12,050.42	1.1413%	$125,781.17
11	12,555,582.56	11,821.74	1.1299%	124,377.90
12	12,442,247.66	11,618.12	1.1205%	124,888.44
Total / Average	$12,556,019.87	$35,490.28	1.1306%	$375,047.51

ALL NOTES / BILLS	
Net Investment	$12,329,754.65
Discount	24,145.35
Par Value	$12,353,900.00
Coupon %	1.0849%
Yield to Maturity	1.1673%
Annual Yield $	$144,202.83
Days to Maturity	880
Years to Maturity	2.4105
Modified Duration	2.3677

	5 YEAR NOTES			
	All	Most Recent	Maximum	Minimum
Net	$10,587,675.52	$994.56	$303,404.37	$994.56
Discount	22,224.48	5.44	1,735.57	(3,218.76)
Par	10,609,900.00	1,000.00	305,000.00	1,000.00
Coupon $	63,738.27	8.13	2,197.50	7.50
Coupon %	1.2015%	1.6250%	2.6250%	0.5000%
Yield %	1.4672%	1.7390%	2.6650%	0.5840%
Years to Maturity	2.62	5.00	5.00	

	2 YEAR NOTES			
	All	Most Recent	Maximum	Minimum
Net	$1,742,079.13	$998.45	$150,868.02	$997.61
Discount	1,920.87	1.55	269.14	0.10
Par	1,744,000.00	1,000.00	151,000.00	1,000.00
Coupon $	3,273.16	3.13	377.50	0.63
Coupon %	0.3754%	0.6250%	0.6250%	0.1250%
Yield %	0.4491%	0.7030%	0.7030%	0.2330%
Years to Maturity	1.29	2.00	2.00	

Attachment H

Market Value Estimate Calculation

December 31, 2014

Market Value Estimate Calculation

Years/Days	Portfolio Weighted Average Duration Date	CUSIP	Issue Date	Maturity Date	Coupon %	Market Price per $100	Market Yield
5	07/19/2017	912828TG5	07/31/2012	07/31/2017	0.5000%	99.6800	0.6250%
2	04/11/2016	912828C81	04/30/2014	04/30/2016	0.3750%	100.1758	0.2428%

Years/Days	A Actual Yield	B Market Yield	C Duration in years	D Estimated Market Value	E Par Value	F Net Value	G Unrealized Gain / (Loss)
5	1.4672%	0.6250%	2.5533	$10,838,046	$10,609,900	$10,587,676	$250,371
2	0.4491%	0.2428%	1.2804	1,748,606	1,744,000	1,742,079	6,527
All			2.3815	$12,586,652	$12,353,900	$12,329,755	$256,898
					Percent of Par	2.08%	

D=(1+(A-B)*C)*E

G=D-F

AGENDA SECTION: CONSENT
AGENDA ITEM # 4
REPORT # 15- 13

STAFF REPORT
CITY COUNCIL MEETING
January 26, 2015

ITEM FOR COUNCIL CONSIDERATION:

Appropriate $9,270.18 from Tidelands Trust Fund to Pay the City's Expense for the Property Transaction Associated with the Implementation Agreement Between the City and Silver Sands Village, Inc.

Report prepared by: Brian C. Barrett, Management Analyst

Department: Public Works Brian C. Barrett
Signature

Reviewed by:

City Manager ______ Signature **Admin. Services Dir.** ______ Signature

STAFF RECOMMENDATION:

ACTION ITEM _X_; NON-ACTION ITEM ___

Adopt Resolution No. 5561, appropriating $9,270.18 of Tidelands Trust Funds to be used to pay the City's expense for the property transaction in association with the Implementation Agreement between the City and Silver Sands Village, Inc.

MOTION:

I move to adopt Resolution No. 5561, as read by title only, appropriating $9,270.18 of Tidelands Trust Funds to be used to pay the City's expense for the property transaction in association with the Implementation Agreement between the City and Silver Sands Village, Inc.

I. BACKGROUND / DISCUSSION:

The Silver Sands Mobile Home Park is an 80-space park originally constructed in the late 1950's. In 1997 the residents formed the Silver Sands Village Corporation (SSV) to purchase the mobile home park from the original park owners. During that same year, SSV and the City of Carpinteria entered into a Memorandum of Understanding (MOU) to adjust the Mobile Home Park property boundaries to accommodate the Salt Marsh Nature Park improvements and addresses obsolete public street easements. The agreement included (a) the City vacating roadway easements within the Mobile Home Park and (b) SSV deeding a portion of Third Street to the City for the adjacent Salt Marsh Nature Park. In exchange for the City abandoning the easement right-of-ways within the Mobile Home Park, SSV agreed to make improvements to the Mobile Home Park's public parking area frontage on Ash Avenue. Due to the City's work on the Beach Area Specific Plan and SSV using its available resources to make sewer system improvements, the Ash Avenue Improvements that were to have been made by SSV in exchange for the abandonment of public right-of-way easements within the Mobile Home Park were not completed. The property transactions, as described above, were also not completed.

The City of Carpinteria and SSV both desired to complete the property transactions and Ash Avenue Improvements. Due to the passage of time, both parties agreed that the original MOU should be updated by adoption of an Implementation Agreement. City staff and representatives of SSV worked on an Implementation Agreement that would carry out the purposes and intent of the 1997 MOU.

The Implementation Agreement discussed the overall intent of the original MOU and the real property transactions, and included a general description of improvements to the Mobil Home Park's frontage along Ash Avenue. The Implementation Agreement provided that the City of Carpinteria abandon the public roadway right-of-way easements within the Mobile Home Park. In exchange, SSV agreed to deed a portion of Third Street to the City and to pay the City $185,000. Under the Implementation Agreement, the City is then obligated to use the $185,000 to assist with funding a City managed project along SSV's public parking area frontage on Ash Avenue.

On November 25, 2013, the City Council approved the Implementation Agreement with Silver Sands Village Mobile Home Park and adopted City Resolution No. 5492, authorizing the City Clerk to execute the acceptance of the Grant Deed for the required lot line adjustment including fee transfer to the City of a portion of Third Street lying within the Carpinteria Salt Marsh Nature Park, and for the Mayor to execute both the Quit Claim Deed for the vacated street easements and the Implementation Agreement.

Section 4 of the Implementation Agreement states that the City and SSV equally share the application and processing costs of the lot line adjustment. In April 2014, the City invoiced Silver Sands Village for their share of expenses and received Silver Sands' payment in June 2014. There is now a balance remaining on the project account for consultant work associated with the project, but not a part of the property transfer expense shared with SSV.

The purpose of this agenda item is to approve the City using the Tidelands Trust Fund to pay off the remaining project balance so there will be no impact to the General Fund.

II. POLICY:

The objective of the Ash Avenue Improvements is to improve parking and pedestrian safety while enhancing the roadway frontage along the Silver Sands Village Mobile Home Park. This project is consistent with policies included in the General Plan/Local Coastal Plan.

The Tidelands Trust Fund is used to account for revenues received from the City's off-shore tidelands which were granted in trust to the City by the State. In addition to interest earnings, revenues are also derived from annual lease payments from oil company's off-shore pipelines and the pier as well as a share of state royalty payments which are authorized to public agencies maintaining public recreational beaches fronting a producing oil field. These monies may only be used for beach related purposes. The City has determined that the remaining balance on the project account is a qualified expense and serves as a beach related purpose.

III. FINANCIAL CONSIDERATIONS:

Per Section 4 of the Implementation Agreement with SSV, both the City and SSV shall pay the total cost in equal shares for the property transactions. In April 2014, the City billed SSV for $19,752.01 (their 50% portion) and received payment on June 30, 2014. Previously, there was a balance on the City's account for this project of $29,022.19 which included Planning charges and MNS Engineering charges since 2011. The City credited SSV's payment to the project account which left a balance of $9,270.18 which staff proposes be paid out of the Tidelands Trust Fund due to the improvements having a direct benefit to the California coastline. There will be no impact to the General Fund. This remaining expense is for the additional consultant work for the City, related to the City owned Salt Marsh Park parcel boundary investigations, and is not part of the property transaction expenses shared with SSV, per the Implementation Agreement.

IV. ATTACHMENTS:

Attachment A: Resolution No. 5561

ATTACHMENT A

Resolution No. 5561

RESOLUTION NO. 5561

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL AUTHORIZING AN APPROPRIATION OF $9,270.18 FROM THE TIDELANDS TRUST FUND TO BE USED TO PAY THE CITY'S EXPENSE FOR THE PROPERTY TRANSACTION IN ASSOCIATION WITH THE IMPLENTATION AGREEMENT BETWEEN THE CITY AND SILVER SANDS VILLAGE, INC.

WHEREAS, the City of Carpinteria entered into a Memorandum of Understanding with Silver Sands Village, Inc. (SSV) to adjust the boundaries of the Silver Sands Mobile Home Park to recognize the Salt Marsh Nature Park improvements and obsolete public street easements. The agreement included (a) the City vacating roadway easements within the Mobile Home Park and (b) the SSV deeding a portion of Third Street to the City for the adjacent Salt Marsh Nature Park. In exchange for the City abandoning the easement right-of-ways within the Mobile Home Park, SSV agreed to make improvements to the Mobile Home Park's public parking area frontage on Ash Avenue; and

WHEREAS, the City of Carpinteria initiated preparation of a Beach Area Specific Plan in 1999. While the City worked on the Beach Area Specific Plan, the Ash Avenue Improvements that SSV were required to make were put on hold and SSV upgraded its sanitary sewer system by connecting the Mobile Home Park to the Carpinteria Sanitary Sewer District's system. Due to the City's work on the Beach Area Specific Plan and SSV using its available resources to make sewer system improvements, neither the Ash Avenue Improvements nor the property transactions were completed; and

WHEREAS, the City of Carpinteria and SSV desired to complete the property transactions and Ash Avenue Improvements. Due to the passage of time, both parties agreed that the original Memorandum of Understanding should be updated by adoption of an Implementation Agreement; and

WHEREAS, the Carpinteria City Council approved the Implementation Agreement on November 25, 2013 that carried out the purposes and intent of the 1997 Memorandum of Understanding. The property transactions have all been completed; and

WHEREAS, Section 4 of the Implementation Agreement states that the City and Silver Sands Village equally share the application and processing costs of the lot line adjustment. Once Silver Sands Village's payment was applied to the City's project account a balance of $9,270.18 was remaining. This amount was for consultant work associated with the project, but not a part of the property transaction expense shared with SSV; and

WHEREAS, the City of Carpinteria collects Tidelands Trust Fund revenues from tidal and submerged lands owned by the State; and

WHEREAS, the State requires that the City spend these funds for the betterment of the California coast; and

WHEREAS, the proposed appropriation is necessary to complete the public acquisition of a portion of the Salt Marsh Nature Park which provides an enduring benefit to the California coast.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA DOES HEREBY RESOLVE, FIND, DETERMINE AND ORDER AS FOLLOWS:

That the City Council authorize an appropriation in the amount of $9,270.18 from the Tidelands Trust Fund into Account 10.00.25.1 for project number 1603, to fund the City's expense for the property transaction associated with the Implementation Agreement between the City and SSV.

PASSED, APPROVED AND ADOPTED this 26th day of January 2015, by the following called vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 26th day of January 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

AGENDA SECTION: ADMINISTRATIVE
AGENDA ITEM #5
REPORT # . 15-14

STAFF REPORT
COUNCIL MEETING DATE
January 26, 2014

ITEM FOR COUNCIL CONSIDERATION

Consideration of making Appointments to the Planning Commission, Architectural Review Board, Downtown "T" Business Advisory Board, Bluffs Management Advisory Board, Tree Advisory Board, Mobile Home Rent Stabilization Board, Carpinteria First Committee and Integrated Pest Management Committee.

Report prepared by: Fidela Garcia, City Clerk	Fidela Garcia Signature
Reviewed by: Dave Durflinger, City Manager	Signature

STAFF RECOMMENDATION

Action Item X ; Non-Action Item __

Motion:

I move to adopt Resolution Nos. 5578 through 5584, approving the appointments to City Boards, Commissions and Committees as discussed.

I. BACKGROUND

In accordance with the Carpinteria Municipal Code, appointments to the City Boards, Commissions and Committees expire every two years on January 31st. Each Board, Commission and Committee member serves at the pleasure of the City Council and shall be appointed by the mayor with the advice and consent of the City Council. Each term is automatically extended until a successor is appointed.

The City Clerk sent an email to each of the current members thanking them for their service to the City and requested of them to advise the City as to whether they wished to retire from service at this time or be considered for reappointment. Simultaneously, a display ad inviting interested persons to apply was published in the local newspaper on three separate occasions (December 18, January 1 and 8), a notice for membership recruitment was also placed on the City Scroll and at the public library. Additionally, a notice listing all the expiring and vacant positions for all groups was posted on the bulletin board at City Hall.

II. DISCUSSION

Planning Commission

The Planning Commission consists of five members with the qualifications for membership being residency within the city at the time of their appointment and during their tenure in office. All five positions are currently filled and all members have stated, in writing, a desire to continue their service and be considered for reappointment. The following members are requesting reappointment: David Allen, Glenn LaFevers, John Moyer, Jane Benefield and John Callendar.

The City has received one new application from John J. Nicoli. The application is attached for Council's consideration.

Architectural Review Board

The Architectural Review Board consists of five members with the qualifications for membership being residency within the city at the time of their appointment and during their tenure in office and that the membership consist of a mix of lay and professional citizens skilled in the interpretation of architectural and landscaping drawings and able to evaluate the effects of the proposed development upon surrounding areas. All five positions are currently filled and all members have stated, in writing, a desire to continue their service and be considered for reappointment. The following members are requesting reappointment: Jim Reginato, Richard E. Johnson and Scott Ellinwood and Rachelle Gahan.

The City has received one new application from John J. Nicoli. The application is attached for Council's consideration.

Downtown "T" Business Advisory Board

The Downtown "T" Business Advisory Board is comprised of five members with at least three of those members paying assessments within Parking and Business Improvement District No. 4, and two members being qualified persons as determined by the City Council. The following current members have stated, in writing, a desire to continue their service and be considered for reappointment: Assessment paying members Gloria Tejeda and Roxanne Barbieri, and qualified person Lynda Lang. Art Willner has stated his desire to retire from service at this time.

Currently, two positions are vacant. The City has received no new applications and staff plans to continue its recruiting efforts so that all positions may be filled.

Bluffs Management Advisory Board

The Bluffs Management Advisory Board is comprised of seven members from the community at-large. The following current members have stated, in writing, a desire to continue their service and be considered for reappointment: Andrea Adams-Morden, David Allen, Niels Johnson-Lameijer, Caroline Kuizenga, Ted Rhodes and Arturo Tello. Kelley Skumautz has stated her desire to retire from service at this time.

Currently, one position is vacant. The City has received three new applications from Korey Capozza, Jonnie R. Williams and Rhett Jacobi. Mr. Jacobi also applied for two other groups, MHRSB and IPM Committee. The applications are attached for Council's consideration.

Tree Advisory Board

The Tree Advisory Board consists of five members with the qualifications for membership being residency within the Carpinteria Valley and a composition of a mix of lay citizens and professionals from a pertinent discipline. Municipal Code 12.28.080A provides examples of some of the characteristics or attributes to be used in creating a composition of mixed members. The following current members have stated, in writing, a desire to continue their service and be considered for reappointment: Carol Terry, Kathy Henry, Leland Walmsley and Julie Broughton.

Currently, one position is vacant. The City has received one new application from Lindsey Foucht. The application is attached for Council's consideration.

Mobile Home Park Rent Stabilization Board

The Mobile Home Park Rent Stabilization Board consists of five members with the qualifications for membership being at-large. The following four members have stated, in writing, a desire to continue with their service and be considered for reappointment: John Litsinger, Danel Trevor, Catherine Overman and Catherine Decker. Tom McBride has stated his desire to retire from service at this time.

Currently, one position is vacant. The City has received two new applications from John J. Nicoli and Rhett Jacobi. The applications are attached for Council's consideration.

Carpinteria First Committee

The Carpinteria First Committee is comprised of nine members from the community with one being a member of the Downtown "T" Business Advisory Board (DTBAB), four being at-large, and four being Chamber of Commerce representatives. The following current members have stated, in writing, a desire to continue their service and be considered for reappointment: DTBAB representative Gloria Tejeda, at-large representatives Kiona Gross and Greg Fehr, and Chamber of Commerce representatives Lynda Lang and Pat Kistler.

Currently four positions are vacant. The four vacant positions consist of two Chamber of Commerce and two at-large representatives. The City has received no new applications for consideration. Staff plans to continue its recruiting efforts so that all positions may be filled.

Integrated Pest Management Advisory Committee

The Integrated Pest Management Advisory Committee is comprised of up to nine members that include a representative from the Parks and Recreation Department, the Public Works Department, the Bluffs Advisory Committee, and at least two at-large community members that can make recommendations on implementation and amendments to the Integrated Pest Management Policy. The following current members have stated, in writing, a desire to continue their service and be considered for reappointment: Trish Stone-Damen, Will Carleton, Mary Ann Rajala and Ben Pitterle. Corey Wells, Jay Sullivan and Michael Gonella have stated their desire to retire from service at this time.

Currently, three positions are vacant. The City has received one new application form Rhett Jacobi. The application is attached for Council's consideration. Staff plans to continue its recruiting efforts so that all positions may be filled.

III. Legal Issues

As per the Carpinteria Municipal Code, Commission, Board, and Committee members serve at the pleasure of the City Council and shall be appointed by the Mayor with the advice and consent of the City Council.

IV. Persons Expected

Applicants have been advised of the meeting date and invited to attend.

V. Attachments

A. Membership List for City Council Commission, Board and Committee
B. Applications for City Commission, Board and Committee
C. Resolution Nos. 5578 through 5584 for each Board, Commission and Committee

Attachment A

Membership List for City Council Commission, Board and Committee

City Council Commission, Boards and Committees

Planning Commission

Current Members	Member Desires to be Reappointed (Y/N)	Ethics Training Certificate on File (Y/N)
David Allen	Yes	Yes
Glenn La Fevers	Yes	Yes
Jane Benefield	Yes	Yes
John Callender	Yes	Yes
John Moyer	Yes	Yes
New Applications		
John J. Nicoli		

Architechural Review Board

Current Members	Member Desires to be Reappointed (Y/N)	Ethics Training Certificate on File (Y/N)
Jim Reginato	Yes	Yes
Richard E. Johnson	Yes	Yes
Dylan Chappell	Yes	Yes
Scott Ellinwood	Yes	Yes
Rachelle Gahan	Yes	Yes
New Applications		
John J. Nicoli		

Downtown "T" Business Advisory Board

Current Members	Member Desires to be Reappointed (Y/N)	Ethics Training Certificate on File (Y/N)
Gloria Tejeda-Assessment Paying Member	Yes	Yes
Lynda Lang - At Large	Yes	Yes
Roxanne Barbieri-Assessment Paying Member	Yes	Yes
Arthur Willner - At Large	**No**	
Vacant		
New Applications		
None		

Bluffs Management Advisory Board

Current Members	Member Desires to be Reappointed (Y/N)	Ethics Training Certificate on File (Y/N)
Andrea Adams-Morden	Yes	Yes
David Allen	Yes	Yes
Niels Johnson-Lameijer	Yes	Yes
Caroline Kuizenga	Yes	Yes
Ted Rhodes	Yes	Yes
Arturo Tello	Yes	Yes
Kelley Skumautz	**No**	Yes
New Applications		
Korey Capozza		
Jonnie R. Williams		
Rhett Jacobi		

Tree Advisory Board

Current Members	Member Desires to be Reappointed (Y/N)	Ethics Training Certificate on File (Y/N)
Carol Terry	Yes	Yes
Kathy Henry	Yes	Yes
Leland Walmsley	Yes	Yes
Julie Broughton	Yes	No
Vacant		
New Applications		
Lindsey Foucht		

Mobile Home Rent Stabilization Board

Current Members	Member Desires to be Reappointed (Y/N)	Ethics Training Certificate on File (Y/N)
Litsinger, John	Yes	Yes
Danel Trevor	Yes	Yes
Catherine Overman	Yes	Yes
Catherine Decker	Yes	Yes
Tom McBride	**No**	Yes
New Applications		
John J. Nicoli		
Rhett Jacobi		

Carpinteria First Committee

Current Members	Member Desires to be Reappointed (Y/N)	Ethics Training Certificate on File (Y/N)
Gloria Tejeda - DTBAB Rep	Yes	Yes
Kiona Gross - At-large Rep	Yes	Yes
Gregg Fehr - At-large Rep	Yes	No
Pat Kistler - Chamber Rep	Yes	Yes
Lynda Lang - Chamber Rep	Yes	Yes
Vacant-Chamber Rep		
Vacant-Chamber Rep		
Vacant - At-large Rep		
Vacant - At-large Rep		
New Applications		
None		

IPM Advisory Committee

Current Members	Member Desires to be Reappointed (Y/N)	Ethics Training Certificate on File (Y/N)
Trish Stone-Damen	Yes	No
William Carleton	Yes	Yes
Mary Ann Rajala	Yes	Yes
Ben Pitterle	Yes	No
Corey Wells	No	
Jay Sullivan	No	
Michael Gonella	No	
New Applications		
Rhett Jacobi		

Attachment B

Applications for City Board, Commission or Committee

CITY OF CARPINTERIA
APPLICATION FOR APPOINTMENT TO A CITY BOARD, COMMISSION OR COMMITTEE

Please return to: City Clerk's Office
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

RECEIVED
JAN 16 2015
CITY OF CARPINTERIA

BOARD, COMMISSION OR COMMITTEE APPLIED FOR:

BLUFFS Commission

APPLICANT: Korey Capozza
Name

Address

Email

Business Address

Phone Number(s)

Are you a resident of the City of Carpinteria? Yes

EXPERIENCE/ BACKGROUND:

Masters in Public Health
Education

Director, The Children's Partnership
Present Occupation (Please describe any relevant past work experience)

Council member, Alameda County Public Health Commission
Memberships in Organizations
Appointed member Utah Health Exchange

Reasons why you believe you should be appointed (attach additional sheets if necessary):

- engaged citizen, interested in public service
- avid outdoors person
- nature enthusiast

Please list City Commission/Committee/Board (including dates) you are currently serving on or have served on:

I, the undersigned, hereby certify that all the statements contained herein above, are true and correct to the best of my knowledge and belief. I understand that false statements in my application will disqualify me from service on any Commission/Committee/Board of the City of Carpinteria. **If appointed, I understand I will be responsible for filing an annual Statement of Economic Interests and fulfilling ethics training, and failure to do so in a timely manner may be cause for termination of position.** This application is a public document and is available for review.

THIS APPLICATION MUST BE SIGNED IN INK AND DATED

[signature] 1/16/15
Signature Date

Rev. 12/7/12

CITY OF CARPINTERIA
APPLICATION FOR APPOINTMENT TO A CITY BOARD, COMMISSION OR COMMITTEE

Please return to: City Clerk's Office
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

BOARD, COMMISSION OR COMMITTEE APPLIED FOR:

Bluffs Management advisory Board

APPLICANT: Jonnie R. Williams
Name

Address

Email

none
Business Address

Phone Number(s)

Are you a resident of the City of Carpinteria? yes

EXPERIENCE/ BACKGROUND:

Psy.D. Candidate, The Wright Institute, Berkeley, CA
Education

grad. student in clinical Psychology
Present Occupation (Please describe any relevant past work experience)

Society of Indian Psychologists; SB Calif. Psychological Assoc.
Memberships in Organizations

Reasons why you believe you should be appointed (attach additional sheets if necessary):

As a new resident of Carpinteria I look forward to becoming involved in the management of local nature preserves and open spaces. I am hoping to become more involved in local environmental management issues and am appreciative of this opportunity.

Please list City Commission/Committee/Board (including dates) you are currently serving on or have served on:

none

I, the undersigned, hereby certify that all the statements contained herein above, are true and correct to the best of my knowledge and belief. I understand that false statements in my application will disqualify me from service on any Commission/Committee/Board of the City of Carpinteria. **If appointed, I understand I will be responsible for filing an annual Statement of Economic Interests and fulfilling ethics training, and failure to do so in a timely manner may be cause for termination of position.** This application is a public document and is available for review.

THIS APPLICATION MUST BE SIGNED IN INK AND DATED

[signature] 1-15-15
Signature Date

Rev. 12/7/12

CITY OF CARPINTERIA
APPLICATION FOR APPOINTMENT TO A CITY BOARD, COMMISSION OR COMMITTEE

Please return to: City Clerk's Office
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

BOARD, COMMISSION OR COMMITTEE APPLIED FOR:

Bluffs Management Advisory Board

APPLICANT: Rhett Jacobi
Name

Address — Email

Business Address — Phone Number(s)

Are you a resident of the City of Carpinteria? Yes

EXPERIENCE/ BACKGROUND:

See Resume
Education

See Resume
Present Occupation (Please describe any relevant past work experience)

See Resume
Memberships in Organizations

Reasons why you believe you should be appointed (attach additional sheets if necessary):

See additional sheet

Please list City Commission/Committee/Board (including dates) you are currently serving on or have served on:

None

I, the undersigned, hereby certify that all the statements contained herein above, are true and correct to the best of my knowledge and belief. I understand that false statements in my application will disqualify me from service on any Commission/Committee/Board of the City of Carpinteria. **If appointed, I understand I will be responsible for filing an annual Statement of Economic Interests and fulfilling ethics training, and failure to do so in a timely manner may be cause for termination of position.** This application is a public document and is available for review.

THIS APPLICATION MUST BE SIGNED IN INK AND DATED

Rhett Jacobi
Signature — Date

Rev. 12/7/12

Bluffs Management Advisory Board

The Bluffs Management Advisory Board is comprised of seven residents appointed by the City Council. The Board provides comment and input related to the management of the Carpinteria Bluffs Nature Preserve and adjacent publicly owned coastal open space and trails, such as Tar Pits Park. The Board meets generally on a quarterly basis or as needed.

Reasons why I believe I should be appointed to the Bluffs Management Advisory Board

As a surfer, I love Jelly Bowl Beach and Tar Pits Park. I know many of the familiar faces, and want to help maintain this special place for my own personal benefit as well as for the general public to enjoy.

I know the stairs down to the beach are in rough shape, and as a carpenter/contractor have an interest in lending a hand to help improve this situation if the opportunity arises. Also using the mulch piles to spread a layer of ground cover over the paths to make them nicer to walk on, while simultaneously removing unsightly piles of debris would be a cost effective way to improve the look of the area and enhance the trails.

I love the Bluffs, and would consider it a privilege to be part of the advisory board.

Thank you for considering me for this position,

Sincerely,

Rhett Jacobi

Rhett Jacobi

310-633-3423• rhett@arborconstruction.net

EMPLOYMENT

Principle/General Contractor, January 2014- Present
Arbor Construction, Ventura, CA
Commercial & Residential, New Construction and Existing Buildings- Project Size: $0- $100,000

- Deliver completed projects representative our company values; integrity and quality service
- Maintain high standards of craftsmanship and communication with clients
- Market business through word of mouth, an online presence and printed material
- Prepare work proposals in a timely manner for both residential and commercial clients
- Hire/employ people that represent our company values of integrity, quality service and craftsmanship
- Manage employees to enable individual growth and foster a sense of community within the company

Junior Project Manager/ Independent Contractor, June 2013- Present
WR Newman & Associates, Los Angeles, CA
New Construction; Retail- Project Size: $1.5-$3M

- Create, develop, and maintain relationships with subcontractors
- Gather bids, and generate accurate information for competitive contract bids
- Manage schedule and project finances through weekly reports and communication with team members.
- Worked with senior project manager to integrate technology into operations for bidding, and managing projects
- Work as independent subcontractor (Arbor Construction) on commercial finish carpentry projects

Construction Site Supervisor, January 2010- January 2012
Habitat for Humanity, Ventura/Los Angeles, CA

- Supervise volunteers and paid contractors to ensure the work is done safely, correctly and completely
- Verify new construction is built to current codes, coordinate periodic inspection, and make necessary changes in accordance with inspector requests
- Manage the maintenance and rehabilitation of existing Habitat structures
- Read blueprints, process RFIs, and oversee document control process
- Record all construction expenses, review budgets and timelines on multiple projects
- Implement new building strategies with emphasis on green technologies
- Manage material ordering, develop and track budgets for multiple family units

Property Management Intern, May 2009- September 2009
Raber Enterprises, New York City, NY

- Assisted in daily operations of managing over $750 M in commercial and residential properties
- Researched and resolved building code violations issued by NYC Department of Buildings (DOB)
- Performed accounts payable and receivable activity such as payroll and rent collection
- Assisted in reviews of blueprints of commercial space with engineering and architectural firms
- Facilitated tenant- landlord negotiations including creating and amending lease agreements
- Strategic planning for future investment decisions potential property acquisitions

Sales and Real Estate Development Associate, June 2008- May 2009
JaRi Real Estate Solutions, Sunzal, El Salvador

- Developed preliminary marketing strategy for North American clients through personal contact, online marketing and website
- Designed residential construction projects and prepared budgets for future projects
- Managed property purchases and pre-development process for residential construction projects

EDUCATION

B.A. Degree in Economics & Environmental Design, May 2008
University of Vermont, Burlington, VT

Stanstead College Preparatory School, September 1998- June 2004
Quebec, CA

ASSOCIATIONS/AWARDS/SKILLS

Languages: English (Native), Spanish (Advanced), French (Conversational)
Certifications: 2013 California General Contractor, 2014 Ventura Green Business Certificate
Associations & Awards: USGBC Ventura (C4) Chapter Member, AmeriCorps Alumni (2000 service hours), Surfrider Foundation Member

CITY OF CARPINTERIA
APPLICATION FOR APPOINTMENT TO A CITY BOARD, COMMISSION OR COMMITTEE

Please return to: City Clerk's Office
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

RECEIVED
JAN 1 2 2015
CITY OF CARPINTERIA

BOARD, COMMISSION OR COMMITTEE APPLIED FOR:

Tree Advisory Board

APPLICANT: Mr. Lindsey Foucht
Name

Address | Email

Business Address | Phone Number(s)

Are you a resident of the City of Carpinteria? Carpinteria Valley - Summerland Area

EXPERIENCE/ BACKGROUND:

2007 Bachelor of Landscape Architecture - Cal Poly, San Luis Obispo
Education

See attached sheet.
Present Occupation (Please describe any relevant past work experience)

See attached sheet.
Memberships in Organizations

Reasons why you believe you should be appointed (attach additional sheets if necessary):

See attached sheet.

Please list City Commission/Committee/Board (including dates) you are currently serving on or have served on:

none to date.

I, the undersigned, hereby certify that all the statements contained herein above, are true and correct to the best of my knowledge and belief. I understand that false statements in my application will disqualify me from service on any Commission/Committee/Board of the City of Carpinteria. **If appointed, I understand I will be responsible for filing an annual Statement of Economic Interests and fulfilling ethics training, and failure to do so in a timely manner may be cause for termination of position.** This application is a public document and is available for review.

THIS APPLICATION MUST BE SIGNED IN INK AND DATED

Signature 01/12/15
Date

Rev. 12/7/12

January 12th, 2015

(continued from page 1)

Application: Tree Advisory Board
Applicant: Lindsey Foucht

Present Occupation (Please describe any relevant past work experience)

Landscape Designer - Van Atta Associates, Inc.
Responsible for landscape design on a wide range of public projects, including Carpinteria's:

- Seaside Park
- Memorial Park
- Franklin Park
- Community Gardens
- Carpinteria Ave. Bridge Replacement Project

Environmental Education Volunteer - Peace Corps/Paraguay
Responsible for community based environmental education activities, including:

- community tree nursery design and management
- community tree planting workshop facilitation and design
- facilitation of 13 regional tree planting projects, resulting in the installation of 5,695 native trees

Membership in Organizations

- ISA Certified Arborist, #WE-10628A
- Western Chapter, International Society of Arboriculture
- International Society of Arboriculture

Reasons why you believe your should be appointed (attach additional sheets if necessary)

I believe wholeheartedly in the positive influence civic involvement can have on our regional environment. Having worked with community groups for many years during my time in the Peace Corps, I would consider an assignment to this board an exciting opportunity to effect tree care's best management practices in the public sphere. I am a Certified Arborist, and would be gratified to share my technical knowledge in English or Spanish to improve public safety under and around the community's many trees.

Thank you for your consideration.

Best regards,

Lindsey Foucht

CITY OF CARPINTERIA
APPLICATION FOR APPOINTMENT TO A CITY BOARD, COMMISSION OR COMMITTEE

Please return to: City Clerk's Office
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

RECEIVED
JAN 12 2015
CITY OF CARPINTERIA

BOARD, COMMISSION OR COMMITTEE APPLIED FOR:

mobil home Rent STABILIZATION BOARD

APPLICANT: John J. Nicoli
Name

Address ____________ Email ____________

Business Address ____________ Phone Number(s) ____________

Are you a resident of the City of Carpinteria? yes

EXPERIENCE/ BACKGROUND:

Finished 3yrs of Junior College, completed 5yr Apprenticeship as a union pipefitter
Education

Retired
Present Occupation (Please describe any relevant past work experience)

Memberships in Organizations

Reasons why you believe you should be appointed (attach additional sheets if necessary):

Resident in Silver Sands community, have advised on 2,500 clients in 44 states on Long-Range Planning

Please list City Commission/Committee/Board (including dates) you are currently serving on or have served on:

None to date

I, the undersigned, hereby certify that all the statements contained herein above, are true and correct to the best of my knowledge and belief. I understand that false statements in my application will disqualify me from service on any Commission/Committee/Board of the City of Carpinteria. **If appointed, I understand I will be responsible for filing an annual Statement of Economic Interests and fulfilling ethics training, and failure to do so in a timely manner may be cause for termination of position.** This application is a public document and is available for review.

THIS APPLICATION MUST BE SIGNED IN INK AND DATED

[signature] 1/12/15
Signature Date

Rev. 12/7/12

CITY OF CARPINTERIA
APPLICATION FOR APPOINTMENT TO A CITY BOARD, COMMISSION OR COMMITTEE

Please return to: City Clerk's Office
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

BOARD, COMMISSION OR COMMITTEE APPLIED FOR:

Mobil Home Rent Stabilization

APPLICANT: Rhett Jacobi
Name

Address　　　　Email

Business Address　　　　Phone Number(s)

Are you a resident of the City of Carpinteria? Yes

EXPERIENCE/ BACKGROUND:

See Resume
Education

See Resume
Present Occupation　(Please describe any relevant past work experience)

See Resume
Memberships in Organizations

Reasons why you believe you should be appointed (attach additional sheets if necessary):

See additional sheet

Please list City Commission/Committee/Board (including dates) you are currently serving on or have served on:

None

I, the undersigned, hereby certify that all the statements contained herein above, are true and correct to the best of my knowledge and belief. I understand that false statements in my application will disqualify me from service on any Commission/Committee/Board of the City of Carpinteria. **If appointed, I understand I will be responsible for filing an annual Statement of Economic Interests and fulfilling ethics training, and failure to do so in a timely manner may be cause for termination of position.** This application is a public document and is available for review.

THIS APPLICATION MUST BE SIGNED IN INK AND DATED

Signature　　　　Date

Rev. 12/7/12

Mobil Home Rent Stabilization Board

The Mobile Home Rent Stabilization Board administers the City's rent stabilization ordinance (CMC 5.69) for the City's mobile home parks. The Boards Membership consists of five members. The Board is empowered to approve, set and adjust the rent schedule and maximum rents for mobile home tenancies within the City. The Board meets at least once annually and as needed to consider rent adjustment applications.

Reasons why I believe I should be appointed to the Mobil Home Rent Stabilization Board

I am a tenant within the town of Carpinteria, but have parents who are landlords (away from Carpinteria). I see both sides of this issue and it is a personal interest of mine from a economic standpoint. We need to preserve places that lower income residents are able to live happily to keep our diversity of a town alive. Simultaneously, we need to be allowing our property owners to have an economic incentive to maintain and manage their properties.

I would be a very committed member of this board, and work hard to learn all the perspectives to this issue. While their are more alluring boards/committees to be part of, I feel passionate about this subject, and would consider it an honor to serve on the board.

Thank you for considering me for this position,

Sincerely,

Rhett Jacobi

Rhett Jacobi

310-633-3423• rhett@arborconstruction.net

EMPLOYMENT

Principle/General Contractor, January 2014- Present
Arbor Construction, Ventura, CA
Commercial & Residential, New Construction and Existing Buildings- Project Size: $0- $100,000

- Deliver completed projects representative our company values; integrity and quality service
- Maintain high standards of craftsmanship and communication with clients
- Market business through word of mouth, an online presence and printed material
- Prepare work proposals in a timely manner for both residential and commercial clients
- Hire/employ people that represent our company values of integrity, quality service and craftsmanship
- Manage employees to enable individual growth and foster a sense of community within the company

Junior Project Manager/ Independent Contractor, June 2013- Present
WR Newman & Associates, Los Angeles, CA
New Construction; Retail- Project Size: $1.5-$3M

- Create, develop, and maintain relationships with subcontractors
- Gather bids, and generate accurate information for competitive contract bids
- Manage schedule and project finances through weekly reports and communication with team members.
- Worked with senior project manager to integrate technology into operations for bidding, and managing projects
- Work as independent subcontractor (Arbor Construction) on commercial finish carpentry projects

Construction Site Supervisor, January 2010- January 2012
Habitat for Humanity, Ventura/Los Angeles, CA

- Supervise volunteers and paid contractors to ensure the work is done safely, correctly and completely
- Verify new construction is built to current codes, coordinate periodic inspection, and make necessary changes in accordance with inspector requests
- Manage the maintenance and rehabilitation of existing Habitat structures
- Read blueprints, process RFIs, and oversee document control process
- Record all construction expenses, review budgets and timelines on multiple projects
- Implement new building strategies with emphasis on green technologies
- Manage material ordering, develop and track budgets for multiple family units

Property Management Intern, May 2009- September 2009
Raber Enterprises, New York City, NY

- Assisted in daily operations of managing over $750 M in commercial and residential properties
- Researched and resolved building code violations issued by NYC Department of Buildings (DOB)
- Performed accounts payable and receivable activity such as payroll and rent collection
- Assisted in reviews of blueprints of commercial space with engineering and architectural firms
- Facilitated tenant- landlord negotiations including creating and amending lease agreements
- Strategic planning for future investment decisions potential property acquisitions

Sales and Real Estate Development Associate, June 2008- May 2009
JaRi Real Estate Solutions, Sunzal, El Salvador

- Developed preliminary marketing strategy for North American clients through personal contact, online marketing and website
- Designed residential construction projects and prepared budgets for future projects
- Managed property purchases and pre-development process for residential construction projects

EDUCATION

B.A. Degree in Economics & Environmental Design, May 2008
University of Vermont, Burlington, VT

Stanstead College Preparatory School, September 1998- June 2004
Quebec, CA

ASSOCIATIONS/AWARDS/SKILLS

Languages: English (Native), Spanish (Advanced), French (Conversational)
Certifications: 2013 California General Contractor, 2014 Ventura Green Business Certificate
Associations & Awards: USGBC Ventura (C4) Chapter Member, AmeriCorps Alumni (2000 service hours), Surfrider Foundation Member

CITY OF CARPINTERIA
APPLICATION FOR APPOINTMENT TO A CITY BOARD, COMMISSION OR COMMITTEE

Please return to: City Clerk's Office
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

BOARD, COMMISSION OR COMMITTEE APPLIED FOR:

Integrated Pest Management

APPLICANT: Rhett Jacobi
Name

Address | Email

Business Address | Phone Number(s)

Are you a resident of the City of Carpinteria? Yes

EXPERIENCE/ BACKGROUND:

See Resume
Education

See Resume
Present Occupation (Please describe any relevant past work experience)

See Resume
Memberships in Organizations

Reasons why you believe you should be appointed (attach additional sheets if necessary):

See additional sheet

Please list City Commission/Committee/Board (including dates) you are currently serving on or have served on:

None

I, the undersigned, hereby certify that all the statements contained herein above, are true and correct to the best of my knowledge and belief. I understand that false statements in my application will disqualify me from service on any Commission/Committee/Board of the City of Carpinteria. **If appointed, I understand I will be responsible for filing an annual Statement of Economic Interests and fulfilling ethics training, and failure to do so in a timely manner may be cause for termination of position.** This application is a public document and is available for review.

THIS APPLICATION MUST BE SIGNED IN INK AND DATED

Rhett Jacobi
Signature | Date

Rev. 12/7/12

Integrated Pest Management Advisory Committee (IPM)

The Integrated Pest Management Advisory Committee is comprised of two members of City Staff, one member of the Bluffs Advisory Committee and up to six members of the community at large for a total of no more than nine members. The committee focuses on methods to reduce the use of pesticides while considering maintenance standards for City parks, open spaces, roadways, and buildings. Conducts public outreach and information programs to help guide private citizens on best management practices to reduce the use of pesticides and prepares and prepares an annual report to disclose pest issues encountered and tactics used and/or pesticides used to combat the issue. The IPM Committee meets generally every other month.

Reasons why I believe I should be appointed to the Integrated Pest Management Advisory Committee (IPM)

Water quality and an integrated pest management system are very related topics of conversation. As a surfer, and environmental advocate, I consider it important to find ways to keep our greenery healthy, without doing un-necessary harm to our eco-system. I am familiar with permaculture systems, know/support many organic farmers and landscape professionals, and am very passionate about the subject. With specific problems the we want to address as a committee, I feel as though I have a strong network of professionals to draw from, as well as having an interest in doing my own research and examining possible solutions.

Thank you for considering me for this position,

Sincerely,

Rhett Jacobi

Rhett Jacobi

310-633-3423• rhett@arborconstruction.net

EMPLOYMENT

Principle/General Contractor, January 2014- Present
Arbor Construction, Ventura, CA
Commercial & Residential, New Construction and Existing Buildings- Project Size: $0- $100,000

- Deliver completed projects representative our company values; integrity and quality service
- Maintain high standards of craftsmanship and communication with clients
- Market business through word of mouth, an online presence and printed material
- Prepare work proposals in a timely manner for both residential and commercial clients
- Hire/employ people that represent our company values of integrity, quality service and craftsmanship
- Manage employees to enable individual growth and foster a sense of community within the company

Junior Project Manager/ Independent Contractor, June 2013- Present
WR Newman & Associates, Los Angeles, CA
New Construction; Retail- Project Size: $1.5-$3M

- Create, develop, and maintain relationships with subcontractors
- Gather bids, and generate accurate information for competitive contract bids
- Manage schedule and project finances through weekly reports and communication with team members.
- Worked with senior project manager to integrate technology into operations for bidding, and managing projects
- Work as independent subcontractor (Arbor Construction) on commercial finish carpentry projects

Construction Site Supervisor, January 2010- January 2012
Habitat for Humanity, Ventura/Los Angeles, CA

- Supervise volunteers and paid contractors to ensure the work is done safely, correctly and completely
- Verify new construction is built to current codes, coordinate periodic inspection, and make necessary changes in accordance with inspector requests
- Manage the maintenance and rehabilitation of existing Habitat structures
- Read blueprints, process RFIs, and oversee document control process
- Record all construction expenses, review budgets and timelines on multiple projects
- Implement new building strategies with emphasis on green technologies
- Manage material ordering, develop and track budgets for multiple family units

Property Management Intern, May 2009- September 2009
Raber Enterprises, New York City, NY

- Assisted in daily operations of managing over $750 M in commercial and residential properties
- Researched and resolved building code violations issued by NYC Department of Buildings (DOB)
- Performed accounts payable and receivable activity such as payroll and rent collection
- Assisted in reviews of blueprints of commercial space with engineering and architectural firms
- Facilitated tenant- landlord negotiations including creating and amending lease agreements
- Strategic planning for future investment decisions potential property acquisitions

Sales and Real Estate Development Associate, June 2008- May 2009
JaRi Real Estate Solutions, Sunzal, El Salvador

- Developed preliminary marketing strategy for North American clients through personal contact, online marketing and website
- Designed residential construction projects and prepared budgets for future projects
- Managed property purchases and pre-development process for residential construction projects

EDUCATION

B.A. Degree in Economics & Environmental Design, May 2008
University of Vermont, Burlington, VT

Stanstead College Preparatory School, September 1998- June 2004
Quebec, CA

ASSOCIATIONS/AWARDS/SKILLS

Languages: English (Native), Spanish (Advanced), French (Conversational)
Certifications: 2013 California General Contractor, 2014 Ventura Green Business Certificate
Associations & Awards: USGBC Ventura (C4) Chapter Member, AmeriCorps Alumni (2000 service hours), Surfrider Foundation Member

CITY OF CARPINTERIA
APPLICATION FOR APPOINTMENT TO THE PLANNING COMMISSION

Return to: City Clerk's Office
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93010

RECEIVED
JAN 1 2 2015
CITY OF CARPINTERIA

APPLICANT: John J. Nicoli
Name

Address

Email

Business Address

Phone Number

Are you a legal resident of the City of Carpinteria? Yes

EXPERIENCE/ BACKGROUND:

2 yrs of College, 5 yr Apprenticeship as a union pipefitter
Education

Retired
Present Occupation (Please describe any relevant past work experience)

Memberships in Organizations

Reasons why you believe you should be appointed to Planning Commission (attach additional sheets if necessary):
Have participated in long-range planning for multiple residential and educational facility in 44 states. Over 2,500 capital needs assessments completed including plans for HUD, Rural Development, Harvard Univ, etc

Please list City Commission/Committee/Board (including dates) you are currently serving on or have served on:

None to date

I, the undersigned, hereby certify that all the statements contained herein above, are true and correct to the best of my knowledge and belief. I understand that false statements in my application will disqualify me from service on any Commission/Committee/Board of the City of Carpinteria. If appointed, I understand I will be responsible for filing an annual Statement of Economic Interests and fulfilling ethics training, and failure to do so in a timely manner may be cause for termination of position. This application and supplemental questionnaire are public documents and are available for review.

THIS APPLICATION MUST BE SIGNED IN INK AND DATED

[signature] 1/12/15
Signature Date

Rev. 4/27/11

CITY OF CARPINTERIA
APPLICATION FOR APPOINTMENT TO A CITY BOARD, COMMISSION OR COMMITTEE

Please return to: City Clerk's Office
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

RECEIVED
JAN 12 2015
CITY OF CARPINTERIA

BOARD, COMMISSION OR COMMITTEE APPLIED FOR:

ARchitectural Review Board

APPLICANT: John Nicoli
Name

Address

Email

~~Business Address~~

Phone Number(s)

Are you a resident of the City of Carpinteria? yes

EXPERIENCE/ BACKGROUND:

Completed 2yrs of Junior College completed 5yr Apprenticeship as pipe fitter
Education

Retired
Present Occupation (Please describe any relevant past work experience)

Memberships in Organizations

Reasons why you believe you should be appointed (attach additional sheets if necessary):

Served on several Homeowner Board w/ Architectural Responsibility. Have inspected over 2,500 multi-family properties in 44 states and created Long-Range planning spreadsheets for each

Please list City Commission/Committee/Board (including dates) you are currently serving on or have served on:

None to date.

I, the undersigned, hereby certify that all the statements contained herein above, are true and correct to the best of my knowledge and belief. I understand that false statements in my application will disqualify me from service on any Commission/Committee/Board of the City of Carpinteria. **If appointed, I understand I will be responsible for filing an annual Statement of Economic Interests and fulfilling ethics training, and failure to do so in a timely manner may be cause for termination of position.** This application is a public document and is available for review.

THIS APPLICATION MUST BE SIGNED IN INK AND DATED

Signature: J Nicoli   Date: 1/12/15

Rev. 12/7/12

Attachment C

Resolution Nos. 5578 through 5584 for each Board, Commission and Committee

RESOLUTION NO. 5578

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL APPOINTING MEMBERS TO THE CARPINTERIA PLANNING COMMISSION FOR THE TERM ENDING JANUARY 31, 2017

WHEREAS, under the provisions of Carpinteria Municipal Code Chapter 2.32 a Planning Commission, composed of five members, exists to serve as the City's planning body and to advise the City Council on land use, planning and related matters; and

WHEREAS, pursuant to Municipal Code Section 2.24.010, the terms of all appointed commissions and boards expire on January 31, 2015, and therefore all such positions are vacant subject to certain exceptions; and

WHEREAS, pursuant to California Government Code Section 54970 et seq., all vacancies on appointed boards and commissions have been duly advertised and all interested persons have been invited to indicate their interest in serving; and

WHEREAS, the City Council has reviewed and considered all persons who have indicated an interest in serving and the Mayor has recommended that certain persons be appointed to the Commission.

NOW, THEREFORE, the Carpinteria City Council hereby resolves that the following appointments are approved and confirmed effective February 1, 2015, and that such appointments are to continue in effect at the pleasure of the City Council for the two-year term ending January 31, 2017.

APPOINTED TO THE PLANNING COMMISSION:

1. ____________________________
2. ____________________________
3. ____________________________
4. ____________________________
5. ____________________________

RESOLVED FURTHER THAT any appointed Commission member who fails to attend two consecutive regular meetings of the Commission without excuse acceptable to the City Council shall be deemed to have resigned from said Commission and the position declared vacant.

PASSED, APPROVED AND ADOPTED this 26th day of January 2015, by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

ABSTAIN: COUNCILMEMBER:

____
Mayor, City of Carpinteria

ATTEST:

____
City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 26th day of January, 2015.

____
City Clerk, City of Carpinteria

APPROVED AS TO FORM:

____
Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

RESOLUTION NO. 5579

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL APPOINTING MEMBERS TO THE CARPINTERIA ARCHITECHURAL REVIEW BOARD FOR THE TERM ENDING JANUARY 31, 2017

WHEREAS, under the provisions of Carpinteria Municipal Code Chapter 2.36 an Architectural Review Board, composed of five members, exists to advise City staff, the Planning Commission and City Council on architectural design and landscape matters; and

WHEREAS, pursuant to Municipal Code Section 2.24.010, the terms of all appointed commissions and boards expire on January 31, 2013, and therefore all such positions are vacant subject to certain exceptions; and

WHEREAS, pursuant to California Government Code Section 54970 et seq., all vacancies on appointed boards and commissions have been duly advertised and all interested persons have been invited to indicate their interest in serving; and

WHEREAS, the City Council has reviewed and considered all persons who have indicated an interest in serving and the Mayor has recommended that certain persons be appointed to the Board.

NOW, THEREFORE, the Carpinteria City Council hereby resolves that the following appointments are approved and confirmed effective February 1, 2015, and that such appointments are to continue in effect at the pleasure of the City Council for the two-year term ending January 31, 2017.

APPOINTED TO THE ARCHITECHURAL REVIEW BOARD:

1. ______________________
2. ______________________
3. ______________________
4. ______________________
5. ______________________

RESOLVED FURTHER THAT any appointed Board member who fails to attend two consecutive regular meetings of the Commission without excuse acceptable to the City Council shall be deemed to have resigned from said Commission and the position declared vacant.

PASSED, APPROVED AND ADOPTED this 26th day of January 2015, by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

ABSTAIN: COUNCILMEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 26th day of January, 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

RESOLUTION NO. 5580

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL APPOINTING MEMBERS TO THE CARPINTERIA DOWNTOWN "T" BUSINESS ADVISORY BOARD FOR THE TERM ENDING JANUARY 31, 2017

WHEREAS, under the provisions of Carpinteria City Council Resolution No. 1882, a Parking and Business Improvement Area Advisory Board, composed of five members, exists to advise the City Council on parking and business improvement matters within a designated retail business area of the City; and

WHEREAS, pursuant to Municipal Code Section 2.24.010, the terms of all appointed commissions and boards expire on January 31, 2015, and therefore all such positions are vacant subject to certain exceptions; and

WHEREAS, pursuant to California Government Code Section 54970 et seq., all vacancies on appointed boards and commissions have been duly advertised and all interested persons have been invited to indicate their interest in serving; and

WHEREAS, the City Council has reviewed and considered all persons who have indicated an interest in serving and the Mayor has recommended that certain persons be appointed to the Board.

NOW, THEREFORE, the Carpinteria City Council hereby resolves that the following appointments are approved and confirmed effective February 1, 2015, and that such appointments are to continue in effect at the pleasure of the City Council for the two-year term ending January 31, 2017.

APPOINTED TO THE PARKING AND BUSINESS IMPROVEMENT AREA ADVISORY BOARD:

1. ____________________
2. ____________________
3. ____________________
4. ____________________
5. ____________________

RESOLVED FURTHER THAT any appointed boardmember who fails to attend two consecutive regular meetings of the Board without excuse acceptable to the City Council shall be deemed to have resigned from said Board and the position declared vacant.

PASSED, APPROVED AND ADOPTED this 26th day of January 2015, by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

ABSTAIN: COUNCILMEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 26th day of January, 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

RESOLUTION NO. 5581

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL APPOINTING MEMBERS TO THE CARPINTERIA BLUFFS MANAGEMENT ADVISORY BOARD FOR THE TERM ENDING JANUARY 31, 2017

WHEREAS, under the provisions of Carpinteria City Council Resolution No. 4694 a Bluffs Management Advisory Board, composed of seven members, was created as a requirement of the Bluffs Conservation Easement; and

WHEREAS, the Bluff Management Advisory Board is needed to assist the City in its compliance with the terms of the Bluffs Conservation Easement, the authoring of a management plan, and the application for grant and endowment funds to further protect the property; and

WHEREAS, pursuant to Carpinteria Municipal Code Section 2.24.010, the terms of all appointed commissions and boards expire on January 31, 2015, and therefore all such positions are vacant subject to certain exceptions; and

WHEREAS, pursuant to California Government Code Section 54970 et seq., all vacancies on appointed boards and commissions have been duly advertised and all interested persons have been invited to indicate their interest in serving; and

WHEREAS, the City Council has reviewed and considered all persons who have indicated an interest in serving and the Mayor has recommended that certain persons be appointed to the board.

NOW, THEREFORE, the Carpinteria City Council hereby resolves that the following appointments are approved and confirmed effective February 1, 2015, and that such appointments are to continue in effect, at the pleasure of the City Council, for the two-year term ending January 31, 2017.

APPOINTED TO THE BLUFFS MANAGEMENT ADVISORY BOARD:

1. ______________________________
2. ______________________________
3. ______________________________
4. ______________________________
5. ______________________________
6. ______________________________
7. ______________________________

RESOLVED FURTHER THAT any appointed boardmember who fails to attend two consecutive regular meetings of the Board without excuse acceptable to the City Council shall be deemed to have resigned from said Board and the position declared vacant.

PASSED, APPROVED AND ADOPTED this 26th day of January 2015, by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

ABSTAIN: COUNCILMEMBER:

____
Mayor

ATTEST:

____
City Clerk

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 26th day of January, 2015.

____
City Clerk

APPROVED AS TO FORM:

____
Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

RESOLUTION NO. 5582

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
APPOINTING MEMBERS TO THE CARPINTERIA
TREE ADVISORY BOARD FOR
THE TERM ENDING JANUARY 31, 2017

WHEREAS, under the provisions of Carpinteria Municipal Code Chapter 12.28, a Tree Advisory Board, composed of five members, exists to advise the City Council on street tree and other tree and planting matters; and

WHEREAS, pursuant to Municipal Code Section 2.24.010, the terms of all appointed commissions and boards expire on January 31, 2015, and therefore all such positions are vacant subject to certain exceptions; and

WHEREAS, pursuant to California Government Code Section 54970 et seq., all vacancies on appointed boards and commissions have been duly advertised and all interested persons have been invited to indicate their interest in serving; and

WHEREAS, the City Council has reviewed and considered all persons who have indicated an interest in serving and the Mayor has recommended that certain persons be appointed to the Board.

NOW, THEREFORE, the Carpinteria City Council hereby resolves that the following appointments are approved and confirmed effective February 1, 2015, and that such appointments are to continue in effect at the pleasure of the City Council for the two-year term ending January 31, 2017.

APPOINTED TO THE TREE ADVISORY BOARD:

1. ______________________________
2. ______________________________
3. ______________________________
4. ______________________________
5. ______________________________

RESOLVED FURTHER THAT any appointed boardmember who fails to attend two consecutive regular meetings of the Board without excuse acceptable to the City Council shall be deemed to have resigned from said Board and the position declared vacant.

PASSED, APPROVED AND ADOPTED this 26th day of January 2015 by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

ABSTAIN: COUNCILMEMBER:

Mayor

ATTEST:

City Clerk

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 26th day of January, 2015.

City Clerk

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

RESOLUTION NO. 5583

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA APPOINTING MEMBERS TO THE CARPINTERIA MOBILE HOME RENT STABILIZATION BOARD FOR THE TERM ENDING JANUARY 31, 2017

WHEREAS, under the provisions of Carpinteria Municipal Code Chapter 5.69 a Mobile Home Park Rent Stabilization Board, composed of five members, exists to approve, set and adjust the rent schedule and maximum rents for mobile home tenancies in the City in accordance with Chapter 5.69; and

WHEREAS, pursuant to Municipal Code Section 2.24.010, the terms of all appointed commissions and boards expire on January 31, 2015, and therefore all such positions are vacant subject to certain exceptions; and

WHEREAS, pursuant to California Government Code Section 54970 et seq., all vacancies on appointed boards and commissions have been duly advertised and all interested persons have been invited to indicate their interest in serving; and

WHEREAS, the City Council has reviewed and considered all persons who have indicated an interest in serving and the Mayor has recommended that a certain person be appointed to the Board.

NOW, THEREFORE, the Carpinteria City Council hereby resolves that the following appointment be approved and confirmed effective February 1, 2015, and that such appointment is to continue in effect at the pleasure of the City Council for the two-year term ending January 31, 2017.

APPOINTED TO THE MOBILE HOME PARK RENT STABILIZATION BOARD:

1. ______________________
2. ______________________
3. ______________________
4. ______________________
5. ______________________

RESOLVED FURTHER THAT any appointed Boardmember who fails to attend two consecutive regular meetings of the Board without excuse acceptable to the City Council shall be deemed to have resigned from said Board and the position declared vacant.

PASSED, APPROVED AND ADOPTED this 26th day of January 2015, by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSTAIN: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

Mayor

ATTEST:

City Clerk

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 26th day of January, 2015.

City Clerk

APPROVED AS TO FORM:

Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

RESOLUTION NO. 5584

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
APPOINTING MEMBERS TO THE CARPINTERIA FIRST COMMITTEE
FOR THE TERM ENDING JANUARY 31, 2017

WHEREAS, the Carpinteria City Council on May 11, 2009, approved by Council action the Carpinteria First Committee, composed of nine members; and

WHEREAS, the Carpinteria First Committee is needed to assist the City in improving the marketing of the City so as to generate local and tourist interest in its shopping areas, providing economic vitality for the businesses and the City; and

WHEREAS, pursuant to Carpinteria Municipal Code Section 2.24.010, the terms of all appointed commissions, boards and committees expire on January 31, 2015, and therefore all such positions are vacant subject to certain exceptions; and

WHEREAS, pursuant to California Government Code Section 54970 et seq., all vacancies on appointed boards and commissions have been duly advertised and all interested persons have been invited to indicate their interest in serving; and

WHEREAS, the City Council has reviewed and considered all persons who have indicated an interest in serving and the Mayor has recommended that certain persons be appointed to the board.

NOW, THEREFORE, the Carpinteria City Council hereby resolves that the following appointments are approved and confirmed effective February 1, 2015, and that such appointments are to continue in effect, at the pleasure of the City Council, for the two-year term ending January 31, 2017.

APPOINTED TO CARPINTERIA FIRST COMMITTEE:

1. ____________________
2. ____________________
3. ____________________
4. ____________________
5. ____________________
6. ____________________
7. ____________________
8. ____________________

9. ______________________________

RESOLVED FURTHER THAT any appointed committee member who fails to attend two consecutive regular meetings of the Board without excuse acceptable to the City Council shall be deemed to have resigned from said Board and the position declared vacant.

PASSED, APPROVED AND ADOPTED this 26th day of January 2015, by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

ABSTAIN: COUNCILMEMBER:

____
Mayor

ATTEST:

____
City Clerk

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 11th day of February 2013.

____
City Clerk

APPROVED AS TO FORM:

____
Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

RESOLUTION NO. 5585

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
APPOINTING MEMBERS TO THE
INTEGRATED PEST MANAGEMENT ADVISORY COMMITTEE
FOR THE TERM ENDING JANUARY 31, 2017

WHEREAS, the Carpinteria City Council on January 9, 2012, approved by Council action the Integrated Pest Management Advisory Committee, composed of nine members; and

WHEREAS, the Integrated Pest Management Advisory Committee is needed to assist the City in implementing and amending the City's IPM Policy; and

WHEREAS, pursuant to Carpinteria Municipal Code Section 2.24.010, the terms of all appointed commissions, boards and committees expire on January 31, 2015, and therefore all such positions are vacant subject to certain exceptions; and

WHEREAS, pursuant to California Government Code Section 54970 et seq., all vacancies on appointed boards and commissions have been duly advertised and all interested persons have been invited to indicate their interest in serving; and

WHEREAS, the City Council has reviewed and considered all persons who have indicated an interest in serving and the Mayor has recommended that certain persons be appointed to the board.

NOW, THEREFORE, the Carpinteria City Council hereby resolves that the following appointments are approved and confirmed effective February 1, 2015, and that such appointments are to continue in effect, at the pleasure of the City Council, for the two-year term ending January 31, 2017.

APPOINTED TO INTEGRATED PEST MANAGEMENT ADVISORY COMMITTEE:

1. ______________________________
2. ______________________________
3. ______________________________
4. ______________________________
5. ______________________________

6. ______________________________
7. ______________________________
8. ______________________________
9. ______________________________

RESOLVED FURTHER THAT any appointed committee member who fails to attend two consecutive regular meetings of the Board without excuse acceptable to the City Council shall be deemed to have resigned from said Board and the position declared vacant.

PASSED, APPROVED AND ADOPTED this 26th day of January 26, 2015, by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

ABSTAIN: COUNCILMEMBER:

____
Mayor

ATTEST:

____
City Clerk

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 11th day of February 2013.

____
City Clerk

APPROVED AS TO FORM:

____
City Attorney

AGENDA SECTION: OTHER BUSINESS
AGENDA ITEM # 6
REPORT # 15-15

STAFF REPORT
CITY COUNCIL MEETING
January 26, 2014

ITEMS FOR COUNCIL CONSIDERATION:

Authorization for the Mayor to sign a Letter to Caltrans Regarding the US 101 Northbound Lane Configuration

Report prepared by: Charles W. Ebeling, P.E., Director
Department: Public Works

Signature

Reviewed by City Manager:

Signature

ACTION X NON-ACTION ___ STAFF RECOMMENDATION:

Recommendation: That the City Council authorize the Mayor to sign a letter to Caltrans regarding the US 101 Northbound 3-lane to 2-lane transition at the Bailard Interchange On-Ramp.

Sample Motion: I move to authorize the Mayor to sign a letter to Caltrans regarding the US 101 Northbound 3-lane to 2-lane transition at the Bailard Interchange On-Ramp.

I. BACKGROUND:

The California Department of Transportation (Caltrans) is the lead public agency working to widen US 101 from 2 lanes in each direction to 3 lanes in each direction from Mussel Shoals in Ventura County, through the City of Carpinteria to the City of Santa Barbara. Caltrans is working with the Santa Barbara County Association of Governments, the regional transportation planning and funding agency, and each of the cities and counties along the route including the City of Carpinteria. The US 101 improvements are being completed in the following steps:

Phase I: Milpas to Hot Springs Widening and Operational Improvements (*Completed*)
Phase II: Ventura and Santa Barbara Counties Carpool Lane Project (*Under Construction*)
Phase II: Linden Avenue and Casitas Pass Road Interchanges Project (*Final Engineering Design and Permitting*)
Phase IV: South Coast HOV Lanes (*Environmental Review and Engineering Design*)

The Ventura and Santa Barbara Counties Carpool Lane Project includes widening US 101 to three lanes in each direction with the northbound third lane ending just north of the Bailard Avenue Interchange. Although the project is only partially complete, concern has been raised regarding the northbound 3-lane to 2-lane that now occurs in approximately the same location as the northbound Bailard Avenue on-ramp.

II. DISCUSSION:

The City Council has recently received public comment expressing concerns that the northbound 3-lane to 2-lane reduction near the Bailard Avenue on-ramp is unsafe. The concern is that the lane reduction occurs approximately in the same location as the on-ramp creating conflicts and confusion for drivers entering the freeway. Drivers may not realize that they must merge onto the freeway and merge into the adjacent lane as the one they are in is ending. In response to the Citizen and City Council concerns, the Department of Public Works contacted the Caltrans District 7 (Los Angeles) project manager and conveyed the concerns. In response, according to the Project Manager, the Caltrans Construction Division has performed an initial review and determined that the lane configuration, as described above, was constructed according to the approved engineering design plans. To further address the City's concerns, the issue has been forwarded to the Caltrans District 7 Project Team for review. The Caltrans Project Manager has indicated that he will inform the City of the Project Team's conclusions as soon as possible once the review is completed.

At the direction of the City Council, Public Works staff has prepared a letter for the Mayor's signature expressing the City's concerns regarding the current lane configuration. Upon approval by the City Council and signature of the letter by the Mayor, staff will forward the letter to Caltrans District 7. The draft letter is included in Attachment A of this report.

III. ATTACHMENTS:

Attachment A: Letter to Caltrans District 7 Regarding US 101 Lane Configurations

ATTACHMENT A

CITY OF CARPINTERIA, CALIFORNIA

DEPARTMENT OF PUBLIC WORKS

January 26, 2015

Steve Novotny
Area Chief, North Region
Division of Program/Project Management, MS#2
100 South Main Street
Los Angeles, CA 90012

Dear Mr. Novotny:

The City of Carpinteria City Council has recently received comments from Carpinteria residents concerned that the northbound US 101 3-lane to 2-lane reduction near the Bailard Avenue on-ramp is unsafe. The lane configuration was constructed as part of the on-going Ventura County to Santa Barbara County Car Pool Lanes project. The residents' concern is that the lane reduction occurs in approximately the same location as the on-ramp merge with the No. 2 travel lane. This can be confusing for drivers of vehicles entering the freeway. The configuration requires drivers to negotiate two separate merges simultaneously.

City staff conveyed these concerns to Mr. Ravi Ghate, the project manager and on January 21, 2015 received a response that indicated that the current lane configuration was constructed according to the project's engineering design plans. Mr. Ghate further mentioned that the Project's Design and Traffic Engineering Team will review the lane configuration and provide a response to the City as soon as possible.

The City Council and I would like to encourage Caltrans to perform its review and report back to the City the conclusion and potential solutions as expeditiously as possible, taking into consideration the feedback from our City residents' experience with the roadway configuration. Thank you for your consideration of this matter.

Sincerely,

Gregg A. Carty
Mayor, City of Carpinteria

cc: Dave Durflinger, City Manager
Charles W. Ebeling, P.E., City Engineer
Timothy Gubbins, Caltrans District 5 Director

AGENDA SECTION: OTHER BUSINESS
AGENDA ITEM # 7
REPORT # 15- 16

STAFF REPORT
COUNCIL MEETING DATE
January 26, 2015

ITEM FOR COUNCIL CONSIDERATION

A request to authorize an Agreement for Professional Consultant Services with Marine Research Specialists (MRS) for $477,398 to prepare an Environmental Impact Report for the Paredon Project proposed by Venoco, Inc.

Report prepared by: Jackie Campbell
Community Development Director

Jackie Campbell
Signature

Reviewed by: Dave Durflinger
City Manager

Signature

Administrative Services Director
John Thornberry

Signature

STAFF RECOMMENDATION

Staff recommends that the City Council accept the Marine Research Specialists proposal in the amount of $477,398, and authorize the City Manager to execute a contract agreement in a form approved by the City Attorney.

Sample Motion: I move to accept the Marine Research Specialists proposal in the amount of $477,398 and authorize the City Manager to execute the contract agreement dated January 26, 2015.

I. BACKGROUND

City staff is processing a Development Plan and Coastal Development Permit application for the proposed Paredon Project located at the Carpinteria Oil and Gas Processing Facility at 5675 Dump Road. The project involves a proposal to drill up to 22 extended reach wells to recover oil and gas resources from onshore and offshore reservoirs. After reviewing the proposal, staff determined that an Environmental Impact Report (EIR) would be necessary to evaluate the potential environmental effects associated with the proposed project.

Staff sent a Scope of Work and Request for Proposal to MRS on September 23, 2014, after determining the Paredon Project application to be complete on September 11, 2014. Based on the MRS team's knowledge and experience with the previous Paredon application submitted in 2004, as well as their expertise with this type of project, staff believes that the team of MRS and LEIDOS (formerly SAIC) is fully qualified to perform the work.

The EIR Proposal is included as Attachment A. The proposal includes a schedule, cost estimate and identifies milestones in the environmental review process, including public participation points. The EIR will be prepared under the review of a Joint Review Panel, chaired by the City and including the California State Lands Commission and the California Coastal Commission. The EIR proposal has been reviewed and accepted by the State Lands Commission staff, Coastal Commission staff and representatives of Venoco, Inc.

II. FINANCIAL CONSIDERATIONS

The cost of preparing the Environmental Impact Report will be paid by the applicant. Staff has reviewed the proposal with the applicant and all parties agree that the estimated charges are appropriate for the scope of work. The total cost of the EIR project is $477,398. MRS is responsible for the whole of the project with John Peirson, Principal, appointed as the Project Manager and Luis Perez the Deputy Project Manager.

III. LEGAL ISSUES

Section 4526 of the Government Code requires that a city's selection of an EIR consultant "shall be on the basis of demonstrated competence and on the professional qualifications necessary for the satisfactory performance of the services required." Staff has reviewed the proposal from MRS and has determined that this firm is qualified to perform the specific environmental review required for this project.

The Agreement for Consulting Services has been reviewed by the City Attorney and must be approved as to form prior to the execution of the contract. The Consultant's attorney will also review and accept the agreement.

IV. PRINCIPAL PARTIES EXPECTED

It is anticipated that representatives of Marine Research Specialists, Venoco, Inc., petroleum related interest groups, the conservation community and residents of Carpinteria interested in the Paredon Project will be at the meeting.

V. ALTERNATIVES

1. Reject the recommended agreement between the City and MRS and direct staff to circulate a new Request for Proposals to other environmental consultants.

VI. ATTACHMENT

Attachment A: Agreement for Consultant Services with MRS Proposal to Prepare an EIR for the Paredon Project (December 26, 2014)

AGREEMENT FOR CONSULTANT SERVICES

THIS AGREEMENT FOR CONSULTANT SERVICES ("**Agreement**") is made and effective as of the 26th day of January 2015 by and between the City of Carpinteria, a municipal corporation ("**City**"), and Marine Research Specialists (MRS) ("**Consultant**") at Carpinteria, California, with reference to the following facts:

A. City has determined that it is necessary and appropriate to engage a consultant to carry out the services described herein; and

B. Consultant has represented itself as being fully qualified and available to perform the consultant services necessary to complete the work in a timely manner; and

C. City desires to contract with Consultant and Consultant is willing to perform the consultant services, subject to the terms and conditions of this Agreement.

NOW, THEREFORE, in consideration of the mutual covenants and conditions set forth herein, the sufficiency of which is hereby acknowledged, the parties agree as follows:

1. **SERVICES.**

1.1 **Basic Services**. City hereby retains Consultant to perform the basic services described and set forth in the attached Exhibit A ("Proposal to Prepare an Environmental Impact Report for the Venoco Paredon Project"), which is incorporated by this reference as though set forth in full. Consultant hereby agrees to perform said services within the designated time frames and accepts this retention. Consultant shall complete the Basic Services according to a schedule of performance which is also set forth in Exhibit A.

1.2 **Additional Services**. In addition to the Basic Services, City may elect to have Consultant perform additional services that are beyond the current scope of the project, but within the expertise of Consultant ("**Additional Services**"). Such Additional Services shall be mutually agreed to in advance and specified in a writing, which shall also specify the basis for the Consultant's fee for such additional services. Basic Services and Additional Services are referenced collectively as "**Services.**"

2. **PERFORMANCE.**

2.1 **Standard of Performance**. Consultant shall faithfully, competently and diligently perform the obligations and responsibilities required by this Agreement, applying the same standards of care utilized by persons engaged in providing similar services as are required of Consultant hereunder in meeting its obligations under this Agreement.

2.2 **Labor and Materials**. Consultant shall furnish, at its own expense, all labor, materials, equipment, tools, transportation and services necessary for the successful completion of the Services. Consultant shall give its attention and supervision to the fulfillment of the

provisions of this Agreement by its employees and subcontractors and shall be responsible for the timely performance of the Services required by this Agreement.

2.3 **Review of Service**. Consultant shall furnish City with reasonable opportunities from time to time to ascertain whether the Services of Consultant are being performed in accordance with this Agreement. All work done and materials furnished shall be subject to final review and approval by City; Consultant is not providing final approval or review, which is solely City's function and role. City review and approval of such work and Services shall not, however, relieve Consultant of any of its obligations under this Agreement.

2.4 **Contract Administration**. The City Manager or his designee shall represent the City in all matters relating to the administration of this Agreement. The City Manager or his designee shall have the authority to act on the City's behalf to review and approve all products submitted by Consultant and may execute all necessary documents to authorize Consultant to perform Additional Services as provided for herein.

3. **TERM**. This Agreement shall be effective as of the date first above written and shall continue until all Services to be provided by Consultant are completed, but in no event later than the 31st day of December 2015; unless terminated earlier as provided for herein.

4. **COMPENSATION.**

4.1 **Basic Services**. For Basic Services, City shall pay Consultant an amount not to exceed $477,398 as full compensation for all labor, materials, equipment, tools, transportation and services. This compensation shall be paid according to the following schedule:

- 10 percent of contracted amount upon contract signing and completion of kick-off meeting;
- 50 percent upon delivery of Administrative DEIR;
- 15 percent upon delivery of the Draft EIR;
- 15 percent upon delivery of the Final EIR; and
- 10 percent of contracted amount per satisfactory completion of all contract duties.

This compensation shall be paid in accordance with the payment rates and schedule as set forth in Exhibit B, attached hereto and incorporated herein by this reference as though set forth in full, based on the actual time spent on the tasks.

4.2 **Additional Services**. Consultant shall not be compensated for any services rendered in connection with its performance of this Agreement which are in addition to those set forth herein, unless such additional services are authorized in advance and in writing in the method provided for herein. Consultant will submit fee estimates for such additional services upon request of City.

4.3 **Invoices**. Each and every payment by City shall be subject to City's receipt of an invoice outlining the items for which payment is requested. Payment to Consultant as to any undisputed fees shall be made, after verification of Consultant's performance, within 30 (thirty) days of receipt of invoice. If City disputes any of Consultant's fees, it shall give

written notice to Consultant within 30 (thirty) days of receipt of an invoice of such disputed fees.

4.4 **Withholding**. City reserves the right to withhold future payment to Consultant if any aspect of the Consultant's work is found to be substantially inadequate. City shall notify Consultant in writing of deficiencies believed to be substantially inadequate within thirty (30) days after receipt of product.

4.5 **Taxes/Insurance/Licenses**. Consultant shall be solely responsible for the payment of any federal, state or local income tax, social security tax, workers' compensation insurance, state disability insurance and any other taxes or insurance which Consultant is responsible for paying as an independent contractor under federal, state or local law. At all times during the term of this Agreement, Consultant shall have in full force and effect all licenses necessary for the performance of Services hereunder, including without limitation, business licensing from City, all at the sole cost of Consultant.

5. **RECORDS.**

5.1 **Financial Records**. Consultant shall maintain complete and accurate records with respect to sales, costs, expenses, receipts and other such information required by City that relate to the performance of services under this Agreement. Consultant shall also maintain adequate records of services provided in sufficient detail to permit an evaluation of services. All such records shall be maintained in accordance with generally accepted accounting principles and shall be clearly identified and readily accessible.

5.2 **Access to Records**. Consultant shall provide free access to the representatives of City or its designees at reasonable times to books and records as set forth in section 5.1; shall give City the right to examine and audit said books and records; shall permit City to make transcripts therefrom as necessary; and shall allow inspection of all work, data, documents, proceedings and activities related to this Agreement. Such records, together with supporting documents, shall be maintained for a period of three (3) years after receipt of final payment.

5.3 **Original Records**. Upon completion of, or in the event of termination or suspension of this Agreement, all completed and incomplete original agreements, data, documents, designs, drawings, exhibits, maps, models, computer files, reports, studies, surveys, notes and other work, materials or documents prepared or used to prepare Consultant's work product in the course of providing the Services pursuant to this Agreement ("**Consultant Work Product**") shall become the sole property of City once the consultant has received payment. City may duplicate, disclose, disseminate, use, reuse or otherwise dispose of Consultant Work Product in whole or in part in any manner it deems appropriate, without the permission of Consultant. With respect to computer files, Consultant shall make available to the City, at Consultant's office and upon reasonable written request by City, the necessary computer software and hardware for purposes of accessing, compiling, transferring and printing computer files. Consultant may retain copies of such Consultant Work Product as a part of its record of professional activity.

6. **TERMINATION.**

6.1 **Termination Without Cause**. This Agreement may be terminated by either party for any reason upon ten (10) days prior written notice by the terminating party to the other party. In the event of a termination, the date of termination shall be deemed to be the first business day occurring after the expiration of the notice period. Upon receipt of said notice, Consultant shall immediately cease all work under this Agreement, unless the notice provides otherwise.

6.2 **Termination With Cause**. Either party may terminate this Agreement with cause, effective immediately upon written notice of such termination to the other party and failure of the breaching party to correct within five (5) days of receiving such notice, based upon the occurrence of any of the following events:

- Material breach of this Agreement;
- Cessation of Consultant to be licensed, as required;
- Failure to substantially comply with any applicable federal, state or local law or regulation;
- Filing by or against Consultant of any petition under any law for the relief of debtors; and,
- Filing of a criminal complaint against Consultant for any crime, other than minor traffic offenses.

6.3 **Performance Upon Termination**. In the event this Agreement is terminated pursuant to this Section, City shall pay Consultant for the outstanding balance owed for work performed up to the time of termination. Upon termination of the Agreement, Consultant shall submit an invoice to City as provided for herein and shall submit to the City all of its files for any billable or non-billable matters in which the Consultant is involved under the scope of this Agreement.

6.4 **Termination Upon Mutual Consent**. This agreement may also be terminated by mutual consent of the parties and in accordance with the terms and conditions of any plan of termination established by the parties. In the event of a termination by mutual consent, the date of termination shall be such date as is agreed upon by the parties. The parties may agree to suspend or terminate a portion of this Agreement and such suspension or termination shall not make void or invalidate the remainder of this Agreement.

7. **INSURANCE.** Consultant shall maintain prior to the beginning of and for the duration of this Agreement insurance coverage as specified in the attached Exhibit B, which is incorporated by this reference as though set forth in full.

8. **INDEMNIFICATION**. To the fullest extent permitted by law, Consultant shall indemnify, defend and hold harmless City and any of its officials, employees and agents ("Indemnified Parties") from and against any Claims, where the same arise out of, are a consequence of, or are in any way attributable to, in whole or in part, the performance of this Agreement by Consultant or by any individual or entity for whom Consultant is legally liable, including but not limited to, officers, agents, employees, subcontractors or sub-consultants of Consultant.

9. **RELEASE OF INFORMATION.**

9.1 **<u>Confidentiality</u>**. All information gained by Consultant in performance of this Agreement shall be considered confidential and shall not be released except to the City, directly or indirectly, by Consultant without City's prior written authorization. Consultant, its officers, employees, subcontractors or sub-consultants shall not voluntarily provide declarations, letters of support, testimony at depositions, response to interrogatories or other information concerning the work performed under this Agreement unless requested by the City Attorney or authorized in writing by the City Manager. Response to a subpoena or court order shall not be considered "voluntary" provided that Consultant shall give City prompt written notice of any such court order or subpoena.

9.2 **<u>Notice and Cooperation</u>**. Consultant shall promptly notify the City Manager and City Attorney in writing if Consultant, its officers, employees, agents, subcontractors or sub-consultants should be served with any summons, complaint, subpoena, notice of deposition, request for documents, interrogatories, request for admissions or other discovery request, court order or subpoena from any person or party related to this Agreement and/or Consultant's related Services. City has no obligation to, but may exercise discretion to represent Consultant and/or be present at any deposition, hearing, or similar proceeding. Consultant agrees to cooperate fully with City and to provide the City an opportunity to review any response to discovery requests provided by Consultant. However, City's right to review any such response does not grant or imply a right of City to control, direct, dictate or rewrite said response.

10. **RELATIONSHIP TO CITY.**

10.1 **<u>Independent Contractor</u>**. Consultant is and shall at all times remain as to the City a wholly independent contractor. The personnel performing the services under this Agreement on behalf of Consultant shall at all times be under Consultant's exclusive direction and control. Neither City nor any of its officers, employees or agents shall have control over the conduct of Consultant or any of Consultant's officers, employees or agents, except as set forth in this Agreement. Consultant shall not at any time or in any manner represent that it or any of its officers, employees or agents are in any manner officers, employees or agents of City. Consultant shall not incur or have the power to incur any debt, obligation or liability whatever against City, or bind City in any manner.

10.2 **<u>No Employee Privileges</u>**. No City employee benefits shall be available to Consultant in connection with the performance of this Agreement. Except for the fees paid to Consultant as provided in the Agreement, City shall not pay salaries, wages or other compensation to Consultant for performing Services hereunder for City. City shall not be liable for compensation or indemnification to Consultant for injury or sickness arising out of performing Services hereunder.

10.3 **<u>Consultant Duty to City</u>.** Consultant understands and agrees that its responsibility to provide complete and accurate Services is owed solely to City and that its accountability under this contract shall likewise be solely to City and not to any City applicants or any other third person or entity.

10.4 **Interest of Consultant**. Consultant represents and warrants to City that it presently has no interests, and covenants that it shall not acquire any interests, direct or indirect, financial or otherwise, which would conflict with the performance of the Services to be provided by Consultant under this Agreement. Consultant further covenants that, in the performance of this Agreement, no subcontractor or employee having such an interest shall be employed by Consultant. Consultant certifies that no one who has or will have any financial interest under this Agreement is: (a) an officer or employee of City, or (b) an officer or employee of the applicant and any of its consultants.

10.5 **Undue Influence**. Consultant declares and warrants that no undue influence or pressure is used against or in concert with any officer or employee of City in connection with the award, terms or implementation of this Agreement, including any method of coercion, confidential financial arrangement or financial inducement. No officer or employee of City will receive compensation, directly or indirectly, from Consultant or any officer, employee or agent of Consultant, in connection with the award of this Agreement or any work to be conducted as a result of this Agreement. Violation of this Section shall be a material breach of this Agreement entitling City to any and all remedies at law or in equity.

11. **GENERAL PROVISIONS.**

11.1 **Further Assurances**. City and Consultant each agree to cooperate with one another, to use their best efforts, to act in good faith, and to promptly perform such acts and execute such documents or instruments as are reasonably necessary and proper to consummate the transactions contemplated by this Agreement.

11.2 **Notices**. All notices, requests, demands and other communications under this Agreement shall be in writing and shall be deemed to have been duly given on the date of service if personally served or on the second day after mailing if mailed by first-class mail, registered or certified, return receipt requested, postage prepaid and properly addressed as follows:

To City
Dave Durflinger, City Manager
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013-2698
daved@ci.carpinteria.ca.us

To Consultant
John F. Peirson, Jr., Principal
Marine Research Specialists
3140 Telegraph Road, Suite A
Ventura, CA 93003-3238
john.peirson@mrsenv.com

Any party may change their address for the purpose of this paragraph by giving the other party written notice of the new address in the above manner.

11.3 **Legal Responsibilities**. Consultant shall keep itself informed of state and federal laws and regulations which in any manner affect those employed by it or in any way affect the performance of its service pursuant to this Agreement. The Consultant shall reasonably observe and comply with such laws and regulations. The City and its officers and

employees shall not be liable at law or in equity occasioned by failure of the Consultant to comply with this Section.

11.4 **Licenses**. At all times during the term of this Agreement, Consultant shall have in full force and effect, all licenses required by law for the performance of services described in this Agreement.

11.5 **Labor Conditions**. City is a public entity in the state of California, and therefore, City and Consultant are subject to the provisions of the Government Code and the Labor Code of the state of California. All provisions of law applicable to public contracts and/or this Agreement are incorporated herein by this reference and are made a part of this Agreement to the same extent as if they were fully stated in the Agreement and shall be complied with by Consultant.

11.6 **Labor Requirements**. Consultant shall abide by all federal and California laws and regulations regarding wages, including, without limitation, the Fair Labor Standards Act and the California Labor Code, which, in part, require Consultant to pay the general prevailing wage rates.

11.7 **Discrimination**. No person shall be excluded from employment in the performance of this Agreement on the grounds of race, creed, color, sex, age, marital status, sexual orientation or place of national origin. Consultant shall comply with all local, state and federal laws relating to equal employment opportunity rights.

11.8 **Assignment**. Consultant shall not assign the performance of this Agreement, nor any part thereof, nor any monies due hereunder, without prior written consent of City, which shall have the sole discretion to consent to any proposed assignment. Because of the personal nature of the Services to be rendered pursuant to this Agreement, only Consultant shall perform the services described in this Agreement.

11.9 **Waiver**. No waiver of a provision of this Agreement shall constitute a waiver of any other provision, whether or not similar. No waiver shall constitute a continuing waiver. No waiver shall be binding unless executed in writing by the party making the waiver.

11.10 **Construction of Terms**. All parts of this Agreement shall in all cases be construed according to their plain meaning and shall not be construed in favor or against either of the parties. If any term, provision, covenant or condition of this Agreement is held by a court of competent jurisdiction to be invalid, void or unenforceable, in whole or in part, the remainder of this Agreement shall remain in full force and effect and shall not be affected, impaired or invalidated thereby. In the event of any provision shall be adjudged invalid, void or unenforceable, the parties hereto agree to enter into a supplemental agreement to effectuate the intent of the parties and the purposes of this Agreement.

11.11 **Controlling Law**. The City and Consultant understand and agree that the laws of the State of California shall govern the rights, obligations, duties and liabilities of the parties to this Agreement and also govern the interpretation of this Agreement, with venue proper only in the County of Santa Barbara, State of California.

11.12 **<u>Attorneys Fees</u>.** In the event any action is brought to enforce or interpret the terms of this Agreement or for damages on account of the breach hereof, the prevailing party therein shall be entitled to recover from the other party its costs and expenses incurred in connection therewith, including without limitation, reasonable attorneys fees and the costs and expenses of litigation.

11.13 **<u>Authorization</u>**. All officers and individuals executing this and other documents on behalf of the respective parties hereby certify and warrant that they have the capacity and have been duly authorized to execute said documents on behalf of the entities indicated.

11.14 **<u>Entire Agreement</u>**. This Agreement, along with its attached exhibits, which are incorporated herein by this reference, constitutes the entire Agreement between the parties and supersedes all prior and contemporaneous agreements, representations and understandings of the parties. This Agreement may be altered, amended or modified only by a supplemental writing executed by the parties to this Agreement and by no other means. Each party waives any future right to claim, contest, or assert that this Agreement was modified, canceled, superseded or changed by any oral agreement, course of conduct, waiver or estoppel.

11.15 **<u>Counterparts</u>**. This Agreement may be executed in counterparts, each of which shall remain in full force and effect as to each party.

IN WITNESS WHEREOF, the parties have executed this Agreement at the place and as of the date first written above.

"CITY"
City of Carpinteria

By:________________________
Dave Durflinger, City Manager

"CONSULTANT"
Marine Research Specialists

By:________________________
John F. Peirson, Principal

APPROVED AS TO FORM:
City of Carpinteria

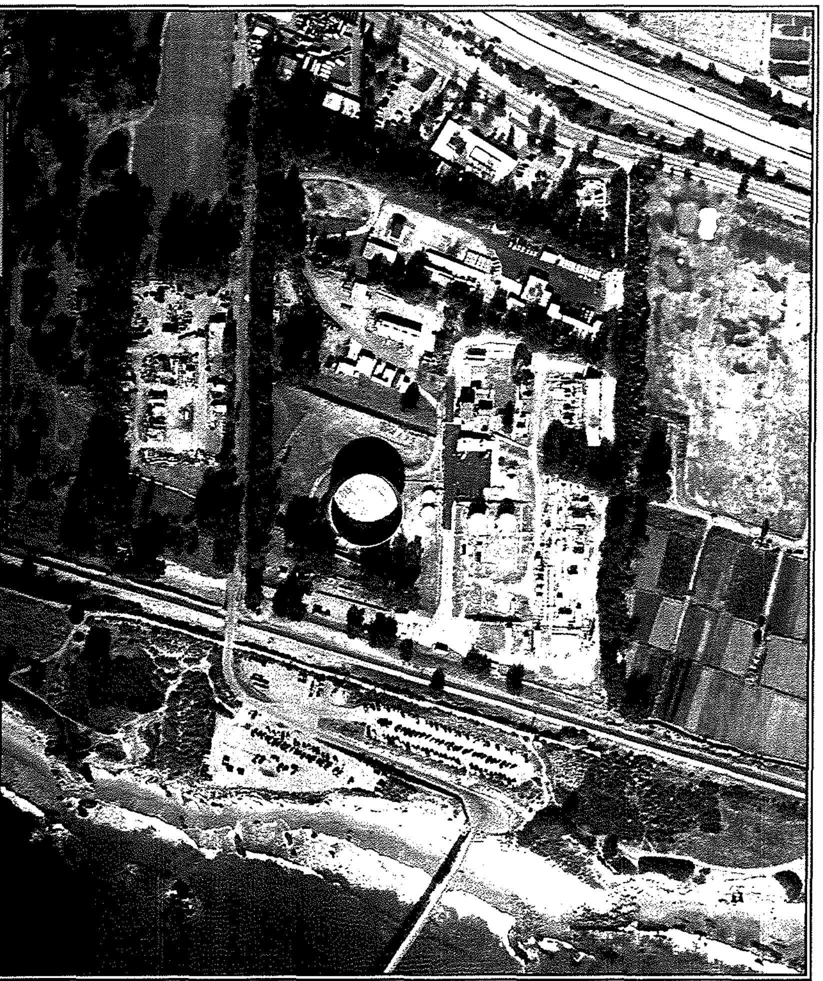
By:________________________
Peter N. Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
acting as City Attorney of the City of Carpinteria

EXHIBIT A

PROPOSAL TO PREARE AN ENVIRONMENTAL IMPACT REPORT FOR THE VENOCO PAREDON PROJECT

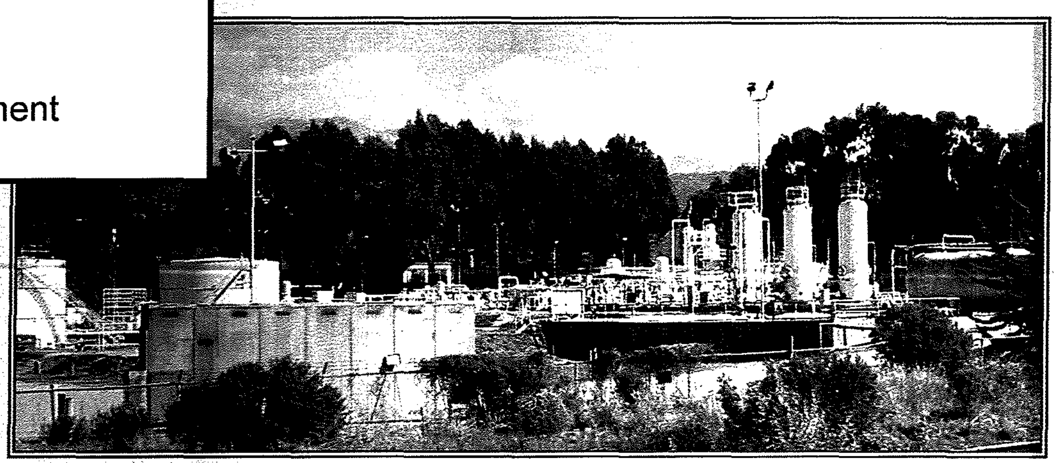
Proposal to Prepare an Environmental Impact Report for the

Venoco Paredon Project



Prepared By:
mrs
Marine Research Specialists

Prepared for:
City of Carpinteria
Community Development
Department



December 26, 2014

City of Carpinteria

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1.0 Introduction

Marine Research Specialist (MRS) is pleased to submit this proposal to the City of Carpinteria (City) to prepare an Environmental Impact Report (EIR) for the Venoco Paredon Project. This proposal has been written to comply with all of the requirements specified in the Request for Proposal (RFP) dated September 23, 2014.

This section includes our understanding of the proposed project, a summary of the proposed scope of work, a summary of MRS's qualifications, an introduction to the subcontractors that we are including on our team, and an explanation of the proposal structure.

1.1 Proposed Venoco Paredon Project

The Paredon Project is a proposal by Venoco, Inc. (Venoco) to drill into onshore reservoirs from an onshore operations site located at Venoco's existing Carpinteria Oil and Gas Processing Facility (CPF), located on the coast in the City of Carpinteria, in southern Santa Barbara County. Facility upgrades and modifications would be necessary to allow drilling from the CPF, but the footprint of the existing facility would not be expanded.

The Paredon Project includes an initial exploratory phase whereby one well would be drilled and tested on a temporary basis. If this well is successful, the project would proceed to the delineation/development phase. If the exploration well is unsuccessful, the project would end. The exploration well will first be drilled vertically. Subject to review of geological and reservoir information, it is anticipated that two sidetracks could then be drilled; one to the east of the CPF one one to the west of the CPF. The drill to the west would remain onshore, stopping approximately 500 feet short of the offshore lease line. The intent of the project during this phase would be to evaluate developmental potential of the onshore field. If exploration and delineation is successful, new permanent onshore oil and gas production facilities would be installed for the purpose of developing and producing oil and natural gas from hydrocarbon-bearing reservoirs lying principally onshore in the Carpinteria area. Should there appear to be sufficient potential for developable hydrocarbons in the area tested by the exploration phase, Venoco would proceed with a request for approvals to develop the onshore reserves. Should there appear to be sufficient potential for developable hydrocarbons in what would amount to an offshore extension of the field; Venoco would proceed with a request for approval to develop the offshore reserves including a request for a Local Coastal Plan Amendment (LCPA).

Venoco has proposed to drill up to 22 wells from a drilling pad located on an appropriately zoned site within the CPF. The oil from the wells would be processed at the CPF and shipped via existing pipeline to refineries in the Los Angeles basin. The produced gas would be processed at the CPF and then sold to The Gas Company though an existing odorant and metering station located at the CPF. Produced water would be treated at the CPF and then reinjected back into the reservoir.

The Paredon Prospect is estimated to contain recoverable reserves of approximately 20.5 million barrels of oil and 30.8 billion standard cubic feet of natural gas. The proposed facilities would be integrated with existing onshore oil and gas processing, pipeline transportation and storage facilities. The peak production rates are estimated at 9,000 Barrels of Oil Per Day (BOPD) and 13 Million standard cubic feet per day (MMSCFD) of natural gas. The actual project life for Paredon will be dependent on future commodity prices and actual reservoir performance. Venoco estimates that Paredon production will be viable for 20 years once production is started.

The proposed project presents a number of unique aspects that will need to be addressed as part of the environmental review process. One of the key issues will be the establishment of the baseline for the project. In particular, it will need to be determined if the proposed project will result in an extension of life of the CPF.

The proposed Venoco Paredon project will make extensive use of the existing oil and gas infrastructure at the CPF. This facility was originally built in 1959 and currently handles production from Platform Gail and Platform Grace. Venoco estimated in their 2006 Paredon Project application that production from the existing fields would continue through at least 2020. Therefore, it is possible that the addition of the Paredon Project could extend the operational life of the CPF beyond its current economic limit. If the project would result in an extension of life of the facility, then the EIR will need to evaluate the impacts associated with the extension.

Another key question is to quantify the baseline throughput at the CPF. Is it the permitted capacity of the facility or the current throughput? MRS believes that the baseline should be the current throughput, and as such, the EIR will need to evaluate the impacts associated with increased throughput.

Safety and risk of upset will also be major issues for the environmental review. Determining the baseline risk and operational integrity of the current CPF operations will be a major task that will need to be undertaken early in the environmental review process. Some of the other important issues will be noise and visual impacts associated with the drill rig and drilling operations, impacts to cultural resources, impacts to biological and hydrological resources both at and near the facility, as well as along the oil pipeline routes, and impacts to recreational activities.

In addition, the City is requiring a zone text amendment to explicitly allow drilling from an onshore location to on offshore reservoir. MRS will review Venoco's proposed language and work with the City to ensure that the language accommodates a specifically limited use within a site adjacent to the coast, conforms to the policies in the Local Coastal Program/Coastal Land Use Plan, and the requirements of the California Coastal Act.

1.2 Summary of the Proposed Scope of Work

The objective of the project is to prepare an EIR that meets all of the requirements of the City of Carpinteria and complies with all the requirements of the California Environmental Quality Act (CEQA). The EIR also needs to be written so that it can be easily understood by the public and the decision makers, and at the same time be legally defensible.

The scope of work for the EIR will involve the following major tasks.

- Prepare a project description.
- Prepare a description of alternatives to the project and conduct an alternatives screening analysis.
- Prepare and issue a Notice of Preparation and ~~initial study~~Scoping Document for the project.
- Conduct a baseline site analysis that focuses on the operational integrity and safety of the existing CPF; soil investigation and remediation at the existing CPF Plant; operational integrity of the oil, gas and water pipelines; and, the expected operational life of the existing facility.
- Develop a baseline environmental setting for the study area via document review of the 2008 Draft EIR, including updating documents since that time and field work, as needed.
- Assess the impact of the project and selected alternatives and develop mitigation measures as needed.
- Assess the cumulative project impacts.
- Prepare Administrative and Public Draft EIRs.
- Prepare Administrative and Final EIRs that include response to comments.
- Work with the City in developing zone district language.
- Assist the City with various public meeting and hearings, including an NOP Scoping meeting and EIR workshops.
- Assist the City with managing the Joint Review Panel to be instituted with this Project, including the California Coastal Commission and the State Lands Commission.
- Assist the City with the development of a mailing list and mailing all documents associated with the EIR.
- Assist the City with the preparation of staff reports.

MRS is committed to working closely with the City on this project and assuring that the final scope of work meets all of the City requirements. We are also committed to the public process that is an integral part of CEQA. One of the main objectives of the EIR process is to ensure that the public has adequate input into the development of the scope of the EIR, and that all relevant issues raised by the public are thoroughly evaluated in the EIR.

The general approach to this Project will be to update the baseline prepared under the previous Draft EIR from 2008 to reflect the new baseline from the time of the issuance of the NOP. In addition, the EIR will focus in addressing impacts of both the proposed project as presented by Venoco, which would only address the impacts of the drilling and production of onshore reservoirs; and the potential future development of offshore reservoirs. Finally, MRS will update the previous document to reflect previous comments made by the City of Carpinteria's Environmental Review Committee on the items they wanted to see added to the previous EIR prior to certification. Those items included:

- Habitat Survey of Pipeline Route from Carpinteria to Ventura
- Wetland Survey of CPF,
- Raptor Survey in Area Around CPF,
- Hydrology Impacts of Reinjection Wells, and

- Native American Consultation on Ceremonial Use of Bluffs,

1.3 Summary of MRS Qualifications

MRS provides the City with a group of highly qualified technical experts who understand complex oil and gas development. This knowledge is combined with a strong understanding of CEQA. This combination of skills has allowed MRS to produce high quality EIRs for highly complicated oil and gas development projects.

MRS staff has prepared more than 50 environmental reviews for oil and gas development projects. In particular, we have been providing specialized services in the areas of system safety and risk of upset, air quality, marine resources, marine water quality, and fire protection. MRS staff has a long history of providing specialized services to the local, state and Federal governmental agencies covering oil and gas development projects. MRS and its staff members have never had a CEQA document found inadequate by a court of law, despite the fact that a large number of the CEQA documents we prepared were challenged in court. MRS specializes in preparing CEQA documents for complex, controversial industrial projects.

MRS and its staff have provided environmental review services to a number of other companies and agencies. We are currently providing environmental review services to the California State Lands Commission, California Coastal Commission, Regional Water Quality Control Board, San Luis Obispo County, Santa Barbara County, Los Angeles County, City of Hermosa Beach, City of Carson, City of Whittier, City of Morro Bay, and the City of San Luis Obispo.

1.4 The MRS Team

Given the unique nature of the project site and the need to provide local knowledge when it comes to the assessment of environmental impacts, we have assembled a team of highly qualified professionals. The only subcontractor that will be used on the project is LEIDOS (successor to Sciences Applications International Corporation (SAIC). LEIDOS staff from Carpinteria will be responsible for overseeing the cultural resources, geology and onshore water resources. LEIDOS is particularly well qualified to conduct these tasks given their extensive experience in the project area and with the previous EIR prepared for the City.

All of the team members have direct experience working in the study area and bring strong CEQA capabilities to the team. In addition, MRS has an ongoing working relationship with LEIDOS. MRS staff has been working with the staff at SAIC/LEIDOS since 1984, and have teamed on a large number of CEQA projects. MRS has selected LEIDOS for their local knowledge and expertise, and their proven ability to produce extremely high quality work that will meet the requirements of the City and CEQA.

1.5 Proposal Structure

Our proposal includes a comprehensive discussion of our approach to this project. The proposal has been divided into eight major sections.

Section 1 – Introduction: This section provides a brief discussion of the project and the team's understanding of the approach. The firms that make-up the proposed team are also introduced in this section.

Section 2 – Qualifications and Experience: This section summarizes the capabilities of the firms that make-up the project team. It provides a brief history of the firms, their relevant experience, and the organizational structure of the firms. Attachment B contains additional qualifications for each of the firms.

Section 3 – Personnel and Project Management: This section provides the proposed organizational structure for the project team. The section discusses the project management team, as well as all of the key staff members. Brief resumes of the key staff are provided in this section. Attachment A provides more detailed resumes of the key staff. This section also discusses the approach that MRS uses to manage EIR projects. The topics covered in this section include management team roles and responsibilities, program management and control systems, communication, and management of subcontractors.

Section 4 – Study Methodology: The first part of this section provides an overview of our technical approach to preparing EIRs, and addresses the development of the project description, alternative analysis, preparing issue area baselines, impact assessments, cumulative impacts, mitigation measures, mitigation monitoring plans, and residual impact analyses. The second part of this section provides a detailed discussion of our approach to each of the issue areas listed in Attachment A of the RFP.

Section 5 – Document Preparation: This section discusses the tools that MRS has developed for preparing and coordinating all activities associated with document production.

Section 6 – Schedule: This section presents a detailed schedule for the project, which identifies the key tasks, deliverable dates, City/public reviews, and public hearings/workshops.

Section 7– Cost Quotation and Budget Summary: This section presents the detailed cost estimate for the project by issue area and task. This section also presents the assumptions that were used in developing the cost estimate. Information on conflict of interest and acceptance of contractual provisions is provided in this section.

Section 8 – References: This section provides a list of references for the proposed project manager.

2.0 Qualifications and Experience

This section of the document provides a summary of the team's qualifications and experience. Additional team qualifications are provided in Attachment B. This section also presents information on each firm's organizational structure, capabilities, history, and recent relevant experience.

2.1 Marine Resource Specialists (MRS)

MRS is a small environmental consulting firm that is based in Ventura, California. MRS has a board of directors, and its day-to-day operations are managed by a team of senior staff composed of the president/chief executive officer and the chief financial officer. The Project Manager reports to the president of the firm.

MRS is well qualified to assist the City of Carpinteria (City) with this project. MRS staff has an outstanding record of success in preparing California Environmental Quality Act (CEQA) and National Environmental Policy Act (NEPA) documents for complex and often controversial industrial permitting projects in southern California. MRS staff has prepared more than 60 Environmental Impact Reports (EIRs) and/or Environmental Impact Statements (EISs) and related technical studies during the past 28 years.

MRS is very experienced in managing large, contentious projects. In addition, MRS staff has logged more than 2,000 hours in public hearings in support of local and State agencies in California. MRS's local staff is well known and respected by many decision-makers in Southern California. Most of MRS staff came from the Environmental, Safety and Risk Practice of Arthur D. Little, Inc., where they had been working for 5 to 23 years.

Since 1984, MRS staff has worked with local agencies in California to support industry and the regulatory community with major permitting projects. Since that time, the major focus of our work in southern California has been on assessing environmental impacts for industrial development projects. We have been able to combine the very broad range of MRS' Environmental, Health, and Safety (EHS) and technological expertise with a strong local presence to address the complex issues often associated with these types of projects. We have consistently worked for both industry and the regulators during this time, making us uniquely qualified to assist with complex permitting projects. MRS is well known for our expertise in the areas of marine and atmospheric sciences, system safety, risk of upset, air quality, health risk assessment, and fire protection. In fact, MRS staff has conducted most of the offshore oil and gas development safety assessments done for Santa Barbara County.

MRS staff has recently completed a number of controversial EIRs in the Los Angeles area. These include the Whittier Development Project for the City of Whittier, the Baldwin Hills Development for the County of Los Angeles, and the E&B Oil Drilling and Development Project for the City of Hermosa Beach. As follow-on to the Baldwin Hills EIR, MRS is responsible for implementing the mitigation monitoring program, which involves a long-term coordination effort among concerned citizens, the applicant, and city staff. Additionally, MRS is currently working for the City of Carson to update their oil code.

MRS staff also has a long history of providing specialized services to State and local agencies covering oil and gas development projects. MRS has never had a CEQA document found inadequate by a court of law, despite the fact that a large number of the CEQA documents we prepared were challenged in court. Our specialization in preparing CEQA documents for complex, controversial industrial projects has allowed us, for Santa Barbara County, to focus on oil and gas development projects that are handled by the Energy Division; and for San Luis Obispo County, to focus on the controversial Avila Beach and Guadalupe Oil Field cleanup projects, and more recently the Excelaron Oil Production Project, the ConocoPhillips Refinery Throughput Increase Project, the Phillips66 Rail Spur Project and the Chevron Tank Farm Remediation and Redevelopment Project. We also have provided valuable services to the City of Carson, City of Whittier, City of Hermosa Beach, City of Carpinteria, the California Coastal Commission, the California Energy Commission, the Regional Water Quality Control Board, the South Coast Air Quality Management District, the Santa Barbara County Air Pollution Control District, the City of Adelanto, Contra Costa County, and the Bureau of Land Management.

Some of MRS staff's recent experience (completed or in process since the preparation of the earlier Paredon Draft EIR) that highlights our unique problem solving capabilities are discussed below:

CHEVRON EL SEGUNDO MARINE TERMINAL LEASE RENEWAL PROJECT EIR

CLIENT: CALIFORNIA STATE LANDS COMMISSION

The Chevron El Segundo Marine Terminal Lease Renewal Project involves Chevron Products Company entering into a new 30-year lease of tide and submerged state lands from the California State Lands Commission for continued operations at the Chevron El Segundo Marine Terminal. The Marine Terminal has been operating since 1911, when the adjacent refinery that it serves opened. The new lease allows Chevron to continue operating the Marine Terminal for a 30-year period from 2010 to 2040. The Project involves continuing current operations and implementing future maintenance activities as needed at the Marine Terminal through the year 2040.

MRS prepared the EIR after working successfully with both the client and applicant to create a document to their satisfaction. Although this Project is a continuation of the status quo, the EIR evaluated contemporary alternatives, such as using potential Pier 400 facilities in the Port of Los Angeles/Port of Long Beach. MRS also considered moving the berths into waters farther offshore and modifying the type of berth systems used.

MRS is currently spearheading the implementation of the Mitigation Monitoring Program for the Chevron El Segundo Marine Terminal.

E&B Oil Drilling and Production Project EIR

CLIENT: THE CITY OF HERMOSA BEACH

The City of Hermosa Beach called upon MRS to conduct an environmental review for the proposed E&B Oil Drilling and Production Project. The Proposed Project was the result of a 2012 Settlement Agreement between the City of Hermosa Beach, E&B, and Macpherson Oil Co. to comply with the California Environmental Quality Act and place on the ballot a measure allowing the City of Hermosa Beach electorate to decide whether or not to approve the Applicant's Proposed Oil Project and a Development Agreement to vest the Project so that, if approved, the Project could not later be invalidated by a vote of the people.

Proposed was the development of 30 oil wells and four wells for water disposal/injection to access the oil and gas reserves in the tidelands. The Proposed Project garnered much attention in the community of Hermosa Beach because of the small 1.3 acre site on which the drilling was to take place, the absence of adequate setbacks from residential and commercial uses to avoid impacts related to safety and hazards, and the high level of population density in the City.

Many points were debated as the EIR was brought from draft form to its final phase, including well blowout frequency and consequences, odors, noise and pipeline spills. Alternatives to the Project suggested by MRS included utilizing a different site, developing oil with reduced wells, shortening the life of the Project, and the use of existing pipelines, all of which would meet or nearly meet the Applicant's objectives with reduced impacts. The FEIR was certified in July 2014, and the Project is scheduled to appear on the ballot in March 2015.

Baldwin Hills Community Standards District EIR

CLIENT: LOS ANGELES COUNTY DEPARTMENT OF REGIONAL PLANNING

MRS was the lead consultant in preparing an EIR for a proposed Community Standards District (CSD) for the Baldwin Hills Oil Field located in unincorporated portions of Los Angeles County. The purpose of the CSD is to develop regulations to control oil and gas development activities at an oil field in close proximity to residential areas. MRS managed a team of over 30 professionals to develop the EIR. The EIR evaluated a hypothetical development scenario for the oil field and then assessed the impacts of this development. Based upon the impacts identified, a set of mitigation measures were developed to reduce the level of impacts to less than significant. MRS then used these mitigation measures to develop standards that were incorporated into the CSD.

Some of the most salient issues associated with the project were public health, noise, site cleanup and remediation, air quality, and geology. MRS worked closely with the County of Los Angeles, the landowners, and the affected public in developing the EIR and the CSD.

MRS organized more than 20 public meetings with the community as part of this project. MRS used small neighborhood meeting to work with the community on the EIR and the CSD.

Since the EIR was finalized, MRS has been responsible for the compliance monitoring of the mitigation measures for the County of Los Angeles, including periodic reviews of mitigation measure effectiveness, assessment of air quality monitoring, on-site inspections of mitigation compliance, weekly in-field visits and inspections and annual audits. An in-depth understanding of in-field implementation of mitigation measures provides for extensive expertise that is utilized in the development of practical and enforceable mitigation measures in EIRs.

WHITTIER MAIN OIL FIELD EIR

CLIENT: CITY OF WHITTIER

MRS was the lead consultant in preparing an EIR for an oil and gas development project in the City of Whittier. The majority of the land encompassing the oil field was purchased from Chevron and Unocal with Measure A funds to preserve the land as open space and wildlife habitat. The Puente Hills Landfill Native Habitat Preservation Authority, a joint-powers agency whose members include the City of Whittier, County of Los Angeles, Los Angeles County Sanitation District, and Hacienda Heights Improvement Association, currently manages the land for the City. Residential and commercial developments surround the oil field on all sides.

As proposed, the fully developed Project will consist of wells, oil processing, a gas plant, pipelines, and oil loading facilities. The facilities will be physically within the Whittier Main Field on an approximately 6.9-acre site used for drilling, production, and processing oil and gas. Trucks will transport the oil from the site to an oil terminal for ultimate delivery to local Los Angeles area refineries during the exploratory phase. A pipeline would be built for the production phase of the Project. The main environmental issues associated with this project were air quality, impacts to biological resources, traffic, noise, aesthetics and risk of upset and hazardous materials. One of the unique aspects of this project is its location within a habitat preserve. This required a very thorough evaluation of the impacts of oil and gas development on the local habitat and wildlife as well as recreational issues. Also since commercial and residential development surround the site, the environmental analysis necessarily addressed the unique issues of risk of upset and health risk associated with oil and gas development projects. MRS worked closely with the City, Puente Hills Landfill Native Habitat Preservation Authority, and local neighborhood associations in preparing the EIR.

Since the EIR was finalized, MRS has been responsible for the compliance monitoring of the mitigation measures for the City of Whittier, including on-site inspections of mitigation compliance, weekly in-field visits and inspections and audits.

HUASNA VALLEY OIL EXPLORATION AND PRODUCTION PROJECT EIR (EXCELARON PROJECT)

CLIENT: COUNTY OF SAN LUIS OBISPO

MRS was the lead consultant in preparing an EIR for the Huasna Valley Oil Exploration and Production Project for the County of San Luis Obispo. Excelaron leased more than one thousand net mineral acres in the Huasna Valley area, including the project site, and proposes exploring, testing, and possibly producing oil on the western edge of the Huasna Basin in an existing oilfield designated by the California Department of Oil, Gas and Geothermal Resources.

Although the project site is on private property, Excelaron obtained exclusive easements over the Mankins Ranch and Porter Ranch to access the area.

The four-phased proposed project involves exploration and testing, production, cleanup and abandonment, and development. Although this project is in the very early stages, the applicant foresees 13 wells producing up to 1,000 barrels per day operating at peak production.

ORCUTT HILL RESOURCE ENHANCEMENT PLAN PROJECT EIR

CLIENT: SANTA BARBARA COUNTY

MRS is currently in the draft phase of writing the Orcutt Hill Resource Enhancement Plan Project EIR. Pacific Coast Energy Company (PCEC), the Applicant, is proposing to replace and expand its existing diatomite Oil Drilling and Production Plan. The Project includes eight new well pads (called pods), two new equipment pads, and drilling of up to 144 oil and gas wells. The current Oil Drilling and Production Plan, approved in 2006, permits the operation of the existing 96 diatomite cyclic steamed wells on seven surface well pods.

This Project is highly controversial, as it is being considered by the County of Santa Barbara at a time when oil drilling opponents have placed on the November Ballot Measure P, which would potentially ban all cyclic steaming, hydraulic fracturing and other high intensity completion methods in the County.

OIL CODE AMENDMENT

CLIENT: CITY OF CARSON

MRS is currently working closely with the City of Carson to construct an update to its current oil and gas drilling and extraction regulations. As the oil code is currently written, the public and the environment are not well protected from any potential hazards or nuisances caused by any existing or future oil and gas drilling and extraction facilities and operations in the City. The draft Code Update will remedy this lack of adequate protection and include a prohibition on the use of hydraulic fracturing and acid well stimulation.

This Project is very controversial since the City is trying to pass an oil code in response to having an antiquated oil code and in response to an application by Oxy for a Project to drill 200 wells in the city after many years of low to no activity. Opposition to the Oxy project by citizens has also created distrust and opposition to the development of the new oil code.

2.2 LEIDOS (SAIC)

Leidos/SAIC is a diversified high-technology research, environmental, and engineering company, with an office in Carpinteria, California. The company offers a broad range of expertise in the areas of environmental systems and engineering, energy assets, information technology, systems integration, telecommunications, national and international security, health systems and services, transportation, and space technology. Leidos/SAIC has more than 41,000 employees in more than 150 cities worldwide. Founded in 1969, Leidos/SAIC is currently a *Fortune 500* company with more than $11 billion in annual sales.

Leiods/SAIC's office in Carpinteria is part of the Energy, Environment & Infrastructure Business Unit and is a key component of Leidos/SAIC's environmental program, supported by professionals experienced in environmental, cultural, biological, physical, economic and social sciences, and related disciplines.

The Leidos/SAIC Carpinteria staff has been providing environmental services to Central and South Coast clients for more than 30 years. A focus of the regional practice has been the analysis of onshore and offshore oil and gas projects. The Leiods/SAIC team has extensive local and regional experience in key issues including water resources, geology, and wastewater. Other key areas of expertise include preparation of CEQA and NEPA compliance reports for a wide range of projects throughout the Central Coast and Southern California. Expertise in managing multidisciplinary environmental projects and in field research, coupled with extensive experience in the region, make the Leidos/SAIC team well-suited to support these on-call environmental services.

In addition to working with MRS in the previous Paredon EIR effort, some of Leidos/SAIC's recent experience working with MRS includes:

MATRIX OIL, WHITTIER MAIN OIL FIELD DEVELOPMENT PROJECT EIR

SAIC was a subcontractor on this work to MRS. The proposed Whittier Oil Field Project would involve establishing a new drilling pad for multi-well, directional oil and gas exploration drilling within the Whittier Oil Field, located within the Puente Hills Landfill Native Habitat Preserve, in the City of Whittier, Los Angeles County. The drill site is located on a hillside with an adjacent creek. New access roads and a production pipeline through a residential area would also be constructed. SAIC assessed potential impacts to geological resources, water resources, and wastewater.

BALDWIN HILLS, INGLEWOOD OIL FIELD, PXP WELL DRILLING PROGRAM EIR

SAIC was a subcontractor on this work to MRS. The proposed Inglewood Oil Field , PXP Well Drilling Program involved continued operations over the next 20 years, including extracting oil and gas, processing the crude oil to remove water, and processing the gas to remove hydrogen sulfide and gas liquids. Water injection is used within the field to mobilize the oil and gas in the subsurface. The highly condensed oil field is surrounded on all sides by residential and commercial properties in the Los Angeles area. SAIC assessed potential impacts to geological resources, water resources, biological resources, and cultural resources.

EXTENDED PHASE 1 ARCHAEOLOGICAL ASSESSMENT, CHEVRON TERMINAL REMEDIATION

SAIC completed a backhoe trenching program to determine the potential existence of archaeological materials in areas of proposed remediation in the vicinity of archaeological sites. Trenching identified an extremely sparse concentration of shellfish fragments and evidence of soil disturbance. Sparse shellfish was also found here, as well as a few stone tool artifacts. These sparse shellfish deposits found throughout the buffer zone and south of the Marketing Terminal did not constitute a unique archaeological resource under PRC 21083.2 (g).

MOLINO GAS PROJECT EIR

SAIC was a subcontractor on this work to Arthur D. Little. The Molino Gas Project proposed use of directional (extended reach) drilling from an onshore drill site approximately 20,000 feet to recover sweet (no hydrogen sulfide) gas from three offshore State Lands leases at depths of 9,000 to 11,000 feet below surface. The produced natural gas liquids recovered from drilling would be transported by pipeline under Cañada del Leon, an environmentally sensitive riparian habitat, to the Chevron Gaviota processing facility. SAIC provided the terrestrial biological resources, cultural resources, geological resources, and on-land water resources analyses for this EIR, as well as for a previous project proposed for an alternative drill site along the Gaviota coast. SAIC scientist were responsible for evaluating potential project impacts on terrestrial biology, threatened and endangered species, on-shore and offshore geology, and cultural resources.

TRANQUILLON RIDGE PROJECT EIR

SAIC was a subcontractor on this work to Arthur D. Little/MRS. The proposed Tranquillon Ridge Project would involve slant drilling from Platform Irene, which is in federal waters off of western Santa Barbara County to a State Tidelands Lease, and bringing oil to shore using existing pipelines from Platform Irene. The produced oil and gas would be commingled with the oil and gas from the federal Point Pedernales Lease, and sent ashore via pipelines from Platform Irene to the Torch Lompoc Oil and Gas Plant. The project also involves expansion and upgrade of the produced water treatment system at the Torch Lompoc Oil and Gas Plant, and bi-directional flow of the Sisquoc Crude Oil Pipeline. SAIC assessed potential impacts to terrestrial biology, threatened and endangered species, both on-shore and offshore geology, onshore water resources, marine water quality, cultural, and agricultural resources.

3.0 Key Personnel and Project Management Program

This section of the proposal presents a summary of the key personnel that will work on the Venoco Paredon EIR and provides an overview of the project management program.

3.1 Key Personnel

MRS has selected a specialized team for this assignment based on the project type, location, affected resources, and the likely key issues of concern to the public. To complement our own expertise, we have included team members from LEIDOS/SAIC. MRS has had a long, successful working relationship with LEIDOS/SAIC on a number of environmental review projects. As with those projects, LEIDOS/SAIC staff would provide expertise in the areas of geology, hydrology, and cultural resources. The MRS and LEIDOS/SAIC team have successfully worked on the preparation of the previous Paredon Project Draft EIR.

The work for this assignment will be managed from MRS' Ventura office located at:

Marine Research Specialists
3140 Telegraph Road, Suite A
Ventura, CA 93003
805.289.3920

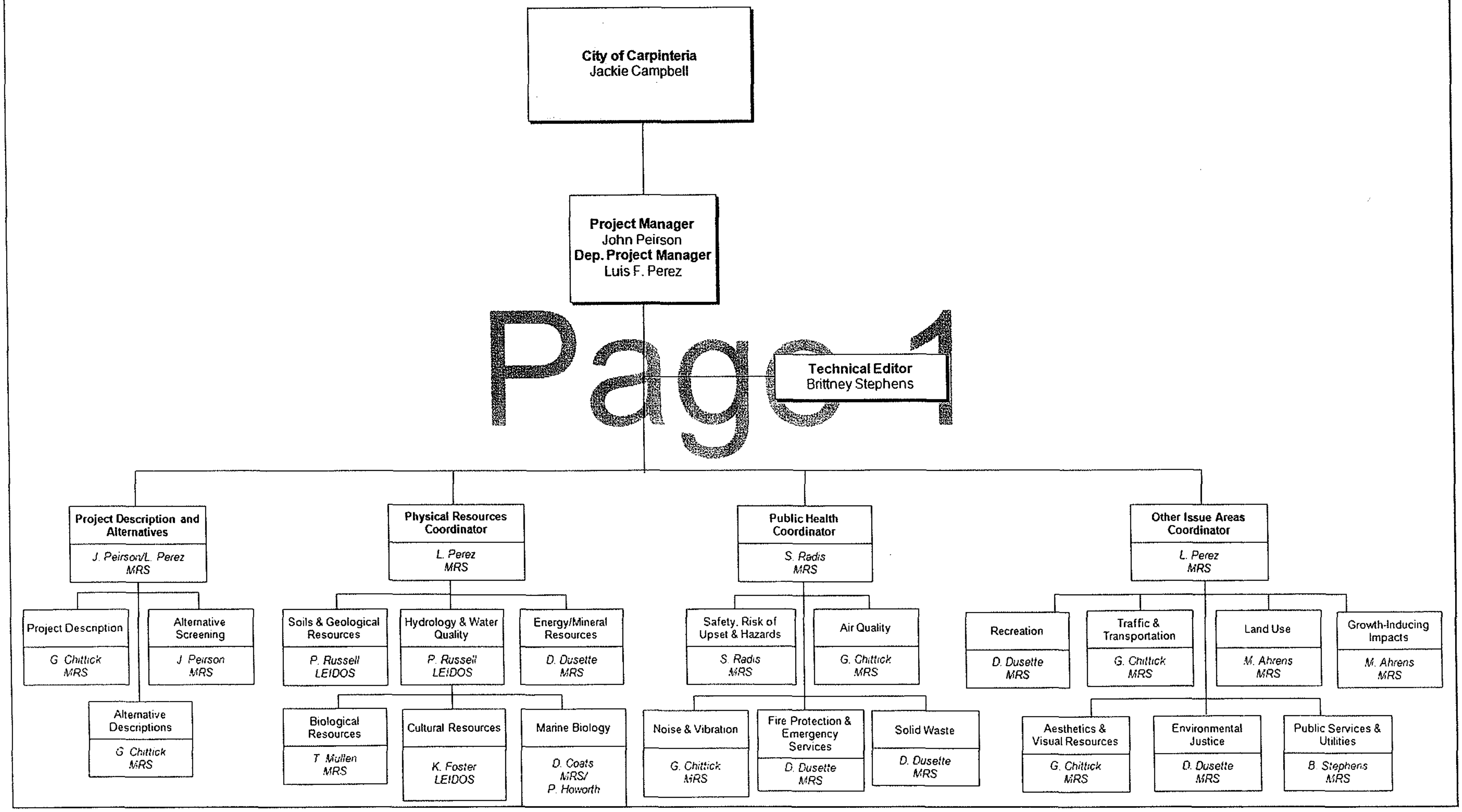
All MRS staff members may be reached at this location. The work conducted by LEIDOS/SAIC will be conducted from their Carpinteria offices and their staff may be reached at:

LEIDOS/SAIC
5464 Carpinteria Ave., Suite K
Carpinteria, CA 93013
805. 566.6426

Figure 3.1 shows our organizational structure for managing this project and identifies our key team members and their areas of responsibility. Presented below are brief biographical sketches of the key team members that highlight their relevant experience conducting similar environmental review projects. More detailed resumes for the key staff are found in Attachment A.

Mr. John Peirson will be the Project Manager for this assignment and will be responsible for monitoring technical progress on each task, reviewing and approving documents prior to submission to the City, monitoring financial and schedule control, assuring compliance with all aspects of the contract, instituting corrective action if necessary, and providing overall quality control. He will also act as an Issue Area Coordinator for the Project Description and Alternatives tasks.

Figure 3-1 Proposed Organizational Chart



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Mr. Peirson's area of expertise includes the management of large complex environmental review projects to comply with the requirements of CEQA and NEPA. Most of the his CEQA and NEPA work focuses on oil and gas development projects, as well as other large complex industrial projects. He has also done extensive work in engineering, risk assessments, and environmental studies of various oil and gas development projects in California. His professional work has emphasized major environmental and energy assignments with state and local governments as well as industry. He has managed many EIR/EIS assessments, which include some of the most complex and controversial projects evaluated on the Central Coast of California. These projects include:

- Excelaron Huasna Valley Oil Development Project EIR
- Tranquillon Ridge Oil and Gas Development Project, LOGP Produced Water Treatment System Project, and Sisquoc Pipeline Bi-Directional Flow Project EIR
- Guadalupe Restoration Project SEIR
- Guadalupe Oil Field Remediation and Abandonment EIR
- Diablo Canyon EIR
- Carone Development Project EIR
- Molino Gas Project EIR
- Chevron Point Arguello Field EIR/EIS and SEIR
- Unocal Point Pedernales EIR/EIS and SEIR
- Exxon Santa Ynez Unit SEIR

Mr. Peirson began working on CEQA/NEPA permitting activities in 1983. Since then he has prepared CEQA/NEPA documents for more than 63 major projects within California. Most of these projects were controversial and involved considerable work in developing permitting strategy. All EIRs and/or EISs in which Mr. Peirson was manager have been upheld in court proceedings.

Mr. Peirson has provided over 750 hours of testimony to local and state decision makers including Planning Commissions, Boards of Supervisors, the State Lands Commission, and the California Coastal Commission. He also has extensive experience in working with local and state government staff in developing permit conditions and findings.

He has also worked extensively in engineering, risk assessment, and environmental studies of various oil industry related project in California. His professional work emphasizes major environmental and energy assignments with state and local governments as well as industry.

Mr. Luis Perez, MRS, will be the Deputy Project Manager for this assignment and will be responsible for monitoring technical progress on each task, reviewing and approving documents prior to submission to the City, monitoring financial and schedule control, assuring compliance with all aspects of the contract, instituting corrective action if necessary, and providing overall quality control. He will also act as an Issue Area Coordinator for Physical Resources and Other Issue Area tasks. Mr. Perez has worked on complex CEQA and NEPA projects for the past 24 years. During that time, he has been Project Manager for complex environmental documents for oil and gas that have included the E&B Hermosa Beach Oil Project EIR, Whittier Main Oil Field EIR, the Baldwin Hills Oil Field Community Services District, the El Segundo Marine Terminal

Lease Extension EIR, Pacific Pipeline Project, the Molino Gas Project, the Texaco Gaviota Pipeline Abandonment Project, the Unocal Cojo Marine Terminal Abandonment and Remediation Project, the Gaviota Marine Terminal Abandonment, the Exxon Marine Tankering Application, the Chevron Tankering Applications, and the Venoco Paredon Project, among others.

Mr. Perez has extensive public agency experience working for Santa Barbara County, which included interpretation of land use and environmental policies and regulations for large development projects, recommendations to decision-makers, and public presentations. Mr. Perez led the permitting efforts for many of the projects mentioned above and maintains a high quality-control standard for all projects within his responsibility. Mr. Perez also led the County's efforts in the environmental review, permitting, and compliance of the Shell Molino Canada de la Huerta PCB Remediation Project, the ARCO Alegria Restoration and Remediation Project, and the Texaco Gaviota Gas Plant Remediation Project.

Mr. Perez will manage the day-to-day activities and oversee issue areas of the environmental document. Mr. Perez has extensive project management experience both with the County of Santa Barbara Energy Division and MRS. Mr. Perez participated in project management seminars, performance management training, contract management, budgeting, and many other management trainings while working for the County. Mr. Perez also earned a Master's degree in Management that focused on management of complex projects, conflict resolution, group dynamics, and budgeting.

Mr. Perez received his M.A. degree in Organizational Management from Fielding Graduate University and received a B.A. in Environmental Science and Public Relations from Northern Arizona University.

In addition to the review by the Technical Editor, Mr. Perez will take special care in ensuring that every piece of documentation receives redundant review and quality control. As a previous government employee with the County of Santa Barbara, Mr. Perez clearly understands that all documents representing a public agency are necessarily of the highest quality, and he is committed to delivering this quality. In the majority of cases, written documents are a public agency's only exposure to the public and, as such, they should always reflect the highest level of professionalism.

Mr. Steve Radis will serve as the Public Health Issue Area Coordinator and Principal Investigator for Safety/Risk of Upset/Hazardous Materials. His expertise includes meteorological modeling and analysis, physical oceanographic modeling and analysis, consequence and risk analysis, fire and explosion dynamics, hazard evaluation, external events analysis, fault tree analysis, and model development. Mr. Radis has worked on a wide variety of studies for utilities, commercial, and government clients involving meteorological modeling, quantitative risk assessments, health risk assessments, consequence analysis, risk management, air quality modeling (inert/photochemical pollutants, toxic air contaminants), and EIR/EISs.

He has managed several successful CEQA-related projects for San Luis Obispo County, the South Coast Air Quality Management District, and Santa Barbara County Department of

Planning and Development. Mr. Radis was the Project Manager for San Luis Obispo County's Avila Beach Cleanup Project EIR/EIS and Nacimiento Water Project EIR. He has over twenty years experience in the field of risk modeling and health risk assessment and more than twenty five years in conducting meteorological and climatological studies. Mr. Radis has prepared air quality, system safety, public health and noise impact assessments for numerous EIRs and EISs, including the recent Diablo Canyon Independent Spent Fuel Storage Installation and Steam Generator Replacement Projects. Mr. Radis has extensive experience in the assessment of criteria pollutants, air toxics (and health risk assessments), acid deposition studies and photochemical modeling. In addition, Mr. Radis has conducted more than thirty health risk assessments, many for site remediation activities or oil and gas facilities.

Dr. Doug Coats will be the Marine Resources Coordinator and Principal Investigator for Marine biology. He has been an applied marine scientist for more than 20 years. During this time he has been involved in a wide variety of complex marine environmental issues along the West Coast. Many of his environmental assessments were based on the collection and interpretation of interdisciplinary oceanographic data. Dr. Coats specializes in the application of multivariate statistical techniques to interrelate biological, physical, and chemical measurements of the marine environment. Many of his studies have been published in peer-reviewed scientific journals. As a Senior Research Physicist in the Offshore Division of Exxon Research, he was responsible for accurately specifying environmental design loads for offshore structures through analysis and modeling of wind, wave, current, and geophysical measurements.

Mr. Greg Chittick will be the Principal Investigator for the Project Description, Alternatives and Cumulative Projects Description, Air Quality, Transportation, Noise, and Aesthetics/Visual Resources. Mr. Chittick has over 24 years experience in quantitative analysis of environmental impacts. He has conducted air quality analysis, analysis of noise impacts, visual impacts, traffic impacts, environmental justice impacts, and prepared computerized maps with geographical information systems related to a number of oil and gas projects. Mr. Chittick was responsible for developing the photo-simulations of the modified Gaviota Facility after equipment removal. He developed risk assessments examining the risks associated with a proposed odorant station in Carpinteria. Mr. Chittick has also been involved with numerous risk assessment analyses associated with accidental releases of toxic and flammable materials, including a community-wide health risk analysis in Alaska, toxic and flammable risk assessments for Carone, Ellwood Marine Terminal and the Carpinteria Odorant project, as well as transportation risk assessments. Mr. Chittick has also conducted modeling for accidental releases of toxic and flammable materials related to these projects, and conducted extensive air quality analysis assessing criteria and toxic impacts.

Mr. Ted Mullen will be the Terrestrial Biology Resources Principal Investigator. Mr. Mullen has 22 years of experience in terrestrial ecology and environmental analysis, including sensitive species protection plans and technical exchange meetings with industry and agency representatives. He has conducted field surveys in over 20 states and has conducted sensitive species surveys or prepared management plans for tidewater goby, desert tortoise, California red-legged frog, California tiger salamander, southwestern pond turtle, American badger, San Joaquin kit fox, light-footed clapper rail, Belding's savannah sparrow, western snowy plover, southwestern willow flycatcher and burrowing owl. Mr. Mullen is currently the Onsite

Environmental Coordinator (OEC) at the Unocal-Chevron Guadalupe Oil Field in support of San Luis Obispo County, California Coastal Commission, California Department of Fish and Game, U.S. Fish and Wildlife Service, and the Regional Water Quality Control Board.

Ms. Melissa Ahrens, MRS, will be the Principal Investigator for the section on Land Use and Growth Inducing Impacts. Ms. Ahrens is an Environmental Planner with MRS. Before joining MRS, Ms. Ahrens was a Coastal Planner at the California Coastal Commission (CCC) where she gained extensive experience managing coastal development projects through all stages of the required coastal development permitting process. Ms. Ahrens has over four years of experience coordinating with state and federal resource agencies, local governments in the San Diego and Central California Coast area and non-governmental organizations throughout the state, to effectuate the filing, permitting, condition compliance, and monitoring phases of coastal development project management. During her tenure at the CCC, Ms. Ahrens developed a dynamic and thorough understanding of Coastal Act policies and their project specific applications, as well as with Coastal Commission voting precedents. Her other areas of expertise include land use planning and zoning analysis, property law and property specific regulatory evaluations, California Coastal Act and/or Local Coastal Program project consistency analyses, and coastal hazard abatement permitting management. Additionally, Ms. Ahrens has specific experience developing project specific habitat impact evaluations and associated mitigation recommendations, consistent with current Commission guidance and precedent, for rocky intertidal/subtidal, sandy beach infauna, coastal dune, estuarine, wetland, coastal sage scrub, oak woodland, and riparian habitats.

Ms. Ahrens received her B.S. in Environmental Planning and Policy from the University of California Davis.

Ms. Brittney Stephens, MRS, will be the Principal Investigator for the section discussing Public Services and Utilities. She will also prepare style guides and templates as well as serve as Technical Editor for the EIR, ensuring consistency in formatting for all sections of the report as well as editing and proofreading. She will control all aspects of report production and distribution to ensure that quality is upheld and deadlines are met. Ms. Stephens is responsible for the circulation of all noticing to State Clearinghouse, local agencies and interested persons.

Ms. Stephens has contributed to the successful completion, production and delivery of numerous EIRs including the E&B Oil Drilling and Production Project EIR for the City of Hermosa Beach, the Chevron Tank Farm Remediation and Development Project EIR for the City and County of San Luis Obispo, the Phillips Santa Maria Refinery Rail Project EIR for the County of San Luis Obispo, the Carpinteria Offshore Field Redevelopment Project DEIR/EIS for the California State Lands Commission and Bureau of Ocean Energy Management, the Alon Bakersfield Refinery Crude Flexibility Project EIR for Kern County, and more.

Ms. Stephens earned a Bachelor of Science in Business Administration from Chapman University, with a concentration in Marketing.

Mr. Dean Dusette, MRS, will be the preparer for issue area specific sections on Fire Protection, Solid Waste, Recreational Resources, Environmental Justice, and Energy and Mineral

Resources. Mr. Dusette is a Senior Scientist with MRS. Mr. Dusette has 25 years of experience working on permitting, environmental review, permit condition compliance, field inspections and environmental data analysis including 10 years as a Senior Planner with the Santa Barbara County Planning and Development, Energy Division.

Mr. Dusette has worked on a variety of EIR projects including the E&B Oil Drilling and Production Project EIR, the Baldwin Hills Oil Field CSD & Monitoring Project, the Ellwood Marine Terminal EIR project, the Ellwood Line 96 Pipeline Project, the Dos Pueblos Pipeline Removal Project, and the ExxonMobil Cable C Project.

Mr. Dusette received his B.A in Geography from the University of California Santa Barbara. Mr. Dusette is a CalEPA Registered Environmental Assessor and has 40 Hour Hazwoper Certification.

Mr. Perry Russell, Leidos/SAIC, will be the Principal Investigator for Hydrology and Water Quality, and Soils and Geological Resources. He has 26 years experience as a geologist, including 17 years preparing technical sections for CEQA documents. Technical sections completed by Mr. Russell include geological resources, water resources, wastewater, hazardous materials, and safety, related to a number of oil and gas projects, including the Orcutt Oil Field Expansion Project, the Venoco Paredon Project, the Matrix Oil Whittier Main Oil Field Project, the PXP Inglewood Oil Field Expansion Project, the Venoco Line 96 Project, the Venoco Ellwood Marine Terminal Abandonment Project, the Tranquillon Ridge Offshore Drilling Project, and the Molino Gas Development Project. He also prepared sections for the Plains All American Pipeline, L.P., Pier 400, Berth 408 Project, in the Port of Los Angeles. Mr. Russell is a California Professional Geologist, California Certified Engineering Geologist, and has several years experience as a petroleum geologist. Mr. Russell has also completed projects in San Luis Obispo County, including an EIR associated with a proposed temporary storage facility for radioactive waste at the Diablo Canyon Nuclear Power Plant.

Karen Foster, Ph.D., RPA, Leidos/SAIC, will serve as Principal Investigator for Cultural Resources. Dr. Foster is also the Cultural Resource Manager for Leidos' Carpinteria office and a faunal (animal bone and shellfish) analysis specialist with over 20 years of archaeological project experience, in compliance with Sections 106 and 110 of the National Historic Preservation Act, the National Environmental Policy Act, and the California Environmental Quality Act. She has conducted or managed cultural resource CEQA projects for proposed wind farms in Kern County; development projects in the Port of Los Angeles; expansion of the Simi Valley Landfill; water supply projects at Tejon Ranch, Castaic Lake, and along the Santa Ana River; as well as many other projects. NEPA work includes projects on MCB Camp Pendleton, MCAS Miramar, MCAS Yuma, MCLB Barstow, Bob Stump Training Range Complex, MCMWTC Bridgeport, NTC Fort Irwin, NAS Fallon, Los Angeles AFB, USFS Humboldt-Toiyabe National Forest, USFS Cleveland National Forest, and BLM El Centro region. Her experience encompasses all phases of archaeological fieldwork, including archaeological surveys, site significance and evaluation testing, data recovery mitigation programs, archaeological monitoring projects, and preparation of Integrated Cultural Resource Management Plans (ICRMP). In addition to her faunal analysis expertise, Dr. Foster is an expert in the interpretation of coastal hunter/gatherer groups, North American and Andean prehistory, and archaeological artifact curation. Dr. Foster not only is an experienced cultural resources manager, she also understands how these studies relate to larger environmental issues and regulatory requirements. Dr. Foster is a Registered Professional Archaeologist (RPA).

3.2 Project Management Program

This section discusses MRS' proposed project management program that will be used to meet the requirements of the Scope of Work identified in the RFP. This includes our approach to technical direction and control, cost control, schedule control, project reporting, editorial review, quality control, and management of subcontractors.

MRS specializes in the management and successful completion of complex, multidisciplinary environmental review projects. We have many years of experience in project management and believe we offer a strong project management component as part of this proposal. Our case management standards are applied to both small and large contracts to ensure that our work is of the highest quality, meeting the needs of our clients within the agreed upon budgets. We have successfully used this approach with many past environmental and technical assignments

The most important project management elements associated with this assignment is expected to be focused on adherence to tight schedules, quality control, and communications. Close communication will be needed between the Project Manager, the staff working on the project, and especially with the City. Formal communication will focus on the deliverables agreed upon for each task assigned. In addition, we expect very close informal communication on a day-to-day basis, mostly by telephone or email. We also will prepare monthly progress reports identifying the work completed during the previous period, any issues encountered, and plans for the upcoming month.

3.2.1 Management Team Roles and Responsibilities

MRS uses a three-tiered approach to managing environmental review projects. The first tier is the Project Manager or Deputy Project Manager, who will provide day-to-day direction to the team and who will interact with the City on a regular basis. The second level consists of the Issue Area Coordinators who are responsible for overseeing the development of their respective issue areas. The third level is the Principal Investigators, or technical experts, who will conduct a large amount of the work. The Issue Area Coordinators are responsible for managing the technical experts within their issue areas.

Project Manager
The Project Manager or Deputy Project Manager will be responsible for the following major activities:

- *Compliance with City Guidance.* This will include regular working sessions with the City regarding the overall progress of the study.

- *Contract Compliance.* Systematic review of the contract to make certain that the individual provisions and commitments are being met.
- *Progress Reporting.* Includes preparation of the monthly status reports, which will contain information on the technical progress as well as the project expenditures.
- *Budget Tracking.* Includes monitoring expenditures on a week-to-week basis and reporting this information.
- *Interdisciplinary Coordination.* This activity will involve the identification of cross disciplinary impacts and the coordination of information flow among the various issue areas.
- *Staffing Adequacy.* This activity will ensure that key staff are available when their input and participation are required.
- *Management of Subcontractors.* These liaison responsibilities will include establishing contractual agreements, as well as tracking deliverables and billing, to assure the coordination of subcontractor activities.
- *Quality Control.* This activity will include the review of all quality assurance guidelines and will provide a quality control function on the preparation of the environmental or technical review document.
- *Report Production Control.* This will include the organization of production requirements for the numerous draft and final report deliverables. These major deliverables will be coordinated by MRS' Ventura Office.
- *JRP coordination.* This will include the coordination of teleconferences and JRP meetings including agendas and meeting minutes.

Issue Area Coordinators
Serving as front line managers, the Issue Area Coordinators will direct the technical work of the Principal Investigators for their respective issue areas. Their responsibilities will include:

- Review and approval of work plans, schedules and budgets for their Principal Investigators;
- Development of quality assurance guidelines for all field work being conducted by their Principal Investigators;
- Review and quality control of the technical documentation that is developed by their Principal Investigators;
- Preparation of the document sections that cover the coordinators' respective issue areas; and
- Preparation of monthly progress reports for their respective issue areas.

4.0 Study Methodology

This section discusses the MRS approach to preparing the EIR for the Venoco Paredon Project. Throughout the project MRS will take direction from the City and follow the City's EIR standards, practices, and guidelines, and the CEQA Guidelines issued by the State Office of Planning and Research.

The main purpose of the EIR will be to:

- Evaluate the environmental impacts associated with the Applicant proposed project.
- Develop feasible alternatives which meet most of the basis objectives of the project, and which have the potential to eliminate significant impacts caused by the proposed project.
- Develop mitigation measures that can serve to reduce the level of significance of project and alternative impacts.

The results of the EIR analysis will be used by the public and governmental agencies in making decisions on the project.

This section of the proposal is broken down into three major sections. The first section provides a general discussion of the proposed approach to each of the major tasks listed in Attachment A of the RFP. The second section presents the proposed approach to the baseline site analysis and the last section provides the detailed scope and approach to each of the environmental issue areas.

4.1 General Approach to Project Tasks

This section briefly discusses the proposed approach to each of the major tasks listed in Attachment A of the RFP.

4.1.1 Task 1. Baseline

The proposed Venoco Paredon project will make extensive use of the existing oil and gas infrastructure at the Carpinteria Oil and Gas Processing Facility (CPF). This facility was originally built in 1959 to receive oil and gas from Platforms Hazel, Hilda, Hope and Heidi, all of which were decommissioned in 1996. The CPF also began to process oil and gas from Platform Grace in 1980 and Platform Gail in 1988.

The main objective of the baseline site analysis will be to assess the current conditions of the existing processing and transportation facilities, including baseline risk analysis, environmental, regulatory and operational conditions. This will be accomplished through a review and update of the previous Draft EIR from 2008, as appropriate. The operational integrity review will address the oil and gas processing equipment as well as the associated oil, gas and waste water pipelines.

This baseline assessment is very important since it will establish the baseline against which the project impacts will be assessed. It is also important to develop an understanding of what level of risk the existing facilities pose to the public, and what are the key risk drivers.

The Paredon Prospect is estimated to contain recoverable reserves of approximately 20.5 million barrels of oil and 30.8 billion standard cubic feet of natural gas. The proposed facilities would be integrated with existing onshore oil and gas processing, pipeline transportation and storage facilities. The peak production rates are estimated at 9,000 Barrels of Oil Per Day (BOPD) and 13 Million standard cubic feet per day (MMSCFD) of natural gas. The actual project life for Paredon will be dependent on future commodity prices and actual reservoir performance. Venoco estimates that Paredon production will be viable for 20 years once production is started.

The proposed project presents a number of unique aspects that will need to be addressed as part of the environmental review process. One of the key issues will be the establishment of the baseline for the project. In particular, it will need to be determined if the proposed project will result in an extension of life of the CPF.

The proposed Venoco Paredon project will make extensive use of the existing oil and gas infrastructure at the CPF. This facility was originally built in 1959 and currently handles production from Platform Gail and Platform Grace. Venoco estimated in their 2006 Paredon Project application that production from the existing fields would continue through at least 2020. Therefore, it is possible that the addition of the Paredon Project could extend the operational life of the CPF beyond its current economic limit. If the project would result in an extension of life of the facility, then the EIR will need to evaluate the impacts associated with the extension.

Another key question is to quantify the baseline throughput at the CPF. Is it the permitted capacity of the facility or the current throughput? MRS believes that the baseline should be the current throughput, and as such, the EIR will need to evaluate the impacts associated with increased throughput.

Another objective of the baseline site analysis will be to review and evaluate the current state of contamination at the CPF and determine what, if any, remedial efforts are occurring at the facility.

The final objective of the baseline site analysis will be to review the current environmental/safety programs at the CPF, as well as the facility's regulatory compliance.

4.1.2 Task 2: Project Description and Alternatives

This section of the proposal discusses the proposed approach to the development of the project description and the alternatives analysis.

Project Description

The project description will be developed based upon the information that the Applicant has submitted to the various agencies as part of their applications. There are a number of data that will be necessary regarding the proposed project description for an evaluation of the impacts.

The project description chapter will discuss the need for the project, as well as all of the proposed actions that will be taken by the Applicant in order to implement the project. The project description will be broken down into construction activities, drilling operations, production, and abandonment. As part of the drilling operations, the proposed exploratory program will be discussed along with the proposed full development and the potential development into offshore reservoirs.

Given that the project involves the use of the existing CPF, the project description will provide a discussion of the existing Carpinteria Field operations and facilities, as well as a discussion of the crude oil and natural gas pipeline systems that are used to transport the oil and gas from the CPF. This will provide the reader with a clear understanding of the existing infrastructure that will be used for the proposed project without having to review other documents.

As we begin developing the project description chapter we would propose to work closely with the Applicant and the City to assure that the project description accurately reflects the proposed project. It is likely that as the project description is developed, additional information will be needed from the Applicant. MRS will submit data request forms to the City that provide a detailed description of the data needed and the reason for the request. These forms will also include a due date for the information so that the overall schedule can be maintained.

Once a draft project description has been developed it will be submitted to the City for review and comment. MRS would also suggest that the Applicant be given an opportunity to review the project description to assure that it accurately reflects their proposed project. This is extremely important since the project description data will serve as the basis for assessing the impacts associated with the proposed project. As discussed in Section 6.0, the project description chapter of the EIR will also be used as part of the NOP.

Alternatives Analysis
The California Environmental Quality Act, Section 15126.6, requires an EIR to describe a reasonable range of alternatives to a project or to the location of a project which could feasibly attain its basic objectives and evaluate the comparative merits of the alternatives. CEQA Guidelines Section 15126.6 provides direction for the discussion of alternatives to the proposed project. This section requires:

A description of "...a range of reasonable alternatives to the project, or to the location of a project, which would feasibly attain most of the basic objectives of the project but would avoid or substantially lessen any of the significant effects of the project, and evaluate the comparative merits of the alternatives." [15126.6(a)]

A setting forth of alternatives that "...shall be limited to ones that would avoid or substantially lessen any of the significant effects of the project. Of those alternatives, the EIR need examine in detail only the ones that the lead agency determines could feasibly attain most of the basic objectives of the project." [15126.6(f)]

A discussion of the "No Project" alternative, and "...If the environmentally superior alternative is the "no project" alternative, the EIR shall also identify an environmentally superior alternative among the other alternatives." [15126.6(e)(2)]

A discussion and analysis of alternative locations "...that would substantially lessen any of the significant effects of the project need to be considered for inclusion in the EIR." [15126.6(f)(2)(B)]

For this EIR, it is critical that a defensible alternatives analysis is developed which meets the following objectives:

- The alternatives analysis is comprehensive enough to assure that it has looked at a reasonable range of feasible alternatives to the proposed action.
- That the alternatives analyzed throughout the document are limited to only those that could feasibly attain the Applicant's basis objectives for the project, and that have the ability to reduce significant impacts associated with the proposed action.

In order to accomplish these objectives we are proposing to use an alternatives screening analysis. The use of an alternatives screening analysis provides the basis for selecting alternatives that meet the second objective listed above, provides a detailed explanation of why other alternatives were rejected from further analysis, and assures that only feasible alternatives which have the ability to reduce significant impacts and meet the basic objectives of the project are evaluated and compared in the EIR.

This screening methodology also uses the *"rule of reason"* approach to alternatives as discussed in CEQA (Guidelines Section 15126.6(f)). The rule of reason approach has been defined to require that EIRs address a range of feasible alternatives that have the potential to diminish or avoid adverse environmental impacts. In defining feasibility of alternatives the CEQA Guidelines state:

> "Among the factors that may be taken into account when addressing the feasibility of alternatives are site suitability, economic viability, availability of infrastructure, general plan consistency, other plans or regulatory limitations, jurisdictional boundaries (projects with a regionally significant impact should consider the regional context), and whether the proponent can reasonably acquire, control or otherwise have access to the alternative site." (Section 15126.6(f)(1))

If an alternative is found to be technically infeasible, then it would be dropped from further consideration. Typically, this was the primary feasibility factor used to eliminate an alternative without further screening analysis. For example, other onshore locations for the drilling operations may be found to be infeasible given the current state of the directional drilling technology.

In addition, CEQA states that alternatives should "*...attain most of the basic objectives of the project ...*" (Section 15126.6(a)). If an alternative is found to not obtain most of the basic objectives, then it would also be eliminated.

The use of a screening analysis for the alternatives ensures that the full spectrum of environmental concerns is adequately represented, and that a reasonable choice of alternatives is selected for evaluation in the EIR.

Using the approach listed above the alternatives analysis section of the EIR will include a (1) a brief description of a range of reasonable alternatives to the proposed project; (2) a screening analysis that summarizes and compares the significant environmental effects of the project and each alternative; and (3) an environmental analysis of the alternatives that were selected for further consideration in the EIR.

The alternatives that are selected for further consideration will be evaluated in the impacts and mitigation section of the EIR by issue area. This more detailed alternatives impact/mitigation analysis would follow the impact/mitigation section for the proposed project. At this point and based on the RFP, the alternatives that will be looked at include:

- Full field development from an offshore platform, either fixed, semi-submersible or floating.
- Sub-sea well completion (drilling from a mobile barge).
- Drill from an existing platform:
    a. Platform Grace
    b. Platform Hogan
    c. Other nearby platforms with access to Paredon Reservoirs.
- Alternate onshore drill site within Venoco CPF site.
- Other site onshore, either coastal or inland, from which drilling to the Paredon Reservoirs may be feasible.
- No Project Alternative: continued operation of processing at the CPF with no new oil and gas production from PRC 3150 and 3133 or onshore reservoirs and no change to the Zoning Code.
- Alternative Zoning Code Amendment language to create a Coastal Related Industry zone, include performance standards in the Coastal Industry Zone or other alternative language to be developed.
- Such other alternatives as may be mandated by CEQA and applicable plans, laws and ordinances or are suggested through the scoping process.

4.1.3 Task 3: Prepare Notice of Preparation (NOP)

Using the project description the MRS team will prepare the NOP for the project. The purpose of the NOP is to provide the CEQA responsible and trustee agencies, other interested agencies, community groups, and the general public with information on the proposed project and basis for the scope of the EIR.

The NOP will be developed using the City's standard checklist and Environmental Thresholds and Guidelines Manual. Based upon an analysis of the environmental setting, the project will be assessed against the items in the checklist to determine the potential level (i.e., significant, insignificant, insignificant with mitigation, etc.) of environmental impact. The results of this analysis will define the initial scope of the EIR. A draft NOP will be submitted to the City for review and comment.

MRS staff will be responsible for mailing the NOP to all the interested parties and filing it with the State Clearinghouse. MRS will also work with the City in the consultation process that will occur with the CEQA responsible and trustee agencies. These would include, but not be limited to, the California State Land Commission, the California Coastal Commission, the California Department of Fish and Game, U.S. Army Corps of Engineers, the U.S. Fish and Wildlife Service and the Air Pollution Control District.

As part of the NOP process a scoping hearing will be conducted. MRS will be responsible for preparing the presentation materials if requested by the City. MRS will also be responsible for preparing a summary of the scoping meeting.

At the end of the NOP comment period a final scoping document will be prepared and submitted to the City and the project team.

4.1.4 Task 4: Administrative Draft EIR

The preparation of the Administrative Draft EIR is where the majority of project work occurs. One of the first tasks will be to develop a Style Guide for the EIR that provides a detailed outline of the document as well as information on the formatting that will be used. The requirements for maps and figures are detailed in the Style Guide along with a list of appropriate acronyms. A draft Style Guide will be submitted to the City for review and comment. Once the City has approved the Style Guide it will be issued to the project team along with document templates in Word.

The major task for the Administrative Draft EIR is the analysis of the environmental issue areas identified in the NOP and the final scoping document. Each environmental issue area will have following major sections in the Administrative Draft EIR.

- Environmental Setting (i.e., Baseline)
- Impact/Mitigation Assessment (Project and Alternatives)
- Cumulative Impacts
- Mitigation Monitoring Plan

Our overall approach to the development of each of these major sections is discussed below. The detailed methodology that will be used for each of the issue areas is provided at the end of this section.

Environmental Setting (i.e., Baseline)
For most issue areas, the baseline information is expected to be developed from previous studies in the area including the previous 2008 Paredon Draft EIR, field investigations, long-term monitoring activities, regulatory requirements, and other EIRs. The sources of information are likely to include state and local agencies and reports prepared for the Applicant, and previous CEQA documents that have been prepared within the study area. Where data gaps are identified we will conduct further surveys and field investigations to fill those data gaps. We have assumed that some limited field surveys will be needed to verify existing data. Particular areas where fieldwork will be needed include onshore biological resources, marine biological resources, visual, noise, and safety.

The environmental setting section of the EIR will also include a regulatory setting section for each of the relevant issue areas.

Impact Assessment (Project and Alternatives)
One of the most important tasks in the evaluation of impacts is to develop a set of well-defined significance criteria (or environmental thresholds) for each of the issue areas evaluated in the EIR. We propose to develop the significance criteria prior to our assessment of impacts and to agree on these with the City in advance. Where available, significance criteria will be based upon existing City environmental thresholds. Where criteria do not exist, they will be developed based on criteria used in previous EIRs. With well-defined criteria, the impacts can be classified in terms of significance with a greater degree of confidence. For this project we would proposed to break the impacts into the following categories.

Construction: Impacts associated with construction activities.

Drilling: Impacts associated with the drilling of wells.

New Operations: Impacts due to the operation of new facilities.

Increased Throughput: Impacts associated with the increase in oil and gas throughput over baseline conditions. Impacts associated with increased throughput do not represent new impacts but impacts that exist for the current operations. The baseline oil and gas production levels would be established during the preparation of the NOP. (Typically these are the production levels during the past few years prior to the release of the NOP.) Since the proposed project would increase throughput, it has the potential to increase the magnitude and/or severity of the existing impacts.

Extension of Life: Impacts due to an increase in the expected life of the CPF, if it is determined in the Baseline Site Analysis that the proposed project would extend the life of the facility.

Drilling into Offshore Reservoirs: Impacts that are specific to drilling into the offshore portion of the Paredon leases, including the necessary amendments to the Local Coastal Plan.

The approach to the impact assessment for each issue area is discussed in more detail in Section 4.3

Mitigation Measures/Residual Impacts (Project and Alternatives)
One of the major goals of an EIR is to identify potential impacts and then to develop reasonable, feasible and effective mitigation measures to reduce the impacts to insignificant. During the course of preparing an EIR, mitigation measures are identified by issue area. Coordination between issue areas is important, as many times mitigation measures in one issue area are not carried through into other issue areas to determine if any residual impacts exist.

For the significant impacts, mitigation measures will be developed to reduce the level of significance, if possible. The mitigation measures that we develop may be design changes, technology-based measures, new or revised management systems for project operation, and/or administrative procedures to ensure that certain processes and/or environmental conditions are carefully monitored. The mitigation measures will address primary and secondary impacts associated with the project.

In our approach to evaluation of impacts, we will distinguish between impacts before and after mitigation. Significant impacts that cannot be mitigated to a level of insignificance will be categorized as Class I impacts. Class II impacts are those that are significant prior to mitigation but can be mitigated to a level of insignificance. Class III impacts are impacts that are adverse but not significant prior to mitigation. For Class III impacts, mitigation measures may be recommended if they could reduce the adversity of the impact. Class IV impacts are beneficial impacts.

Cumulative Impacts
The cumulative impact portion of the assessment is designed to address the cumulative impacts associated with reasonable-foreseeable projects within the study area. One of the first steps in the cumulative analysis will be to work with the City and other agencies in developing a cumulative projects list. We propose to work with the City and other responsible agencies to determine which of these projects should be included in the cumulative analysis. Using this data, a cumulative projects description will be developed which will detail all projects on the cumulative list. The cumulative projects description will be submitted first to the City for review and approval, and then to the project team.

Mitigation Monitoring Plan
The mitigation measures and the mitigation monitoring plans developed for each issue area will be consolidated into a comprehensive mitigation monitoring program. The monitoring program will identify all mitigation monitoring requirements placed on the City and other agencies, and also the reporting requirements of the Applicant. The need for subsequent verification by on-site inspection will also be defined in the monitoring program, together with any "post construction" monitoring that may be required to evaluate the effectiveness of the mitigation measures, and a dispute resolution procedure in the event the monitoring program generates disputes between the relevant agency and the Applicant.

The mitigation monitoring and reporting plan will provide a list, by topic, of all proposed mitigation measures. For each measure, a summary will be provided that details the requirements of the proposed measure, and what, if any, approvals are needed from various agencies. The plan will also include a table that provides the following information:

- Impact
- Mitigation measure and ID number
- Location
- Action required by the Applicant
- Monitoring or reporting mechanisms
- Timing of mitigation measure implementation
- Effectiveness/compliance criteria
- Party responsible for verification
- Method of verification
- Monitoring and reporting schedule

These mitigation monitoring criteria will be developed for each issue area for each mitigation measure that is proposed. The draft mitigation monitoring plan will be provided to the City at the same time as the Administrative Draft EIR. A summary of the plan will be included in the Executive Summary of the EIR.

Comparison of Alternatives
As required by CEQA, a determination will be made as to the environmentally superior alternative. The determination of the environmentally superior alternative will be performed by conducting a comparative analysis for all issue areas of the mitigated impacts for each alternative evaluated throughout the document. Alternatives which are found to be unfeasible, would not reduce significant impacts over the proposed project, or would not meet the project objectives, would be dropped from further consideration and would not be included in the comparison of alternatives.

4.1.4.1 Safety, Risk of Upset and Hazardous Materials

This section presents the scope and approach for assessing potential safety and public risk impacts associated with the proposed project, alternatives and cumulative projects. The Paredon Project could pose a number of potential safety impacts (injuries and deaths) and environmental impacts due to a variety of potential upset conditions. These upset conditions include well blowouts during drilling and production, oil and gas leaks or ruptures of the crude oil pipelines, leaks or ruptures of the onshore gas pipeline and transportation of natural gas liquids (NGLs). Some of these impacts are currently associated with existing facility activities, but the duration of the potential impact to the public and the severity of the impact could rise due to the increased activities onshore and the increased oil and gas production levels and extension of the life of the facility resulting from the new production.

General Approach/Methodology
Risk is generally expressed in terms of occurrences of an event (i.e., fatality, injury, oil spill, etc.) per year in combination with the consequences of the event. The County's risk guidelines also apply risk quantification on an annual basis. The proposed project would introduce new potentially hazardous activities (i.e., increased transportation of hazardous materials, drilling, etc.), increase existing hazardous activities, increased pipeline throughput, and processing and

storage capacities. The hazardous materials/risk of upset analysis will quantify the current risk baseline and evaluate potential changes in risk associated with the proposed activities and alternatives. The analysis will utilize the County's established risk guidelines to evaluate the significance of potential incremental risk increases/decreases associated with the proposed project and alternatives. The analysis will focus on evaluating the proposed increases in production, processing, and storage, use and transportation of hazardous materials.

The significance of potential impacts will be quantified using the County's significance criteria for public safety. These criteria would only be used for potential toxic exposure, fires and explosions. If potentially significant impacts are identified, mitigation measures will be proposed, where possible, to reduce the impact to a level of insignificance.

Impact Assessment (Project and Alternatives)
The risk of upset section has been divided into four parts. The first covers the change in pipeline throughput, the second covers changes in CPF design, the third covers increases in hazardous material transportation while the forth covers the potential for unexpected hydrogen sulfide levels from the project.

Pipeline Throughput Increase
The risk of upset analysis will focus on potential spills from the pipeline and the hazards associated with these spills. The risk of upset section will address the current baseline conditions and provide estimates of existing spill volumes along the pipeline in the area of sensitive receptors as defined in the biology and water resources section. For the impact section revised spill volumes will be developed based upon the higher throughput.

Another important area for risk of upset is the failure rate for the pipeline. The California State Fire Marshall (CSFM) Hazardous Liquid Pipeline Risk Assessment collected a substantial amount of data on failure rates for crude oil pipelines. MRS has developed a model from this data that can be used to estimate failure rates based upon operational parameters. We would propose to use this database to develop failure rates for the baseline and proposed project to determine if the increase in operating conditions would have an impact on the expected failure rate of the pipeline. Federal databases on pipeline failure rates will also be assessed.

Further adjustments to pipeline failure rates would be made to account for historical and extrapolated pipeline corrosion rates, damage to pipeline coating and any other issues associated with the construction of the pipeline that may have impacted the overall likelihood of a failure. This analysis is critical given past experience with corrosion in the crude oil pipeline. Historical data are available that can be used to estimate changes to pipeline wall thickness over time. Generally, pipeline failure rates increase as wall thickness decreases. We would propose to evaluate the existing pipeline corrosion test data and adjust pipeline failure rates to account for decreases in wall thickness over time. Pipeline maintenance activities will also be evaluated in the estimate of pipeline failure rates. Based on previous analyses conducted as part of other pipeline projects, we will examine potential fire and explosion hazards associated with crude oil transportation.

All crude oil associated with the proposed project would be shipped via the existing 10-inch diameter Carpinteria to Rincon Common Carrier Crude pipeline (10" Mainline), which was previously owned and operated by Chevron is currently owned and operated by the Ellwood Pipeline Inc., (EPI), a subsidiary of Venoco, Inc. The Rincon pipeline would transport crude oil between the CPF and the Rincon Onshore Separation Facility (ROSF). From the ROSF, crude oil would be shipped to Ventura via the Ventura Pipeline System (VPS) 22-inch and 12-inch main lines from the ROSF to the Shell Ventura Station and Conoco Phillips (Tosco) terminal at Ventura Harbor. MRS will evaluate potential spills from these pipelines as part of the EIR analysis. From Ventura, project-related crude oil could be transported via several common carrier pipelines that are not associated with Venoco or their subsidiary EPI. Therefore, hazards associated with crude oil pipelines between Ventura and Los Angeles area refineries will not be evaluated in the EIR.

The significance of potential impacts will be qualified using the County's significance criteria for public safety. If potentially significant impacts are identified, mitigation measures will be proposed, where possible, to reduce the impact to a level of insignificance.

Project Design Changes

Numerous design and operational changes have been proposed for the CPF and related facilities. Facilities that would be evaluated include the CPF gas processing equipment and the CPF oil processing equipment.

Using Fault Tree Analysis (FTA), we will evaluate potential changes in system safety posed by the proposed project and alternatives. Specific project design changes at the CPF and oil/gas pipeline facilities will be evaluated. The results of the FTA will be used with a consequence analysis to evaluate the incremental changes in risk over the baseline. Should significant changes to system reliability be identified, mitigation measures will be proposed to reduce potential hazards.

Hazardous Material Transportation

Similar to the approach to evaluating project design changes, hazardous material transportation will be evaluated to estimate the incremental changes in risk over the current baseline.

The proposed project would result in increased use of natural gas odorant at The Gas Company odorant station, thus resulting in more frequent truck deliveries of odorant. MRS has already evaluated the risk associated with The Gas Company odorant station and odorant transportation, establishing baseline conditions. This analysis will be expanded to evaluate the incremental increase in odorant station risk.

The proposed project will also require increased usage of hazardous materials at the CPF and disposal of hazardous waste streams. The project may increase the transportation of natural gas liquids, and, if so, this impact will also be evaluated.

Hydrogen Sulfide Contingency

The produced gas associated with the proposed project is expected to be sweet and not contain hazardous levels of hydrogen sulfide. Crude oil in the Vaqueros formation does contain about

0.5% sulfur, which would indicate the potential for some sour gas formation, but not at the levels associated with other projects in the County that produce from the Monterey formation. However, in spite of the limited potential for sour gas, MRS will evaluate reservoir characteristics and evaluate the potential for sour gas and associated hazards.

Mitigation Measures
Mitigation measures will be proposed for each hazard that has the potential to impact public safety. The mitigation measures will be evaluated in terms of feasibility, adequacy and most importantly, effectiveness. Two key considerations in the application of specific mitigation measures will be the specific phase or activity of the proposed project or alternative, and the location of people in proximity to project-related activities.

Cumulative Impacts
The cumulative impact analysis will consider future oil and gas development projects, as well as the expansion of existing oil and gas facilities in the region. While risk is generally localized in the immediate vicinity of a facility and does not increase with the development of relatively distant projects, transportation hazards associated with unrelated projects throughout the County can result in a significant cumulative risk. The cumulative impact assessment will focus on cumulative transportation hazards for reasonably foreseeable projects that would have the potential to increase cumulative transportation risks. While unlikely, the cumulative analysis will also evaluate the cumulative risk associated with future development in the immediate vicinity (i.e., any location where potential risks can overlap).

4.1.4.2 Terrestrial Biological Resources

This section presents the scope and approach for assessing the project and alternative impacts for onshore biological resources. Modification of undeveloped areas within the CPF could result in disturbance or loss of vegetation and wildlife habitat. Some construction activities would be within previously disturbed areas potentially creating no new biological impacts. Construction activities could, however, result in an adverse increase in lighting and noise impacts, and erosion and sedimentation in adjacent areas. Pipeline maintenance and repair, if needed, would result in potential removal of native vegetation and wildlife habitat and erosion and sedimentation as a result of ground disturbance. Pipeline repair may injure or eliminate individuals or colonies and habitat of state or federally listed plant species and may cause injury or mortality to individuals and affect habitat for species of local concern and state- and federally-listed wildlife species.

A pipeline leak or rupture onshore could result in an oil spill and subsequent significant and unavoidable degradation of terrestrial habitats, as well as the marine environment, and injury to plants and terrestrial and aquatic wildlife through direct toxicity, smothering and entrapment as well as through resultant clean-up efforts. A spill and/or subsequent clean-up effort may directly or indirectly cause the loss of habitat and individuals or colonies of state-or federally-listed plant species. An oil spill and/or subsequent cleanup effort may directly or indirectly cause the loss of individual state- or federally-listed wildlife species or cause the loss or degradation of sensitive species habitat, particularly within the Carpinteria Salt Marsh where Belding's Sparrows, Light footed clapper rails and tidewater gobies are known to exist. An oil spill and/or subsequent clean-up effort may impact designated critical habitat for steelhead, western snowy plover, egrets, California red-legged frog and the Harbor Seal rookery.

General Approach/Methodology.
The biological analysis for the proposed Venoco CPF site EIR will focus on the expansion of the oil and gas production facilities currently at the site. It will be based on existing data supplemented with a site-specific field reconnaissance of the habitats that could be affected by the Paredon wells development, and potential oil spill and subsequent repair and clean-up activities.

Baseline Environmental Setting
Onshore biological resources include terrestrial habitats and biota, including vegetation, wildlife, and potentially sensitive wildlife and threatened and endangered species. In addition, the project site is located near the Carpinteria Salt Marsh which provides habitat and resources for numerous federally and state listed wildlife and plant species including Belding's savannah sparrow, light-footed clapper rail, and tidewater goby. Critical habitat is present in the general project area for California red-legged frog and western snowy plover. Other important onshore biological resources and sensitive species in the project vicinity include California brown pelicans, and raptor foraging and nest sites.

The California Natural Diversity Data Base (CNDBB) will be referenced to identify previously recorded observations and potential presence of sensitive species within and adjacent to the CPF project site. This information will be supplemented by reviewing City of Carpinteria data bases, recent CEQA compliance documents, and technical reports prepared for the City. Biological inventories maintained at the Santa Barbara Museum of Natural History and the University of California Herbarium will be consulted. Biological managers of these facilities, as well as Carpinteria Beach State Park rangers, will be consulted to supplement biological resources data documentation.

Impact Assessment (Project and Alternatives)
Onshore biological issues associated with the project include its potential adverse effects on the onshore environments in the vicinity of the project. In particular, the following potential effects will be assessed:

- Increased lighting and glare affecting offsite habitats;
- Increased equipment noise affecting offsite habitats;
- Increased erosion and sedimentation during construction affecting adjacent watercourses and associated habitats; and
- An accidental oil spill and the subsequent cleanup activities and associated ground disturbances, erosion, and sedimentation at the CPF and associated pipelines.

City of Carpinteria Significance Thresholds will be used to determine potential impacts. Impacts on biological resources include:

- Direct effects on individuals or populations of threatened, endangered, or candidate species;

- Disturbances to habitats supporting threatened, endangered, or candidate species;

- A net loss of a functional habitat value of a sensitive habitat, including wetlands, river mouth, coastal lagoons or estuaries, seabird rookery, or Environmentally Sensitive Habitat Area;

- Impeding the movement or migration of fish or wildlife; and

- A substantial (any change that could be detected over natural variability) loss occurring in the population or habitat of any native fish, wildlife, or vegetation, or if there is an overall loss of biological diversity.

Mitigation Measures
Appropriate mitigation measures will be developed, if necessary, to avoid potentially significant impacts. Potential mitigation could include:

- Maximizing the use of existing infrastructure for new equipment mounting;
- Locating new equipment and infrastructure in previously disturbed areas;
- Seasonal constraints to avoid critical biological resources (e.g., nesting birds, etc);
- Avoidance of sensitive resources;
- Modifications to construction activities to reduce, as feasible, impacts to surrounding habitats (e.g., lighting shielding, trash removal, noise barriers, erosion and sedimentation devices, etc.)
- Specific provisions in an Oil Spill Contingency Plan that would reduce impacts resulting from an upset or spill which would include provisions designed to protect nearby sensitive coastal marsh habitat.

Mitigation measures might include mounting new equipment on existing project area infrastructure (such as existing equipment beds) or, if this is not feasible, locating the facilities in already disturbed areas to minimize impacts to vegetation and to avoid conflicts with wildlife.

The residual impact after mitigation will be assessed.

Cumulative Impact Assessment
The cumulative analysis will consider the incremental impact of the proposed project when added to the impacts of other past, present, or reasonably foreseeable future projects on biological habitats in the vicinity. Impacts on native coastal vegetation and wildlife habitat, such as the Carpinteria Marsh, coastal sage scrub habitats, etc., will be emphasized.

4.1.4.3 Marine Water Quality Resources

This section presents the scope and approach for assessing the marine water quality impacts of the proposed project and the alternatives. The remainder of this section discusses the scope and study methodology for marine water quality.

General Approach/Methodology
MRS will assess the potential offshore water quality impacts associated with the proposed project. The impact analysis for the proposed Venoco CPF site will focus on the expansion of the oil and gas production facilities currently at the site. Activities associated with the proposed project that have the potential to degrade or cause impacts to regional water quality are accidental oil spills and well drilling frac-outs. These releases can result in increased water column turbidity and also introduce toxic contaminants into the water column. The purpose of the marine water quality task is to describe the contaminant transport processes in the project area and the degradation to water quality that could result from the proposed project, particularly as it may extend into offshore reservoirs.

Baseline Environmental Setting
The evaluation of marine water quality in the Santa Barbara Channel offshore the project site will rely on empirical physical oceanographic information. Based on a review of data archives and available literature, regional and site-specific water quality parameters will be described and quantified. This data is available from the previous Draft EIR and will be updated and enhanced as appropriate. Meteorological and physical oceanographic measurements and summaries will also be reviewed since these factors are responsible for the path and distribution of potential contaminants in the offshore environment in the event of a spill incident. The distribution of contaminants within the water column is a function of mechanical mixing caused by wind, wave action at the ocean surface, and currents. By review and analysis of meteorological and physical oceanographic data, the spatial distribution and areas of likely impacts can be identified. These analyses will suggest focus areas for impact assessment. The archived directional wave data will be used to describe the currents in the study area and to identify the transport of potential contaminants from the point of release.

Water quality data archived at the National Oceanographic Data Center and from numerous hydrographic cruises will be reviewed. Data from major oceanographic programs conducted in the region which incorporated drifting-buoys, current meters, and water quality samples will be reviewed. Example research programs conducted in the project area include the California Monitoring Program (CAMP), the Central California Coastal Circulation Study, and the Santa Barbara Channel-Santa Maria Basin Coastal Circulation Study sponsored by the MMS.

Impact Assessment (Project and Alternatives)
The primary issues that will be addressed in the EIR regarding potential impacts to marine water quality from the proposed project and alternatives include the following:

- Identify impacts to marine water quality resulting from oil spills and drilling frac-outs.
- Describe transport mechanisms responsible for the dispersal and transport of oil and contaminants in the marine environment following a spill event or drilling frac-out.

Mitigation Measures
Viable mitigation measures will be developed for each significant impact. Each mitigation measure will be specific to the project. Mitigation measures for secondary impacts (e.g. indirect impacts to marine biota) will also be identified.

4.1.4.4 Marine Biological Resources

This section presents the scope and approach for assessing the marine biological resource impacts of the proposed project and the alternatives. The remainder of this section discusses the scope and study methodology for marine biology.

General Approach/Methodology

The proposed project area, located in the Santa Barbara Channel, lies along an important migration route for marine mammals, fishes, and seabirds. The area also contains diverse and rich assemblages of resident marine flora and fauna, and the marine resources in the Channel support important commercial fisheries, mariculture, and kelp harvesting. Recreational activities associated with biological resources also occur in the nearby waters. These include sport fishing, diving and snorkeling, bird and whale watching, and tide pooling. The marine life in the waters offshore Carpinteria also provide a resource for teaching and scientific research for educational institutions.

A principal are of interest is the seal haul-out area located to the immediate south of the CPF. Increased activities, such as drilling, at the CPF site could increase noise and lighting in the area, which could impact the seal haul-out areas.

The Santa Barbara Channel region is considered to be a transition zone between two biogeographic provinces. The Oregonian Province lies to the north and the Californian Province to the south (Valentine, 1966; Newman, 1979). Point Conception is usually generalized as the boundary between the two provinces but instead of a distinct break at the Point, there is a zone of overlap of about 4 to 5 degrees of latitude.

A wide variety of marine habitats occur in the vicinity of the project area. They include sand bottoms and rocky reefs, kelp forests, and sandy and rocky beaches. Other important biological resources including estuaries, the Carpinteria salt marsh, the Carpinteria Seal Sanctuary (harbor seal rookery), and the threatened or endangered species such as California brown pelicans, white abalone and an assortment of marine mammals, also occur along the coast. The objective of this task of the EIR will be to identify, evaluate, and mitigate the potential impacts that could occur to marine resources in the project area as a result of the proposed project. The environmental setting and impact assessment for these resources will be developed from the existing literature and maps, communications with relevant biological managers (including Carpinteria Beach State Park rangers, and marine mammal monitors), and site visits to establish the primary haul-out areas at the Carpinteria Seal Preserve.

Baseline Environmental Setting

The environmental baseline will be based on both area-specific and regional characterizations. Site-specific data that is available will be used to assess project-specific impacts. Regional characterization will be included to place site-specific information in proper perspective. Should site-specific data be lacking in certain instances, regional characterizations will be important in developing a setting for the project area.

Two categories of studies have been conducted in the project area. The first category consists of site-specific surveys conducted for the petroleum industry around specific offshore platform or pipeline sites in support of development permits. The second consists of large reconnaissance or monitoring programs sponsored by state and federal agencies (e.g., MMS) in response to concerns over possible long-term cumulative environmental impacts resulting from the development of major offshore oil fields along the California coast.

A sizeable compendium of baseline information is also available through previous EIR/S analyses that have occurred in the project area, including updating the 2008 Draft Paredon EIR. Some sections of the marine mammals and sea turtle parts of the old EIR will not need much revision. Other sections will need extensive revision in order to comply with the requirements of the regulatory agencies as to population status, distribution, stock sizes, protected status, and even new scientific names in some cases. The methods of estimating harbor seal population sizes from censuses have also changed. The impacts of shark predation on pinnipeds in this region and at Carpinteria in particular have escalated dramatically. New data are available on the distribution of harbor seals and Steller sea lions throughout Santa Barbara County. The National Marine Fisheries Service (NMFS) has come up with new criteria for assessing potential impacts from both airborne and underwater anthropogenic noise.

The field work for the old EIR, including the censuses conducted at night, was performed in late 2006. New censuses must be conducted to assess current harbor seal population levels at Carpinteria. In general, the best time to conduct censuses there is during early morning low tides in the daytime when the beach is closed during the pupping season and public access is not allowed. Peak abundance would likely be expected from mid-February through March. Summer and fall, when harbor seals molt and even greater numbers are present, are generally the best times to conduct nighttime censuses. (Last time nighttime surveys were conducted in September and October.) Nighttime surveys could also be conducted during pupping season this spring. In addition, a review of the data from the Carpinteria Seal Watch would be undertaken.

The marine biological areas that will be addressed are as follows:

Benthic Resources–Benthic biological communities consist of organisms living on or in ocean sediments as well as those living on hard substrates should rocks or reefs be present in the area. The offshore study area, for the most part, is composed of sandy sediments with intermittent rock outcroppings. Intertidally and subtidally, sediments range from coarse to fine sands.

Impacts to benthic communities caused by habitat disturbance or damage, oil spills, or degradation of water quality will be described in the EIR.

Plankton–Data for plankton communities off southern California will be used in the regional characterization and augmented with data from the Santa Barbara Channel. Species at risk (e.g., ichthyoplankton and fish larvae) from potential impacts caused by drilling frac-outs and oil spills will be described in the EIR.

Fish–A regional discussion of both commercial and non-commercial species of fish in the study area will be provided in the EIR. From the baseline, fish species at risk will be identified. Potential impacts to the fish community caused by drilling frac-outs, lighting, noise, and oil spills will be identified.

Marine Mammals–Dohl et al. (1983a) have reported 27 marine mammal species in the Santa Barbara Channel. These species can be divided into three broad categories: 1) migrants that pass through the area on their way to calving or feeding grounds, 2) seasonal visitors that remain for a few weeks to feed, or 3) residents of the area, such as harbor seals. The life history information for marine mammals that occur in the study area will be described as well as their regulatory status (e.g., endangered, threatened, candidate, or species of concern). Direct or indirect impacts, such as those caused by noise, lighting, disturbance (e.g., to migration, haul-out, breeding/pupping), oil spills, gas releases, and drilling frac-outs will be determined. Resident or migrant marine mammal species that are dependent upon sensitive habitat types will also be identified.

Marine Turtles–Although none of the turtle species are common to the project area, they do occur as occasional visitors to the region and will be included in the EIR. Impacts to marine turtles resulting from noise, lighting, habitat disturbance, oil spills, and drilling frac-outs will be assessed in the EIR.

Seabirds–Species found in the proposed project area are far ranging species and come from all corners of the Pacific Ocean, Bering Sea, Arctic Ocean, inland North American, and the North Atlantic. Resident as well as migratory seabirds and their seasonal distributions will be included in the environmental baseline. Nesting or breeding sites, feeding areas, roosting sites, and other important habitats that are vulnerable to project related impacts will be identified. Behaviors (e.g., surface feeding, diving, skimming) that contribute to a species vulnerability to impacts caused by potential oil spills, drilling frac-outs, gas releases, lighting, and noise will be discussed in the EIR.

Commercial and Recreational Fishing/Kelp Harvesting–An extensive commercial and recreational fishery and kelp harvesting industry exists off the southern California coast. Although specific fisheries in the project area tend to be seasonal in nature, fishing activity occurs on a year-round basis. The purpose of the commercial and recreational fishing and kelp harvesting task in the EIR is to identify and assess the impacts that may occur to these industries from the proposed project. For commercial and recreational fishing, potential impacts will be identified by species, gear type, and port of landing.

The primary issues that will be addressed in the EIR regarding potential effects to commercial and recreational fisheries and kelp harvesting from the proposed project include:

Impacts or losses to commercial and recreational fisheries by species, gear, and season in the project area caused by oil spills or drilling frac-outs (e.g., mortality and tainting).

Impacts or losses to kelp harvesters due to kelp mortality caused by oil spills or drilling frac-outs.

National Marine Sanctuaries and other Federal and State Protected Areas–Potential impacts caused by the transport of spilled oil to the Channel Islands National Marine Sanctuary, Carpinteria Seal Sanctuary, Carpinteria State Beach, Carpinteria Salt Marsh, and other refuges or regional protected habitats in the proposed project area are of special concern. The potential for transport of spilled oil to these areas and their resulting impacts will be included in the EIR.

Impact Assessment (Project and Alternatives)
The impact assessment will focus on the activities that would occur as a result of the project and the project alternatives. Significance criteria will be developed for the assessment and submitted to the agencies for review and comment prior to utilization. In particular, the following potential effects will be assessed:

- Increased lighting and glare affecting offsite habitats;
- Increased equipment noise affecting offsite habitats;
- Increased activity and movement affecting offsite habitats (e.g. disturbances to harbor seal pupping and haul out behaviors from visual stimuli);
- An accidental oil spill and the subsequent clean up activities;
- An accidental frac-out during drilling, and the subsequent clean up activities; and
- An accidental release of gasses affecting offsite habitats.

City of Carpinteria Significance Thresholds will be used to determine potential impacts. Impacts on biological resources include:

- Direct effects on individuals or populations of threatened, endangered, or candidate species;

- Disturbances to habitats supporting threatened, endangered, or candidate species;

- A net loss of a functional habitat value of a sensitive habitat, including kelp beds, intertidal habitat, marine mammal haul out or rookery, coastal lagoons or estuaries, seabird rookery, or Environmentally Sensitive Habitat Area; and

- A substantial (any change that could be detected over natural variability) loss occurring in the population or habitat of any native fish, wildlife, or vegetation, or if there is an overall loss of biological diversity.

Impacts on marine biological resources could result from a number of the alternatives identified in the RFP. Depending upon which alternatives pass the alternative screening analysis, marine impacts may need to be assessed. This would be particularly true for any of the offshore drilling alternatives.

Mitigation Measures
The most likely impacts to marine biological resources would originate from drilling frac-outs, accidental releases of oil or gasses and activities at the site generating noise and/or additional lighting. Appropriate mitigation measures will be developed to avoid potentially significant impacts to marine biology and habitats. Potential mitigation could include:

- Seasonal constraints to avoid impacts to critical biological resources (e.g., nesting birds, harbor seal pupping, peak haul-out periods for harbor seals, etc);

- Diurnal constraints to limit impacts to critical biological resources (e.g., avoidance of peak haul-out periods for harbor seals, etc);

- Modifications to construction activities to reduce, as feasible, impacts to surrounding habitats (e.g., lighting and other visual shielding, trash removal, noise barriers, etc.); and
- Specific provisions in an Oil Spill Contingency Plan that would reduce impacts resulting from an upset or spill would include provisions designed to protect and minimize impacts to the resident harbor seal colony, western snowy plover, brown pelican, as well as other endangered or threatened species, and sensitive habitats.

Impacts associated with implementation of proposed mitigation measures and residual impacts remaining after mitigation will also be addressed.

Cumulative Impact Assessment
Cumulative impacts to marine resources will include other offshore oil projects planned in the project areas and the OCS region. The potential cumulative impacts on marine resources will be identified. Impacts on wildlife habitat, such as the harbor seal rookery, kelp beds, etc., will be emphasized.

4.1.4.5 Onshore Water Resources

This section presents the scope and approach for assessing the project and alternative impacts for onshore water resources.

General Approach/Methodology.
The CPF is located on a coastal marine terrace, approximately 1,500 feet southeast of Carpinteria Creek. Petroleum exploration and production from an onshore location could result in oil or other material spills due to geologic hazards, mechanical failure, structural failure, or human error. Such spills could potentially result in water quality impacts to Carpinteria Creek, shallow groundwater, and the Pacific Ocean.

Baseline Environmental Setting
The baseline environmental setting will describe the regional and local hydrologic setting, including the encompassing watershed, groundwater, surface water runoff, and general water quality. It will be prepared based on a review of published hydrologic maps, published geologic/hydrologic reports, as well as the City's Coastal Land Use Plan and General Plan.

The LEIDOS geologist will supplement the results of the background research with a field reconnaissance to characterize surficial variables, such as topography, areas of previous grading and spoils, and location and surface condition of drainages, if needed.

Impact Assessment (Project and Alternatives)
The City's Environmental Thresholds Guidelines (supplemented by the State CEQA Guidelines Initial Study Checklist, as appropriate), will be used to determine the significance of impacts on hydrological resources. The analysis will include the potential for violation of water quality standards (surface or ground water) as a result of crude oil spillage resulting from natural (i.e., corrosion, weathering, fatigue, or erosion) or man-made alteration of the facilities.

The impact analysis will focus on exploratory drilling and oil production activities, including the potential impacts of a spill from the facilities or along the pipeline route. Proposed drilling and expansion of the existing facility could increase the risk of potential upset of components of the facility and increase the risk of adverse water quality impacts to groundwater, the nearby Carpinteria Creek, and the Pacific Ocean.

In addition to the proposed project, an evaluation will be completed of the alternatives described above. Potential hydrologic impacts associated with offshore alternatives will be restricted to potential spills associated with related onshore production facilities for the onshore water resources section. Offshore impacts will be discussed in the marine resources section. With respect to the onshore alternatives, the hydrologic conditions at each site will be reviewed and impacts associated with a potential oil spill will be addressed.

Mitigation Measures
The Venoco Spill Contingency Plan will be evaluated for effectiveness in protecting surface water and groundwater. In the event that inadequacies are detected in the plan, mitigation measures will be provided to further enhance spill prevention and containment at the facility, thereby reducing potential impacts. Particular emphasis will be placed on addressing Best Management Practices for containment of stormwater during construction and during operations. These will include directing runoff to facilities including sediment traps, catchment basins, or bioswales that can be effectively maintained.

Cumulative Impacts
Cumulative impacts to onshore water resources associated with the proposed project and other foreseeable projects also will be evaluated. Possible sources of impacts will be similar to those associated with the proposed project, however, the severity of the impact may be altered by the influence of other existing or planned projects. Examples of possible issues include groundwater capacity and recharge rates compared to planned uses by multiple projects and/or increased potentials for spills from multiple facilities.

4.1.4.6 Geological Resources

This section presents the scope and approach for assessing the project and alternative impacts for geological resources.

General Approach/Methodology.
The CPF is located on a coastal marine terrace, within the Santa Barbara Fold Belt. The south branch of the potentially active Carpinteria Fault traverses the northern portion of the project area. Other potentially active, apparently active, and active faults that traverse this coastal marine terrace include the north branch of the Carpinteria Fault, the Railroad Fault (a subsidiary of the Carpinteria Fault), and the Rincon Creek Fault. In addition, the apparently active Red Mountain and North Channel Slope faults are located offshore in the project area. Proposed oil and gas wells, production facilities, and related infrastructure will be susceptible to damage as a result of an earthquake on any of these nearby faults. Proposed drilling and expansion of the existing facility could result in oil spills due to seismically induced ground failure or other geologic hazards, such as corrosion or excessive erosion. Remediation of such spills would, in

turn, potentially cause soil erosion induced water quality impacts to Carpinteria Creek and the nearby Pacific Ocean.

Baseline Environmental Setting

The baseline environmental setting will describe the regional and local geologic setting, including stratigraphy, soils, faulting, and earthquakes. The impact evaluation will focus on potential geologic hazards, including active faulting in the vicinity of the site, which might result in potential upset of the onshore or offshore portions of the exploration and production facilities. The onshore portion of the project is located on older alluvial sands, which are potentially erodible, as well as shale deposits of the underlying Monterey Formation. Potential geologic hazards, such as seismically-induced ground shaking, fault rupture, liquefaction, tsunami and erosion, will be discussed in general terms with respect to potential infrastructure failure. LEIDOS will collect these data from existing County and City of Carpinteria data sources published geologic and topographic maps, published geologic reports, the Santa Barbara County Seismic Safety and Safety Element, as well as the City's CLUP and General Plan.

Impact Assessment (Project and Alternatives)
The criteria that will be used to determine whether the proposed project has the potential for significant geological impacts will be based on the City's Environmental Thresholds Guidelines, but will generally be considered significant if :

- The potential exists for seismically induced ground movement or related phenomena that could be detrimental to the structural integrity of project components, or has the potential to damage the facility to the extent that crude oil could be released into the environment;
- Natural (i.e., corrosion, weathering, fatigue, or erosion) or manmade alteration of the facilities could result in reduction of structural safety capacity; or
- Oil spill remediation activities could result in excessive soil erosion, gullying, or slope failure and associated sedimentation of Carpinteria Creek and the nearby Pacific Ocean.

Examples of impacts that will be addressed include affects from several active fault lines located within the project vicinity, the potential for drilling to increase slope failures, construction grading resulting in sedimentation, and water erosion creating gullying outside of the CPF facility.

The assessment on probabilities of infrastructure failure derived by the Hazardous Materials/Risk Assessment analysis will be included in the EIR. Expanding the production facilities and/or extending the life of the facility will also require continued monitoring and pipeline replacement activities. The impact assessment will therefore include an evaluation of pipeline and production facility upgrade "dig-ups" due to damaged sections or soil remediation due to infrastructure spills. Potential impacts will include those associated with erosion from removal of vegetation and excavation of contaminated sediments.

In addition to the proposed project, an evaluation will be completed of the alternatives described above. The geologic conditions at each alternative location will be reviewed and both primary geologic hazards (e.g., due to landslides, faulting, etc.) and secondary geologic hazards (e.g., erosion associated with oil spill remediation) will be addressed.

Mitigation Measures
Mitigation for reducing the effects of significant impacts will be developed, emphasizing conveyance of surface water runoff during repair and/or remediation operations and establishment of erosion control measures such as silt fences to minimize sedimentation entering nearby drainages. Mitigation to reduce impacts associated with geologic hazards will also be provided.

Cumulative Impacts
Cumulative impacts to geological resources associated with the proposed project and other foreseeable projects also will be evaluated. Possible sources of impacts will be similar to those associated with the proposed project, however, the severity of the impact may be altered by the influence of other existing or planned projects. Given the local nature of the geological impacts of the project it is likely that few cumulative impacts will be identified.

4.1.4.7 Air Quality

This section presents the scope and approach for assessing the project and alternative impacts for air quality.

General Approach/Methodology
Our general approach to the air quality assessment will be to address both baseline (i.e., existing conditions) and impacts associated with the proposed project and alternatives. The analysis will cover potential impacts from emissions of criteria air pollutants, toxic air contaminants, GHGs and odor causing compounds.

The potential impacts from increased emissions of criteria pollutants will be assessed against the local Air District's threshold criteria and state and federal ambient air quality standards. Guidance will be sought from the Santa Barbara County Air Pollution Control District (APCD) on the assessment of impacts from GHGs and any of the toxic air pollutant sources that are identified. Mitigation measures will be developed in accordance with the Air District's current Rules and Regulations, Clean Air Plan and CEQA Handbook. Toxic emissions and impacts will be assessed using CARB models and methods.

Our analysis will consist of reviewing the proposed project and alternative development scenarios, developing emissions inventories for these scenarios, modeling the impacts where appropriate, and developing mitigation measures for the significant impacts. A mitigation monitoring plan will be developed for the mitigation measures that are developed. Analysis of cumulative impacts will consider future activities at the affected facilities and other projects in the area.

Baseline Environmental Setting
The existing air quality and meteorological conditions will be characterized to provide an environmental setting that the proposed project emissions will impact. The existing and projected air quality will be described for the Carpinteria area. The attainment status in regards to the Ambient Air Quality Standards (AAQS), particularly for ozone (for State and Federal standards) and particulate matter (for State standards), will indicate the areas most sensitive to increases in ambient concentrations of the air pollutants.

Impacts from the emissions of inert pollutants will generally be limited to the regional vicinity of the project and transportation corridors. Thus, for the project location, a study area that includes southern Santa Barbara County and the South Central Coast Air Basin will be selected.

The environmental setting will include characterization of the area with regard to the existing air quality, the regional meteorology, and the applicable air regulations. Much of this information has already been compiled as part of the 2008 Paredon Draft EIR. Therefore, no major effort will be required to establish the necessary database. Existing data will be updated and refined as it applies to the current project. GHG emissions will be assessed and included in the baseline section based on the emissions estimates from the current operations as well as any submissions by Venoco as part of the State Mandatory Reporting requirements, if applicable.

Federal, state and county air quality regulations will be reviewed to identify those items that apply to the project, based on the preliminary issues identified in the RFP and other potential issues such as GHGs and toxic emissions. Discussions with regulatory agencies will be carried out to identify pending regulations that might affect the project, such as the currently draft revisions to the OEHHA health risk assessment guidelines and the soon-to-be updated HARP model. MRS proposes to use the revised HARP model, if it is available, or otherwise adjust the results of the toxics analysis to account for the OEHHA proposed revisions.

A detailed description of the baseline air pollutant concentrations and trends in the region will be prepared based on data from local air quality monitoring stations and GHG inventories. Regional toxic air contaminant concentrations and trends will also be characterized based on any available data from the Air Resources Board and APCD. These various sources will be aggregated into a comprehensive database to characterize site-specific background conditions for inert pollutants.

The baseline will also include an assessment of odor violations and complaints that have been recorded for the CPF. Much of this information is available as part of Venoco's Application.

Very little effort needs to be devoted to the characterization of climate and regional meteorology as this work has been adequately completed in previous assessments.

Impact Assessment (Project and Alternatives)
The development of technically sound emissions inventories for the project will be one of the most important aspects of the air quality assessment. Separate inventories will be needed for emissions associated with baseline conditions, the proposed project and the various alternatives.

Emissions from all equipment used in construction and operations, including pumps, compressors, mobile equipment and other miscellaneous sources, will be estimated using the appropriate emission factors from EPA's AP-42, ARB, EMFAC2011 and CalEEMod emission factors. For any source of toxic air contaminants, emissions will be estimated using the appropriate ARB or EPA emission factors and source speciation profiles, and the CAPCOA Technical Guidance document that was developed for estimating toxic emissions for the Hot Spots program. Emissions of greenhouse gasses will also be assessed for all construction processes and operations.

Air quality modeling related to inert, non-toxic pollutants is not anticipated based on the relatively small increases in emissions that would be associated with the proposed project and the requirement for emission offsets.

The analysis for toxic emissions will be conducted using the most recent version of the Hotspots Analysis and Reporting Program (HARP), developed by CARB. The most recent Health Risk Assessment (HRA) for the facility will be used, in combination with estimated emissions and stack parameters for the current operations and the proposed project, to estimate the risks to the public.

Odor complaints from the APCD will also be examined for the area to determine the historical frequency of odor events. The potential significance of odor impacts will be based on the relative increase or decrease in the frequency of project-related odor events that may result from proposed operations. Mitigation will be developed that could reduce the frequency of odor events.

Mitigation Measures

Impacts associated with both temporary construction and long-term operational activities will be quantified. Mitigation of project emissions will be required for significant impacts. Generally, for non-attainment pollutants, a project is required to reduce emissions to the maximum extent possible using control measures, and then to provide offsets for the remaining operational emissions liability. Mitigation measures are also required for temporary impacts such as fugitive dust and combustion emissions from construction activities.

Cumulative Impacts

Cumulative air quality impacts associated with future projects at the site and other approved projects in the area are of primary interest to regulators and planners in the City of Carpinteria and Santa Barbara County, especially with the stringent requirements for emissions controls required in non-attainment areas under the California Clean Air Act.

Cumulative emissions for pollutants will be estimated for all proposed projects in the vicinity of the proposed project. These emissions will be obtained from previous EIR/EIS documents from similar projects, ATC permits issued by the APCD, the recent update to the Clean Air Plan. Checks will be made with regulatory agencies to identify any proposed projects for the area.

4.1.4.8 Traffic and Transportation

This section presents the scope and approach for assessing the project and alternatives impacts on transportation/circulation.

General Approach/Methodology

Transportation impacts will be assessed by examining the worker-related commuter traffic, the trucks used for delivering construction equipment, the trucks used for delivering and hauling construction materials and wastes and any potential offshore traffic hazards and disruptions associated with an oil spill (related to alternatives). Although this impact may be relatively short-term, the workers' vehicles and trucks hauling equipment and/or material traveling to and from the site could have an adverse effect on traffic flow and safety. Another temporary impact, although potentially significant, is the effect of workers' vehicles parked in the coastal area in the project vicinity.

The onshore study area will include the City of Carpinteria roadway networks that could be affected by the project and alternatives as they pertain to construction and operation related traffic. The study area will also include potential parking areas for workers' vehicles. The offshore study area will include the areas around and down-current of any platforms proposed as alternatives, especially the shipping lanes.

Baseline Environmental Setting
Information and data used in the EIR assessment will be obtained through review of project description material; City and County files and recent transportation analysis reports available from the City and County and other sources (e.g., CalTrans, etc.); consultation with City, County and CalTrans staff; and, as needed, field reconnaissance efforts by the project technical staff. No traffic data collection is proposed. Data that will be used include:

- Traffic characteristics (daily and peak-hour volumes, and level of service) on regional and local study area roadways.
- Physical characteristics (number of lanes, width, etc.) of study area roadways.
- Planned roadway improvement projects, if any, in the study area.
- Marine traffic data available from the Coast Guard and local ports (for alternatives).

MRS will meet with the City to identify the study area and specific roadways and intersections to be addressed and evaluate the existing operational characteristics of the existing road system in the study area. These characteristics will include, but are not limited to the following:

- Level of Service
- Delay
- Roadway surface condition and width
- Constraints

Impact Assessment (Project and Alternatives)
Transportation impact analysis for the project and alternatives will consist of the following tasks:

- Circulation analysis in and around affected project areas as it pertains to activities associated with construction and operations. This will include determining changes in volume to capacity ratios, levels of service and intersection analysis, as needed. Levels of service will be determined using screening techniques as recommended by the City of Carpinteria.
- Determination of peak hours of usage and LOS during these peak hours on affected roadways and intersections based on information from the City of Carpinteria, CalTrans, or recent EIRs.
- Determination of the number and size of vehicles that would be used during project construction and operations, the expected hours of operation of the vehicles, and the ability of the study area roads to accommodate these vehicles.
- Estimation of the number of worker vehicles that would travel to and from the project site during construction and operations, the expected working hours of the construction workers, and the effect their vehicles would have on traffic flow and safety on study area roads.

- Comparison of existing and proposed parking spaces with project parking demands.
- Evaluate project-related operations and maintenance for the creation of new or increased marine transportation hazards (for the alternatives).

Hazards associated with NGL transportation, especially related to any increases in transportation of these hazardous materials over the current, baseline transportation of NGLs from the CPF, will be analyzed in the risk section.

Impacts associated with the project and alternatives will be presented in a parallel manner which will allow the relative impacts to be compared. The comparison will identify the environmental benefits and tradeoffs associated with the various alternatives.

Mitigation Measures
Mitigation measures will be identified and evaluated to reduce or eliminate significant adverse impacts associated with the project, its alternatives, and cumulative development. These measures may include but are not limited to the restriction of vehicles hauling equipment, material, and debris to off-peak hours, the limitation of truck trips per day, the designation of specific truck routes and the designation of specific parking areas. Mitigation measures may also be required to address marine transportation (for alternatives), which could include restrictions of supply boat activities, as well as additional measures that could reduce the potential for significant oil spills.

Cumulative Impacts
Cumulative impacts will be addressed cumulative impacts associated with other proposed projects in the area that might have a cumulative combined impact to traffic and circulation. Residential development proposed for the area would increase traffic on Carpinteria Avenue, for example, which may increase the impacts associated with the proposed project.

Using guidance as to reasonably foreseeable future development in the area provided by the City of Carpinteria and Santa Barbara Counties, the transportation impact analysis will assess the cumulative effects on traffic flow efficiency, safety, and roadway physical conditions in the study area.

4.1.4.9 Noise

This section presents the scope and approach for assessing the noise related project and alternatives impacts.

General Approach/Methodology
Construction and operation activities for the proposed project and alternatives could increase noise levels in the vicinity of the site and along transportation corridors. The noise impact analysis will focus on activity (i.e. drilling) and transportation related noise impacts to communities located near the project site and along transportation routes between the project site and Highway 101.

Construction and operation activity noise levels will be calculated based on the construction schedules and equipment lists developed in the project description. The impact analysis will be based on the relationship between projected noise levels (and the duration of these levels) and applicable policies of the City of Carpinteria and Santa Barbara Counties Noise Elements. Impact criteria will include the noise/land use compatibility guidelines supplemented by annoyance and sleep disturbance criteria as appropriate.

In addition, as truck and vehicle traffic levels would most likely be increased along the transportation routes, the increases in noise as a result of increased truck and vehicle traffic will be assessed. Vehicle traffic may be increased by as much as 20 vehicle trips per day for short periods along selected routes. Federal Highway Administration models for estimating traffic noise will be utilized to assess increased traffic impacts. Community populations with potential exposure to traffic noise will be identified and mapped, including businesses along Carpinteria Ave, recreational areas, such as the Tee Time golf area, and residential areas along Arbol Verde. Also, existing planning documents and past impact assessments, such as the 2008 Paredon Draft EIR, will be used in this analysis.

The current project site contains noise sources that contribute to the existing noise environment in the study area. The impact discussion for this project will identify any noticeable change in the existing contribution that would result from construction and operation activities and the significance of that change. A change of 3 dBA is generally regarded as the threshold of noticeable change in an ambient noise environment. Noise impacts will be modeled using the SoundPlan model, which allows for the estimation of noise taking terrain into account. This will enable the estimation of noise impacts along the beach areas as well as within the community.

Noise generated by equipment will be estimated using existing databases on noise levels as available from the EPA and other sources. In addition, noise measurements related to construction work conducted on other projects in the past will be utilized, in particular related to drilling and construction equipment. Equipment specific noise data will be utilized where appropriate.

Baseline Environmental Setting
From our review of the project materials and knowledge of the project area, our proposed approach is to gather sufficient baseline information to address the issues outlined in the RFP and any other issues that are identified. We propose to utilize the existing material (based on the 2008 Paredon Draft EIR) to the fullest extent feasible in our study to perform the following tasks:

- Describe the existing noise environment throughout and around the site by compiling and reviewing existing noise data for the study area and by taking supplemental, site specific noise measurements.

- Measure noise levels at up to six locations in the study areas to confirm and update existing noise measurements. Noise measurements will be taken near residences, sensitive ecological areas and recreational areas in the vicinity of the proposed project locations.

- Compile impact criteria based on a review of the City of Carpinteria and Santa Barbara County Noise Elements and EPA reports (for annoyance and sleep disturbance criteria).

Baseline noise measurements will focus on impacts to existing sensitive receptors, including residential areas, City Offices, recreational facilities and the seal haul out area.

Impact Assessment (Project and Alternatives)
Noise impacts will be discussed on the basis of the change in the ambient noise environment in the study area that would be caused by construction, transportation, and operational activities. The various elements of the project will be evaluated to determine which of them will influence ambient noise levels. The next step will be to determine how much change will be expected. The analysis will proceed as follows:

1. Calculate noise levels and the duration of the impact for sensitive receptor locations in the noise study area utilizing existing equipment-specific noise level databases and measurement studies.
2. Determine the elements of the project that will cause a noticeable change over the measured background noise levels generated by construction and operation activities and associated traffic.
3. Evaluate projected noise levels and incremental noise increases against appropriate significance criteria.
4. Establish and map existing and project generated noise contours for all sensitive receptors.
5. Evaluate potential conflicts as a result of noise on surrounding agricultural and recreational land uses.

Modeling will be utilized to estimate noise levels at noise sensitive locations in the vicinity of locations surrounding the site and along candidate transportation corridors. Construction and operation noise will be modeled using the SoundPlan model in combination with EPA data such as "Regulation of Construction Activity Noise," in which construction equipment source levels are defined. These methods will define peak hourly and average noise exposure levels (Leq and CNEL). Source noise levels will be obtained from the available technical literature and previous equipment measurements conducted by MRS. Traffic noise will be modeled using an existing procedure such as the Federal Highway Administration's "Traffic Noise Prediction Model", a highway noise model which could be utilized to analyze trucking impacts to community noise levels.

The alternatives analysis will examine the potential impacts associated with the identified alternatives. The noise impacts of the alternatives will be assigned a significance level and will also be compared to those from the proposed project.

Impacts to the seal rookery and to marine resources will be addressed in the marine resources section. However the baseline noise levels and estimated noise levels from the project will be determined within the noise section and provided to the marine biology staff to assess impacts.

Mitigation Measures
We propose to identify practical, feasible measures to mitigate the significant adverse impacts of the project and alternatives on noise that are identified in the impact section. For each measure, a discussion will be provided as to whether the mitigation measure would, by itself or in concert with other proposed measures identified in this analysis, fully or partially mitigate the impact it addresses. Mitigation measures will be developed in consultation with the lead agency and responsible agencies as appropriate.

Mitigation measures addressed will include the use of alternative transportation routes that could reduce noise impacts to the community, monitoring of truck speeds to ensure lower speeds used in sensitive areas, the use of equipment specific to trucks to reduce noises associated with exhaust, limitations on construction and drilling times during the day and weekends, the use of noise barriers strategically placed to reduce the noise associated with engines and motors related to drilling, and the use of alternative equipment which would reduce noise levels. Alternative routes will be coordinated with the traffic and circulation section to ensure minimal impacts to traffic and circulation conditions.

Cumulative Impacts
Cumulative impacts will be addressed for two areas: cumulative impacts associated with other proposed projects in the area that might have a significant combined noise impact on receptors, and cumulative impacts associated with reasonably foreseeable increases in the numbers or locations of receptors, such as residential and other development projects near the proposed site. We will rely on the City of Carpinteria to provide information on the location and size of proposed or possible developments in the area.

We will determine whether other construction projects might coincide with facility construction and operation activities and thereby increase noise impacts. Cumulative long-term noise impacts will also address future activities in the project area. Potential long-term impacts will ultimately depend on the location and time frame associated with the cumulative projects.

4.1.4.10 Fire Protection/Emergency Services

This section presents the scope and approach for assessing the project and alternative impacts for fire protection and emergency services.

General Approach/Methodology
A review of the existing CPF fire protection plan and emergency response plan will be summarized and form the baseline for the impact analysis. Changes in facility equipment and fire suppression systems will be evaluated for the proposed project and alternatives. The risk of upset analysis will be used to evaluate potential scenarios that could adversely affect fire suppression equipment, other processing equipment, and ultimately place additional demands on fire protection or emergency services. Mitigation measures will be developed to reduce potentially significant impacts, preferably to a level of insignificance.

Baseline Environmental Setting

The baseline section would provide a summary of the existing fire protection and emergency response plans for and a discussion of the existing fire suppression systems and emergency response equipment. The baseline would also discuss the current emergency response times and capabilities that exist at the sites that would respond to a fire or emergency. Audits conducted at the CPF will also be reviewed in order to assess the current response capabilities. Coordination with the Carpinteria/Summerland Fire District will also be included, utilizing historical Fire District inspections as well.

Impact Assessment (Project and Alternatives)

The impact section will be coupled closely with the risk of upset impact section. The results from the risk of upset analysis will provide an estimate of the increased risk of a fire, explosion, oil spill, or other emergency that could result from facility modifications and new operations. The analysis will also provide information on the hazard zones associated with potential accidents (see risk of upset section). We would plan to look at all new equipment to assure there is adequate spacing to help prevent fires and impacts on adjacent equipment. The risk of upset section will also look at the maximum oil spills and address the adequacy of containment systems. As part of the fire protection services analysis, we would address compliance with API guidelines and NFPA requirements, with a particular focus on the adequacy of the fire suppression systems. We would propose to work closely with the Carpinteria/Summerland Fire District in developing this analysis.

The significance of potential impacts will be qualified using significance criteria that focus on compliance with NFPA requirements and API guidelines, and the ability to adequately respond to an emergency.

Mitigation Measures

If potentially significant impacts are identified, mitigation measures will be proposed, where possible, to reduce the impact to a level of insignificance. MRS will identify practical, feasible measures to mitigate the adverse impacts of the project and alternatives on fire protection and emergency services. For each measure, a discussion will be provided as to whether the mitigation measure would, by itself or in concert with other proposed measures identified in this analysis, fully or partially mitigate the impact it addresses. Mitigation measures will be developed in consultation with the lead agency and responsible agencies as appropriate.

Cumulative Impacts

MRS will determine whether other projects may coincide with facility construction and operational activities and thereby increase demand for fire protection/emergency services. Cumulative long-term impacts will also address future activities in the project area. Potential long-term impacts will ultimately depend on the location and time frame associated with the cumulative projects.

4.1.4.11 Cultural Resources/Archaeology

This section presents the scope and approach for assessing the project and alternative impacts for cultural and archeological resources.

General Approach/Methodology.
The project area is located within the Santa Barbara Channel cultural area, which includes evidence of human occupation dating to over 9,500 years ago. Due to its rich food resources found on land and in the sea, Native American populations grew over time and their organization became more complex.

The Venoco CPF site is located within the vicinity of two archaeological sites, CA-SBA-6 and -7. These sites were recorded in the 1920s and have yielded substantial artifacts associated with Chumash village occupations. The sites include cemeteries, and isolated human burials and remains have been identified over the years. Ground disturbances resulting from existing infrastructure development within the CPF have been substantial. Grading, scarification, recompaction, and other geotechnical recommendation actions most likely have compromised the integrity, or context, of soils within the facility. It is possible, however, based on evaluation of other similar industrial facilities, that pockets of intact soils do exist. If archaeological deposits associated with CA-SBA-6 or SBA-7 exist within these intact soil areas, they could potentially represent significant cultural resources.

No structures over 50 years of age are assumed to be removed as part of the proposed project. Therefore, no impacts on historical architectural cultural resources are anticipated.

The cultural resources/archaeology component of the EIR will determine the probability for intact, potentially significant remains to exist within the proposed new drilling facility. This assessment will be based on archival research and an intensive archaeological survey of the footprint.

Indirect impacts resulting from oil spills will potentially require contaminated soil excavation and removal that, depending upon their location, could impact known recorded and unknown prehistoric cultural resources in the archaeological sensitive vicinity of the Paredon drilling well area. In addition, mitigation measures could result in some site disturbance and impacts.

Baseline Environmental Setting
The establishment of the cultural resources baseline environmental setting will include three steps.

1. A cultural resources record search of relevant archaeological and historical documents at the State Historic Preservation Office Central Coast Information Center, at the University of California, Santa Barbara was completed for the CPF site and within a 0.5 mile buffer in the preparation of the 2008 Paredon Draft EIR. This will be used to provide the location of all recorded archaeological sites, as well as previous investigations. The data will be updated as appropriate and the resulting presence and absence of archaeological site data will provide a context for assessing the potential impacts of the project. This will also include the Native American Consultation on the Ceremonial Use of Bluffs.

2. An intensive archaeological Phase 1 survey of the proposed new Paredon wells footprint will be completed, consistent with City of Carpinteria standards, to locate, describe, and determine the surface spatial extent of any archaeological resources that would potentially be

affected by the project. At this time, no subsurface excavations such as an Extended Phase 1 archaeological assessment are proposed. LEIDOS assumes that the review of previous ground disturbances will provide sufficient determination of potential intact soils within the proposed Paredon wells footprint.

Impact Assessment (Project and Alternatives)

The cultural resources section will address CEQA Guidelines Sections 15064.5 and 15126.4 that define a significant cultural resource, either prehistoric or historic, as a "historical resource." The relevant criterion for defining significant archaeological resources is one that: "Has yielded, or may be likely to yield, information important in prehistory or history."

Criteria for assessing what types of activities would constitute an adverse effect on significant historical resources are identified in CEQA Section 15064.5. The proposed project would result in a significant impact on historical resources if the proposed project would:

- Cause demolition, destruction, relocation, or alteration of the character-defining features of a significant historical resource; or
- Cause the loss of integrity, causing a historical resource to lose its significance.

CEQA states that a project will normally have a significant impact if it will disturb any human remains, including those interred outside of formal cemeteries.

Expanding the production facilities and/or extending the life of the facility may result in pipeline and production facility upgrade "dig-ups" due to damaged sections or soil remediation due to infrastructure spills. Potential impacts on cultural resources will evaluate these, as well as those associated with erosion from removal of vegetation and excavation of contaminated sediments.

Mitigation Measures

Appropriate mitigation measures will be developed, if necessary, to avoid potentially significant impacts and evaluated as to their effectiveness and residual impact. These will use a hierarchy of preferred strategies, as considered technologically and economically feasible.

1. Avoidance of impacts to intact, significant resources by minor redesign of project infrastructure.

2. Archaeological excavation to determine the precise spatial (horizontal and vertical) extent of the significant archaeological deposit (Phase 2 significance assessment), followed by data recovery of a portion of the significant archaeological resource to be impacted through a Phase 3 archaeological excavation program.

3. Construction monitoring by a City-qualified archaeologist and documented contemporary Chumash descendant of those Native American populations that would have occupied the project site and vicinity.

Cumulative Impacts

Prehistoric archaeological sites are non-renewable resources that have been destroyed at an alarming rate statewide and locally. It has been estimated that more than 80 percent of all sites in coastal Santa Barbara have been destroyed by coastal development. Therefore, the assessment of cumulative impacts on cultural resources within the proposed project area will consider these past activities resulting in loss of archaeological sites, along with other probable future projects in the vicinity.

The cumulative impact assessment will consider the incremental impact of the proposed project when added to the impacts of other past, present, or probable future development projects in undeveloped coastal areas in the vicinity of the proposed project area. Particular emphasis will be given to those projects that would contribute in combination with the project to impacts on archaeological sites within the Carpinteria Valley, and south coastal Santa Barbara County. The project-specific contribution to this cumulative impact will be identified. If significant, measures will be proposed to address the project's contribution, including those measures identified for the project-specific impact, and where feasible, contributions to regional programs, including cultural resource heritage and education program development.

4.1.4.12 Aesthetics/Visual Resources

This section presents the scope and approach for assessing the project and alternative impacts for aesthetics/visual resources.

General Approach/Methodology
The project site is located in the Coastal Zone, in an area of great scenic beauty. The proposed project would have a potentially negative aesthetic impact due to the presence of the drilling rig. MRS will establish the baseline setting and governing policies. We will then assess the proposed project's potential impacts, given the Applicant proposed mitigation measures, to the existing aesthetic quality of the area.

Baseline Environmental Setting
The project site is located along the coast in a highly sensitive scenic viewshed that affords views of the ocean, beach, coastal bluffs, and mountains. Portions of the proposed project would be visible from a number of public and sensitive areas: the beach and ocean, Harbor Seal Sanctuary, Coastal Vista Trail, Tar Pits Park, nearby neighborhoods, City Hall, Highway 101 and local surface roads, Union Pacific Railroad, etc. MRS will document the baseline environmental setting with photographs from critical public viewing locations using as much as possible, the existing data collected from the 2008 Paredon Draft EIR.

Impact Assessment (Project and Alternatives)
MRS will review the proposed project for impacts to aesthetics resources. The new facilities would be constructed within the CPF; however, they most likely will be visible from the trails and beach south of the site. The proposed drilling rig would be approximately 175 feet from ground level and highly visible from a number of public viewing locations. MRS will generate photo simulations from at least four critical viewing locations showing the drilling rig. Given the highly sensitive, scenic coastal resources protected under the California Coastal Act, impacts would most likely be significant.

MRS will also assess the increased night lighting due to the proposed project. While the safety lighting required for night operations is mandatory and would be shielded, the increased light glare would also generate impacts. Potential impacts to wildlife, particularly at the Harbor Seal Sanctuary, will be addressed in the Marine Biological Resources section of the EIR.

We will also review the potential extension of life impacts associated with the proposed project. As part of the Scope of Work, MRS will determine the expected operational life of the existing CPF. The expected life of the existing facilities is approximately 2020. We will determine the impacts associated with the continued operations of the CPF beyond 2020.

MRS will also assess the visual impacts associated with the project alternatives that are identified for further analysis as part of the alternative screening.

Mitigation Measures
The Applicant has proposed the following measures:

- Use an underground recessed well cellar;
- Minimize the amount of equipment onsite;
- Limit the height of proposed equipment;
- Continue to paint equipment with colors that blend with the surrounding area;
- Utilize directional and shielded lighting.

MRS will identify additional measures, as appropriate, including retaining area trees, use of smaller, shorter drilling rigs and equipment, etc.

Cumulative Impacts
MRS will assess the potential cumulative visual impacts associated with the proposed project and other identified projects recently completed, planned, or reasonably foreseeable in the area. For example, other proposed construction projects in the area have the potential to contribute cumulatively to visual impacts due to the use of cranes or other large construction equipment or the placement of buildings that could affect coastal views.

4.1.4.13 Recreation

This section presents the scope and approach for assessing the project and alternative impacts for recreation.

General Approach/Methodology
The project site is located in the Coastal Zone, in an area of numerous recreational opportunities, both on and offshore. The proposed project has the potential to negatively impact recreational resources most likely as a result of an accidental release and from the visual impacts of the drilling rig. MRS will establish the baseline setting and governing policies while updating the information collected as part of the 2008 Paredon Draft EIR. We will then assess the proposed project's potential impacts and compatibility with the existing and potential future recreational uses in the area.

Baseline Environmental Setting
The coastal Carpinteria area is well known and treasured for its beautiful and highly utilized recreational opportunities. In the vicinity of the project site are the California Coastal Trail, Coastal Vista Trail, Tar Pits Park, Carpinteria City and State Beaches, Rincon Beach, and numerous walking/hiking trails. Sensitive environmental habitats in the area such as the Carpinteria Seal Sanctuary, Carpinteria Bluffs Nature Preserve, and the Carpinteria Salt Marsh also attract passive recreational users. Onshore recreational resources include the Tee Time Driving Range and Viola Fields east of the site. MRS will establish the baseline environmental setting by reviewing various City and County resources, maps, and aerials to ensure that all potentially affected recreational resources are identified.

Impact Assessment (Project and Alternatives)
MRS will review the proposed project for impacts to recreational resources. Potential recreational impacts would be associated with impacts from accidental oil spills precluding use of coastal and marine resources and visually soiling the affected areas. Further, an oil spill, even when cleaned up, can result in a negative public perception of the recreational resources. Recreational impacts could also be associated with the visual intrusion of the drilling rig, increased lighting, and construction noise.

We will also address the potential extension of life impacts associated with the proposed project. As part of the Scope of Work, MRS will determine the expected operational life of the existing CPF. The expected life of the current facilities is approximately 2020. We will determine the impacts associated with the continued operations of the CPF beyond 2020.

The most likely potential recreational impacts associated with use of an alternate onshore site or platform would result from an accidental oil spill.

Mitigation Measures
The most likely impacts to recreational resources would originate from impacts to public health and safety and the environment due to accidental releases. Typically, the mitigation measures identified to minimize the impacts in the resource areas of safety and risk of upset, air quality, hazardous materials and public health, biology, geology, etc. will also serve to minimize recreational impacts. Further, mitigation measures identified in the visual and noise areas may also serve to minimize impacts to recreation.

Cumulative Impacts
MRS will assess the potential cumulative recreation impacts associated with the proposed project and other identified projects recently completed, planned, or reasonably foreseeable in the area. For example, other proposed offshore and onshore oil development projects in the region have the potential to contribute cumulatively to recreation impacts due to an increase in the likelihood and consequences of an accidental release of oil or gas.

4.1.4.14 Energy Resources

This section presents the scope and approach for assessing the project and alternative impacts for energy resources.

General Approach/Methodology
With the development of any oil and gas resource a large amount of energy is consumed and produced. The consumption occur from drilling operations, processing and transportation. The energy produced in the form of natural gas and oil. The overall approach to this section will be to establish a baseline of the existing energy that is used at the CPF and then to determine the increased consumption of energy that would occur with the proposed project or alternatives. This energy consumption would be compared with the amount of energy that would be produced by the proposed project.

The Venoco Paredon Project will be a net producer of energy (e.g., natural gas and crude oil). However, this energy production will not serve to increase the demand for natural gas or crude oil, but rather will serve to replace natural gas and crude oil supplies from other places. The Energy section will provide a discussion of the current crude and natural gas balance in California and how the proposed project production could affect this balance.

Baseline Environmental Setting
The section will provide estimates of the fuel and electrical power that are currently being used at the CPF. The baseline section will also discuss the current energy production from the CPF as well as the projected crude oil and natural gas demand for California. The baseline data for the CFP will have to be obtained for the Applicant. The crude oil and natural gas demand data will be developed from various California Energy Commission reports.

Impact Assessment (Project and Alternatives)
Based upon the equipment list for the project, the increase in processing throughput, and the transportation needs, an estimate of the additional energy consumption will be made for the project. Energy consumption will be estimated for electricity, diesel fuel and natural gas. This will then be compared to the estimated natural gas and oil production. As with all oil and gas development projects, the amount of energy produced will far exceed the amount of energy that is consumed. A similar analysis will be conducted for the alternatives that pass the screening analysis.

In evaluating the energy consumption of the project equipment special attention will be given to evaluating the overall energy efficiency of the equipment. By reducing energy consumption of equipment there can be a reduction in the air emissions. For example, using a natural gas engine for the mud pumps or standby generators instead of diesel fuel can improve overall energy efficiency and reduce air emissions.

Mitigation Measures
Given that the proposed project will be a net producer of energy it is unlikely that any significant impacts will be identified, and therefore, there will likely be no mitigation measures. It is possible that energy conservation measures could be identified that would reduce the overall consumption of energy for the project.

Cumulative Impacts
Given that energy consumption is limited to a specific project and the impacts do not overlap with other projects, typically there are not cumulative energy impacts. However, for the CPF

there are a number of possible oil and gas development projects that could use the CFP. These projects would serve to increase the overall energy consumption and production at the CPF. As part of the cumulative analysis these future oil and gas development projects will be addressed as it relates to their energy consumption and production.

4.1.4.15 Land Use/Policy Consistency Analysis

This section presents the scope and approach for assessing the project and alternative impacts for land use and policy consistency.

General Approach/Methodology
The land use/policy consistency analysis issue area would include consideration of the direct and indirect impacts associated with the project activities in terms of effects on existing, planned, and future land uses in the project vicinity. This section would build off the impact analysis from other issue areas to determine consistency with governing land use policies and to identify potential incompatibilities with surrounding land uses.

Several land use concerns are related to or result from impacts arising in other issue areas, such as public safety, air quality, visual resources, and noise. We propose to utilize a multi-disciplinary approach to the land use analysis. Impacts identified in other issue areas would be combined and translated into land use conflicts and/or constraints through close consultation with other issue area specialists and agency representatives. This comprehensive analysis would provide the necessary basis for evaluating the short- and long-term conflicts of the project with nearby uses and for assessing policy compliance.

MRS will assess the potential land use impacts associated with the proposed project. We will establish the baseline setting and governing land use policies and ordinances. We will then assess the proposed project's potential impacts and compatibility with the existing and potential future land uses in the area. We will also analyze the project's consistency with the governing land use plans.

In addition, the land use analysis will provide information to address the proposed zone text amendment. Under Carpinteria's City Municipal Code (CMC), the project site is zoned Coastal Industry District (M-CD). While "the purpose and intent of the M-CD district is to provide for certain energy and industrial uses that require a site on or adjacent to the sea in order to be able to function at all, while providing standards and conditions that will ensure that environmental damage will be avoided or minimized to the extent feasible (Ord. 315 § 1 (part), 1981)," the ability to drill from onshore locations to offshore reservoirs was not envisioned at the time the zoning ordinances were adopted.

We understand that the City requires the list of permitted uses in CMC M-CD Coastal Industry District § 14.30.030 (1) be amended to permit onshore drilling into offshore reservoirs in order to accommodate the proposed project if it moves forward beyond the onshore drilling into onshore reservoirs.

Baseline Environmental Setting

The project site is located along the coast in an area of varied land uses. Immediately north of the site are the Carpinteria City Hall and the Clean Sea Yard. To the south are the Coastal Vista Trail, Union Pacific Railroad tracks, beach, and ocean. To the west is a residential neighborhood, and to the east are agricultural fields associated with Johannes Farms, the recreational area associated with Tee Time golf driving range and the City's Viola Fields ball fields. Commercial and industrial uses line Carpinteria Avenue, including City Hall, Western Farm Service, Costa's Auto Works, and Casa Del Sol Motel. The area is also home to a number of sensitive ecological areas that attract visitors including the Carpinteria Seal Sanctuary (on the beach immediately south of the proposed project location), Carpinteria Bluffs Nature Preserve (east of the proposed project location), and Carpinteria Salt Marsh Preserve (west of the site).

MRS will establish the baseline environmental setting by reviewing the City's General Plan, Local Coastal Program, CMC, maps, aerials and conducting site visits to establish the zoning and land uses of the parcels in the vicinity of the project site. Utilizing information from the City's files, we will establish the proposed future land uses for the area.

Impact Assessment (Project and Alternatives)

MRS will review the compatibility of the proposed project with the existing and proposed land uses in the vicinity, and will address the consistency of the project with the City's General Plan and Local Coastal Land Use Plan policies. As described above, we will use a multi-disciplinary approach to assessing land use impacts.

We will also address the potential extension of life impacts associated with the proposed project. As part of the Scope of Work, MRS will determine the expected operational life of the existing CPF. The expected life of the proposed project is approximately 2020. We will determine the impacts associated with the continued operations of the CPF.

Mitigation Measures

The most likely impacts to land use would originate from significant impacts to public health and safety, and the environment due to accidental releases, and the presence of drilling equipment. Mitigation measures would be developed in close coordination with other issue areas. The primary task of the land use mitigation section is to assess the effectiveness of these interdisciplinary mitigation measures in reducing or avoiding land use impacts. Where possible, feasible measures to eliminate land use impacts and to avoid preclusion of future land uses would be developed and evaluated. Residual effects would be evaluated in cases where mitigation measures would not completely eliminate impacts.

Cumulative Impacts

MRS will assess the potential cumulative land use impacts associated with the proposed project and other identified development projects recently completed, planned, or reasonably foreseeable in the area. For example, a proposed land use change that would increase the population and/or population density in the vicinity of the proposed project could result in an impact.

4.1.4.16 Public Services and Utilities

This section presents the scope and approach for assessing the project and alternative impacts for public services and utilities.

General Approach/Methodology
The public services and utilities section of an EIR typically addresses a suite of local government- and district-provided services, including: water supply, wastewater treatment, solid waste disposal, schools, libraries, police and fire protection, and emergency response. Given the nature of the materials handled at the CPF, fire protection and emergency response services will be addressed in a separate section of the EIR.

The proposed project is not expected to result in a significant increase (greater than 3 percent) in the population of Carpinteria; therefore, the population-driven public services (i.e., schools, libraries, police protection) would not be expected to experience impacts and would not be addressed in the EIR. If, however, the results of the Scoping Hearing indicate that there may impacts to these services, we will include them in the analysis.

MRS will assess the potential potable water supply, sanitary wastewater treatment, and non-hazardous solid waste disposal impacts associated with the proposed project in this section. Produced water and hazardous wastes will be addressed in the hazardous materials and public health section of the EIR. We will establish the baseline setting and existing capacity in the systems. We will then assess the proposed project's potential impacts against available capacity.

Baseline Environmental Setting
MRS will establish the baseline environmental setting by determining which providers currently service the CPF and contacting them to identify system constraints and excess capacity.

Impact Assessment (Project and Alternatives)
MRS will assess the proposed increase in water use, wastewater treatment, and solid waste generation against the available capacity. We will also address the potential extension of life impacts associated with the proposed project. MRS will also assess the public service and utilities impacts associated with the project alternatives, including a no project, alternative onshore drilling site, and drilling from an existing platform.

Under the no project alternative, the proposed project would not occur and there would be no changes at the CPF. Assessing an alternative onshore drilling site and an existing platform alternative would require identifying the service providers and their available capacity, and then assessing the proposed increase associated with the alternatives.

Mitigation Measures
MRS will identify mitigation measures, as appropriate. These may include procedures to minimize the use of potable water and the generation of solid waste.

Cumulative Impact Assessment
MRS will assess the potential cumulative public services and utilities impacts associated with the proposed project and other identified development projects recently completed, planned, or

reasonably foreseeable in the area. For example, a proposed residential development in the area could cumulatively affect the availability of potable water.

4.1.5 Task 5: Prepare Public Draft EIR

Preparation of the Public Draft EIR will involve incorporating all of the comments received from the City on the Administrative Draft EIR and producing a "camera ready" copy of the EIR for final review by the City. Once the City has signed off on the "camera ready" document, MRS will be responsible for printing and mailing the Public Draft EIR. MRS will be responsible for printing 50 bound copies of the Public Draft. These copies will be in three ring binders with tabs for each of the major sections. MRS will also provide the City with one unbound reproducible master copy and a reproducible electronic copy on CD. MRS has the capability to print large quantities of CDs as well. MRS will also work with the City to make sure that the Public Draft EIR is available online for download. As part of the mailing process MRS will complete the Notice of Completion and file it with the State Clearinghouse.

4.1.6 Task 6: Prepare Administrative Final EIR

At the close of the public comment period on the Draft EIR, work will begin on preparing the Administrative Final EIR. This task involves preparing written responses to all the comments received on the Public Draft EIR and modifying the EIR document as needed to address the comments.

All the comment letters received on the Public Draft EIR will be numbered with unique codes and responsibility for responding to the comments will be assigned by the Project Manager and the Issue Area Coordinators. Draft responses will be developed for each comment, and will be assembled into a response to comment section that will be added to the EIR. As required by the comments, the EIR will be modified. Areas of the EIR that are modified in response to the comments will be marked with revision marks. As need, the response to comments will provide guidance to the reader as to where changed have been made to the EIR, or where additional information in the EIR can be found that address the comment.

MRS proposed to submit the Administrative Final EIR to the City that has all of the response to comments, as well as all of the changes to the public draft EIR. This will allow the City to review the responses and check that the appropriate changes have been made to the EIR. In developing the cost estimates for response to comments, we have assumed that no new analyses will be required in order to prepare the response to comments or the Administrative Final EIR.

4.1.7 Task 7: Prepare Proposed Final EIR

Preparation of the Proposed Final EIR will involve incorporating all of the comments received from the City on the Administrative Final EIR (which includes the response to comments) and producing a "camera ready" copy of the EIR for final review by the City. Once the City has signed off on the "camera ready" document, MRS will be responsible for printing and mailing the Proposed Final EIR. MRS will be responsible for printing 50 bound copies of the Proposed

Final. These copies will be in three ring binders with tabs for each of the major sections. MRS will also provide the City with one unbound reproducible master copy and a reproducible electronic copy on CD. MRS has the capability to print large quantities of CDs as well. MRS will also work with the City to make sure that the Proposed Final EIR is available online for download. As part of the mailing process MRS will complete the Notice of Determination and file it with the State Clearinghouse. MRS will also work with the City to assure that the filing fees are filed with the California Department of Fish and Game.

4.1.8 Task 8: Prepare Final EIR

MRS will incorporate any changes to the EIR that may be made by the decision makers during the public hearing process. MRS will print twenty copies of the Final EIR and one unbound reproducible master copy. These documents will be delivered to the City.

4.1.9 Task 9: Development of Mailing List and Mailing Costs

MRS will develop a mailing list for distribution of the NOP, Draft and Final EIRs. It is assumed that the City will provide a current mailing list that MRS will tailor for this EIR. Once a draft mailing list has been prepared, MRS will submit it to the City for review and comment. As the project progresses, MRS will continue to update the mailing list as needed. It has been assumed that if people contact the City about the project that the City will forward the contact information on the MRS so it can be added to the mailing list.

MRS will be responsible for the mailing of the NOP, Public Draft EIR and Proposed Final EIR. MRS will also be responsible for mailing the notices associated with the various public hearings. It has been assumed that the City will take responsibility for getting any notices published in the local newspapers. Managing of mailing of up to 350 addresses for notices has been assumed in this task.

4.1.10 Task 10: Public Meetings/Hearings

In developing the costs for this project it has been assumed that team members will participate in approximately eight public meeting/hearings as detailed in Attachment A of the RFP. The meetings detailed in the RFP include:

- One scoping meeting for the EIR.
- One DEIR Public Workshop meeting
- One DEIR Public Comment meeting (before the Environmental Review Committee)
- Two City Planning Commission hearings
- Two City Council hearings

- One CCC hearing (out of town location)

In addition, MRS will participate in one kick-off meeting with staff and a site visit to gain familiarity with the site.

MRS will be responsible for developing presentations for these various meetings/hearings as needed. MRS will also be responsible for developing the agenda for all of the public meetings, and documenting the results. It has been assumed that the City will be responsible for recording and transcribing the meeting, if needed, for the official record.

4.1.11 Task 11: Assistance with Staff Reports

MRS has included time to assist the City with the preparation of various sections of staff reports. The sections where MRS will provide assistance to the City include CEQA and policy findings, conditions of approval, EIR certification resolution, and any statement of overriding consideration.

4.1.12 Task 12: Assistance with Joint Review Panel

An added component to this EIR will be establishing a Joint Review Panel with the California Coastal Commission and State Lands Commission to participate on the review and preparation of the Paredon EIR. MRS will prepare a Joint review Panel MOU for review and approval by the various JRP members. In addition, throughout the preparation of the EIR, MRS will prepare agendas and minutes for various meetings or conference calls expected to occur at minimum once a month during the preparation of the document. It is expected meetings/conference calls can last as long as two hours and for the purposes of budgeting MRS will conduct approximately 14 conference calls. MRS will also arrange for the review, comment and incorporation of those comments into the document as appropriate from the various JRP agencies during the preparation of the various administrative portions of the EIR.

5.0 Project Schedule

This section of the proposal provides a project schedule and a listing of the proposed deliverables to the City. A detailed project schedule is presented, along with a discussion of the basis for the proposed time frame. All the proposed deliverables for the project are shown in the schedule.

5.1 Proposed Schedule

The schedule shows the time allotted for fieldwork and analysis, document writing, and City review of draft documents. Table 5-1 provides a list of the key milestone dates from the proposed schedule. The tentative schedule is based on an NTP issued for the Project on January 12, 2015.

Table 5-1 Key Milestone Dates

Milestone	Date	Week of Contract
Draft EIR Style Guide to City	January 26, 2015	2
Draft Project Description to City	January 26, 2015	2
Draft Alternative Descriptions/Screening Analysis to City	February 23, 2015	6
Draft NOP to the City	February 23, 2015	6
Start of NOP Comment Period	March 23, 2015	10
Draft Cumulative Project Descriptions to City	March 23, 2015	10
Scoping Hearing	April 6, 2015	12
End of Scoping comment Period	April 20, 2015	14
Final Scoping Document to City	April 27, 2015	15
Draft Baseline/Environmental Setting Sections to City	May 25, 2015	19
Administrative Draft EIR to City	June 29, 2015	24
Release of Public Draft EIR (60-day public comment period)	July 27, 2015	28
Public Workshop on EIR	August 17, 2015	31
Public Comment Meeting on EIR	September 28, 2015	37
Administrative Final EIR and Response to Comments to City	November 9, 2015	43
Proposed Final EIR to City	December 7, 2015	47

A critical item in assuring project success is management and control to assure that tasks are completed on time and that the appropriate information is transferred to the dependent tasks. The schedule presented in Table 5-1 has assumed various lengths of time for City reviews of the deliverables. These review periods have been based upon similar projects and it also assumes familiarity with the Project based on the previous environmental review. Also, it assumes that the Joint Review Panel will be able to have similar turnaround times to those of the City. However, it should be noted that if the overall review cycles are longer, then the schedule might have to slip accordingly.

5.2 Project Deliverables

In developing the proposed schedule, considerable thought was given to providing the City with draft work products for their review throughout the course of the project. This approach serves a number of useful purposes. First, the City has an early opportunity to review work products and to provide comments as to format and document structure that can then be incorporated into future deliverables. Second, it allows the City to more actively participate in the development of the project documentation. Third, it assures that the final work product is developed in a collaborative manner with the City. Table 5-2 provides a list of key deliverables, proposed due dates, and the duration of the City comment period.

Table 6-2 List of Deliverables, Proposed Due Dates and Duration of City Review Period

Milestone	Due Date[1]	Estimated City Review Period (work days)
Draft EIR Style Guide to City	January 26, 2015	5
Draft Project Description to City	January 26, 2015	10
Draft NOP to the City	February 23, 2015	
Draft Alternative Descriptions/Screening Analysis to City	February 23, 2015	10
Draft Cumulative Project Descriptions to City	March 23, 2015	10
Final Scoping Document to City	March 30, 2015	0
Draft Baseline/Environmental Setting to City	May 25, 2015	10
Administrative Draft EIR to City	June 29, 2015	15
Administrative Final EIR and Response to Comments to City	November 9, 2015	10

1. The dates assume the City issues a notice to proceed on January 12, 2015.

6.0 Cost Quotation and Budget Summary

MRS proposes to perform, on a best efforts basis, the work described in the accompanying technical proposal for a not to exceed price of $477,398. This cost covers professional services and expenses. Table 6-1 provides a summary of the proposed project costs by issue area and major task. Table 6-2 provides the detailed breakdown of the costs for the project.

The cost estimate covers all activities associated with development of an EIR as discussed in Section 4 of the proposal. In estimating the costs for the project the following assumptions have been made.

- For response to comment and preparation of the Administrative Final EIR, we have assumed that no new analysis will be required as a result of the comments received on the Public Draft EIR.
- We are assuming a maximum of 300 individuals comments to the Draft EIR.
- 50 Copies of the Public Draft and Proposed Final EIR will be printed and mailed. An additional 10 copies of these documents will be printed for the City and the project team.
- 20 copies of the Final EIR will be printed and delivered to the City.
- The EIR will include detailed analysis of up to three alternatives throughout the EIR.

Neither MRS, nor any of the members of the project team, has been hired by Venoco to assist in the preparation of materials directly related to any component of the proposed project or related projects under study in this EIR. No member of the contractor's team has a financial gain or an interest in the final outcome of the project.

In addition, none of the member of the project team have done any work for Venoco within the last two years.

MRS has reviewed the City Contract and find all of the provisions acceptable. MRS has executed this exact contract with the City for other projects in the past.

Table 6-1 Summary of Project Costs

Issue Area	Total	
Ho	**Hours**	**Cost**
Direct Labor		
A. Project and Alternative Descriptions	86	$ 16,280
B. Hazardous Materials Risk of Upset	212	$ 40,080
C. Air Quality	176	$ 32,520
D. Marine Resources	185	$ 27,241
E. Onshore Biology	282	$ 47,740
F. Onshore Water Resources	70	$ 11,165
G. Geological Resources	78	$ 12,441
H. Transportation/Circulation	68	$ 12,240
I. Land Use/Policy Consistency Analysis	204	$ 32,700
J. Noise	90	$ 16,200
K. Recreation	49	$ 8,820
L. Fire Protection/ Emergency Services	52	$ 9,360
M. Public Services and Utilities	22	$ 1,980
N. Cultural Resources/Archaeology	28	$ 4,774
O. Aesthetics/Visual Resources	110	$ 19,800
P. Energy Resources	34	$ 6,120
Q. Report Production	258	$ 29,380
R. Project Management	606	$ 113,840
Total Direct Labor	**2610**	**$ 442,681**
Other Direct Costs		
Travel		$ 1,825
Mailing		$ 4,016
Printing and Binding		$ 24,140
Film and Processing		$ 20
Liturature/Records Searches		$ 1,560
G&A on Other Direct Costs		$ 3,156
Total Other Direct Costs		**$ 34,717**
Total Program Costs		**$ 477,398**

Table 6-2 Detailed Cost Breakdown

Key Staff	Classification	Rate	Baseline Site Analysis		Joint Review Pannel Management		Project Description Alternatives Analysis		Notice of Preparation		Administrative Draft EIR		Public Draft EIR		Administrative Final EIR Response to Comments	
		($/hr)	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost
Direct Labor																
A. Project and Alternative Descriptions																
J. Peirson	Principal I	$ 220.00	2	$ 440	0	$ -	4	$ 880	0	$ -	0	$ -	0	$ -	0	$ -
G. Chittick	Senior Manager III	$ 180.00	10	$ 1,800	0	$ -	24	$ 4,320	6	$ 1,080	0	$ -	4	$ 720	4	$ 720
L. Perez	Senior Project Manager	$ 200.00	4	$ 800	8	$ 1,600	12	$ 2,400	2	$ 400	0	$ -	0	$ -	2	$ 400
Total Project Description and Alternatives			*16*	*$ 3,040*	*8*	*$ 1,600*	*40*	*$ 7,600*	*8*	*$ 1,480*	*0*	*$ -*	*4*	*$ 720*	*6*	*$ 1,120*
B. Safety and Risk of Upset																
S. Radis	Principal II	$ 200.00	4	$ 800	0	$ -	0	$ -	2	$ 400	20	$ 4,000	4	$ 800	4	$ 800
J. Peirson	Principal I	$ 220.00	2	$ 440	0	$ -	0	$ -	0	$ -	8	$ 1,760	2	$ 440	4	$ 880
G. Chittick	Senior Engineer III	$ 180.00	20	$ 3,600	0	$ -	0	$ -	6	$ 1,080	60	$ 10,800	12	$ 2,160	20	$ 3,600
Total Safety and Risk of Upset			*26*	*$ 4,840*	*0*	*$ -*	*0*	*$ -*	*8*	*$ 1,480*	*88*	*$ 16,560*	*18*	*$ 3,400*	*28*	*$ 5,280*
C. Air Quality																
S. Radis	Principal II	$ 200.00	0	$ -	0	$ -	0	$ -	0	$ -	28	$ 5,600	4	$ 800	6	$ 1,200
G. Chittick	Senior Engineer III	$ 180.00	0	$ -	0	$ -	0	$ -	4	$ 720	124	$ 22,320	4	$ 720	2	$ 360
Total Air Quality			*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*4*	*$ 720*	*152*	*$ 27,920*	*8*	*$ 1,520*	*8*	*$ 1,560*
D. Marine Resources																
D. Coats	Manager II	$ 180.00	0	$ -	0	$ -	0	$ -	4	$ 720	60	$ 10,800	8	$ 1,440	8	$ 1,440
P. Howorth	Principal Investigator	$ 132.00	6	$ 792	0	$ -	0	$ -	0	$ -	42	$ 5,544	4	$ 528	8	$ 1,056
L. Handelman	Senior Research Assistant	$ 77.00	0	$ -	0	$ -	0	$ -	0	$ -	8	$ 616	0	$ -	0	$ -
P. Putnam	Technical Assitant	$ 55.00	3	$ 165	0	$ -	0	$ -	0	$ -	12	$ 660	0	$ -	0	$ -
Total Marine Resources			*9*	*$ 957*	*0*	*$ -*	*0*	*$ -*	*4*	*$ 720*	*122*	*$ 17,620*	*12*	*$ 1,968*	*16*	*$ 2,496*
E. Onshore Biology																
E. Mullens	Senior Biologist III	$ 190.00	12	$ 2,280	0	$ -	0	$ -	6	$ 1,140	80	$ 15,200	8	$ 1,520	12	$ 2,280
C. England	Staff Biologist	$ 150.00	12	$ 1,800	0	$ -	0	$ -	4	$ 600	120	$ 18,000	4	$ 600	4	$ 600
Total Onshore Biology			*24*	*$ 4,080*	*0*	*$ -*	*0*	*$ -*	*10*	*$ 1,740*	*200*	*$ 33,200*	*12*	*$ 2,120*	*16*	*$ 2,880*
F. Onshore Water Resources																
P. Russell	Senior Consultant V	$ 159.50	4	$ 638	0	$ -	4	$ 638	6	$ 957	20	$ 3,190	8	$ 1,276	16	$ 2,552
Total Onshore Water Resources			*4*	*$ 638*	*0*	*$ -*	*4*	*$ 638*	*6*	*$ 957*	*20*	*$ 3,190*	*8*	*$ 1,276*	*16*	*$ 2,552*
G. Geological Resources																
P. Russell	Senior Consultant V	$ 159.50	6	$ 957	0	$ -	6	$ 957	6	$ 957	24	$ 3,828	8	$ 1,276	16	$ 2,552
Total Geological Resources			*6*	*$ 957*	*0*	*$ -*	*6*	*$ 957*	*6*	*$ 957*	*24*	*$ 3,828*	*8*	*$ 1,276*	*16*	*$ 2,552*
H. Transportation/Circulation																
G. Chittick	Senior Engineer III	$ 180.00	6	$ 1,080	0	$ -	4	$ 720	2	$ 360	48	$ 8,640	4	$ 720	4	$ 720
Total Transportation/Circulation			*6*	*$ 1,080*	*0*	*$ -*	*4*	*$ 720*	*2*	*$ 360*	*48*	*$ 8,640*	*4*	*$ 720*	*4*	*$ 720*
I. Land Use/Policy Consistency Analysis																
M. Ahrens	Planner	$ 150.00	0	$ -	0	$ -	0	$ -	6	$ 900	80	$ 12,000	16	$ 2,400	30	$ 4,500
L. Perez	Senior Project Manager	$ 200.00	0	$ -	0	$ -	0	$ -	0	$ -	24	$ 4,800	4	$ 800	8	$ 1,600
Total Land Use/Policy Consistency Analysis			*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*6*	*$ 900*	*104*	*$ 16,800*	*20*	*$ 3,200*	*38*	*$ 6,100*
J. Noise																
G. Chittick	Senior Engineer III	$ 180.00	0	$ -	0	$ -	4	$ 720	2	$ 360	60	$ 10,800	4	$ 720	16	$ 2,880
Total Noise			*0*	*$ -*	*0*	*$ -*	*4*	*$ 720*	*2*	*$ 360*	*60*	*$ 10,800*	*4*	*$ 720*	*16*	*$ 2,880*
K. Recreation																
D. Dusette	Senior Planner III	$ 180.00	0	$ -	0	$ -	0	$ -	3	$ 540	30	$ 5,400	4	$ 720	8	$ 1,440
Total Recreation			*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*3*	*$ 540*	*30*	*$ 5,400*	*4*	*$ 720*	*8*	*$ 1,440*

Key Staff	Proposed Final EIR		Final EIR		Mailing List/Mailing Costs		PublicMeeting Hearings		Staff Report Assistance		Total	
	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost
Direct Labor												
A. Project and Alternative Descriptions												
J. Peirson	0	$ -	0	$ -	0	$ -	0	$ -	0	$ -	6	$ 1,320
G. Chittick	4	$ 720	0	$ -	0	$ -	0	$ -	0	$ -	52	$ 9,360
L. Perez	0	$ -	0	$ -	0	$ -	0	$ -	0	$ -	28	$ 5,600
Total Project Description and Alternatives	*4*	*$ 720*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*86*	*$ 16,280*
B. Safety and Risk of Upset												
S. Radis	8	$ 1,600	2	$ 400	0	$ -	16	$ 3,200	0	$ -	60	$ 12,000
J. Peirson	2	$ 440	0	$ -	0	$ -	0	$ -	0	$ -	18	$ 3,960
G. Chittick	8	$ 1,440	0	$ -	0	$ -	8	$ 1,440	0	$ -	134	$ 24,120
Total Safety and Risk of Upset	*18*	*$ 3,480*	*2*	*$ 400*	*0*	*$ -*	*24*	*$ 4,640*	*0*	*$ -*	*212*	*$ 40,080*
C. Air Quality												
S. Radis	2	$ 400	2	$ 400	0	$ -	0	$ -	0	$ -	42	$ 8,400
G. Chittick	0	$ -	0	$ -	0	$ -	0	$ -	0	$ -	134	$ 24,120
Total Air Quality	*2*	*$ 400*	*2*	*$ 400*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*176*	*$ 32,520*
D. Marine Resources												
D. Coats	4	$ 720	4	$ 720	4	$ 720	0	$ -	0	$ -	92	$ 16,560
P. Howorth	4	$ 528	2	$ 264	0	$ -	4	$ 528	0	$ -	70	$ 9,240
L. Handelman	0	$ -	0	$ -	0	$ -	0	$ -	0	$ -	8	$ 616
P. Putnam	0	$ -	0	$ -	0	$ -	0	$ -	0	$ -	15	$ 825
Total Marine Resources	*8*	*$ 1,248*	*6*	*$ 984*	*4*	*$ 720*	*4*	*$ 528*	*0*	*$ -*	*185*	*$ 27,241*
E. Onshore Biology												
E. Mullens	6	$ 1,140	0	$ -	0	$ -	12	$ 2,280	0	$ -	136	$ 25,840
C. England	2	$ 300	0	$ -	0	$ -	0	$ -	0	$ -	146	$ 21,900
Total Onshore Biology	*8*	*$ 1,440*	*0*	*$ -*	*0*	*$ -*	*12*	*$ 2,280*	*0*	*$ -*	*282*	*$ 47,740*
F. Onshore Water Resources												
P. Russell	8	$ 1,276	0	$ -	0	$ -	4	$ 638	0	$ -	70	$ 11,165
Total Onshore Water Resources	*8*	*$ 1,276*	*0*	*$ -*	*0*	*$ -*	*4*	*$ 638*	*0*	*$ -*	*70*	*$ 11,165*
G. Geological Resources												
P. Russell	8	$ 1,276	0	$ -	0	$ -	4	$ 638	0	$ -	78	$ 12,441
Total Geological Resources	*8*	*$ 1,276*	*0*	*$ -*	*0*	*$ -*	*4*	*$ 638*	*0*	*$ -*	*78*	*$ 12,441*
H. Transportation/Circulation												
G. Chittick	0	$ -	0	$ -	0	$ -	0	$ -	0	$ -	68	$ 12,240
Total Transportation/Circulation	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*68*	*$ 12,240*
I. Land Use/Policy Consistency Analysis												
M. Ahrens	10	$ 1,500	4	$ 600	0	$ -	8	$ 1,200	8	$ 1,200	162	$ 24,300
L. Perez	6	$ 1,200	0	$ -	0	$ -	0	$ -	0	$ -	42	$ 8,400
Total Land Use/Policy Consistency Analysis	*16*	*$ 2,700*	*4*	*$ 600*	*0*	*$ -*	*8*	*$ 1,200*	*8*	*$ 1,200*	*204*	*$ 32,700*
J. Noise												
G. Chittick	4	$ 720	0	$ -	0	$ -	0	$ -	0	$ -	90	$ 16,200
Total Noise	*4*	*$ 720*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*90*	*$ 16,200*
K. Recreation												
D. Dusette	4	$ 720	0	$ -	0	$ -	0	$ -	0	$ -	49	$ 8,820
Total Recreation	*4*	*$ 720*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*49*	*$ 8,820*

Table 6-2 Detailed Cost Breakdown (continued)

L. Fire Protection/ Emergency Services																												
D. Dusette	Senior Planner III	$ 180.00	0	$ -	0	$ -	0	$ -	0	$ -	2	$ 360	2	$ 360	2	$ 360	2	$ 360	0	$ -	0	$ -	0	$ -	0	$ -	8	$ 1,440
G. Chittick	Senior Engineer III	$ 180.00	0	$ -	0	$ -	0	$ -	4	$ 720	24	$ 4,320	4	$ 720	8	$ 1,440	4	$ 720	0	$ -	0	$ -	0	$ -	0	$ -	44	$ 7,920
Total Fire Protection/ Emergency Services			*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*4*	*$ 720*	*26*	*$ 4,680*	*6*	*$ 1,080*	*10*	*$ 1,800*	*6*	*$ 1,080*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*52*	*$ 9,360*
M. Public Services and Utilities																												
B. Stephens	Specialist I	$ 90.00	0	$ -	0	$ -	0	$ -	4	$ 360	12	$ 1,080	2	$ 180	2	$ 180	2	$ 180	0	$ -	0	$ -	0	$ -	0	$ -	22	$ 1,980
Total Public Services and Utilities			*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*4*	*$ 360*	*12*	*$ 1,080*	*2*	*$ 180*	*2*	*$ 180*	*2*	*$ 180*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*22*	*$ 1,980*
N. Cultural Resources/Archaeology																												
K. Foster	Senior Consultant III	$ 170.50	0	$ -	0	$ -	0	$ -	0	$ -	16	$ 2,728	2	$ 341	8	$ 1,364	2	$ 341	0	$ -	0	$ -	0	$ -	0	$ -	28	$ 4,774
Total Cultural Resources/Archaeology			*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*16*	*$ 2,728*	*2*	*$ 341*	*8*	*$ 1,364*	*2*	*$ 341*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*28*	*$ 4,774*
O. Aesthetics/Visual Resources																												
D. Dusette	Senior Planner III	$ 180.00	0	$ -	0	$ -	0	$ -	0	$ -	4	$ 720	2	$ 360	2	$ 360	2	$ 360	2	$ 360	0	$ -	0	$ -	0	$ -	12	$ 2,160
G. Chittick	Senior Engineer III	$ 180.00	0	$ -	0	$ -	0	$ -	6	$ 1,080	60	$ 10,800	8	$ 1,440	20	$ 3,600	4	$ 720	0	$ -	0	$ -	0	$ -	0	$ -	98	$ 17,640
Total Aesthetics/Visual Resources			*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*6*	*$ 1,080*	*64*	*$ 11,520*	*10*	*$ 1,800*	*22*	*$ 3,960*	*6*	*$ 1,080*	*2*	*$ 360*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*110*	*$ 19,800*
P. Energy Resources																												
D. Dusette	Senior Planner III	$ 180.00	0	$ -	0	$ -	0	$ -	0	$ -	16	$ 2,880	2	$ 360	2	$ 360	2	$ 360	0	$ -	0	$ -	0	$ -	0	$ -	22	$ 3,960
G. Chittick	Senior Engineer III	$ 180.00	0	$ -	0	$ -	0	$ -	4	$ 720	2	$ 360	2	$ 360	2	$ 360	2	$ 360	0	$ -	0	$ -	0	$ -	0	$ -	12	$ 2,160
Total Energy and Mineral Resources			*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*4*	*$ 720*	*18*	*$ 3,240*	*4*	*$ 720*	*4*	*$ 720*	*4*	*$ 720*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*0*	*$ -*	*34*	*$ 6,120*
Q. Report Production																												
B. Stephens	Specialist I	$ 90.00	12	$ 1,080	0	$ -	8	$ 720	12	$ 1,080	40	$ 3,600	26	$ 2.340	40	$ 3,600	16	$ 1,440	16	$ 1,440	24	$ 2,160	0	$ -	8	$ 720	202	$ 18,180
L. Perez	Senior Project Manager	$ 200.00	4	$ 800	0	$ -	8	$ 1,600	4	$ 800	12	$ 2,400	8	$ 1,600	16	$ 3,200	4	$ 800	0	$ -	0	$ -	0	$ -	0	$ -	56	$ 11,200
Total Report Production			*16*	*$ 1,880*	*0*	*$ -*	*16*	*$ 2,320*	*16*	*$ 1,880*	*52*	*$ 6,000*	*34*	*$ 3,940*	*56*	*$ 6,800*	*20*	*$ 2,240*	*16*	*$ 1,440*	*24*	*$ 2,160*	*0*	*$ -*	*8*	*$ 720*	*258*	*$ 29,380*
R. Project Management																												
J. Peirson	Principal I	$ 220.00	0	$ -	80	$ 17,600	6	$ 1,320	8	$ 1,760	10	$ 2,200	10	$ 2.200	10	$ 2,200	10	$ 2,200	16	$ 3,520	4	$ 880	48	$ 10,560	24	$ 5,280	226	$ 49,720
L. Perez	Senior Project Manager	$ 200.00	0	$ -	80	$ 16,000	8	$ 1,600	12	$ 2,400	40	$ 8,000	20	$ 4,000	20	$ 4,000	20	$ 4,000	16	$ 3,200	0	$ -	48	$ 9.600	8	$ 1,600	272	$ 54,400
B. Stephens	Specialist I	$ 90.00	0	$ -	12	$ 1,080	4	$ 360	8	$ 720	10	$ 900	20	$ 1.800	10	$ 900	10	$ 900	10	$ 900	24	$ 2.160	0	$ -	0	$ -	108	$ 9,720
Total Program Management			*0*	*$ -*	*172*	*$ 34,680*	*18*	*$ 3,280*	*28*	*$ 4,880*	*60*	*$ 11,100*	*50*	*$ 8,000*	*40*	*$ 7,100*	*40*	*$ 7,100*	*42*	*$ 7,620*	*28*	*$ 3,040*	*96*	*$ 20,160*	*32*	*$ 6,880*	*606*	*$ 113,840*
Total Direct Labor			**107**	**$ 17,472**	**180**	**$ 36,280**	**92**	**$ 16,235**	**121**	**$ 19,854**	**1,096**	**$ 184,306**	**210**	**$ 33,701**	**314**	**$ 51,504**	**160**	**$ 26,721**	**74**	**$ 11,804**	**56**	**$ 5,920**	**152**	**$ 30,084**	**48**	**$ 8,800**	**2,610**	**$ 442,681**
Other Direct Costs																												
Travel				$ 50		$ 50		$ 50		$ -		$ 50		$ -		$ 50		$ -		$ -		$ -		$ 1,550		$ 25		$ 1,825
Mailing				$ -		$ 100				$ 770		$ -		$ 1.025		$ -		$ 1,025		$ 410		$ 686		$ -		$ -		$ 4,016
Printing and Binding				$ -		$ -		$ -		$ 752		$ 798		$ 8,750		$ 865		$ 8,750		$ 3,500		$ -		$ 725		$ -		$ 24,140
Film and Processing				$ -		$ -		$ -		$ -		$ 20		$ -		$ -		$ -		$ -		$ -		$ -		$ -		$ 20
Liturature/Records Searches				$ 500		$ -		$ -		$ -		$ 1,060		$ -		$ -		$ -		$ -		$ -		$ -		$ -		$ 1,560
G&A on Other Direct Costs				$ 55		$ 15		$ 5		$ 152		$ 193		$ 978		$ 92		$ 978		$ 391		$ 69		$ 228		$ 3		$ 3,156
Total Other Direct Costs				$ 605		$ 165		$ 55		$ 1,674		$ 2,121		$ 10,753		$ 1,007		$ 10,753		$ 4,301		$ 755		$ 2,503		$ 28		$ 34,717
Total Time and Materials				$ 18,077		$ 36,445		$ 16,290		$ 21,528		$ 186,427		$ 44,454		$ 52,511		$ 37,474		$ 16,105		$ 6,675		$ 32,587		$ 8,828		$ 477,398

7.0 References

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EXHIBIT B

INSURANCE REQUIREMENTS

Prior to the beginning of and throughout the duration of the Work, Consultant will maintain insurance in conformance with the requirements set forth below. Consultant will use existing coverage to comply with these requirements. If that existing coverage does not meet the requirements set forth here, Consultant agrees to amend, supplement or endorse the existing coverage to do so. Consultant acknowledges that the insurance coverage and policy limits set forth in this section constitute the minimum amount of coverage required. Any insurance proceeds available to City in excess of the limits and coverage required in this agreement and which is applicable to a given loss, will be available to City.

Consultant shall provide the following types and amounts of insurance:

Commercial General Liability Insurance using Insurance Services Office "Commercial General Liability" policy form CG 00 01 or the exact equivalent. Defense costs must be paid in addition to limits. There shall be no cross liability exclusion for claims or suits by one insured against another. Limits are subject to review but in no event less than $1,000,000 per occurrence.

Business Auto Coverage on ISO Business Auto Coverage form CA 00 01 including symbol 1 (Any Auto) or the exact equivalent. Limits are subject to review, but in no event to be less than $1,000,000 per accident. If Consultant owns no vehicles, this requirement may be satisfied by a non-owned auto endorsement to the general liability policy described above. If Consultant or Consultant's employees will use personal autos in any way on this project, Consultant shall provide evidence of personal auto liability coverage for each such person.

Workers Compensation on a state-approved policy form providing statutory benefits as required by law with employer's liability limits no less than $1,000,000 per accident or disease.

Excess or Umbrella Liability Insurance (Over Primary) if used to meet limit requirements, shall provide coverage at least as broad as specified for the underlying coverages. Any such coverage provided under an umbrella liability policy shall include a drop down provision providing primary coverage above a maximum $25,000 self-insured retention for liability not covered by primary but covered by the umbrella. Coverage shall be provided on a "pay on behalf" basis, with defense costs payable in addition to policy limits. Policy shall contain a provision obligating insurer at the time insured's liability is determined, not requiring actual payment by the insured first. There shall be no cross liability exclusion precluding coverage for claims or suits by one insured against another. Coverage shall be applicable to City for injury to employees of Consultant, subconsultants or others involved in the Work. The scope of coverage provided is subject to approval of City following receipt of proof of insurance as required herein. Limits are subject to review but in no event less than $1,000,000 per occurrence.

Professional Liability or Errors and Omissions Insurance as appropriate shall be written on a policy form coverage specifically designed to protect against acts, errors or omissions of the consultant and "Covered Professional Services" as designated in the policy must specifically include work performed under this agreement. The policy limit shall be no less than $1,000,000 per claim and in the aggregate. The policy must "pay on behalf of" the insured and must include a provision establishing the insurer's duty to defend. The policy retroactive date shall be on or before the effective date of this agreement.

Insurance procured pursuant to these requirements shall be written by insurers that are admitted carriers in the state of California and with an A.M. Bests rating of A- or better and a minimum financial size VII.

General conditions pertaining to provision of insurance coverage by Consultant. Consultant and City agree to the following with respect to insurance provided by Consultant:

1. Consultant agrees to have its insurer endorse the third party general liability coverage required herein to include as additional insureds City, its officials, employees and agents, using standard ISO endorsement No. CG 2010 with an edition prior to 1992. Consultant also agrees to require all contractors and subcontractors to do likewise.

2. No liability insurance coverage provided to comply with this Agreement shall prohibit Consultant, or Consultant's employees or agents, from waiving the right of subrogation prior to a loss. Consultant agrees to waive subrogation rights against City regardless of the applicability of any insurance proceeds, and to require all contractors and subcontractors to do likewise.

3. All insurance coverage and limits provided by Contractor and available or applicable to this agreement are intended to apply to the full extent of the policies. Nothing contained in this Agreement or any other agreement relating to the City or its operations limits the application of such insurance coverage.

4. None of the coverages required herein will be in compliance with these requirements if they include any limiting endorsement of any kind that has not been first submitted to City and approved of in writing.

5. No liability policy shall contain any provision or definition that would serve to eliminate so-called "third party action over" claims, including any exclusion for bodily injury to an employee of the insured or of any contractor or subcontractor.

6. All coverage types and limits required are subject to approval, modification and additional requirements by the City, as the need arises. Consultant shall not make any reductions in scope of coverage (e.g., elimination of contractual liability or reduction of discovery period) that may affect City's protection without City's prior written consent.

7. Proof of compliance with these insurance requirements, consisting of certificates of insurance evidencing all of the coverages required and an additional insured endorsement to Consultant's general liability policy, shall be delivered to City at or prior to the execution of this Agreement. In the event such proof of any insurance is not delivered as required, or in the event such insurance is canceled at any time and no replacement coverage is provided, City has the right, but not the duty, to obtain any insurance it deems necessary to protect its interests under this or any other agreement and to pay the premium. Any premium so paid by City shall be charged to and promptly paid by Consultant or deducted from sums due Consultant, at City option.

8. Certificates are to reflect that the insurer will provide 30- (thirty-) day notice to City of any cancellation of coverage. Consultant agrees to require its insurer to modify such certificates to delete any exculpatory wording stating that failure of the insurer to mail written notice of cancellation imposes no obligation, or that any party will "endeavor" (as opposed to being required) to comply with the requirements of the certificate.

9. It is acknowledged by the parties of this agreement that all insurance coverage required to be provided by Consultant or any subcontractor, is intended to apply first and on a primary, non-contributing basis in relation to any other insurance or self-insurance available to City.

10. Consultant agrees to ensure that subcontractors, and any other party involved with the project who is brought onto or involved in the project by Consultant, provide the same minimum insurance coverage required of Consultant. Consultant agrees to monitor and review all such coverage and assumes all responsibility for ensuring that such coverage is provided in conformity with the requirements of this section. Consultant agrees that upon request, all agreements with subcontractors and others engaged in the project will be submitted to City for review.

11. Consultant agrees not to self-insure or to use any self-insured retentions or deductibles on any portion of the insurance required herein and further agrees that it will not allow any contractor, subcontractor, Architect, Engineer or other entity or person in any way involved in the performance of work on the project contemplated by this agreement to self-insure its obligations to City. If Consultant's existing coverage includes a deductible or self-insured retention, the deductible or self-insured retention must be declared to the City. At that time the City shall review options with the Consultant, which may include reduction or elimination of the deductible or self-insured retention, substitution of other coverage or other solutions.

12. The City reserves the right at any time during the term of the contract to change the amounts and types of insurance required by giving the Consultant ninety (90) days advance written notice of such change. If such change results in substantial additional cost to the Consultant, the City will negotiate additional compensation proportional to the increased benefit to City.

13. For purposes of applying insurance coverage only, this Agreement will be deemed to have been executed immediately upon any party hereto taking any steps that can be deemed to be in furtherance of or towards performance of this Agreement.

14. Consultant acknowledges and agrees that any actual or alleged failure on the part of City to inform Consultant of non-compliance with any insurance requirement in no way imposes any additional obligations on City nor does it waive any rights hereunder in this or any other regard.

15. Consultant will renew the required coverage annually as long as City or its employees or agents face an exposure from operations of any type pursuant to this Agreement. This obligation applies whether or not the Agreement is canceled or terminated for any reason. Termination of this obligation is not effective until City executes a written statement to that effect.

16. Consultant shall provide proof that policies of insurance required herein expiring during the term of this Agreement have been renewed or replaced with other policies providing at least the same coverage. Proof that such coverage has been ordered shall be submitted prior to expiration. A coverage binder or letter from Consultant's insurance agent to this effect is acceptable. A certificate of insurance and/or additional insured endorsement as required in these specifications applicable to the renewing or new coverage must be provided to City within five (5) days of the expiration of the coverages.

17. The provisions of any workers' compensation or similar act will not limit the obligations of Consultant under this Agreement. Consultant expressly agrees not to use any statutory immunity defenses under such laws with respect to City, its employees, officials and agents.

18. Requirements of specific coverage features or limits contained in this section are not intended as limitations on coverage, limits or other requirements nor as a waiver of any coverage normally provided by any given policy. Specific reference to a given coverage feature is for purposes of clarification only as it pertains to a given issue and is not intended by any party or insured to be limiting or all-inclusive.

19. These insurance requirements are intended to be separate and distinct from any other provision in this agreement and are intended by the parties here to be interpreted as such.

20. The requirements in this Section supersede all other sections and provisions of this Agreement to the extent that any other section or provision conflicts with or impairs the provisions of this Section.

21. Consultant agrees to be responsible for ensuring that no contract used by any party involved in any way with the project reserves the right to charge City or Consultant for the cost of additional insurance coverage required by this agreement. Any such provisions are to be deleted with reference to City. It is not the intent of City to reimburse any third party for the cost of complying with these requirements. There shall be no recourse against City for payment of premiums or other amounts with respect thereto.

Consultant agrees to provide immediate notice to City of any claim or loss against Consultant arising out of the work performed under this agreement. City assumes no obligation or liability by such notice, but has the right (but not the duty) to monitor the handling of any such claim or claims if they are likely to involve City.

AGENDA SECTION: OTHER BUSINESS
AGENDA ITEM # 8
REPORT # 15-17

STAFF REPORT
COUNCIL MEETING DATE
January 26, 2015

ITEM FOR COUNCIL CONSIDERATION

A request to enter into a Memorandum of Understanding (MOU) with the California Coastal Commission and the State Lands Commission to establish a Joint Review Panel for review of the Environmental Impact Report (EIR) for the Paredon Project proposed by Venoco, Inc.

Report prepared by: Jackie Campbell **Community Development Director**	Jackie Campbell *Signature*
Reviewed by: Dave Durflinger **City Manager**	*Signature*
City Attorney's Office	Adele Garcia for Peter Brown *Signature*

ACTION ITEM X ; **NON-ACTION ITEM** __

STAFF RECOMMENDATION: Staff recommends that the City Council enter into a Memorandum of Understanding establishing a Joint Review Panel with the Coastal Commission and State Lands Commission for preparation of the Paredon Project Environmental Impact Report.

Sample Motion: I move to enter into a Memorandum of Understanding establishing a Joint Review Panel with the Coastal Commission and State Lands Commission for preparation of the Paredon Project Environmental Impact Report.

I. BACKGROUND

City staff is processing a Development Plan and Coastal Development Permit application for the proposed Paredon Project located at the Carpinteria Oil and Gas Processing Facility at 5675 Dump Road. The project involves a proposal to drill up to 22 extended reach wells to recover oil and gas resources from onshore and offshore reservoirs. After reviewing the proposal, staff determined that an Environmental Impact Report (EIR) would be necessary to evaluate the potential environmental effects associated with the proposed project.

While the City will be the lead agency under the California Environmental Quality Act (CEQA), both the Coastal Commission and State Lands Commission are responsible agencies that will rely on the EIR for their permitting actions related to the Paredon Project. Therefore, it is more efficient for all agencies if the EIR is prepared under the review of a Joint Review Panel (JRP) as the role of the JRP is to ensure technical accuracy and comprehensive analysis of issues.

The lead agency is the public agency with principal responsibility for carrying out or approving a project.

For projects requiring an EIR, the lead agency must consult with responsible agencies and trustee agencies both in regard to the scope of the EIR and the substance of a draft EIR. Both responsible agencies and trustee agencies play a major role in the CEQA review process in this regard. Lead agencies must include in their EIRs information related to the environmental impacts that are anticipated by responsible agencies and trustee agencies as to matters within their subject matter expertise or jurisdiction. Therefore, establishing the Joint Review Panel pursuant to a Memorandum of Understanding (MOU) that sets forth the process for this review and the roles and responsibilities of each agency makes for a more efficient and comprehensive environmental process and document. Nonetheless, the lead agency is still solely responsible for determining the level of impacts and for certifying the final document. These parameters are set forth in the attached MOU.

Lead agencies have a duty to produce comprehensive environmental documents that will be of use to responsible agencies.

It is in the beneficial interest of all parties to share in the task of preparation of an EIR in order to avoid duplication of staff efforts, to share staff expertise and information already existing, to promote intergovernmental coordination and to serve the public interest by producing a more efficient environmental review process.

The MOU is included as Attachment A.

II. FINANCIAL CONSIDERATIONS

The cost of implementing the JRP will be borne by the applicant through individual accounting with each agency.

III. LEGAL ISSUES

The City is not required to establish a JRP, however, given the requirements for consultation incorporated into CEQA, using a JRP provides the most efficient and comprehensive document that will inform the public and the lead agency and responsible agencies that must rely on that EIR document when taking any discretionary action. In this context, JRPs have been and are used as a tool to comply with CEQA's consultation requirements to ensure efficiency of government.

The City Attorneys' Office has reviewed and accepted the document as adequate for approval as have attorneys from both the State Lands Commission and Coastal Commission.

IV. PRINCIPAL PARTIES EXPECTED

It is anticipated that representatives of Marine Research Specialists and Venoco, Inc. will be at the meeting.

V. ALTERNATIVES

1. Reject the recommended MOU between the City, Coastal Commission and State Lands Commission.

VI. ATTACHMENT

Attachment A: MOU Establishing a Joint Review Panel for Preparation of an EIR for the Paredon Project

Attachment A

MEMORANDUM OF UNDERSTANDING
FOR JOINT REVIEW PANEL AND PREPARATION OF ENVIRONMENTAL IMPACT REPORT
Paredon Project

City Council Meeting
January 26, 2015

MEMORANDUM OF UNDERSTANDING
FOR JOINT REVIEW PANEL AND PREPARATION OF
ENVIRONMENTAL IMPACT REPORT
Venoco Inc., Paredon Project EIR

This Memorandum of Understanding ("MOU") is entered into on the 26th day of January 2015, by and between the following agencies' staffs:

City of Carpinteria, hereinafter referred to as "City;"
California State Lands Commission, hereinafter referred to as "State Lands Commission;" and
California Coastal Commission hereinafter referred to as "Coastal Commission" (Collectively, the "Parties," or individually, a "Party").

WHEREAS, Venoco Inc., hereinafter referred to as "Venoco," has proposed to develop the Paredon Project to drill into onshore reservoirs from an onshore operations site located at Venoco's existing Carpinteria Oil and Gas Processing Facility ("CPF"), located on the coast in the City of Carpinteria, in southern Santa Barbara County, hereinafter referred to as the "First Phase," and will apply for the necessary approvals from local and State governmental agencies; and

WHEREAS, should there appear to be sufficient potential for developable hydrocarbons in what would amount to an offshore extension of the field, Venoco would proceed with a request for approval to develop the offshore reserves including a request for a Local Coastal Program Amendment ("LCPA"), hereinafter referred to as the "Second Phase" (the First Phase and the Second Phase are collectively referred to herein as the "Project"), and will apply for the necessary approvals from local and State governmental agencies; and

WHEREAS, the proposed Project, as described in Venoco's Application (February 2014) (the "Application"), is comprised of the following components:

1. Venoco has proposed to drill up to 22 wells from a drilling pad located on an appropriately zoned site within the CPF. The oil from the wells would be processed at the CPF and shipped via existing pipeline to refineries in the Los Angeles basin. The produced gas would be processed at the CPF and then sold to The Gas Company through an existing odorant and metering station located at the CPF. Produced water would be treated at the CPF and then reinjected back into the reservoir. The first phase will consist of drilling one or more exploration wells into the Paredon structure located on land, beneath the CPF. Additional reservoir analysis has been done by Venoco and they believe that a significant portion of the field exists onshore. The first well will initially be drilled vertically. Subject to review of geological and reservoir information, it is anticipated that two exploratory sidetracks could then be drilled; one to the east of the CPF and one to the west of the CPF. The drill to the west would remain onshore, stopping approximately 500 feet short of the offshore lease line. The intent of this phase is to evaluate the development potential of the onshore field. Based upon the information obtained during drilling, an engineering and geological evaluation will then be made to direct the course of future efforts as follows:

a) Should there appear to be no potential for economically recoverable hydrocarbons at this location, Venoco would stop the project;
b) Should there appear to be sufficient potential for developable hydrocarbons in the area tested by the exploration phase, Venoco would proceed with a request for approvals to develop the onshore reserves;
c) Should there appear to be sufficient potential for developable hydrocarbons in what would amount to an offshore extension of the field, Venoco would proceed with a request for approval to develop the offshore reserves including a request for a Local Coastal Program Amendment (LCPA).

2. The Project area is estimated to contain recoverable reserves of approximately 20.5 million barrels of oil and 30.8 billion standard cubic feet of natural gas. The proposed facilities would be integrated with existing onshore oil and gas processing, pipeline transportation and storage facilities. The peak production rates are estimated at 9,000 Barrels of Oil Per Day (BOPD) and 13 Million Standard Cubic Feet Per Day (MMSCFD) of natural gas. The actual project life for the Project will be dependent on future commodity prices and actual reservoir performance. Venoco estimates that Project production will be viable for 20 years once production is started;

3. The proposed Project will make extensive use of the existing oil and gas infrastructure at the CPF. This facility was originally built in 1959 and currently handles production from Platform Gail and Platform Grace; and

4. The City is requiring a zone text amendment to explicitly allow drilling from an onshore location to an offshore reservoir in conformance with the Local Coastal Program/Coastal Land Use Plan, and the requirements of the California Coastal Act; and

WHEREAS, portions of the Project may have a "significant effect on the environment" as defined by the California Environmental Quality Act ("CEQA") [Public Resources Code section 21000 *et seq*.], which must be considered by State and local agencies when reviewing and acting on projects pursuant to CEQA and other applicable State laws; and

WHEREAS, the Parties to this MOU desire to collaborate in the preparation of an Environmental Impact Report ("EIR") on the proposed Project that includes all relevant information and analyses before acting on the Application; and

WHEREAS, the City and State Lands Commission have a pending Application for the Project, and the agencies agree that the City shall be the Lead Agency pursuant to CEQA Guidelines section 15051(d) [14 California Code of Regulations Section 15051(d)] for purposes of complying with CEQA, including certifying the Project EIR and taking the first permitting action on the Application; and

WHEREAS, responsible State agencies such as the Coastal Commission, which regulates development within the coastal zone, and the State Lands Commission have jurisdiction over portions of the proposed Project and will have an important role in contributing to and commenting on the analyses necessary to prepare the EIR; and

WHEREAS, it is in the beneficial interest of all Parties to share in the task of preparation of an environmental document on the Project in order to avoid duplication in staff efforts, to share staff expertise and information already existing, to promote intergovernmental coordination, and to serve the public interest by producing a more efficient environmental review process; and

WHEREAS, the Parties desire to execute this MOU in order to set forth their agreement as to the City's status as a Lead Agency and the State Lands Commission's status as a Responsible Agency and the Coastal Commission's status as an agency with a certified regulatory program for purposes of environmental review under CEQA and to establish procedures for dissemination and sharing of information regarding the Project environmental review.

NOW, THEREFORE, in consideration of the mutual covenants and conditions hereinafter set forth, it is agreed as follows:

1. BACKGROUND

The City is the Lead Agency for the Project pursuant to CEQA with all the traditional rights and responsibilities of a Lead Agency to evaluate the environmental impacts of the Project with the discretion to certify the Final Environmental Impact Report ("FEIR") and approve or disapprove the Project.

The State Lands Commission is a Responsible Agency for the Project pursuant to CEQA with all the traditional rights and responsibilities of a Responsible Agency.

The California Coastal Commission is a Certified Regulatory Agency under CEQA and will complete its review consistent with the requirements of its certified regulatory program.

2. THE EIR

The Parties to this MOU anticipate that the Project will require an EIR in accordance with CEQA. Pursuant to this MOU, an EIR shall be prepared for the proposed Project in accordance with CEQA and its Guidelines (14 California Code of Regulations sections 15000 *et seq.*).

3. AGENCY REPRESENTATIVES AND THEIR DUTIES

i. Joint Review Panel

A Joint Review Panel ("JRP") shall be formed. The JRP shall be composed of one representative of each agency party to this MOU. The following members of the JRP are appointed by their respective agencies:

Jackie Campbell .... e-mail: jackiec@ci.carpinteria.ca.us
Community Development Director Phone: 805/684-5405 x451
City of Carpinteria
5775 Carpinteria Avenue
Carpinteria, CA 93013

Cy R. Oggins .... e-mail: ogginsc@slc.ca.gov
Division of Environmental Planning and Management Phone: 916/574-1880
California State Lands Commission
100 Howe Avenue, Suite 100-South
Sacramento, CA 95825-8202

Alison Dettmer .... e-mail: adettmer@coastal.ca.gov
Energy and Ocean Resources Unit Phone: 415/904-5205
California Coastal Commission
45 Fremont Street, Suite 2000
San Francisco, CA 94105-2219

ii. Party Responsibilities

Responsibilities of the Parties to this MOU are specified below and in the appropriate sections of this MOU.

a) JRP Members	City, State Lands Commission, Coastal Commission
b) CEQA Lead Agency	City
c) Chair of the JRP	City
d) Hold the EIR consultant contract	City
e) Prepare the Notice of Preparation	City
f) Oversee preparation of the EIR and the Administrative Record	City, with input from State Lands Commission, Coastal Commission
g) Option to certify the EIR	City
h) Take the first discretionary action on the Application as provided pursuant to CEQA Guidelines Section 15051(c)	City
i) File the Notice of Determination (if the Project is approved)	City and State Lands Commission

4. RESPONSIBILITIES OF THE JRP

The JRP members shall assist with the following duties:

a) Establish a timeline for completion of the EIR in compliance with applicable law;

b) Determine the organization, scope and content of the EIR, pursuant to State CEQA Guidelines section 15082 *et seq.*, to ensure that the requirements of State laws are satisfied, and that the statutory findings required of the agencies for their respective decisions on the Project can be made;

c) Review work performed by the consultant and determine whether the work is satisfactory, and, if not, how best to correct the deficiencies in the work;

d) Review and provide comments on an Administrative Draft EIR;

e) Pursuant to CEQA and its Guidelines, conduct noticed public hearings in order to obtain comments on the Draft EIR from all responsible, trustee and other appropriate public agencies, including JRP member and advisory agencies, the applicant, and from the general public (public hearings on permit decisions shall be conducted separately by each Party to this MOU according to applicable law);

f) Determine the adequacy of the consultant-prepared responses to comments on the Draft EIR;

g) Decide when and how the Final EIR, Findings and a Mitigation Monitoring Program are to be prepared; and

h) Make a recommendation to the City regarding certification of the EIR.

5. COMMUNICATION AND CONFIDENTIALITY

i. Communication

The successful preparation of the EIR requires complete and full communication between all Parties. The JRP members shall ensure close consultation throughout the preparation of the EIR and shall keep each other advised of developments affecting the preparation of the EIR. To the maximum extent practicable under law and consistent with each Party's agency policies, the JRP members agree to share all information (including data) necessary to prepare the EIR for the Project and, if needed, to prepare the associated Administrative Record.

As the agency holding the EIR consultant's contract, the City shall keep all JRP members fully informed of all issues pertinent to the EIR preparation as determined by the City. The City representative shall be the Chair of the JRP and shall convene JRP meetings based on the timetable to be developed at the first JRP meeting. JRP members shall be notified in advance of all meetings and telephone conversations and shall receive copies of all correspondence that involves:

- comments on any EIR deliverable;
- mitigation measures or project conditions that are applicable to other agencies' jurisdiction;
- modifications to the Application or EIR that are germane to any agency's policies; and
- changes in agency policy which affect the proposed Project.

If any JRP member is not in attendance at meetings and/or conversations involving any of the above issues, then the City shall provide a summary of the meeting or conversation to those JRP members not in attendance. A telephone conversation may be used in lieu of written minutes when appropriate, although written minutes should follow.

Each JRP member shall notify the other members in writing of a change in his or her mailing address, e-mail address, or telephone number, or a change in agency representative.

ii. Confidentiality

To the maximum extent allowed by law (including pursuant to Government Code sections 6250-6270, the California Public Records Act), discussions and records related to preparation of the documents (e.g., about alternatives, impact levels, comments on document language and/or mitigation), including meeting minutes and Administrative Drafts, shall remain privileged among the Parties ("Confidential Work Product").

Additionally, during the course of this MOU, the individual Parties may make available to each other certain confidential information, or may otherwise learn of confidential information belonging to the other Parties, including, but not limited to confidential data, business information, financial information, proprietary information, attorney work product, attorney-client communications, and similar non-public information ("Confidential JRP Information").

Information will also be needed from the Project applicant to prepare the EIR. Information submitted by the Project applicant that is designated as confidential, and that the Parties determine is exempt from public disclosure under the Public Records Act (Government Code Section 6250 *et seq.*) and other applicable law ("Confidential Applicant Information"), shall be protected from public disclosure in accordance with applicable law. Any Party receiving Confidential Applicant Information shall inform the other Parties of the receipt of such material. Where applicable, the Project applicant shall be required to clearly stamp such information as "Confidential."

Collectively, the Confidential Work Product, Confidential JRP Information and Confidential Applicant Information are referred to herein as "Confidential Materials." The Parties agree that the Confidential Materials are provided and shared in trust and confidence, and agree that such Confidential Materials shall be used only in connection with the preparation of an EIR for the Project. The Confidential Materials shall not be used for any other purpose or be disclosed to any party that is not subject to this MOU without the express prior written authorization of all Parties to this MOU.

The Parties agree to treat all Confidential Materials as confidential. All Confidential Materials shall be properly safeguarded and protected by the Parties to this MOU and their employees and agents from unauthorized viewing, use and disclosure.

If any Party determines that the Confidential Materials constitute public records as provided by law, that Party shall give all other Parties to this MOU (and the Project applicant if the Confidential Materials at issue were provided by the Project applicant) prompt written notice thereof so that such Party or the applicant may seek a protective order or other appropriate remedy prior to disclosure. In the event of a lawsuit pursuant to the Public Records Act, each Party shall bear its own attorneys' fees and costs.

If any Party breaches any of its obligations with respect to confidentiality and authorized use of the Confidential Materials, any injured Party will be entitled to relief to protect its interests as appropriate.

Notwithstanding the foregoing, the Parties shall have no obligation under this MOU with respect to any part of the Confidential Materials that: (i) are or become generally available to the public on a non-confidential basis, including from a third party, provided that such third party is not in breach of an obligation of confidentiality with respect to such information; (ii) were independently developed by a Party not in reliance on the Confidential Materials or otherwise in violation or breach of this MOU or any other obligation of one Party to the others; or (iii) were rightfully and demonstrably known to a Party prior to entering into this MOU.

By sharing the Confidential Materials under this MOU, the Parties do not waive or relinquish any right, privilege, protection or exemption, now or in the future, relating to the confidentiality of such information, as protected by applicable local, State and federal laws.

The Confidential Materials are provided "As Is" or "As Available" with no warranty of any kind, expressed or implied, including, but not limited to the implied warranties of merchantability, fitness for a particular purpose and non-infringement.

6. DECISION-MAKING AND RESOLUTION OF DISPUTES

Decisions by the JRP members relative to the EIR shall be made by consensus whenever possible. The EIR shall comprehensively reflect the concerns of the Parties and the advisory agencies. This goal will be accomplished by including in the EIR all differences on technical and/or scientific issues. In the event that the JRP members cannot reach agreement on a particular issue, the City shall consider the differing views and shall, after consultation with the other JRP members and the consultant, decide the course of action to be followed.

Notwithstanding the foregoing, the City, as the Lead Agency, has the authority to make all final decisions relating to the EIR.

7. MANAGEMENT OF THE CONSULTANT

The City will manage the consultant on a day-to-day basis and shall keep all members of the JRP apprised of such activity as determined by the City. In order to ensure that requests for consultation action are documented and to avoid conflicting requests of the consultant, the JRP members shall use JRP meetings as the primary forum for communicating with the consultant. Exchange of technical information between the consultant and a Party shall be allowed, but copies of information exchanged shall be provided promptly to the other Parties. The consultant shall inform the Parties of such contacts on a routine basis.

8. EIR CERTIFICATION

The Parties shall make a recommendation to the City as to the sufficiency of the Final EIR. Written comments shall be submitted as a Word file. After a certification meeting open to the public, the City shall then either certify the EIR under CEQA, not certify the EIR or refer the EIR back to the consultant for revision. Following its action on certification of the EIR, the City shall take its discretionary action on the Application prior to any permit decision by the other Parties on the Application. The State Lands Commission and the Coastal Commission shall include the same document within the record for their decision-making purposes, consistent with applicable law.

9. GENERAL AGREEMENTS

The Parties recognize and acknowledge that each agency must fulfill its statutory responsibilities in accordance with CEQA and other applicable statutes. The Parties also recognize that each agency's decisions may affect similar actions of the other agencies if differing conditions are placed on the respective action. All Parties agree to work together to minimize such conflicting occurrences. The Parties further agree to take whatever further steps they deem necessary, including further agreements or amendments to this MOU, in order to fulfill the purpose of this MOU.

The Parties to this MOU specifically understand that this is neither a contractual agreement nor a delegation of their respective responsibilities; its purpose is to clarify an agreed upon cooperative approach. Any Party may, upon notifying the other Parties, withdraw from the MOU and proceed independently pursuant to CEQA and its Guidelines. Unless an extension is otherwise previously agreed upon by all Parties, this MOU shall expire 60 days following the filing of the Notice of Determination by the City or upon denial of the Application.

10. COSTS AND FEES

No Party to this MOU shall be responsible for the costs, fees or expenses (including attorneys' fees) of any other Party relating to the preparation, certification or defense of the Project EIR.

11. MISCELLANEOUS

i. **Construction and Interpretation**. It is agreed and acknowledged by the Parties that this MOU has been arrived at through negotiation, and that each Party has had a full and fair opportunity to revise the terms of this MOU.

ii. **Severability**. The invalidity, illegality or unenforceability of any provision of this MOU shall not render the other provisions unenforceable, invalid or illegal.

iii. **Governing Law**. This MOU shall be interpreted and enforced pursuant to the laws of the State of California.

iv. **Modifications**. This MOU can be modified or amended only by written agreement of the Parties.

v. **Entire MOU**. This MOU contains the entire understanding of the Parties related to their interests, obligations and rights in connection with the subject matter set forth herein. All prior communications, negotiations, stipulations and understandings, whether oral or written, are of no force or effect, and are superseded, except as referenced herein.

vi. **Assigns and Successors**. This MOU shall be binding upon, and inure to the benefit of, the assigns or successors-in-interest of the Parties hereto.

vii. **No Third-Party Beneficiary**. The Parties to this MOU do not intend to create any third-party beneficiaries to this MOU, and expressly deny the creation of any third-party beneficiary rights hereunder toward any person or entity.

viii. **Time**. Time is of the essence in the performance of each and every term of this MOU.

ix. **Waiver**. The waiver or failure to declare a breach as a result of the violation of any term of this MOU shall not constitute a waiver of that term or condition and shall not provide the basis for a claim of estoppel, forgiveness or waiver by any Party to that term or condition.

x. **Captions**. The paragraph captions in this MOU are for convenience only and shall not be used in construing the MOU.

xi. **Additional Documents**. Each Party agrees to make, execute and deliver any and all documents and to join in any application or other action reasonably required to implement this MOU.

SIGNATORIES

The Parties hereto have caused this MOU to be duly executed on the respective dates set forth opposite their signatures.

City of Carpinteria

Signed: ______________________________ Date: __________
By: Dave Durflinger, City Manager

California State Lands Commission

Signed: ______________________________ Date: __________
By: Jennifer Lucchesi, Executive Officer

California Coastal Commission

Signed: ______________________________ Date: __________
By: Charles Lester, Executive Director

AGENDA SECTION – OTHER BUSINESS
AGENDA ITEM #9
REPORT # 14-18

STAFF REPORT
COUNCIL MEETING DATE:
January 26, 2015

ITEM FOR COUNCIL CONSIDERATION: CONSOLIDATED ANNUAL FINANCIAL REPORT FOR THE FISCAL YEAR ENDED JUNE 30, 2014

Report prepared by: John Thornberry, Administrative Services Director

Department: Administrative Services

Signature

Reviewed by:

City Manager ____________
Signature

ACTION ITEM ___; NON-ACTION ITEM x

STAFF RECOMMENDATION: Receive and file the Consolidated Annual Financial Report for fiscal year ended June 30, 2013-14

MOTION: None Required

I. BACKGROUND:

The auditors have issued an unqualified opinion of the financial statements in the Comprehensive Annual Financial Report (CAFR), meaning that, in their opinion, the statements present fairly, in all material respects, the financial position of the City in conformity with generally accepted accounting principles.

The City was awarded the Government Finance Officers Association's Certificate of Achievement for Excellence in Financial Reporting for its CAFR for the 2012-13 fiscal year. This was the sixteenth consecutive year that the City's CAFR has been awarded this Certificate. We believe that the 2013-14 CAFR continues to meet the Certificate of Achievement Program's requirements and it has been submitted to the GFOA to determine its eligibility for another certificate.

The CAFR has been distributed to Councilmembers under separate cover. It can also be viewed on the web via the following link:

http://www.ci.carpinteria.ca.us/admin services/index.shtml.

Also attached are required auditor's reports on internal control, appropriations limit calculation review and a Letter to Those Charged with Governance wherein the auditor makes certain assertion regarding his audit and findings if any. There were no findings.

II. FINANCIAL CONSIDERATIONS:

The adopted 2013-14 budget projected General Fund expenses exceeding revenues by ($197,872). After interfund transfers, the net operational position was projected to be an excess of $6,235. The City's position after interfund transfers, also reflecting the mid-year expense adjustments, was estimated to be $53,398. The table below illustrates adopted budget, mid-year, final budget and actuals for the 2013-14 year.

	Adopted	Mid Year	Final	Actual
Net Income	($197,872)	($156,435)	$154,223	$449,251
Operational Excess	6,235	53,398	320,947	328,470
Change in Fund Balance	(269,758)	(408,358)	(32,852)	176,150
Available Fund Balance	1,427,611	954,098	1,329,604	1,538,606
Reserves	6,100,011	6,199,121	6,091,164	6,420,065

Overall, General Fund revenues and Transfers In for the year were ($187,426), unfavorable to budget. The Figure I below summarizes the major categories of revenue and their performance against the final budget. Property Taxes were budgeted conservatively at 1% above prior year actuals. Actual 2013-14 Property Taxes received were almost 10% more than in 2012-13. Interest income was less than budget due to a requirement to recognize an unrealized loss on the market value of Treasury Note investments. This adjustment of $107,957 is being funded from the economic uncertainty reserve.

Figure I General Fund Revenues

Category	Budget	Actual	Variance
Property Tax	$2,866,774	$2,861,510	$5,264
Sales Tax	1,901,000	1,900,971	29
TOT	1,933,879	1,923,538	10,341
Franchise Taxes	601,442	602,583	(1,141)
Other Taxes	118,367	127,167	(8,800)
Licenses Permits	147,270	109,909	37,361
Fines & Forfeits	74,200	100,454	(26,254)
Interest	113,950	61,375	52,575
Subventions	35,000	32,220	2,780
Charges for Services	189,500	205,934	(16,434)
Miscellaneous	14,557	0	14,557
Transfers In	735,278	618,130	(117,148)
Total Income	$8,731,217	$8,543,791	($187,426)

Total General Fund expenses and transfers out were $194,950 favorable to budget. Included in this are ($170,356) in subsidies to other funds in excess of amounts anticipated by the budget.

Figure II General Fund Expenses

Category	Budget	Actual	Variance
General Government	$1,716,519	$1,463,584	$252,935
Admin. Services	721,758	710,616	11,142
Community Development	1,034,521	1,009,792	24,729
Public Safety	3,440,230	3,419,901	20,329
Parks and Recreation	336,902	336,300	602
Public Works	511,286	463,823	47,463
Special Projects	65,500	50,800	14,700
Capital outlay	15,000	21,594	(6,594)
Transfers out/Subsidies	568,555	738,911	(170,356
Total Expenses	$8,410,271	$8,215,321	$194,950

Figure III below summarizes the budgeted and actual ending fund balances for each of the City's funds.

Figure III All Funds Available Fund Balance (AFB) Summary

	Budget AFB	Audited AFB	Variance
GENERAL FUND	1,329,604	1,538,606	209,002
TRAFFIC SAFETY FUND	0	0	0
REVOLVING FUND	0	0	0
REPLACEMENT FUND	7,456	8,015	559
PARK DEVELOPMENT FUND	0	0	0
PARK MAINTENANCE FUND	0	0	0
GAS TAX FUND	41,223	69,122	27,899
LOCAL TRANSPORTATION FUND	31,620	40,496	8,876
MEASURE A FUND	1,025,819	1,166,042	140,223
TIDELANDS TRUST FUND	261,296	269,386	8,090
STREET LIGHTING FUND	870,970	951,935	80,965
TRUST & AGENCY FUND	0	0	0
R-O-W ASSESSMENT DIST FUND	0	0	0
PBIA FUND	33,611	38,401	4,790
AB 939 FUND	284,286	306,221	21,935
MEASURE-D FUND	562,153	951,024	388,871
CAPITAL IMPROVEMENT FUND	0	0	0
RECREATION SERVICES FUND	0	0	0
TOTAL	$4,448,038	$5,339,248	$891,210

III. POLICY:

Resolution 5343 adopted September 26. 2011 (Attachment E) established a General Fund Reserve Policy. The following table shows the 2014 General Fund balance components allocated among various reserves and a comparison to prior year levels. The Non-Spendable Reserve amount represents expenses prepaid at year end that are not available for appropriation in the following year. The $205,646 amount in 2013 was a prepayment of General Liability premiums to CJPIA paid in June 2013 for the 2014 year. For the 2014-15 year these premiums were not paid until July 2014.

Name	2013	2014	Change
Non Spendable Reserve	$205,646	$4,167	($201,479)
Cable Television Access Reserve	324,734	324,734	0
Capital Asset Replacement Reserve	1,009,568	1,019,562	9,994
Economic Uncertainties Reserve	3,673,615	4,006,054	332,439
General Reserve	1,054,182	1,065,548	11,366
Total Reserves	$6,267,745	$6,420,065	$152,320
Unassigned Fund Balance (AFB)	1,362,456	1,538,606	176,150
Total Fund Balance	$7,630,201	$7,958,671	$328,470

The Capital Asset Replacement Reserve, $1,019,562 is at the budgeted and policy level of $1,000,000 plus interest. The Uncertainty Reserve, $4,006,054 is at the budgeted and policy level of 55% of prior year total General Fund expenditures less ($107,957) to record a decrease in the market value of Treasury Note investments. The General Reserve, $1,065,548 is also at the budget and policy level of $1,000,000 plus interest earnings

IV. ATTACHMENTS:

A. Comprehensive Annual Financial Report – (distributed under separate cover)
B. Auditor's report on internal controls
C. Auditor's report on appropriations limit calculations
D. Auditor's required Letter to Those Charged with Governance
E. Resolution 5343 Establishing a General Fund Reserve Policy

A. Comprehensive Annual Financial Report – (distributed under separate cover)

B. Auditor's report on internal controls

Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based On an Audit of Financial Statements Performed in Accordance With Government Auditing Standards

<u>Independent Auditor's Report</u>

Honorable Mayor and Members of the City Council
City of Carpinteria
Carpinteria, California

I have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States, the financial statements of the governmental activities,each major fund, and the aggregate remaining fund information of the City of Carpinteria, as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the City of Carpinteria's basic financial statements, and have issued my report thereon dated November 30, 2013.

Internal Control over Financial Reporting

In planning and performing my audit of the financial statements, I considered City of Carpinteria's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing my opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the City of Carpinteria's internal control. Accordingly, I do not express an opinion on the effectiveness of the City of Carpinteria's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the City's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

My consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during my audit I did not identify any deficiencies in internal control that I consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the City of Carpinteria's financial statements are free from material misstatement. I performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of my audit, and accordingly, I do not express such an opinion. The results of my tests disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards

Purpose of Report

The purpose of this report is solely to describe the scope of my testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the City of Carpinteria's' internal control or on compliance. This report is an integral part of an audit performed in accordance with Government Auditing Standards in considering the City of Carpinteria's internal control and compliance. Accordingly, this communication is not suitable for any other purpose,

Terry E. Krieg, CPA
Santa Rosa, California
November 30, 2013

C. Auditor's report on appropriations limit calculations

APPROPRIATIONS LIMIT
CITY OF CARPINTERIA
JUNE 30, 2014

TK
Terry E. Krieg, CPA
Certified Public Accountant

Honorable Mayor and Members of
The City Council
City of Carpinteria

Independent Accountants' Report on Agreed-Upon Procedures
Applied to Appropriations Limit Worksheets

I have applied the procedures enumerated below to the accompanying Appropriations Limit worksheet of the City of Carpinteria for the year then ended June 30, 2014. These procedures, which were agreed to by the City (as presented in the League publication entitled *Article XIIIB Appropriations Limitation Uniform Guidelines*) were performed solely to assist the City of Carpinteria in meeting the requirements of Section 1.5 of Article XIIIB of the California Constitution.

This engagement to apply agreed-upon procedures was performed in accordance with standards established by the American Institute of Certified Public Accountants. The sufficiency of the procedures is solely the responsibility of the specified users of the report. Consequently, I make no representation regarding the sufficiency of the procedures described below either for the purpose for which the report has been requested or for any other purpose.

The procedures performed and my findings were as follows:

1. I obtained the completed appropriation limit worksheets and compared the limit and annual adjustment factors included in those worksheets to the limit and annual adjustment factors that were adopted by resolution of the City Council. I also compared the population and inflation options included in the aforementioned worksheets to those that were selected by a recorded vote of the City Council.

   Finding: No exceptions were noted as a result of my procedures.

2. For the accompanying Appropriations Limit worksheet, I added last year's limit and total adjustments, and compared the resulting amount to this year's limit.

   Finding: No exceptions were noted as a result of my procedures.

3. I compared the current year information presented in the accompanying Appropriations Limit worksheet to the other worksheets described in No. 1 above.

   Finding: No exceptions were noted as a result of my procedures.

4. I compared prior year appropriations limit presented in the accompanying Appropriations Limit worksheet to the prior year appropriations limit adopted by the City Council for the prior year.

   Finding: No exceptions were noted as a result of my procedures.

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P: 707-544-5684 E: Kriegcpa@msn.com

City Council
City of Carpinteria
Page 2

I was not engaged to, and did not; perform an audit, the objective of which would be the expression of an opinion on the accompanying Appropriations Limit worksheet. Accordingly, I do not express such an opinion. Had I performed additional procedures, other matters might have come to our attention that would have been reported to you. No procedures have been performed with respect to the determination of the appropriation limit for the base year, as defined by the League publication entitled *Article XIIIB Appropriations Limitation Uniform Guidelines*.

This report is intended solely for the use of the City of Carpinteria and should not be used by those who have not agreed to the procedures and taken the responsibility for the sufficiency of the procedures for their purposes.

Terry E. Krieg

Certified Public Accountant
November 30, 2014

Article XIIIB Appropriation Limit Calculation

Prior Year Limit (As Revised)		$ 14,820,659
Multiply by:		
Change in per capita income	1.0512	
Change in County population	1.0071	1.05866352
Total Appropriation Limit Fiscal Year 2013-2014		$ 15,690,091

D. Auditor's required Letter to Those Charged with Governance

CITY OF CARPINTERIA
Letter to Those Charged With Governance
June 30, 2014

November 30, 2014

Honorable Mayor and Members of the City Council
City of Carpinteria
Carpinteria, California

I have audited the basic financial statements of the governmental- type activities, each major fund and the aggregate remaining fund information of the City of Carpinteria for the year ended June 30, 2014 and have issued my report thereon dated November 30, 2014. Professional standards require that I provide you with the following information related to my audit.

1. My Responsibility under U.S. Generally Accepted Auditing Standards

As stated in my engagement letter, my responsibility, as described by professional standards, is to express opinions about whether the financial statements prepared by management with your oversight are fairly presented, in all material aspects, in conformity with U.S. generally accepted accounting principles. My audit of the financial statements does not relieve you or management of your responsibilities.

As part of my audit, I considered the internal control of the City of Carpinteria. Such considerations were solely for the purpose of determining my audit procedures and not to provide assurance concerning such internal control. My responsibility is to plan and perform the audit to obtain reasonable, but not absolute, assurance that the financial statements are free of material misstatement. I am responsible for communicating significant matters related to the audit that are, in my professional judgment, relevant to your responsibilities in overseeing the financial reporting process. However, I am not required to design procedures specifically to identify such matters.

2. Other Information in Documents Containing Audited Financial Statements and Electronic Dissemination of Audited Financial Statements

My responsibility for other information in documents that contain the City of Carpinteria's financial statements and my auditor's report, such as an official statement for a bond or debt offering, does not extend beyond the financial information identified in the report. I do not have an obligation to perform any procedures to corroborate other information contained in such other documents. To my knowledge, the City of Carpinteria's audited financial statements were not incorporated into other documents.

With regard to the electronic dissemination of audited financial statements, including financial statements published electronically on your website, you understand that electronic sites are a means to distribute information and, therefore, I am not required to read the information in any such sites or to consider the consistency of other information in the electronic site with the original documents.

3. Planned Scope and Timing of the Audit

I performed the audit according to the planned scope and timing previously communicated to the City's Administrative Services Director in the audit engagement letter and discussed with the City's Director. My understanding is that the City's Administrative Services Director has the responsibility for coordinating the audit process with my firm and for communicating to you significant audit matters.

4. Significant Audit Findings

A. Qualitative Aspects of Accounting Practices

Management is responsible for the selection and use of appropriate accounting policies. In accordance with my engagement letter, I will advise management about the appropriateness of accounting policies and their application. The significant accounting policies used by the City of Carpinteria are described in Note one to the financial statements.

B. Changes in Accounting Policies

There were no changes in accounting principles or changes in the application of adopted accounting principles and financial reporting practices in fiscal 2014.

New and Pending Accounting Standards

GASB Statement 68 Pension Plan Accounting and Reporting for Local Governments becomes effective for the fiscal year ending June 30, 2015 .The new standard changes financial reporting and greatly expands disclosures for local governments in the area of pensions. Cities that are members of a PERS Pool shall be required to report their share of pension plan assets and unfunded liabilities. The City should establish arrangements with its actuary and with the PERS to determine how it will exactly implement this new and evolving financial reporting standard. My understanding is that the PERS will provide GASB 68 information for members in cost sharing pools.

C. Significant and Unusual Transactions

There were no significant and unusual transactions reported in fiscal 2014 in the City's statement of net position and fund statements other than:

1. Reporting an overall $892,862 increase in the City's net position as a result of City total government-wide revenues exceeding overall operating expenses in fiscal 2014.

   While there was an overall increase of about $893,000 in the City's net position, this increase includes about a $313,000 noncash charge for depreciation and about a $338,000 noncash charge for the increase in the City's OPEB (retiree medical) obligation. If all of the government-wide reconciling items are eliminated, then there was about an overall $87,000 decrease in the City's net position.

2. The City's general fund generated about $449,000 more in revenues than in expenditures in the 2014 fiscal year. The general fund ended 2014 with a positive $7.95 million fund balance

3. There was a net $532,000 decrease in the fund balance of the impact fee fund as a result of capital spending primarily related to a $775,000 land purchase. This fund ended fiscal 2014 with a $3.22 million fund balance available for future projects.

4. The City's revolving fund and almost broke even in fiscal 2014; and the City's Measure A fund ended 2014 with a $1.16 million fund balance.

5. The City's other non-major governmental funds ended 2014 with an aggregated fund balance of about $2.81 million with most of this being held by the street lighting fund ($952,000) and the Measure D fund ($951,000).

6. The City was not subject to the Federal Single Audit Requirements in Fiscal 2013 because aggregated expenditures of Federal Awards were less than $500,000 although the City did report over $300,000 in federal assistance for the bridge replacement project.

D. Transactions Having a Lack of Authoritative Guidance

No significant dollar value transactions came to my attention where there was a lack of authoritative guidance regarding the application of accounting principles to the transactions.

E. Accounting Estimates

Accounting estimates are an integral part of financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most significant estimate affecting the financial statements was management's estimate of depreciation expense which estimates were based upon subsidiary schedules of capital assets and depreciation calculations using the straight-line method of depreciation, and the actuarially developed information pertaining to the City's unfunded Other Post-Employment Obligation (OPEB) and its Plan.

F. Sensitive Financial Statement Disclosures

The disclosures in the financial statements are to be neutral, consistent and clear. Certain financial statement disclosures are particularly sensitive because of their significance to financial statement users. There were, in my judgment, no unusual and sensitive disclosures affecting the financial statements

<u>5. Difficulties Encountered in Performing the Audit</u>

I experienced no significant difficulties in dealing with management in performing and completing my audit.

<u>6. Corrected and Uncorrected Misstatements</u>

Professional standards require me to accumulate all known and likely misstatements identified during the audit other than those that are trivial, and communicate them to the appropriate level of management. Management has corrected all such misstatements and any detected by City staff as part of the year end closing process.

There were about 20 material audit adjusting entries that were provided to City management and they related to compensated absence balances (the City books to the balance sheet and then expenses as part of year end closing); revenues and receivable accounts; adjustments for internal balances and transfers between funds to cover deficit spending in certain City funds; and adjustments relating to changes in investment market values, inventory balances, debt transactions, capital asset and depreciation accounts, and OPEB obligations. This year there also were some adjustments and extra time involved in reconciling cash balances and opening fund balances.

The nature of these adjustments were, in my judgment, not reflective of systemic matters or control deficiencies, but instead representative of the types of year end closing adjustments that many municipalities make before the commencement of final audit fieldwork and many municipalities make in connection with or during the year end auditing processes.

7. Disagreements with Management

For purposes of this letter, professional standards define a disagreement with management as a matter, whether or not resolved to my satisfaction, concerning a financial accounting, reporting or auditing matter that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of the audit.

8. Management Representations

I have requested certain representations from management that are included in the management representation letter.

9. Consultations with Other Independent Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a second opinion on certain situations. If a consultation involves the application of an accounting principle to the City's financial statements or a determination of the type of auditor's opinion that may be expressed on those financial statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To my knowledge, there were no such consultations with other accountants

10. Financial Reporting Award

The City received from the Government Finance Officers Association (GFOA) a Certificate of Achievement for Excellence in Financial Reporting pertaining to the City's June 30, 2013 Comprehensive Annual Financial Report (CAFR).

11. Other Audit Findings or Issues

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to our retention as the City's auditors or prior to commencement of the financial statement audit. However, these discussions occurred in the normal course of my professional relationship and my responses were not a condition to my retention as the City's independent auditor.

This information is intended solely for the use of the City Council of the City of Carpinteria and management of the City and is not intended to be and should not be used by anyone other than these specified parties.

Sincerely yours

Terry E. Krieg

E. Resolution 5343 Establishing a General Fund Reserve Policy

RESOLUTION NO. 5343

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA, ESTABLISHING A GENERAL FUND RESERVE POLICY

WHEREAS, on June 13, 2011 the City Council approved a Fund Balance Policy for the General Fund in compliance with Government Accounting Standards Board Statement 54; and

WHEREAS, the City Council directed the City Manager to prepare a Resolution to adopt a General Fund Reserve Policy as discussed; and

WHEREAS, the purpose this General Fund Reserve Policy is to support an understanding of what types and amounts of reserves should be maintained by the City and how to manage those reserves in terms of funding and appropriation; and

WHEREAS, research indicates that cities commonly set aside reserves ranging from 15% to 55% of General Fund expenses for items such as economic uncertainties, disasters/emergencies and occasional major expenses; and

WHEREAS, factors influencing General Fund balances and reserves include changes in the economy, the stock market, unexpected declines in revenues, subsidies to other funds, fleet replacement and litigation.

NOW, THEREFORE, THE CARPINTERIA CITY COUNCIL HEREBY RESOLVES that the following three reserve categories and amounts be established and comprise the Committed Fund Balance portion of total fund balance as per Government Accounting Standards Board Statement 54:

1. Financial and Economic Uncertainty Reserve equal to a minimum of 55% of annual General Fund expenses
2. General Reserve Fund set as a minimum of $1,000,000 with interest only accruing therein to be appropriated by Council Resolution
3. Major Asset Replacement and Repair Reserve Fund equal to a minimum of $882,000 with interest only accruing therein to be appropriated by Council Resolution

BE IT FURTHER RESOLVED that the $882,000 minimum in the Major Asset Replacement and Repair Fund be increased to a minimum of $1,000,000 whenever possible; and

BE IT FURTHER RESOLVED that:

In years when revenues exceed expenses the Council will direct the allocation of any excess as part of the annual transmittal of the audited Comprehensive Annual Financial Report; and

As part of the annual budget process staff will make recommendations for appropriations from the various reserve categories for items, if any, which meet the intended use of the reserves; and

At least annually, as part of the budget process and/or Comprehensive Annual Financial Report transmittal, staff will report on the level of reserve balances as compared to the targeted 55% level.

PASSED, APPROVED AND ADOPTED this 26th day of September 2011 by the following called vote:

AYES: COUNCILMEMBERS: Armendariz, Carty, REddington, Stein, Clark
NOES: COUNCILMEMBERS: None
ABSENT: COUNCILMEMBERS: None

Al Clark

MAYOR, CITY OF CARPINTERIA

ATTEST:

Michele Garcia

CITY CLERK, CITY OF CARPINTERIA

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held this 26th day of September 2011.

Michele Garcia

CITY CLERK, CITY OF CARPINTERIA

APPROVED AS TO FORM:

PETER N. BROWN
CITY ATTORNEY

City of Carpinteria, California

Comprehensive Annual Financial Report

For the fiscal year ended June 30, 2014

Introductory Section

CITY OF CARPINTERIA
Comprehensive Annual Financial Report
For the
Fiscal Year Ended June 30, 2014

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Comprehensive Annual Financial Report
for the
Fiscal Year Ended June 30, 2014

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CITY of CARPINTERIA CALIFORNIA

December 5, 2014

To the Honorable Mayor, Members of
the City Council and Citizens of the City of Carpinteria

The City follows a policy of preparing a complete set of financial statements in conformity with U. S. generally accepted accounting principles after the end of each fiscal year. This report is published to fulfill that policy for the fiscal year ended June 30, 2014.

Management of the City of Carpinteria assumes full responsibility for the completeness and reliability of the information contained in this report, based upon a comprehensive frame-work of internal control that the City has established for this purpose. Because the cost of internal control should not exceed anticipated benefits, the objective is to provide reasonable, rather than absolute, assurance that the City's financial statements are free of material misstatements.

The firm of Terry E. Krieg, Certified Public Accountant, has issued an unqualified independent auditor's report on the City of Carpinteria's financial statements for the fiscal year ended June 30, 2014. The independent auditor's report is located at the front of the financial section of this report.

Management's Discussion and Analysis (MD&A) immediately follows the independent auditor's report and provides a narrative introduction, overview, and analysis of the basic financial statements. The MD&A complements this letter of transmittal and should be read in conjunction with it.

PROFILE OF THE CITY AND ITS OPERATIONS

The City of Carpinteria was incorporated in 1965. The City is located on the Central Coast of California about 100 miles north of Los Angeles. The City is within about ten minutes of driving time from the downtown area of the City of Santa Barbara which is visited annually by substantial numbers of tourists seeking to enjoy the area's moderate Climate, ocean views and sunsets, fine restaurants, and the relaxing atmosphere unique to coastal communities.

The City is home to about 13,100 individuals, and most of the City is residential. The City's population has been growing by a rate of about 2 percent a year. Major commercial and industrial development has been restrained by economic conditions.

The City operates under a council-manager form of government. Policy making and legislative authority are vested in the City Council consisting of the Mayor and four other elected Council members. The Council is responsible, among other matters, for passing ordinances, adopting the City budget, appointing committees, and hiring the City Manager and City Attorney. The City Manager is responsible for carrying out the policies and ordinances of the City Council, for overseeing the daily operations of the City and for appointing other employees and otherwise managing daily operations of the City. The Council is elected to four year staggered terms.

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www.carpinteria.ca.us

The City provides a range of municipal services including police protection; construction and maintenance of City streets, storm drains, bridges and similar infrastructure type assets; park maintenance; community recreation activities.

The City's annual budget serves as the foundation for the City of Carpinteria's financial planning and control system. All departments of the City submit requests for appropriations to the City Manager each year. The Manager uses these requests as a starting point for developing a proposed budget. The Manager presents a proposed budget to the City Council each year. The Council holds public hearings on the proposed budget and then ultimately adopts a formal budget. The budget is adopted by fund, function, department and object. The Council periodically reviews during the fiscal year the City's actual financial activity in relationship to the original budget, and as necessary amends the original budget to reflect changing conditions.

The General Fund, the Development Impact Fee Fund and the Revolving Fund, all deemed major funds under the new reporting standards, are presented as required supplementary information in a separate section of this report immediately following the notes to the financial statements. For the City's other governmental-type funds a budget to actual comparison schedule is presented as optional information in the section of this report containing combining financial statements and individual fund schedules.

Local Economy

The City is located in a beautiful area of the Central Coast and the City limits encompass beaches on the Pacific Ocean. Several lodging establishments, fine restaurants, a downtown with shops reflecting an attractive and enjoyable small City environment, are for the most part within walking distance of the City's beaches on the Pacific Ocean. About 84 percent of the City's general fund revenues come from the local hotel tax, sales tax revenues, franchise and local property taxes. In fiscal 2014 the City experienced about a 6.8% increase (about $506,000) in its general fund revenues. These increases were in nearly all the major categories, notably Sales Tax, Transient Occupancy Tax and Charges for Services.

The City will continue to monitor closely all revenue sources in order to evaluate the viability of the City's 2015 financial plan.

Long-Term Financial Planning

The City has identified some $148 million in capital projects to be completed in the foreseeable future. These include about $ 105 million for Highway 101 interchange projects, $11 million for community center projects, $5 million for storm drain improvements, $16 million for local street projects and $11 million for other local projects. While the general fund ended fiscal 2014 with about a $ 7.9 million fund balance, the City believes that some of this can be used for future capital improvements. The City also has about $3.2 million in the Development Impact Fee Fund and $1.2 million in the Measure A Fund which monies will specifically be used for future projects, mainly street infrastructure. While some financial resources are currently available to meet the City's long-range needs, the City will need to evaluate its capital and infrastructure improvement needs and may find it necessary to obtain long-term debt financing to be able to complete the planned projects.

Awards and Acknowledgments

The Government Finance Officers Association (GFOA) awarded a Certificate of Achievement for Excellence in Financial Reporting to the City of Carpinteria for its comprehensive annual financial report (CAFR) for the fiscal year ended June 30, 2013. In order to receive this award, the City published an easily readable and efficiently organized CAFR. This report satisfied both GAAP and applicable legal requirements. A Certificate of Achievement is valid for a one year period only. We believe that our current CAFR continues to meet the Certificate of Achievement Program's requirements and we are submitting it to the GFOA to determine its eligibility for another certificate.

The preparation of this report would not have been possible without the efficient and dedicated services of the entire staff of the finance and administration departments. We would like to express our appreciation to all members of the departments who assisted and contributed to the preparation of this report. Credit must also be given to the Mayor the Members of the City Council and the City Manager for their continued

support for maintaining the highest standards of professionalism in the management of the City of Carpinteria's financial affairs.

Respectfully submitted,

John Thornberry
Administrative Services Director

CITY OF CARPINTERIA

List of Principal Officials

June 30, 2014

CITY COUNCIL

Mayor	J. Bradley Stein
Vice Mayor	Gregg Carty
Councilmember	Wade Nomura
Councilmember	Fred Shaw
Councilmember	Al Clark

APPOINTED OFFICIALS

City Manager	Dave Durflinger
City Attorney	Peter N. Brown
Administrative Services Director	John Thornberry
City Clerk	Fidela Garcia
Public Works Director/Engineer	Charles Ebeling
Parks and Recreation Director	Matthew Roberts
Community Development Director	Jackie Campbell

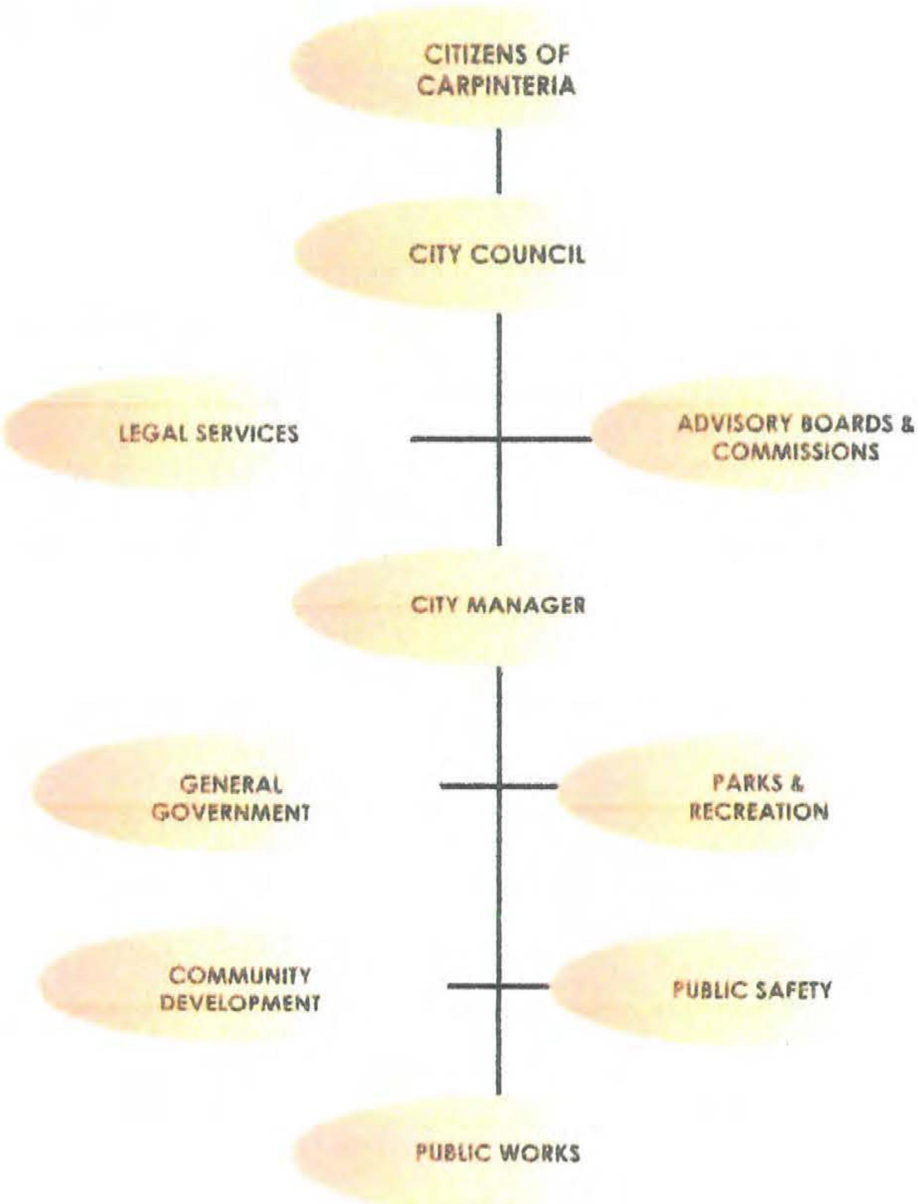
BOARDS AND COMMISSIONS

Planning Commission
Parking and Business Improvement Area
Architectural Review
Tree Advisory
Mobile Home Rent Stabilization
Personnel

City of Carpinteria

Organizational Chart

(2013-14)



Government Finance Officers Association

Certificate of Achievement for Excellence in Financial Reporting

Presented to

City of Carpinteria
California

For its Comprehensive Annual
Financial Report
for the Fiscal Year Ended

June 30, 2013

Executive Director/CEO

Financial Section

TK
Terry E. Krieg, CPA
Certified Public Accountant

Independent Auditor's Report

Honorable Mayor and Members of the City Council
City of Carpinteria
Carpinteria, California

Report on the Financial Statements

I have audited the accompanying financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the City of Carpinteria, California, as of and for the year ended June 30, 2014, and the related notes to the financial statements, which collectively comprise the City of Carpinteria's basic financial statements as listed in the Table of Contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

My responsibility is to express opinions on these financial statements based on my audit. I conducted my audit in accordance with audited standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that I plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the City's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, I express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit opinions.

Opinions

In my opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, each major fund, and the aggregate remaining fund information of the City of Carpinteria, California as of June 30, 2014, and the respective changes in its financial position for the year then ended in accordance with accounting principles generally accepted in the United States of America.

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Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the Management's Discussion and Analysis on pages 3 through 12 and the Budgetary Comparison Schedules and Schedule of Funding Progress on pages 32 through 35 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context.

I have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to my inquiries, the basic financial statements, and other knowledge I obtained during my audit of the basic financial statements. I do not express an opinion or provide any assurance on the information because the limited procedures do not provide me with sufficient evidence to express an opinion or provide any assurance.

Other Information

My audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the City of Carpinteria's basic financial statements. The accompanying nonmajor fund combining financial statements, budget and actual schedules, schedules of capital assets, and the introductory and statistical sections are presented for purposes of additional analysis and are not a required part of the basic financial statements.

The nonmajor fund combining financial statements, budget and actual schedules, and schedules of capital assets is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In my opinion, the nonmajor fund combining financial statements, budget to actual schedules, and schedules of capital assets is fairly stated, in all material respects, in relation to the basic financial statements as a whole. The introductory and statistical sections have not been subjected to the auditing procedures applied in the audit of the basic financial statements, and accordingly, I do not express an opinion or provide any assurance on it.

Other Reporting Required by Government Auditing Standards

In accordance with Government Auditing Standards, I have also issued my report, dated November 30, 2014, on my consideration of the City of Carpinteria's internal control over financial reporting and on my tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of my testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with Government Auditing Standards in considering the City of Carpinteria's internal control over financial reporting and compliance.

Terry E. Krieg

Terry E. Krieg
Certified Public Accountant
Santa Rosa, California
November 30, 2014

This section of the *City of Carpinteria's* annual financial report presents our discussion and analysis of the City's financial performance during the fiscal year that ended on June 30, 2014. Please read it in conjunction with the City's audited financial statements, which follow this section.

FINANCIAL HIGHLIGHTS

- Revenue from all governmental activities of $12,161,303 increased by $791,133 compared to the 2013 fiscal year. Grants, Sales Taxes and Transient Occupancy Taxes account for almost 98 percent of this increase.

- Expenses for all governmental activities of $11,268,441 decreased by $386,226, or 3.3%, compared to fiscal 2013. General Government and Public Safety programs declined $842,733 or 24.3% while Public Works programs increased $501,148 or 19.5%.

- General revenue from taxes and investments totaling $7,975,178 showed an increase of $519,928 from prior year levels.

- The City's total net position therefore increased by $892,862 over the course of this year's operations after conducting all City operations and programs.

- The General Fund reported an ending total fund balance of $ 7,958,671 as of June 30, 2014 compared to $7,630,201 at the end of fiscal 2013, a $328,470 increase.

- The City also ended the fiscal year with $3,229,519 reported in its major development impact fee fund which monies are set aside for future infrastructure improvements. This is an decrease of $531,826 compared to the end of fiscal 2013. The City's other major funds, Revolving and Measure A, ended the year with fund balances of $42,915 and 1,166,042 respectively. The City's other non-major governmental funds ended 2014 with $2,819,217 available for special purposes.

OVERVIEW OF THE FINANCIAL STATEMENTS

This annual report consists of five parts – *an introductory section*, *management's discussion and analysis* (this section), the *basic financial statements, required supplementary information,* and an optional section that presents *combining statements* for no major governmental funds and statistical information. The basic financial statements include two kinds of statements that present different views of the City:

The first two statements are *government-wide financial statements* that provide both long-term and short-term information about the City's *overall* financial status .The remaining statements are *fund financial statements* that focus on individual parts of the City government, reporting the City's operations in more detail than the government-wide statements.

- The *governmental funds* statements tell how *general government* services like public safety, recreation, public works, parks and general operations were financed in the *short term* as well as what remains for future spending.

The financial statements also include notes that explain some of the information in the financial statements and provide more detailed data. The statements are followed by a section of required supplementary information that further explains and supports the information in the financial statements. In addition to these required elements, we have included a section with combining statements that provide details about no major funds, each of which are added together and presented in single columns in the basic financial statements.

Figure A-1 summarizes the major features of the City's financial statements, including the portion of the City government they cover and the types of information they contain. The remainder of this overview section of management's discussion and analysis explains the structure and contents of each of the statements.

Figure A-1
Major Features of City of Carpinteria's Government-Wide and Fund Financial Statements

		Fund Statements
	Government-Wide Statements	Governmental Funds
Scope	Entire City government	The activities of the City that are not proprietary or fiduciary, such as police, parks, public works, streets, recreation programs and general administration
Required financial statements	• Statement of net position • Statement of activities	• Balance sheet • Statement of revenues, expenditures, and changes in fund balances
Accounting basis and measurement focus	Accrual accounting and economic resources focus	Modified accrual accounting and current financial resources focus
Type of asset/liability information	All assets and liabilities, both financial and capital, and short-term and long-term	Only assets expected to be used up and liabilities that come due during the year or soon thereafter; no capital assets included
Type of inflow/outflow information	All revenues and expenses during year, regardless of when cash is received or paid	Revenues for which cash is received during or soon after the end of the year; expenditures when goods or services have been received and payment is due during the year or soon thereafter

Government-Wide Financial Statements

The government-wide financial statements are designed to provide readers with a broad overview of the City of Carpinteria's finances in a manner similar to the financial reporting methods used by private-sector businesses. The *statement of net position* includes *all* the City of Carpinteria's assets and liabilities, with the difference between the two reported as net position. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of the City of Carpinteria is improving or deteriorating. The *statement of activities* presents information showing how the City's net position changed during the most recent fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, *regardless of the timing of cash flows*

Both of the government-wide financial statements distinguish functions of the City of Carpinteria that are principally supported by taxes and intergovernmental revenues(the governmental activities) from other functions that are designed to recover a significant portion of their costs through user fees(the business-type activities).

The government-wide financial statements of the City are reported in one category:

- *Governmental activities* – All of the City's basic services are included here, such as the police, public works, parks, streets, and general administration. Property taxes, sales taxes, transient occupancy taxes, special and other taxes, user charges and fees and state, local and federal grants finance these activities.

Fund Financial Statements

The fund financial statements provide more detailed information about the City's most significant funds – not the City as a whole. Funds are accounting devices that the City uses to keep track of specific sources of funding and spending for particular purposes. Some funds are required by State law such as the State Gas Tax Fund.

- Most other funds are maintained to demonstrate that the City is properly using certain specific taxes and restricted revenues for their intended purpose (such as the City's street lighting tax and landscape maintenance tax funds).
- Other funds are maintained for similar purposes but in addition demonstrate the City's ability to repay its long-term debt obligations such as the certificates of participation debt service fund.

The City has one type of fund:

- *Governmental funds* – The City's basic services are included in governmental funds, which focus on (1) *how cash and other financial assets* that can readily be converted to cash flow in and out and (2) the balances left at year-end that are available for spending. Consequently, the governmental funds statements provide a detailed *short-term view* that helps determine whether there are more or fewer financial resources that can be spent in the near future to finance the City's programs. Because this information does not encompass the additional long-term focus of the government-wide statements, additional information is provided at the bottom of the governmental funds statement, or on the subsequent page, that explains the relationship (or differences) between them.

The City of Carpinteria has several individual governmental funds. Information is presented separately in the governmental fund balance sheet and in the governmental fund statement of revenues, expenditures, and changes in fund balances for the City's general fund, development impact fees fund, revolving fund and the Measure A fund all of which are considered to be major funds. Data for the other governmental funds are combined into a single, aggregated presentation. Individual fund data for these other non-major governmental funds is provided in the form of combining statements elsewhere in this report.

NOTES TO THE FINANCIAL STATEMENTS

The notes to the financial statements provide additional information that is essential to a more complete understanding of the data provided in the government-wide and fund financial statements. The notes are found immediately after the basic financial statements.

OTHER INFORMATION

In addition to the basic financial statements and accompanying notes, this report also presents certain required supplementary information concerning the City of Carpinteria's adopted and final budget, compared to actual results, for the City's general fund, development impact fee fund, revolving fund, and Measure A fund. The combining statements referred to earlier in connection with non-major governmental funds are presented immediately following the required supplementary information.

GOVERNMENT-WIDE FINANCIAL ANALYSIS (The City as a Whole)

Net Position. The City's *combined* net position increased $892,862 between fiscal years 2013 and 2014. (SeeTable A-1.)

TABLE A-1
CITY OF CARPINTERIA"S NET POSITION

Assets:	2014	2013	Percentage Change 2013-2014
Current and other assets	$16,590,291	$16,815,707	-1.3%
Capital assets	17,943,073	16,864,180	+6.4%
Total assets	**34,533,364**	**33,679,887**	**+2.5%**
Liabilities:			
Long-term debt outstanding	630,000	775,000	-18.7%
Other liabilities	3,046,517	2,940,902	+3.6%
Total liabilities	**3,676,517**	**3,715,902**	**-1.1%**
Net position:			
Net investment in capital assets	17,313,073	16,089,180	+7.6%
Restricted	7,478,132	7,934,418	-5.8%
Unrestricted	6,065,642	5,940,387	+2.1%
Total net position	**$30,856,847**	**$29,963,985**	**+3.0%**

Net position of the City's governmental activities increased 3.0 percent to $ 30.86 million. About 56 % of the net assets relating to governmental activities are represented by the City's net investment in its capital assets such as buildings, land, equipment and facilities. The remaining 44% is essentially represented by cash, investments and receivables. About 17% of the City's total liabilities are represented by long-term obligations such as the certificates of participation.

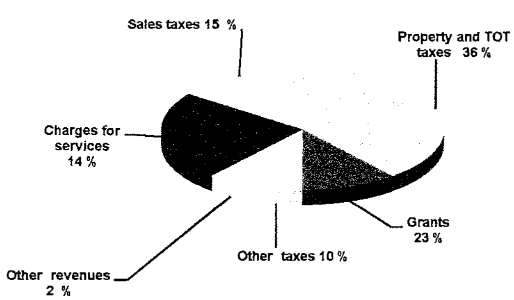
GOVERNMENT-WIDE FINANCIAL ANALYSIS (The City as a Whole)

Changes in net position. The City's 2014 total revenues of about $ 12.2 million increased by about $791 thousand as compared to 2013 as a result of increases in grants, sales taxes and transient occupancy taxes. (See Table A-2.). About 55 percent of the City's revenue comes from some type of tax including property, sales, and other taxes. The rest comes from fees charged for services, state, local and federal aid, and contributions.

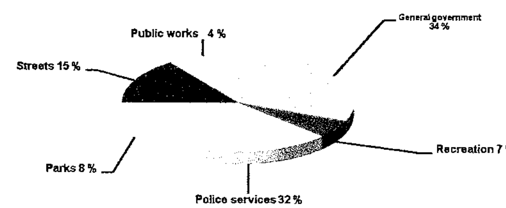
The total cost of all programs and services in fiscal 2014 was about $ 11.3 million and includes a wide range of services such as police protection, streets, public works, general administration and recreation related services.

The Sources of the City's major types of revenue and the areas where such resources are used is shown below in summary graphic form:

Sources of Revenue for Fiscal Year 2014



Functional Expenses for Fiscal Year 2014



Governmental Activities

Revenues from all activities increased in fiscal 2014 by about $791 thousand to about $12.2 million and expenses of all City programs decreased by about $386 thousand to about $11.3 million.

As the above graph shows, the City's primary sources of revenue come from some kind of tax. Charges for services account for about 19 % of the City's total revenue stream. The City depends heavily upon transient occupancy taxes (hotel tax), sales taxes, special local taxes and local property tax revenues to fund the costs of City programs.

The majority of the City's operating expenses are incurred to provide police protection and general operational costs of the City. Combined, safety and administration account for 58 percent of the City's total 2014 operating expenses. Another 42 percent of the City's 2014 operating expenses were incurred to provide street maintenance, landscaping, and lighting.

GOVERNMENT-WIDE FINANCIAL ANALYSIS (The City as a Whole)

Table A-2
Changes in City of Carpinteria's Net Position

	Governmental Activities		Total Percentage Change
	2014	2013	2013-2014
Revenues			
Program revenues			
Charges for services	$2,327,925	$2,368,222	-1.7%
Grants and contributions	1,858,200	1,537,842	+20.8%
General revenues			
Property taxes	2,861,510	2,875,355	-0.5%
Sales taxes	1,900,971	1,739,414	+9.3%
Hotel tax(TOT)	1,923,538	1,631,428	+17.9%
Other	1,289,159	1,217,909	+5.9%
Total revenues	**12,161,303**	**11,370,170**	**+7.0%**
Expenses			
General government	3,643,689	3,771,421	-3.4%
Public safety	2,863,491	3,578,492	-20.0%
Recreation and parks	1,653,131	1,691,338	-2.3%
Public works/streets	3,072,449	2,571,301	+19.5%
Other	35,681	42,115	-15.3%
Total expenses	**11,268,441**	**11,654,667**	**-3.3%**
Increase (decrease) in net position	**892,862**	**(284,497)**	
Net position, beginning	29,963,985	30,248,482	-0.9%
Net position, ending	$30,856,847	$29,963,985	**+3.0%**

The increase in net position for 2014 compared to 2013 was directly related to the decrease in total expenses (spending) and an increase in total revenues.

Hotel tax revenues increased in fiscal 2014, as did sales tax and grant revenues indicating that our local economy continues to recover from the general economic slowdown.

Table A-3 presents the cost of each of the City's four largest programs – administration or general government, public safety, public works and parks.

- The cost of all *governmental* activities this year was $ 11,268,441 compared to $11,654,667 in fiscal 2013.

GOVERNMENT WIDE FINANCIAL ANALYSIS (The City as a Whole)

- While users and contributors funded about $ 4.2 million of the costs of city programs through related program revenues, the City still had to fund the short fall from general revenues such as taxes and this short fall was about an additional $7.08 million. Major sources of program revenues were:

  – Those who directly benefited from or used the programs ($ 2.3 million), or

  – Other governments and organizations that subsidized certain programs with grants and contributions (about $ 1.85 million).

- The City paid for the $ 7.08 million "public benefit" portion with property taxes, sales taxes, other tax revenues and investment earnings.

Table A-3
Cost of City of Carpinteria's Governmental Activities

	Total Cost of Services		Percentage Change
	2014	2013	2013-2014
General Government	$3,643,689	$3,771,421	-3.4%
Public Safety	2,863,491	3,578,492	-20.0%
Parks and recreation	1,653,131	1,691,338	-2.3%
Public Works/streets	3,072,449	2,571,301	19.5%
All other	35,681	42,115	-15.3%
Total	**$11,268,441**	**$11,654,667**	**-3.3%**

Overall, spending decreased from 2013 by about 3.3%. The decreases in General Government and Public Safety were very significant decreasing a little more than 23% or $842 thousand while Public Works program expenses increased 19.5% or $501 thousand

FINANCIAL ANALYSIS OF THE CITY'S FUNDS

As the City completed the year, its governmental funds reported a *combined* fund balance of about $15.21 million, compared to about $15.30 million at the end of fiscal 2013.The City's general fund operations generated $449,251 more in revenues than was spent on governmental activities. The City transferred a net total of $ 120,781 out of the general fund and into other funds. Most of this went to pay debt service on the certificates of participation and the remainder was transferred to other special funds to subsidize their operations where revenue generation was insufficient. At year end, the City's general fund had a fund balance of about $ 7.96 million. Within the general fund balance, the City has committed about $ 6.42 million for future projects and public education and contingencies leaving about $ 1.54 million available to start the next fiscal year.

The City's other major funds, the development impact fee, revolving and measure A funds, ended the 2014 year with fund balances of $ 3.23 million, $ 43 thousand and $ 1.17 million, respectively. These monies are legally restricted for future infrastructure projects related to streets, bridges, highways, parks and other capital assets projects and cannot be used to pay for general governmental operations. In addition, the City's non major governmental funds ended the 2014 fiscal year with a combined fund balance of $ 2.82 million.

General Fund Budgetary Highlights

Over the course of the year, the City Council revised the City budget several times. The budget amendments fall into two categories: changes made at the midyear budget review for unanticipated revenues and costs and increases in appropriations to prevent budget overruns. Actual general fund revenues were short of budgeted revenues by $124,478 due primarily to a $40,072 mark to market write down of Treasury Note market values. This adjustment is recorded as a decrease in the economic uncertainty reserve and has no effect on the unassigned portion of the General Fund Balance.

Actual general fund expenditures were $252,437 under the final budget exclusive of transfers out.

In addition, subsidies to other funds included in transfers out exceeded budgeted amounts by an additional $77,207.

CAPITAL ASSET AND DEBT ADMINISTRATION

Capital Assets

At the end of 2014, the City had invested $ 17,943,073 in a broad range of capital assets, including land, equipment, vehicles, buildings, park facilities, the City pool and other assets. (See Table A-4.) This amount represents a net increase (including additions and deductions) of $ 1.07 million from last year.

Table A-4
City of Carpinteria -Changes in Capital Assets

	Total		Total Percentage Change
	2014	2013	2013-2014
Land and site improvements	$11,316,888	$10,541,638	3.5%
Buildings and pool	1,185,345	1,277,818	-6.7%
Machinery and equipment	74,404	102,887	-21.9%
Streets and improvements	4,407,784	4,577,493	3.6%
Vehicles	108,716	131,004	28.5%
Construction in progress	849,936	[233,340	0.0%
Total	**$ 17,943,073**	**16,864,180**	**0.6%**

This year's major capital assets additions included:

The City had significant capital asset financial activity in fiscal year 2014 as the City added $1,078,893 in completed projects and improvements to City facilities.

Details on capital asset activity are shown on page 25 of this report in the notes to the basic financial statements.

The City in fiscal year 2014 had financial transactions which qualified to be capitalized as a capital asset under the City's Infrastructure Accounting Policy. This policy requires that the City identify, account for, assign depreciable lives, and calculate depreciation on infrastructure type capital assets. The City has determined that infrastructure systems applicable to the City include streets, roads, bridges, and street lighting systems with an initial cost of at least $ 50,000. Also costs incurred to preserve or expand the capacity of infrastructure installed prior to 2002 will qualify under this policy to be reported as capital assets.

The City has not recaptured or reported the estimated and or historical costs of other major infrastructure assets put in service subsequent to fiscal year 1980 and prior to 2002 The City, as a Phase 3 Implementation Government, is not required to do so under accounting principles generally accepted in the United States.

Long - Term Debt

At the end of 2014, the City's only form of long-term debt securities consisted of $630,000 in certificates of participation issued by the City's Improvement Corporation (a blended component unit) under a leasing arrangement with the City. All required debt service payments have been made as required in fiscal 2014. Further details on long-term debt are presented in the notes to the basic financial statements starting on page 26 of this document.

ECONOMIC FACTORS AND NEXT YEAR'S BUDGETS AND RATES

For the 2015 fiscal year, general fund revenue projections have been conservative compared to higher revenue projections in the past.

- Overall general fund revenues are projected to be $292K more than fiscal year 2014 actual amounts.
- The City expects that general fund revenues will be greater than general fund spending in fiscal 2015 by about $ 35K.

General fund 2015 budgeted appropriations are set at $ 8.30 million. This is about $827K more than 2014 actual expenditures. In fiscal 2015, total City spending is expected to be about $14.345 million or about $1.7 million more than 2014 actual expenditures.

CONTACTING THE CITY'S FINANCIAL MANAGEMENT

This financial report is designed to provide our citizens, taxpayers, customers, investors and creditors with a general overview of the City's finances and to demonstrate the City's accountability for the money it receives. If you have questions about this report or need additional financial information, contact the Administrative Services Director, City of Carpinteria, 5775 Carpinteria Avenue, Carpinteria, California 93013.

CITY OF CARPINTERIA
Statement of Net Position
June 30, 2014

	Governmental Activities 2014
ASSETS	
Current assets:	
Cash and investments	$ 15,044,624
Net receivables	1,283,405
Prepayments	4,167
Inventories	18,382
Total current assets	16,350,578
Noncurrent assets:	
Notes receivable	239,713
Capital assets not being depreciated	12,166,824
Net capital assets being depreciated	5,776,249
Total noncurrent assets	18,182,786
Total assets	$ 34,533,364
LIABILITIES	
Current liabilities:	
Accounts payable	$ 251,724
Accrued liabilities	69,994
Compensated absences	30,000
Deposits	531,632
Unearned advances	280,864
Accrued interest payable	10,379
Certificates	150,000
Total current liabilities	1,324,593
Noncurrent liabilities:	
Compensated absences	62,183
Net other post employment benefit obligation	1,809,741
Certificates due in more than one year	480,000
Total noncurrent liabilities	2,351,924
Total liabilities	3,676,517
NET POSITION	
Net investment in capital assets	17,313,073
Restricted for:	
Capital projects	3,229,519
Public education and communications	324,734
Debt service	184,617
Street maintenance and improvements	3,433,041
Recycling	306,221
Unrestricted	6,065,642
Total net position	30,856,847
Total liabilities and net position	$ 34,533,364

See accompanying notes to the basic financial statements

CITY OF CARPINTERIA
Statement of Activities
For the Fiscal Year Ended June 30, 2014

Functions/Programs:	Expenses	Program Revenues: Charges for Services	Program Revenues: Operating Grants and Contributions	Program Revenues: Capital Grants and Contributions	Total City Government: Net (Expenses) Revenues and Changes in Net Position
City government:					
Governmental activities:					
General government	$ 3,643,689	$ 407,630	$ -	$ -	$ (3,236,059)
Public safety:					
Police protection	2,863,491	116,927	-	-	(2,746,564)
Public works:					
Public works administration	630,944	177,436	-	-	(453,508)
Streets	2,441,505	437,662	1,858,200	-	(145,643)
Parks and recreation:					
Parks and recreation	1,653,131	1,188,270	-	-	(464,861)
Interest on long-term debt	35,681	-	-	-	(35,681)
Total governmental activities	11,268,441	2,327,925	1,858,200	-	(7,082,316)
Total City government	$ 11,268,441	$ 2,327,925	$ 1,858,200	$ -	(7,082,316)

General revenues:	
Taxes:	
Property taxes	$ 2,861,510
Sales taxes	1,900,971
Franchise taxes	602,583
Park maintenance taxes	154,109
Street lighting taxes	292,891
Transient occupancy taxes	1,923,538
Other taxes	127,167
Other general revenues	41,386
Unrestricted investment earnings	71,023
Total general revenues	7,975,178
Change in net position	892,862
Net position, beginning	29,963,985
Net position, ending	$ 30,856,847

See accompanying notes to the basic financial statements

CITY OF CARPINTERIA
Balance Sheet
Governmental Funds
June 30, 2014

	General Fund	Development Impact Fee Fund	Revolving Fund	Measure A Fund	Other Governmental Funds	Total Governmental Funds
ASSETS						
Cash and investments	$ 7,139,631	$ 3,789,353	$ 15,280	$ 1,192,844	$ 2,907,516	$ 15,044,624
Taxes receivable	731,358	-	-	-	-	731,358
Accounts receivable	5,379	-	-	-	4,304	9,683
Due from other governments	-	-	514,258	-	11,517	525,775
Accrued interest receivable	16,589				-	16,589
Prepayments	4,167	-	-	-	-	4,167
Due from other funds	190,479	-	-	-	-	190,479
Inventory	-	-	-	-	18,382	18,382
Notes	-	239,713	-	-	-	239,713
Total assets	$ 8,087,603	$ 4,029,066	$ 529,538	$ 1,192,844	$ 2,941,719	$ 16,780,770
LIABILITIES						
Accounts payable	$ 77,525	$ 24,520	$ 15,280	$ 26,802	$ 107,597	$ 251,724
Accrued liabilities	51,407	-	-	-	14,905	66,312
Deposits	-	535,314	-	-	-	535,314
Due to other funds	-	-	190,479	-	-	190,479
Unearned advances	-	-	280,864	-	-	280,864
Total liabilities	128,932	559,834	486,623	26,802	122,502	1,324,693
DEFERRED INFLOWS OF RESOURCES:						
Long-term notes receivable	-	239,713	-	-	-	239,713
Total deferred inflows of resources		239,713	-	-		239,713
FUND BALANCES:						
Nonspendable	4,167	-	-	-	15,887	20,054
Restricted for:						
Cable television access	324,734	-	-	-	-	324,734
Debt service	-	-	-	-	184,617	184,617
Infrastructure projects	-	3,229,519	-	-	-	3,229,519
Streets	-	-	-	1,166,042	2,312,492	3,478,534
Recycling	-	-	-	-	306,221	306,221
Committed for:						
Capital asset replacement	1,019,562	-	-	-	-	1,019,562
Economic uncertainties	4,006,054	-	-	-	-	4,006,054
Special projects	1,065,548	-	42,915	-	-	1,108,463
Unassigned	1,538,606	-	-	-	-	1,538,606
Total fund balances	7,958,671	3,229,519	42,915	1,166,042	2,819,217	15,216,364
Total liabilities, deferred inflows of resources and fund balances	$ 8,087,603	$ 4,029,066	$ 529,538	$ 1,192,844	$ 2,941,719	$ 16,780,770

Total Governmental Fund Balances	$ 15,216,364
Amounts reported for governmental activities in the statement of net position are different because:	
Capital assets used in governmental activities are not financial resources and therefore are not reported in the funds	17,943,073
Some assets such as long-term notes receivable are not available for use and are reported as deferred inflows of resources until collected in cash	239,713
Some liabilities, including other post employment benefit obligations, certificates, compensated absences, claims, and accrued interest are not due and payable in the current period and are therefore not reported in the funds	(2,542,303)
Net Position of Governmental Activities	$ 30,856,847

See accompanying notes to the basic financial statements

CITY OF CARPINTERIA
Statements of Revenues, Expenditures, and
Changes in Fund Balances
Governmental Funds
For the Fiscal Year Ended June 30, 2014

	General Fund	Development Impact Fee Fund	Revolving Fund	Measure A Fund	Other Governmental Funds	Total Governmental Funds
REVENUES						
Property taxes	$ 2,861,510	$ -	$ -	$ -	$ 447,000	$ 3,308,510
Sales taxes	1,900,971	-	-	-	-	1,900,971
Transient occupancy taxes	1,923,538	-	-	-	-	1,923,538
Other taxes	127,167	-	-	-	-	127,167
Franchise taxes	602,583	-	-	-	-	602,583
Special assessments	-	-	-	-	221,724	221,724
Licenses and permits	109,909	-	-	-	-	109,909
Fines and forfeits	100,454	-	-	-	16,251	116,705
Intergovernmental	32,220	-	1,174,293	624,459	443,837	2,274,809
Interest	61,375	5,667	-	10,333	18,630	96,005
Charges for services	205,934	615,540	-	-	779,517	1,600,991
Miscellaneous	-	-	-	-	279,974	279,974
Total revenues	7,925,661	621,207	1,174,293	634,792	2,206,933	12,562,886
EXPENDITURES						
Current:						
General government	3,234,792	-	-	-	9,774	3,244,566
Public safety	3,419,901	-	-	-	25,508	3,445,409
Public works and streets	463,823	-		139,338	1,301,157	1,904,318
Parks and recreation	336,300	-	-	-	817,080	1,153,380
Capital outlay	21,594	1,113,967	1,174,665	243,753	164,855	2,718,834
Debt service:						
Principal	-	-	-	-	145,000	145,000
Interest	-	-	-	-	37,953	37,953
Total expenditures	7,476,410	1,113,967	1,174,665	383,091	2,501,327	12,649,460
Excess (deficiency) of revenues over (under)expenditures	449,251	(492,760)	(372)	251,701	(294,394)	(86,574)
OTHER FINANCING SOURCES (USES)						
Transfers in	618,130	-	-	40,084	1,040,872	1,699,086
Transfers out	(738,911)	(39,066)	-	(148,734)	(772,375)	(1,699,086)
Total other financing sources (uses)	(120,781)	(39,066)	-	(108,650)	268,497	-
Net change in fund balances	328,470	(531,826)	(372)	143,051	(25,897)	(86,574)
Fund balances, July 1	7,630,201	3,761,345	43,287	1,022,991	2,845,114	15,302,938
Fund balances, June 30	$ 7,958,671	$ 3,229,519	$ 42,915	$ 1,166,042	$ 2,819,217	$ 15,216,364

See accompanying notes to the basic financial statements

CITY OF CARPINTERIA
Reconciliation of the Statement of Revenues, Expenditures and Changes in Fund Balances of Governmental Funds to the Statement of Activities
For the Fiscal Year Ended June 30, 2014

Net Change in Fund Balances - Total Governmental Funds	$ (86,574)
Amounts reported for governmental activities in the statement of activities are different because:	
Governmental funds report capital outlays as expenditures. However, in the statement of activities, the cost of those assets is allocated over their estimated useful lives as depreciation expense. This is the amount by which capital outlays ($1,391,846) exceed depreciation ($312,953)	1,078,893
Other post employment benefit obligations are liabilities that do not require the use of current financial resources and are therefore not reported in the funds	(338,381)
Proceeds of long-term debt provide financial resources to governmental funds, but issuing debt increses long-term liabilities in the statement of net assets. Repayments of long-term debt principal is an expenditure in the governmental funds, but the repayment reduces liabilities in the statement of net assets. This is the amount of debt repayments during the period on the leases and certificates	145,000
Expenditures for long-term loans reciavble are reported as uses of resoruces in the funds, but are not reported as an outflow in the statement of activities	108,213
Some expenses in the statement of activities for noncurrent liabilities such as long-term compensated absences do not require the use of or provide current financial resources and are therefore not reported as expenditures or revenues in the governmental funds.	(16,561)
Interest accrued on long-term debt is recognized as an expense in the statement of activities, but is reported in the funds when due and payable	2,272
Net differences	979,436
Change in Net Position of Governmental Activities	$ 892,862

See accompanying notes to the basic financial statements

1. Summary of Significant Accounting Policies

A. Reporting Entity

The City of Carpinteria is a municipal corporation governed by an elected five-member City Council. The accompanying financial statements present the government and its component units, entities for which the government is considered to be financially accountable.

The Carpinteria Public Improvement Corporation is a legally separate Corporate Entity for which the City is financially accountable and it is governed by the elected City Council. The Corporation was formed to provide a method of financing public improvements. The financial activities of the Corporation are blended with those of the City and are reported in the City's governmental funds, and as capital assets of the City and debt obligations of the City. A facility and site lease receivable of the Corporation and a corresponding lease payable of the City have been eliminated from the accompanying financial statements.

B. Government-wide and Fund Financial Statements

The government-wide financial statements (i.e., the statement of net position and the statement of activities) report information on all of the nonfiduciary activities of the City. For the most part, the effect of interfund activity has been removed from these statements. *Governmental activities,* which normally are supported by taxes and intergovernmental revenues, are reported separately from *business-type activities,* which rely to a significant extent on fees and charges for support. The City has no functions which are reported as business-type activities.

The statement of activities demonstrates the degree to which the direct expenses of a given function or segment are offset by program revenues. *Direct expenses* are those that are clearly identifiable with a specific function or segment. *Program revenues* include 1) charges to customers or applicants who purchase, use, or directly benefit from goods, services, or privileges provided by a given function or segment and 2) grants and contributions that are restricted to meeting the operational or capital requirements of a particular function or segment. Taxes and other items not properly included among program revenues are reported instead as *general revenues.* Separate financial statements are provided for governmental funds. Major individual governmental funds are reported as separate columns in the fund financial statements.

C. Measurement Focus, Basis of Accounting, and Financial Statement Presentation

The government-wide financial statements are reported using the *economic resources measurement focus* and the *accrual basis of accounting.* Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of related cash flows. Property taxes are recognized as revenues in the year for which they are levied. Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

Governmental fund financial statements are reported using the *current financial resources measurement focus* and the *modified accrual basis of accounting.* Revenues are recognized as soon as they are both measurable and available. Revenues are considered to be *available* when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. For this purpose, the government considers revenues to be available if they are collected within 60 days of the end of the current fiscal period. Expenditures generally are recorded when a liability is incurred, as under accrual accounting. However, debt service expenditures, as well as expenditures related to compensated absences and claims and judgments, are recorded only when payment is due. Assets in governmental funds that do not meet the availability criterion for recognition as revenue in the governmental funds are classified as a deferred inflow of resources as those resources are not available for spending in the current period.

Note 1. Summary of Significant Accounting Policies (continued)

C. Measurement Focus, Basis of Accounting, and Financial Statement Presentation (Continued)

Property taxes, sales taxes, transient occupancy taxes, licenses, and interest associated with the current fiscal period are all considered to be susceptible to accrual and so have been recognized as revenues of the current fiscal period. All other revenue items are considered to be measurable and available only when cash is received by the government.

The City reports the following major governmental funds:

The *General Fund* is the government's primary operating fund. It accounts for all financial resources of the City, except those required to be accounted for in another fund.

The *Development Impact Fee Fund* accounts for development impact fees collected by the City and restricted in use to capital related improvements; primarily infrastructure type assets. The Measure A fund is used to account for allocations made to the City by the County for use in street related projects. The *Revolving Fund* is used to account for grants and allocations made to the City by Federal, State and County governments for special and capital projects.

The City applies all applicable Financial Accounting Standards Board (FASB) pronouncements issued before November 30, 1989 in accounting and reporting for proprietary operations, and the provisions of GASB Statement Number 62, Codification of Accounting and Financial Reporting Guidance Contained in Pre-November 30, 1989 FASB and AICPA pronouncements.

As a general rule the effect of interfund activity has been eliminated from the government-wide financial statements. Exceptions to this general rule are payments-in-lieu of taxes and other charges between the government's various other functions of the government. Elimination of these charges would distort the direct costs and program revenues reported for the various functions concerned.

Amounts reported as *program revenues* include 1) charges to customers or applicants for goods, services, or privileges provided, 2) operating grants and contributions, and 3) capital grants and contributions, including special assessments. Internally dedicated resources are reported as *general revenues* rather than as program revenues. Likewise, general revenues include all taxes.

D. Assets, Liabilities, and Net Position

1. Deposits and Investments

The City is authorized by its most recent investment policy to invest in the State of California Local Agency Investment Fund; FDIC insured interest-bearing checking accounts, investments permitted by California Law including U.S.Treasury securities. The City's investment policy specifically prohibits any investment resulting in zero interest accrual if held to maturity. Investments in the debt service fund are permitted to be invested in other investments in accordance with the trust agreement.

Investments of the City are reported at fair value. The State Treasurer's Investment Pool operates in accordance with appropriate state laws and regulations. The reported value of the pool is the same as the fair value of the pool shares

Note 1. Summary of Significant Accounting Policies (Continued)

D. Assets, Liabilities, and Net Position (Continued)

2. Deposits and Investments

The City is authorized by its most recent investment policy to invest in the State of California Local Agency Investment Fund; FDIC insured interest-bearing checking accounts, investments permitted by California Law including U.S.Treasury securities. The City's investment policy specifically prohibits any investment resulting in zero interest accrual if held to maturity. Investments in the debt service fund are permitted to be invested in other investments in accordance with the trust agreement. Investments of the City are reported at fair value. The State Treasurer's Investment Pool operates in accordance with appropriate state laws and regulations. The reported value of the pool is the same as the fair value of the pool shares.

2. Receivables and Payables

Activity between funds that are representative of lending/borrowing arrangements outstanding at the end of the fiscal year are referred to as either "due to/from other funds" (i.e., the current portion of interfund loans) or "advances to/from other funds" (i.e., the non-current portion of interfund loans). All other outstanding balances between funds are reported as "due to/from other funds.

Advances between funds, as reported in the fund financial statements, are offset by a fund balance reserve account in applicable government funds to indicate that they are not available for appropriation and are not expendable available financial resources. All trade and property tax receivables are not shown net of an allowance for uncollectibles.

Property taxes are levied as of March 1 on property values assessed as of the same date. State statutes provide that the property tax rate be limited generally to one percent of market value, be levied by only the County, and be shared by applicable jurisdictions. The County of Santa Barbara collects the taxes and distributes them to taxing jurisdictions on the basis of assessed valuations subject to voter-approved debt. Property taxes are due on November 1 and March 1, and become delinquent on December 10 and April 10. The City recognizes property tax revenues in the fiscal year in which they are due to the City.

3. Inventories and Prepaid Items

All inventories are valued at cost using the first-in/first-out (FIFO) method. Inventories of governmental funds are recorded as expenditures when used or consumed. Certain payments to vendors reflect costs applicable to future accounting periods and are recorded as prepaid items in both government-wide and fund financial statements using the consumption method.

4. Capital Assets

Capital assets, which include property, plant, equipment, and infrastructure assets (e.g., roads, bridges, sidewalks, and similar items), are reported in the applicable governmental-type activities columns in the government-wide financial statements. Capital assets are defined by the government as assets with an initial, individual cost of more than $ 3,000 ($50,000 for infrastructure type assets) and an estimated useful life in excess of one year. Such assets are recorded at historical cost or estimated historical cost if purchased or constructed. Donated capital assets are recorded at estimated fair market value at the date of donation. Under the GASB 34 Implementation Rules, the City is classified as a Phase 3 government and is not required to record infrastructure assets existing or acquired prior to July 1, 2002; and the City has not recorded such assets. The costs of normal maintenance and repairs that do not add to the value of the asset or materially extend assets lives are not capitalized. Major outlays for capital assets and improvements are capitalized as projects are constructed.

Note 1. Summary of Significant Accounting Policies (Continued)

D. Assets, Liabilities, and Net Position (Continued)

4. Capital Assets (Continued)

Property, plant, and equipment of the City are depreciated using the straight line method over the following estimated useful lives:

Assets	Years
Buildings and improvements	15 - 40
Public domain infrastructure	50
System infrastructure	50
Vehicles and equipment	5- 10

5. Compensated Absences and Other Post Employment Benefits

It is the government's policy to permit employees to accumulate earned but unused vacation and sick pay benefits. There is a liability for a portion of unpaid accumulated sick leave since the City does have a policy to pay certain amounts when employees separate from service with the City. All vacation pay and applicable sick leave is accrued when incurred in the government-wide financial statements. A liability for these amounts is reported in the governmental funds only if they have matured, for example, as a result of employee resignations and retirements. The City reports as a liability in the government wide financial statements, based upon actuarial computations, an estimate of its obligations for other post employment benefit obligations such as retired employee medical benefits. General fund financial resources are used to reduce/liquidate the City's net other post employment benefit obligations.

6. Long-term Obligations

In the government-wide financial statements, long-term debt and other long-term obligations are reported as liabilities in the applicable governmental activities statement of net assets.

7. Fund Balances – Governmental Funds

Fund balances for governmental funds are reported in classifications based primarily on the extent to which the City is bound to honor constraints about the specific purposes for which amounts in these funds can be spent. These classifications include (1) nonspendable, (2) restricted, (3) committed, (4) assigned and (5) unassigned amounts. Nonspendable amounts generally are items not expected to be converted into cash such as inventories, prepaid items and certain long-term receivables. Restricted amounts include those amounts where constraints placed on the use of the resources are externally imposed by grantors, contributors, other governments or by laws and regulations. Committed amounts are those that can only be used for a specific purpose as determined by the City Council. Such committed amounts may be redeployed for other uses only by direction of the City Council. Assigned amounts are fund balance amounts constrained by the City's intent to be used for a specific purpose as determined by the Director of Administrative Services or City Manager. Unassigned amounts are the residual amounts reported in the general fund. The City Council by resolution established a policy that delegates to the City Manager or Director of Administrative Services the authority to establish, rescind or modify assigned amounts. Committed amounts may be established, modified, or rescinded by the adoption of a resolution of the City Council.

When expenditures are incurred for which both restricted and unrestricted (committed, assigned or unassigned) amounts are available, the City considers that restricted amounts to have been spent first. When expenditures are incurred for which any class of unrestricted fund balance could be used, the City considers committed amounts would be reduced first, followed by assigned, and then unassigned amounts.

8. Comparative Data

Comparative total data for the prior year have been presented in order to provide an understanding of the changes in net position. Also, certain amounts presented in the prior year data have been reclassified to be consistent with the current year presentation.

2. Reconciliation of Government-wide and Fund Financial Statements

A. Explanation of Certain Differences Between the Governmental Fund Balance Sheet and the Government-Wide Statement of Net Position

The governmental fund balance sheet includes reconciliation between *fund balance – total governmental funds* and *net position – governmental activities* as reported in the government-wide statements of net position. One element of that reconciliation explains that "capital assets are not financial resources and are not reported in the funds." The details of this $ 17,943,073 difference are as follows:

Capital assets	$ 22,224,377
Less: Accumulated depreciation	(4,281,304)
Net adjustment to increase *fund balance – total governmental funds* to arrive at *net position – governmental activities*	$ 17,943,073

Another element of the reconciliation explains that "long-term liabilities" are not due and payable in the current period and are therefore not reported in the funds. The details of this $ 2,542,303 difference is as follows:

Long-Term Debt Obligations and Related Interest:	
Certificates of participation	$ (630,000)
Compensated absences	(92,183)
Net other post employment benefit obligation	(1,809,741)
Accrued interest payable on certificates	(10,379)
Net adjustment to decrease fund balance total governmental Funds to arrive at net position - governmental activities	$ (2,542,303)

B. Explanation of Certain Differences Between the Governmental Fund Statement of Revenues, Expenditures, and Changes in Fund Balances and the Government-Wide Statement of Activities

The governmental fund statement of revenues, expenditures, and changes in fund balances includes reconciliation between *net changes in fund balances – total governmental funds* and *changes in net position of governmental activities* as reported in the government-wide statement of activities. One element of that reconciliation explains that Governmental funds report capital outlays as expenditures. However, in the statement of activities the cost of these assets is allocated over their estimated useful lives and reported as depreciation expense. The details of this $ 979,436 difference and other significant components of the difference are as follows:

Capital outlay	$ 1,391,846
Depreciation expense	(312,953)
Repayment of long-term debt principal	145,000
OPEB expenses	(338,381)
Other items	93,924
Net adjustment to increase *net changes in fund balances – total governmental funds* to arrive at *changes in net position of governmental activities*	$ 979,436

3. Stewardship, Compliance, and Accountability

A. Budgetary Information

Annual budgets are adopted on a basis of consistent with generally accepted accounting principles for all governmental funds except debt service funds. Appropriations lapse at fiscal year end. The appropriated budget is prepared by fund, function, and department. The legal level of budgetary control (i.e., the level at which expenditures may not legally exceed appropriations) is the fund level. Transfers of appropriations between departments require approval of the City Manager. Transfers within departments may be made by department heads. The Council made several supplemental budgetary appropriations throughout the year. The supplemental budgetary appropriations made in the General Fund were material. Encumbrance accounting is not employed in governmental funds. Expenditures (excluding transfers out) out in the traffic safety, park maintenance, Tidelands. Right of Way, recreation, and revolving special revenue funds and exceeded their expenditure budgets by $3,211, $23,545, $25,322, $115, $71,630 respectively. These over expenditures were funded by available fund balances.

4. Detailed Notes on All Funds

A. Deposits and Investments

Deposits and investments at June 30, 2014 consisted of the following:

Pooled demand deposits	$ 2,343,701
Pooled investments (State Investment Pool-LAIF)	20,660
Pooled investments (U.S Treasury Notes)	12,495,646
Investments with trustees	184,617
Total deposits and investments	$ 15,044,624

Custodial Credit Risk - Deposits. Custodial credit risk is the risk that in the event of a bank failure, the City's deposits may not be returned to it. The City's policy for deposits is that they will be made only in institutions in California, they shall be insured or collateralized with United States backed securities, and time certificates of deposit shall have a maturity of less than three years. At June 30, 2014, $2,550,378 of the City's bank balances of $ 2,800,378 was exposed to credit risk as follows:

Uninsured and collateral held by pledging banks agent but not in the City's name:	$ 2,550,378

Investments - At June 30, 2014, the City had the following investments.

Investment	Maturities	Fair Values
State Investment Pool	Average 232 days	$ 20,660
FHLMC Debenture	23 months	166,016
U.S. Treasury Notes and Bills	2.67 years	12,495,646
Mutual fund	10 months	18,601
Totals		$ 12,700,923

Interest Rate Risk - As a means of limiting its exposure to fair value losses arising from rising interest rates, the City's investment policy limits the City's investment portfolio to the State of California Local Agency Investment Fund and to U.S. Treasury bills and notes with a maturity of five years or less at the time of purchase. The investment in the FHLMC debenture is held by a bank trustee under the terms of a trust agreement permitting longer term maturities.

Credit Risk - The City's policy is to limit investments to those that are rated in the top two credit ratings by nationally recognized rating organizations. The investments in the FHLMC debenture was rated AAA by Moody's and Standard and Poors. The City's investment in LAIF and mutual funds were unrated.

4. Detailed Notes on All Funds (Continued)

A. Deposits and Investments(Continued)

Custodial Credit Risk - **For** an investment, custodial credit risk is the risk that, in the event of the failure of a counterparty, the City will not be able to recover the value of its investments or collateral securities that are in the possession of an outside party. Of the City's $ 166,016 investment in FHLMC, the $ 166,016 in underlying securities is held by the investment's counterparty in the name of the City. The City's investment policy specifies that securities are to be held by a third party, other than the counterparty, in the City's name, whenever possible. Investments in the LAIF and mutual fund are not subject to custodial credit risk as they are not evidenced by specific securities. The U.S. Treasury Notes and Bills are held in a separate account in the name of the City.

B. Receivables

Receivables as of year-end for the government's individual major and nonmajor funds in the aggregate, net of the applicable allowances for uncollectible accounts, are as follows:

Receivables	General Fund	Development Impact Fund	Revolving & Measure A	Nonmajor Funds	Totals
Taxes	$731,358	$ -	$ -	$ -	$ 731,358
Governments	-		514,258	11,517	525,775
Accounts	5,379			4,304	9,683
Interest	16,589				16,589
Long-term note		239,713			239,713
Totals	$753,326	$ 239,713	$514,258	$ 15,821	$1,523,118

C. Interfund Transfers

The composition of interfund transfers of June 30, 2014, is as follows:

	General Fund	Measure A	Nonmajor	Total
Transfers out:				
General fund	$ -	$ 40,084	$ 698,827	$ 738,911
Measure A	127,559	-	21,175	148,734
Impact fee fund	39,066	-	-	39,066
Nonmajor funds	451,505	-	320,870	772,375
Total transfers in	$ 618,130	$ 40,084	$ 1,040,872	$ 1,699,086

The transfers were made primarily to fund approved projects, provide monies for payment of debt service on long-term obligations, and to reimburse the general fund for certain capital related expenditures.

4. Detailed Notes on All Funds (Continued)

D. Capital Assets

Capital asset activity for the year ended June 30, 2014 was as follows:

	Beginning Balance	Increases	Decreases	Ending Balance
Governmental activities:				
Capital assets, not being depreciated				
Land	$8,898,884	$ 775,250	$ -	$9,674,134
Park land site improvements	1,642,754	-	-	1,642,754
Construction in progress	233,340	616,596	-	849,936
Total capital assets, not being depreciated	10,774,978	1,391,846	-	12,166,824
Capital assets, being depreciated:				
Buildings	2,055,675	-	-	2,055,675
Machinery and equipment	953,824	-	-	953,824
Vehicles	583,159	-	(21,651)	561,508
Street and other improvements	5,117,549	-	-	5,117,549
City pool and facilities	1,368,997	-	-	1,368,997
Total capital assets being depreciated	10,079,204	-	(21,651)	10,057,553
Less accumulated depreciation for:				
Buildings	(1,053,272)	(47,735)	-	(1,101,007)
Machinery and equipment	(850,937)	(28,483)	-	(879,420)
Vehicles	(452,155)	(22,288)	21,651	(452,792)
Infrastructure	(540,056)	(169,709)	-	(709,765)
City pool and facilities	(1,093,582)	(44,738)	-	(1,138,320)
Total accumulated depreciation	(3,990,002)	(312,953)	21,651	(4,281,304)
Total capital assets, being depreciated, net	6,089,202	(312,953)	-	5,776,249
Governmental activities capital assets,net	$ 16,864,180	$ 1,078,893	$ -	$ 17,943,073

4. Detailed Notes on All Funds (Continued)

D. Capital Assets (Continued)

Depreciation expense was charged to functions/programs of the City as follows:

Governmental activities:		
General government	$	22,671
Parks and recreation programs		123,109
Public safety		13,084
Infrastructure		143,490
Public works		10,599
Total depreciation expense	$	312,953

E. Long-Term Debt

Certificates of Participation

The certificates were originally issued in the amount of $2,140,000 by the Carpinteria Public Improvement Corporation to refund and retire the Corporation's 1993 certificates. Proceeds from the original sale of the certificates were used by the City to finance park, swimming pool, and other public improvements The certificates bear interest at rates of 3.25 to 5.0 percent payable each September 1 and March 1 through March 1, 2018. The City has agreed to annually make budget appropriations in amounts sufficient to pay principal and interest on the certificates. The City's general fund is responsible for about 92 percent of the debt service on the certificates and the remaining 8 percent is an obligation of the City's recreation program fund. Future debt service is:

Fiscal Year	Principal	Interest	Total
2015	$ 145,000	$ 31,138	$ 176,138
2016	155,000	24,250	179,250
2017	160,000	16,500	176,500
2018	170,000	8,500	178,500
	$ 630,000	$ 80,388	$ 710,388

4. Detailed Notes on All Funds (Continued)

E. Long-Term Debt (Continued)

Changes in Long-term liabilities

Long-term debt activity for the 2014 fiscal year was as follows:

Governmental Activities	Beginning Balances	Additions	Deletions	Ending Balances	Due In One Year
Certificates participation	$ 775,000	$ -	$ 145,000	630,000	$ 150,000
Compensated absences	75,622	46,561	30,000	92,183	30,000
Totals	$ 850,622	$ 46,561	$ 175,000	$722,183	$ 180,000

The City's general fund is normally used to liquidate the liability for compensated absences.

5. Other Information

A. Risk Management

The City is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; and natural disasters for which the City carries insurance. The City, due to the costs of available coverage, participates in the California Joint Powers Insurance Authority.

The risk of loss is transferred to the Authority for general liability, workers compensation and property damage claims. The general liability protection for each member is $50 million per occurrence and $50 million annual aggregate. The premiums paid by the City are subject to retrospective premium adjustments and refunds based upon the loss experience of all pool members. For workers compensation coverage, the City's protection is provided by the Authority per statutory liability under California Workers Compensation Law. All risk property coverage provided by the Authority has a $50 million per occurrence limit, generally limited to scheduled property, which for the City was $8,084,273. The City also obtains from the Authority insurance coverage for earthquake and flood, boiler and machinery, and a blanket fidelity bond. The City accounts for its insurance activities in its general fund. There were no material changes in coverage during the year except to increase the amount of covered scheduled property, no material claim liabilities for which the City is responsible, and no claims exceeding insurance coverage in the last three years.

B. Contingencies and Commitments

Litigation. The City is involved in litigation incurred in the normal course of conducting City business. City management believes that, based upon consultation with its counsel, these cases, in the aggregate, are not expected to result in a material adverse financial impact on the City.

Shoreline Study. The City has entered into a multi-year contract with the U.S. Corp of Engineers to sponsor a study of shoreline storm damage, beach erosion and similar issues. The study is estimated to cost $ 2.2 million and the City is required to fund 50 percent of the cost. The City's share may be funded with cash or entirely by the City providing in-kind staff services.

5. Other Information (Continued)

C. Law Enforcement Agreement

The City, since 1992, has maintained an agreement with the Santa Barbara County Sheriff's Department to provide law enforcement services to the City of Carpinteria. These services are accounted for in the City's general fund and related expenditures are charged to public safety. The City's Public Employees Retirement Plan (PERS) for police employees pertains only to safety employees employed by the City prior to 1992, and the City made separate current contributions to that Plan based upon the PERS funding arrangements.

D. Public Employees Retirement System

Plan Description. The City of Carpinteria contributes to the California Public Employees Retirement System (PERS), a cost sharing multiple-employer, public employee, defined benefit pension plan. PERS provides retirement and disability benefits, annual cost-of-living adjustments, and death benefits to plan members and beneficiaries. PERS acts as a common investment and administrative agent for participating public entities within the State of California. Benefit provisions and all other requirements are established by the State statute and City ordinance.The PERS issues publicly available financial report that includes the financial statements and required supplementary information for the PERS. Copies of PERS annual financial report may be obtained from their executive office, 400 "P" Street, Sacramento, California 95814.

Funding Policy and Annual Pension Cost. Regular plan members are required to contribute 7.0 percent of their annual covered salary and the City is required to contribute at an actuarially determined rate. The current rate is 10.279 percent of covered payroll. The contribution requirements of plan members and the City are established by resolutions and contracts of the City and may be amended by the PERS. The City made separate contributions for the members of the safety plan. City contributions to the PERS for the most recent three fiscal years were as follows:

Plan	Year Ending	Annual Pension Cost		Percentage of APC Contributed
Regular employees	6/30/12	$	267,099	100%
	6/30/13	$	236,160	100%
	6/30/14	$	203,953	100%

Plan	Year Ending	Annual Pension Cost		Percentage of APC Contributed
Safety employees	6/30/12	$	55,449	100%
	6/30/13	$	-	100%
	6/30/14	$	-	100%

The Public Employee's Pension Reform Act (PEPRA), effective January 1, 2013, changed PERS contribution requirements and benefit provisions for new employees. One change limits the employer contribution rates to 23 percent for safety and 12.5 percent for non-safety employees.

5. Other Information Continued)

E. Restricted Net Position and Nonspendable Fund Balances

The $ 7,478,132 restricted amount in the governmental activities statement of net position represents amounts to be used only for specific purposes which restrictions are imposed by laws, formal agreements or other governments. The restricted amounts consist of $ 324,734 in the general fund restricted by agreement, $ 3,229,519 in the Development Impact Fee Fund and $4,223,879 in special and debt service funds. Nonspendable fund balances consisted of the following:

Purpose	General Fund	Development Impact Fee Fund	Nonmajor Funds
Prepayments	$ 4,167	$ -	$ -
Inventory	-	-	15,887
	$ 4,167	$ -	$ 15,877

F.Other Post Employment Benefits

Plan Description. The city administers the city's retired employee's healthcare plan, a single employer defined benefit health care plan. The plan provides medical benefits to eligible retired employees and their beneficiaries. The City's plan is affiliated with the State of California PERS in so much as the City's Health insurance premium payments are paid to the PERS. The PERS through an aggregation of single employer plans pools administrative functions in regard to purchases of commercial health insurance policies and coverage. City resolutions and regulations assign the authority to establish and amend benefit provisions to the City. A separate OPEB trust account has not been established by the City with the PERS.

The City participates in this State Health Insurance Pool (City resolutions 1839, 1840, 1842, May 22, 1989) administered by the California Public Employees Retirement System (CalPERS). Member agencies participating in the State Pool are subject to regulations of the Public Employees Medical and Hospital Care Act (PEMHCA) which requires that member agencies provide lifetime health benefits for retirees. California Government Code Section 22892 of the PEMHCA establishes the contracting agencies minimum health premium contribution for their participating active membership and requires that the employer contribution be an equal amount for retirees. The minimum employer contribution is currently $105 monthly. Further, the City extends additional health insurance benefits to retirees (Resolutions numbers 1496 and 3063) that were employed on June 30, 1988 and who retire from the City after 20 years of qualified service. This benefit provides retirees with single-coverage HMO insurance through the City's insurance program at City expense.

Funding Policy. The contribution requirements of plan members and the City are established and may be amended by the City. The required contribution is based on a projected pay-as-you-go financing requirements, with additional amounts to prefund benefits determined annually by the City Council. For the fiscal year ended June 30, 201, the City contributed $58,269 of current year premiums (100% of total premiums) and zero to prefund benefits. Plan members receiving benefits contributed no amounts of the total premiums.

Annual OPEB Costs and the Net OPEB Obligation. The City's annual other post employment benefit (OPEB) cost (expense) is calculated based on the annual required contribution of the employer (ARC), an amount actuarially determined in accordance with the parameters of Governmental Accounting Standards Board Statement Number 45. The ARC represents a level of funding that if paid on an ongoing basis, is projected to cover normal cost each year and to amortize any unfunded liabilities over a period not to exceed 30 years. The following table shows the components of the City's annual OPEB costs for the year, the amounts actually contributed to the plan, and changes in the City's net OPEB obligation to the Plan:

5. Other Information (Continued)

F. Other Post Employment Benefits (Continued)

Annual required contribution (ARC)	$408,122
Interest on net OPEB obligation	73,568
Adjustments to the ARC	(84,680)
Annual OPEB expense	397,010
Contributions made	(58,629)
Change in net OPEB obligation	338,381
Net OPEB obligation, beginning of year	1,471,360
Net OPEB obligation end of year	$1,809,741

The City's annual OPEB cost, the percentage of the annual OPEB cost contributed to the plan, and the net OPEB obligation for 2014 was as follows:

Fiscal year Ended	Annual OPEB Cost	Percentage Contributed	Net OPEB Obligation
June 30, 2010	$415,200	11.58%	$367,115
June 30, 2011	$416,580	16.16%	$716,363
June 30, 2012	$418,136	16.10%	$1,067,167
June 30, 2013	$471,135	14.21%	$1,471,360
June 30, 2014	$397,010	14.77%	$1,809,741

Funding Status and Funding Progress. As of June 30, 2014, the most recent actuarial valuation date, the plan was zero funded. The actuarial accrued liability for benefits was $3,765,093, and the actuarial value of plan assets was zero, resulting in an unfunded actuarial accrued liability (UAAL) of the $3,765,093. The covered payroll (annual payroll of active employees covered by the plan) was $2,359,282 and the ratio of the UAAL to covered payroll was 159.59 percent.

Actuarial valuations of an ongoing plan involve estimates of reported amounts and assumptions about the probability of occurrence of events into the future. Examples include assumptions about future employment, mortality, and health care trends. Amounts determined regarding the funded status of the plan and the annual required contributions of the employer are subject to continual revision as actual results are compared with past expectations and new estimates are made about the future. The schedule of funding progress, presented as required supplemental information, following the notes to these financial statements, presents multiyear trend information about whether the actuarial value of plan assets are increasing or decreasing over time relative to actuarial liabilities for benefits.

Actuarial Methods and Assumptions. Projections of benefits for financial reporting purposes are based on the substantive plan (the plan as understood by the employer and the plan members) includes the types of benefits provided at the time of each valuation and the historical pattern of sharing benefit costs between the employer and plan members to that point. The actuarial methods and assumptions used include techniques that are designed to reduce the effects of short term volatility in actuarial liabilities and the actuarial value of plan assets, consistent with the long-term perspective of the calculations.

5. Other Information (Continued)

F. Other Post Employment Benefits (Continued)

Actuarial Methods and Assumptions (Continued) In the June 30, 2014 valuation, the entry age normal actuarial cost method was used. The actuarial assumptions include a 5 percent investment rate of return based on assumed long-term return on plan assets or employer assets as appropriate. An annual health care trend rate of 9 percent initially decreasing to 5 percent in year number five and a one percent inflation rate. There were no plan assets at the valuation date. The UAAL is being amortized as a level percentage of payroll over a 30 year closed period, the remaining amortization period at June 30, 2014.

G. Recently Issued Accounting Pronouncements

In June 2012, the GASB issued Statement Number 68, Accounting and Financial Reporting for Pensions. GASB 68 addresses accounting and financial reporting for pensions that are provided to employees of state and local governments. Under GASB 68, pension plan cost sharing employers, such as the City, will be required to recognize a liability for their proportionate share of the collective pension liability of all pool members and expands pension related disclosures. GASB 68 is effective for periods beginning after June 15, 2014. Management is currently evaluating the impact that adoption of GASB 68 will have on the City's financial statements.

Required Supplementary Information

Required Supplementary Information
CITY OF CARPINTERIA
Budgetary Comparison Schedule - General Fund
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual Amounts	Variance with Final Budget Positive (Negative)
Fund Balance, July 1	$ 7,630,201	$ 7,630,201	$ 7,630,201	$ -
Resources (inflows):				
Property taxes	2,692,954	2,866,774	2,861,510	(5,264)
Sales taxes	1,843,000	1,901,000	1,900,971	(29)
Transient occupancy taxes	1,937,239	1,933,879	1,923,538	(10,341)
Franchise taxes	556,009	601,442	602,583	1,141
Other taxes	82,000	118,367	127,167	8,800
License permits	121,894	115,180	109,909	(5,271)
Fines and forfeits	82,158	92,349	100,454	8,105
Interest and rents	97,511	115,801	61,375	(54,426)
Intergovernmental	66,000	35,000	32,220	(2,780)
Charges for services	197,200	270,347	205,934	(64,413)
Miscellaneous	9,200	-	-	-
Transfers in	735,278	735,278	618,130	(117,148)
Amounts available for charges to appropriations	16,050,644	16,415,618	16,173,992	(241,626)
Charges to appropriations:				
General government:				
City council	120,144	110,517	106,672	3,845
Legal	360,000	369,585	333,592	35,993
City manager	299,305	345,433	335,429	10,004
Economic development	90,228	160,956	151,035	9,921
City clerk	99,154	198,105	191,540	6,565
Human resources	137,970	160,259	150,587	9,672
Community promotion	151,078	27,759	24,216	3,543
Finance	466,592	370,568	386,609	(16,041)
Risk management	278,165	242,940	244,261	(1,321)
Central services	469,889	283,794	240,597	43,197
Management information services	111,039	67,397	83,410	(16,013)
Planning	160,350	525,379	515,302	10,077
Code compliance	228,809	259,288	263,202	(3,914)
Development review	387,491	221,488	202,070	19,418
Other	10,200	-	6,270	(6,270)
Public safety:				
Police	3,371,212	3,421,130	3,387,255	33,875
Anminal control	96,752	29,282	29,218	64
Disaster preparedness	84,028	19,100	3,428	15,672
Parks and recreation:				
Administration	233,878	233,878	237,597	(3,719)
Community service grants	99,908	97,703	98,703	(1,000)
Public works				
Administration	445,613	501,286	410,426	90,860
Solid waste	7,500	2,500	2,597	(97)
Special projects	65,500	65,500	50,800	14,700
Capital outlay	15,000	15,000	21,594	(6,594)
Transfers out	624,403	661,704	738,911	(77,207)
Total charges to appropriations	8,414,208	8,390,551	8,215,321	175,230
Fund Balance, June 30	$ 7,636,436	$ 8,025,067	$ 7,958,671	$ (66,396)

CITY OF CARPINTERIA

Budgetary Comparison Schedule - General Fund
Note to RSI
For the Fiscal Year Ended June 30, 2014

Note A. Explanation of Difference between Budgetary Inflows and Outflows and GAAP Revenues and expenditures:

Sources/inflows resources:	
Actual amounts "available for appropriation" from budgetary comparison schedule:	$ 16,173,992
Differences - budget to GAAP:	
The fund balance at the beginning of the year is a budgetary resource but is not a current year revenue for financial reporting purposes	(7,630,201)
Transfers in from other funds are inflows of budgetary resources but are not revenues for financial reporting purposes	(618,130)
Total revenues as reported in the statement of revenues, expenditures and changes in fund balances - governmental funds	$ 7,925,661
Uses/outflows of resources:	
Actual amounts "total charges to appropriations" from the budgetary comparison schedule	$ 8,215,321
Differences - budget to GAAP:	
Transfers to other funds are outflows of budgetary resources but are not expenditures for financial reporting purposes	(738,911)
Total expenditures as reported in the statement of revenues, expenditures and changes in fund balances - governmental funds	$ 7,476,410

CITY OF CARPINTERIA
Measure A Special Revenue Fund
Schedule of Revenues, Expenditures, and
Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget-Positive(Negative)
Revenues:				
Intergovernmental:				
Measure A allocations	$ 720,000	$ 720,000	$ 624,459	$ (95,541)
Interest	11,280	11,280	10,333	(947)
Total revenues	731,280	731,280	634,792	(96,488)
Expenditures:				
Current:				
Tree maintenance	60,000	60,000	65,435	(5,435)
Pavement management system	25,801	25,801	31,844	(6,043)
Easy lift cart	12,500	12,500	12,000	500
Other services	56,500	56,500	30,059	26,441
Capital outlay:				
Public works	479,752	479,752	-	479,752
Concrete repairs	10,000	10,000	3,782	6,218
Storm water projects	25,000	25,000	13,271	11,729
Safe routes schools	130,000	130,000	112,282	17,718
Pedestrian facilities	250,000	250,000	109,065	140,935
Other projects	75,500	75,500	5,353	70,147
Total expenditures	1,125,053	1,125,053	383,091	741,962
Excess(dediciency) of reevenues over expenditures	(393,773)	(393,773)	251,701	645,474
Other financing sources (uses):				
Transfers in	40,084	40,084	40,084	-
Transfers out	(148,734)	(148,734)	(148,734)	-
Total other financing sources (uses)	(108,650)	(108,650)	(108,650)	-
Net change in fund balances	(502,423)	(502,423)	143,051	645,474
Fund balance, July 1	1,022,991	1,022,991	1,022,991	-
Fund balance, June 30	$ 520,568	$ 520,568	$ 1,166,042	$ 645,474

Required Supplementary Information
CITY OF CARPINTERIA
Retired Employees Health Care Plan
Schedule of Funding Progress
June 30, 2014

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL)- Entry Age (b)	Unfunded AAL (b-a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as a Percentage of Covered Payroll [(b-a)/c]
6/30/2010	$ -	$ 3,336,816	$ 3,336,816	0.0%	$2,478,668	134.6%
6/30/2013	$ -	$ 4,499,276	$ 4,499,276	0.0%	$2,153,102	209.0%
6/30/2014	$ -	$ 3,765,093	$ 3,765,093	0.0%	$2,359,282	159.6%

Supplementary Information
Nonmajor Funds

CITY OF CARPINTERIA
Combining Balance Sheets
Nonmajor Funds
June 30, 2014

	Special Revenue Funds								
	Traffic Safety	Equipment Replacement	Park Development	Park Maintenance	State Gas Tax	Local Transportation	Tidelands Trust	Street Lighting	Right of Way
Assets									
Cash and investments	$ (903)	$ 8,015	$ -	$ 7,381	$ 101,324	$ 40,496	$ 276,525	$ 952,817	$ 17,712
Receivables:	-								
Accounts	903	-	-	-	-	-	-	-	-
Intergovernmental	-	-	-	11,517	-	-	-	-	-
Inventory	-	-	-	-	-	-	15,887	-	-
Total assets	$ -	$ 8,015	$ -	$ 18,898	$ 101,324	$ 40,496	$ 292,412	$ 952,817	$ 17,712
Liabilities and Fund Balances									
Liabilities:									
Accounts payable	$ -	$ -	$ -	$ 18,408	$ 30,462	$ -	$ 21,231	$ 882	$ 13,878
Accrued liabilities	-	-	-	490	1,740	-	1,795	-	3,834
Total liabilities	-	-	-	18,898	32,202	-	23,026	882	17,712
Fund balances:									
Nonspendable	-	-	-	-	-	-	15,887	-	-
Restricted for debt service	-	-	-	-	-	-	-	-	-
Restricted for recycling	-	-	-	-	-	-	-	-	-
Restricted for streets	-	8,015	-	-	69,122	40,496	253,499	951,935	-
Total fund balances	-	8,015	-	-	69,122	40,496	269,386	951,935	-
Total liabilities and fund balances	$ -	$ 8,015	$ -	$ 18,898	$ 101,324	$ 40,496	$ 292,412	$ 952,817	$ 17,712

(Continued)

CITY OF CARPINTERIA
Combining Balance Sheets
Nonmajor Funds
June 30, 2014

	Special Revenue Funds				Debt Service Fund	
	Parking and Business Improvement	AB 939 Solid Waste	Measure D	Recreation Programs	Certificates of Participation	Totals
Assets						
Cash and investments	$ 38,423	$ 306,490	$ 951,024	$ 23,595	$ 184,617	$ 2,907,516
Receivables:						
Accounts	-	-	-	3,401	-	4,304
Intergovernmental	-	-	-	-	-	11,517
Inventory	-	-	-	2,495	-	18,382
Total assets	$ 38,423	$ 306,490	$ 951,024	$ 29,491	$ 184,617	$ 2,941,719
Liabilities and Fund Balances						
Liabilities:						
Accounts payable	$ 22	$ 269	$ -	$ 22,445	$ -	$ 107,597
Accrued liabilities	-	-	-	7,046	-	14,905
Total liabilities	22	269	-	29,491	-	122,502
Nonspendable	-	-	-	-	-	15,887
Restricted for debt service	-	-	-	-	184,617	184,617
Restricted for recycling	-	306,221	-	-	-	306,221
Restricted for streets	38,401	-	951,024	-	-	2,312,492
Total fund balances	38,401	306,221	951,024	-	184,617	2,819,217
Total liabilities and fund balances	$ 38,423	$ 306,490	$ 951,024	$ 29,491	$ 184,617	$ 2,941,719

CITY OF CARPINTERIA
Combining Statement of Revenues, Expenditures, and
Changes in Fund Balances
Nonmajor Funds
For the Fiscal Year Ended June 30, 2014

	Special Revenue Funds								
	Traffic Safety	Equipment Replacement	Park Development	Park Maintenance	State Gas Tax	Local Transportation	Tidelands Trust	Street Lighting	Right of Way
Revenues:									
Taxes	$ -	$ -	$ -	$ 154,109	$ -	$ -	$ -	$ 292,891	$ -
Special assessments	-	-	-	-	-	-	-	-	189,924
Fines and forfeits	16,251	-	-	-	-	-	-	-	-
Interest	57	8	65	150	215	370	964	5,950	(358)
Intergovernmental	-	-	-	-	433,641	10,196	-	-	-
Charges for services	155	-	21,072	98,269	-	-	154,695	-	-
Miscellaneous	-	1,730	-	-	413	-	277,135	-	696
Total revenues	16,463	1,738	21,137	252,528	434,269	10,566	432,794	298,841	190,262
Expenditures:									
Current:									
General government	-	-	-	-	-	-	-	-	-
Public safety	25,508	-	-	-	-	-	-	-	-
Streets and tidelands	-	-	-	-	466,002	-	255,555	170,046	401,084
Parks and recreation	-	-	-	339,212	-	-	-	-	-
Public works	-	-	-	-	-	-	-	-	-
Capital outlay	-	-	-	-	-	-	-	8,333	-
Debt service:									
Principal	-	-	-	-	-	-	-	-	-
Interest	-	-	-	-	-	-	-	-	-
Total expenditures	25,508	-	-	339,212	466,002	-	255,555	178,379	401,084
Excess (deficiency) of revenues over (under) expenditures	(9,045)	1,738	21,137	(86,684)	(31,733)	10,566	177,239	120,462	(210,822)
Other financing sources (uses):									
Transfers in	7,346	-	14,012	161,368	172,135	-	-	-	271,466
Transfers out	-	-	(35,149)	(74,684)	(154,735)	(544)	(136,088)	(128,426)	(60,644)
Total other financing sources (uses)	7,346	-	(21,137)	86,684	17,400	(544)	(136,088)	(128,426)	210,822
Net change in fund balances	(1,699)	1,738	-	-	(14,333)	10,022	41,151	(7,964)	-
Fund balances, July 1	1,699	6,277	-	-	83,455	30,474	228,235	959,899	-
Fund balances, June 30	$ -	$ 8,015	$ -	$ -	$ 69,122	$ 40,496	$ 269,386	$ 951,935	$ -

(Continued)

CITY OF CARPINTERIA
Combining Statement of Revenues, Expenditures, and Changes in Fund Balances
Nonmajor Funds
For the Fiscal Year Ended June 30, 2014

	Special Revenue Funds				Debt Service Fund	
	Parking and Business Improvement	AB 939 Solid Waste	Measure D	Recreation Programs	Certificates of Participation	Totals
Revenues:						
Taxes	$ -	$ -	$ -	$ -	$ -	$ 447,000
Special assessments	31,800	-	-	-	-	221,724
Fines and forfeits	-	-	-	-	-	16,251
Interest	201	1,749	5,860	(142)	3,541	18,630
Intergovernmental	-	-	-	-	-	443,837
Charges for services	-	175,687	-	329,639	-	779,517
Miscellaneous	-	-	-	-	-	279,974
Total revenues	32,001	177,436	5,860	329,497	3,541	2,206,933
Expenditures:						
Current:						
General government	9,774	-	-	-	-	9,774
Public safety	-	-	-	-	-	25,508
Streets and tidelands	-	-	8,470	-	-	1,301,157
Parks and recreation	-	-	-	477,868	-	817,080
Public works	-	39,374	-	-	-	39,374
Capital outlay	-	-	117,148	-	-	125,481
Debt service:						
Principal	-	-	-	-	145,000	145,000
Interest	-	-	-	-	37,953	37,953
Total expenditures	9,774	39,374	125,618	477,868	182,953	2,501,327
Excess(deficiency) of revenues over (under) expenditures	22,227	138,062	(119,758)	(148,371)	(179,412)	(294,394)
Other financing sources (uses):						
Transfers in	16,744	-	-	216,149	181,652	1,040,872
Transfers out	(17,249)	(97,078)	-	(67,778)	-	(772,375)
Total other financing sources (uses)	(505)	(97,078)	-	148,371	181,652	268,497
Net change in fund balances	21,722	40,984	(119,758)	-	2,240	(25,897)
Fund balances, July 1	16,679	265,237	1,070,782	-	182,377	2,845,114
Fund balances, June 30	$ 38,401	$ 306,221	$ 951,024	$ -	$ 184,617	$ 2,819,217

CITY OF CARPINTERIA
Traffic Safety Special Revenue Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget- Positive(Negative)
Revenues:				
Interest	$ 98	$ 98	$ 57	$ (41)
Fines and forfeits	20,000	20,000	16,251	(3,749)
Charges for services:				
Police fees	500	500	155	(345)
Total revenues	20,598	20,598	16,463	(4,135)
Expenditures:				
Current:				
Public safety:				
Contract services	16,914	16,914	20,000	(3,086)
Parttime wages and benefits	5,383	5,383	5,508	(125)
Total expenditures	22,297	22,297	25,508	(3,211)
Excess(deficiency) of revenues over (under)expenditures	(1,699)	(1,699)	(9,045)	(7,346)
Other Financing Sources:				
Transfers in	-	-	7,346	7,346
Net change in fund balances	(1,699)	(1,699)	(1,699)	-
Fund balance, July 1	1,699	1,699	1,699	-
Fund balance, June 30	$ -	$ -	$ -	$ -

CITY OF CARPINTERIA
Equipment Replacement Special Revenue Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance with Final Budget- Positive(Negative)
Revenues:				
Interest	$ 179	$ 179	$ 8	$ (171)
Miscellaneous:				
Sale of property	1,000	1,000	1,730	730
Total revenues	1,179	1,179	1,738	559
Expenditures:				
Capital outlay	-	-	-	-
Total expenditures	-	-	-	-
Net change in fund balances	1,179	1,179	1,738	559
Fund balance, July 1	6,277	6,277	6,277	-
Fund balance, June 30	$ 7,456	$ 7,456	$ 8,015	$ 559

CITY OF CARPINTERIA
Park Development Special Revenue Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget-Positive(Negative)
Revenues:				
Interest	$ 13	$ 13	$ 65	$ 52
Charges for services:				
Park development fees	3,000	3,000	21,072	18,072
Total revenues	3,013	3,013	21,137	18,124
Expenditures:				
Current:				
Streets and tidelands	-	-	-	-
Total expenditures	-	-	-	-
Excess(deficiency) of revenues over(under) expenditures	3,000	3,000	21,137	18,137
Other financing sources (uses):				
Transfers in	-	-	14,012	14,012
Transfers out	(35,149)	(35,149)	(35,149)	-
Total other financing sources(uses)	(35,149)	(35,149)	(21,137)	14,012
Net change in fund balances	(32,149)	(32,149)	-	32,149
Fund balance, July 1	-	-	-	-
Fund balance, June 30	$ (32,149)	$ (32,149)	$ -	$ 32,149

CITY OF CARPINTERIA
Park Maintenance Special Revenue Fund
Schedule of Revenues, Expenditures, and
Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Budget	Actual	Variance With Final Budget- Positive(Negative)
Revenues:				
Taxes and assessments:				
Special park tax	$ 153,000	$ 153,000	$ 154,109	$ 1,109
Interest	151	151	150	(1)
Intergovernmental:				
Bluffs endowment	25,000	25,000	25,000	-
State day-use parking	28,000	28,000	16,840	(11,160)
Miscellaneous	15,500	15,500	56,429	40,929
Total revenues	221,651	221,651	252,528	30,877
Expenditures:				
Current:				
Parks:				
Personnel	85,231	85,231	80,591	4,640
Maintenance	80,000	80,000	80,553	(553)
Utilities	68,681	68,681	87,263	(18,582)
Other services supplies	81,755	81,755	90,805	(9,050)
Total expenditures	315,667	315,667	339,212	(23,545)
Excess (deficiency) of revenues over(under) expenditures	(94,016)	(94,016)	(86,684)	7,332
Other financing sources (uses):				
Transfers in	26,926	26,926	161,368	134,442
Transfers out	(74,684)	(74,684)	(74,684)	-
Total other financing sources(uses)	(47,758)	(47,758)	86,684	134,442
Net change in fund balances	(141,774)	(141,774)	-	141,774
Fund balance, July 1	-	-	-	-
Fund balance, June 30	$ (141,774)	$ (141,774)	$ -	$ 141,774

CITY OF CARPINTERIA
Gas Tax Special Revenue Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget-Positive(Negative)
Revenues:				
Intergovernmental:				
State gas taxes	$ 415,862	$ 387,497	$ 433,641	$ 46,144
Interest	1,446	2,901	215	(2,686)
Miscellaneous	706	-	413	413
Total revenues	418,014	390,398	434,269	43,871
Expenditures:				
Current:				
Streets:				
Street sweeping	35,000	35,000	39,313	(4,313)
Thermoplast striping	49,798	49,798	51,632	(1,834)
Engineering	95,000	95,000	88,812	6,188
Salaries and benefits	205,049	205,049	223,400	(18,351)
Supplies and materials	70,800	70,800	48,157	22,643
Street maintenance	22,000	22,000	14,688	7,312
Total expenditures	477,647	477,647	466,002	11,645
Excess (deficiency) of revenues over(under) expenditures	(59,633)	(87,249)	(31,733)	55,516
Other financing sources (uses):				
Transfers in	172,135	162,650	172,135	9,485
Transfers out	(154,735)	(121,377)	(154,735)	(33,358)
Total other financing sources(uses)	17,400	41,273	17,400	(23,873)
Net change in fund balances	(42,233)	(45,976)	(14,333)	31,643
Fund balance, July 1	83,455	83,455	83,455	-
Fund balance, June 30	$ 41,222	$ 37,479	$ 69,122	$ 31,643

CITY OF CARPINTERIA
Local Transportation Special Revenue Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget-Positive(Negative)
Revenues:				
Intergovernmental:				
Transportation Development Act	$ 10,456	$ 10,456	$ 10,196	$ (260)
Interest	1,233	1,233	370	(863)
Total revenues	11,689	11,689	10,566	(1,123)
Expenditures:				
Current:				
Streets:				
Bikeway - Carpinteria Avenue	10,000	10,000	-	10,000
Total expenditures	10,000	10,000	-	10,000
Excess(deficiency) of revenues over(under) expenditures	1,689	1,689	10,566	8,877
Other financing sources (uses):				
Transfers out	(544)	(544)	(544)	-
Total other financing sources	(544)	(544)	(544)	-
Net change in fund balances	1,145	1,145	10,022	8,877
Fund balance, July 1	30,474	30,474	30,474	-
Fund balance, June 30	$ 31,619	$ 31,619	$ 40,496	$ 8,877

CITY OF CARPINTERIA
Tidelands Trust Special Revenue Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget- Positive(Negative)
Revenues:				
Charges for services:				
Beach recreation fees	$ 112,576	$ 112,576	$ 154,697	$ 42,121
Interest	1,807	1,807	964	(843)
Miscellaneous:				
Rents and leases	255,000	255,000	274,889	19,889
Contributions	-	-	-	-
Other	5,000	5,000	2,244	(2,756)
Total revenues	374,383	374,383	432,794	58,411
Expenditures:				
Current:				
Parks and recreation:				
Dune maintenance	20,000	20,000	20,509	(509)
Marsh/Bluffs maintenance	25,000	25,000	27,855	(2,855)
Salaries and benefits	120,183	120,183	124,208	(4,025)
Services and supplies	60,050	60,050	72,280	(12,230)
Capital outlay	5,000	5,000	10,703	(5,703)
Total expenditures	230,233	230,233	255,555	(25,322)
Excess(deficiency) of revenues over under expenditures	144,150	144,150	177,239	33,089
Other financing uses:				
Transfers out	(136,088)	(136,088)	(136,088)	-
Total other financing uses	(136,088)	(136,088)	(136,088)	-
Net change in fund balances	8,062	8,062	41,151	33,089
Fund balance, July 1	228,235	228,235	228,235	-
Fund balance, June 30	$ 236,297	$ 236,297	$ 269,386	$ 33,089

CITY OF CARPINTERIA
Street Lighting Special Revenue Fund
Schedule of Revenues, Expenditures, and
Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget- Positive(Negative)
Revenues:				
Taxes and assessments:				
Street lighting ad valorem assessments	$ 273,808	$ 273,808	$ 291,115	$ 17,307
HOPTR	1,133	1,133	1,776	643
Interest	8,204	8,204	5,950	(2,254)
Total revenues	283,145	283,145	298,841	15,696
Expenditures:				
Current:				
Streets:				
Street lighting	110,000	110,000	97,506	12,494
Traffic signals and other	66,648	66,648	70,350	(3,702)
Supplies services	67,000	67,000	10,523	56,477
Total expenditures	243,648	243,648	178,379	65,269
Excess(deficiency) of revenues over expenditures	39,497	39,497	120,462	80,965
Other financing uses:				
Transfers in	-	-	-	-
Transfers out	(128,426)	(128,426)	(128,426)	-
Total other financing sources (uses)	(128,426)	(128,426)	(128,426)	-
Net change in fund balances	(88,929)	(88,929)	(7,964)	80,965
Fund balance, July 1	959,899	959,899	959,899	-
Fund balance, June 30	$ 870,970	$ 870,970	$ 951,935	$ 80,965

CITY OF CARPINTERIA
Right of Way Special Revenue Fund
Schedule of Revenues, Expenditures, and
Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget-Positive(Negative)
Revenues:				
Taxes and assessments:				
Street right of way special assessments	$ 221,909	$ 221,909	$ 189,924	$ (31,985)
Interest	-	-	(358)	(358)
Miscellaneous	1,400	1,400	696	(704)
Total revenues	223,309	223,309	190,262	(33,047)
Expenditures:				
Current:				
Streets:				
Tree maintenance	45,000	45,000	8,208	36,792
Salaries and benefits	208,441	208,441	219,545	(11,104)
Services and supplies	147,528	147,528	173,331	(25,803)
Total expenditures	400,969	400,969	401,084	(115)
Excess(deficiency of revenues over expenditures	(177,660)	(177,660)	(210,822)	(33,162)
Other financing sources (uses):				
Transfers in	116,170	116,170	271,466	155,296
Transfers out	(60,644)	(60,644)	(60,644)	-
Total other financing sources (uses)	55,526	55,526	210,822	155,296
Net change in fund balances	(122,134)	(122,134)	-	122,134
Fund balance, July 1	-	-	-	-
Fund balance, June 30	$ (122,134)	$(122,134)	$ -	$ 122,134

CITY OF CARPINTERIA
Parking and Business Improvement Special Revenue Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget-Positive(Negative)
Revenues:				
Taxes and assessments:				
Parking lot special assessments	$ 29,754	$ 29,754	$ 31,800	$ 2,046
Interest	384	384	201	(183)
Total revenues	30,138	30,138	32,001	1,863
Expenditures:				
Current:				
General government:				
Parking and business improvement	12,700	12,700	9,774	2,926
Total expenditures	12,700	12,700	9,774	2,926
Excess(deficiency) of revenues over expenditures	17,438	17,438	22,227	4,789
Other financing sources (uses):				
Transfer in	16,744	16,744	16,744	-
Transfer out	(17,249)	(17,249)	(17,249)	-
Total other financing uses	(505)	(505)	(505)	-
Net change in fund balances	16,933	16,933	21,722	4,789
Fund balance, July 1	16,679	16,679	16,679	-
Fund balance, June 30	$ 33,612	$ 33,612	$ 38,401	$ 4,789

CITY OF CARPINTERIA
AB 939 Special Revenue Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget-Positive(Negative)
Revenues:				
Interest	$ 2,627	$ 2,627	$ 1,749	$ (878)
Charges for services:				
Solid waste management fees	176,000	176,000	175,687	(313)
Total revenues	178,627	178,627	177,436	(1,191)
Expenditures:				
Current:				
Public works:				
Waste oil collection	62,500	62,500	39,374	23,126
Total expenditures	62,500	62,500	39,374	23,126
Excess(deficiency) of revenues over expenditures	116,127	116,127	138,062	21,935
Other financing sources (uses):				
Transfers in	-	-	-	-
Transfers out	(97,078)	(97,078)	(97,078)	-
Total other financing uses	(97,078)	(97,078)	(97,078)	-
Net change in fund balances	19,049	19,049	40,984	21,935
Fund balance, July 1	265,237	265,237	265,237	-
Fund balance, June 30	$284,286	$ 284,286	$ 306,221	$ 21,935

CITY OF CARPINTERIA
Measure D Special Revenue Fund
Schedule of Revenues, Expenditures, and
Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	**Final**	**Actual**	**Variance With Final Budget-Positive(Negative)**
Revenues:				
Intergovernmental:				
Measure D allocations	$ -	$ -	$ -	$ -
Interest	11,371	11,371	5,860	(5,511)
Total revenues	11,371	11,371	5,860	(5,511)
Expenditures:				
Capital outlay:				
Ninth street	195,000	195,000	6,479	188,521
Street maintenance	125,000	125,000	1,991	123,009
General fund reimbursement	-	-	117,148	(117,148)
Beach drainage	200,000	200,000	-	200,000
Total expenditures	520,000	520,000	125,618	394,382
Excess(dediciency) of reevenues over expenditures	(508,629)	(508,629)	(119,758)	388,871
Other financing sources (uses):				
Transfers out	-	-	-	-
Total other financing sources (uses)	-	-	-	-
Net change in fund balances	(508,629)	(508,629)	(119,758)	388,871
Fund balance, July 1	1,070,782	1,070,782	1,070,782	-
Fund balance, June 30	$ 562,153	$ 562,153	$ 951,024	$ 388,871

CITY OF CARPINTERIA
Recreation Programs Special Revenue Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget-Positive(Negative)
Revenues:				
Charges for services:				
Pool and Recreation programs	$ 167,550	$ 167,550	$ 165,022	$ (2,528)
Verterans Memorial rents	34,000	34,000	42,055	8,055
Punch card sales	38,000	38,000	38,723	723
Triathlon revenue	83,000	83,000	83,839	839
Interest	-	-	(142)	(142)
Total revenues	322,550	322,550	329,497	6,947
Expenditures:				
Current:				
Wages and benefits	183,088	183,088	238,010	(54,922)
Utilities	52,300	52,300	52,019	281
Triathlon expense	53,000	53,000	51,991	1,009
Supplies and services	117,850	117,850	135,848	(17,998)
Total expenditures	406,238	406,238	477,868	(71,630)
Excess(deficiency) of revenues over expenditures	(83,688)	(83,688)	(148,371)	(64,683)
Other financing sources (uses):				
Transfers in	49,990	49,990	216,149	166,159
Transfers out	(67,778)	(67,778)	(67,778)	-
Total other financing sources(uses)	(17,788)	(17,788)	148,371	166,159
Net change in fund balances	(101,476)	(101,476)	-	101,476
Fund balance, July 1	-	-	-	-
Fund balance, June 30	$ (101,476)	$ (101,476)	$ -	$ 101,476

Supplementary Information
CITY OF CARPINTERIA
Budgetary Comparison Schedule - Development Impact Fee Fund
Major Capital Projects Fund
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	**Final**	**Actual Amounts**	**Variance with Final Budget Positive (Negative)**
Revenues:				
Charges for services:				
Impact fees	$ 1,006,858	$ 1,006,858	$ 615,540	$ (391,318)
Interest	-	-	5,667	5,667
Total revenues	1,006,858	1,006,858	621,207	(385,651)
Expenditures:				
Capital Projects				
FHWA Bridge replacement	159,792	159,792	75,235	84,557
Eight street footbridge	35,000	35,000	-	35,000
Park land, oarks and pool	418,000	418,000	929,550	(511,550)
City hall improvements	200,000	200,000	-	200,000
Parking lot	5,000	5,000	-	5,000
Storm drains	100,000	100,000	-	100,000
All other projects	110,906	110,906	109,182	1,724
Total expenditures	1,028,698	1,028,698	1,113,967	(85,269)
Excess(deficiency) of revenues over expenditures	(21,840)	(21,840)	(492,760)	(470,920)
Other financing use:				
Transfers in	39,066	39,066	-	(39,066)
Transfer out	(39,066)	(39,066)	(39,066)	-
Net change in fund balance	(21,840)	(21,840)	(531,826)	(509,986)
Fund balance ,July 1	3,761,345	3,761,345	3,761,345	-
Fund balance, June 30	$ 3,739,505	$ 3,739,505	$ 3,229,519	$ (509,986)

CITY OF CARPINTERIA
Revolving Fund
Major Capital Projects Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balance - Budget and Actual
For the Fiscal Year Ended June 30, 2014

	Budgeted Amounts			
	Original	Final	Actual	Variance With Final Budget-Positive(Negative)
Revenues:				
Intergovernmental:				
Beach erosion study	$ -	$ -	$ 8,200	$ 8,200
Waste oil grant	5,400	5,400	-	(5,400)
Measure A grants	365,000	365,000	579,761	214,761
FHWA HBR grant	1,187,000	1,187,000	300,356	(886,644)
Beverage container grant	5,000	5,000	8,577	3,577
DOJ grant	117,148	117,148	-	(117,148)
CALGRIP grant	-	-	191,758	191,758
Irrigation grant	70,000	70,000	1,030	(68,970)
Public works grant	479,752	479,752	-	(479,752)
Other grants	170,700	170,700	84,611	(86,089)
Total revenues	2,400,000	2,400,000	1,174,293	(1,225,707)
Expenditures:				
Capital outlay:				
Beach erosion study	-	-	-	-
Measure A projects	365,000	365,000	579,761	(214,761)
FHWA HBR grant	1,187,700	1,187,700	300,356	887,344
Beverage container program	5,000	5,000	8,577	(3,577)
Waste oil grant	5,400	5,400	-	5,400
CALGRIP	-	-	191,758	(191,758)
Irrigation project	70,000	70,000	1,030	68,970
Other projects	170,000	170,000	93,183	76,817
Total expenditures	1,803,100	1,803,100	1,174,665	628,435
Excess(deficiency) of revenues over expenditures	596,900	596,900	(372)	(597,272)
Other financing sources (uses):				
Transfers in	-	-	-	-
Transfers out	(117,148)	(117,148)	-	117,148
Total other financing sources(uses)	(117,148)	(117,148)	-	117,148
Net change in fund balances	479,752	479,752	(372)	(480,124)
Fund balance, July 1	43,287	43,287	43,287	-
Fund balance, June 30	$ 523,039	$ 523,039	$ 42,915	$ (480,124)

Capital Assets Used in Governmental Operations

CITY OF CARPINTERIA
Comparative Schedule of Capital Assets Used In Operation of Governmental Funds
Comparative Schedules By Source

	June 30	
	2014	2013
Governmental funds capital assets		
Land	$ 9,674,134	$ 8,898,884
Buildings	2,055,675	2,055,675
Pool facilities	1,368,997	1,368,997
Vehicles	561,508	583,159
Equipment	953,824	953,824
Street and other improvements	3,785,364	3,785,364
Park improvements	2,974,939	2,974,939
Construction in progress	849,936	233,340
Total governmental funds capital assets	$ 22,224,377	$ 20,854,182
Investment in governmental funds capital aasets by source		
General fund	$ 9,942,283	$ 9,963,934
Special revenue funds	12,282,094	10,890,248
Total governmental funds capital assets	$ 22,224,377	$ 20,854,182

CITY OF CARPINTERIA
Schedule of Changes in Capital Assets Used In Operation of Governmental Funds
By Source
For the Fiscal Year Ended June 30, 2014

	Land and Improvements	Buildings and Pool Facilities	Vehicles and Equipment	CIP	Total Cost
Governmental funds capital assets, July 1, 2013	$ 15,659,187	$ 3,424,672	$ 1,536,983	$ 233,340	$ 20,854,182
Add:					
Expenditures from:					
General fund	-	-	-	-	-
Special revenue funds	775,250	-	-	616,596	1,391,846
Contributions	-	-	-	-	-
Deduct:					
Retirements	-	-	(21,651)	-	(21,651)
Governmental funds capital assets June 30, 2014	$ 16,434,437	$ 3,424,672	$ 1,515,332	$ 849,936	$ 22,224,377

CITY OF CARPINTERIA
Schedule of Capital Assets Used In Operation of Governmental Funds
By Function and Activity
June 30, 2014

Function and Activity	Construction in Progress	Land and Improvements	Buildings and Pool Facilities	Vehicles and Equipment	Total Cost
General government:					
General government buildings	$ -	$ 1,336,855	$ 365,077	$ 526,716	$ 2,228,648
Public safety	-	500,000	317,740	109,963	927,703
Parks and recreation	233,340	9,786,352	2,631,375	536,897	13,187,964
Public works	-	521,810	110,480	341,756	974,046
Infrastructure:					
Pedistrian bridges and walkways	-	2,192,811	-	-	2,192,811
Street systems	-	2,572,962	-	-	2,572,962
Sidewalk systems	-	140,243	-	-	140,243
Total general fixed assets	$ 233,340	$ 17,051,033	$ 3,424,672	$ 1,515,332	$ 22,224,377

Statistical Section

STATISTICAL SECTION

This part of the City of Carpinteria's' comprehensive annual financial report presents detailed information as a context for understanding what the information in the financial statements, note disclosures, and required supplementary information says about the city's overall financial health.

Financial Trends	**Schedules 1 -4**

These schedules contain trend information to help understand how the city's financial performance and well-being have changed over time.

Revenue Capacity	**Schedules 5-13**

These schedules contain information to help assess the city's most significant local revenue sources, which for the City is property taxes, sales taxes, and transient occupancy taxes.

Debt Capacity	**Schedules 14-18**

These schedules present information to help assess the afford ability of the city's current levels of outstanding debt and the city's ability to issue additional debt in the future.

Demographic and Economic Information	**Schedules 19-21**

These schedules offer demographic and economic indicators to help understand the environment within which the city's financial activities take place.

Operating Information	**Schedules 22-23**

These schedules contain service and infrastructure data to help understand how the information in the city's financial report relates to services the city provides and the activities it performs.

Schedule 1
City of Carpinteria
Net Position by Component
Last Ten Fiscal Years
(Accrual basis of accounting)

	2005	2006	2007	2008	2009	2010	2011	2013	2014
Governmental activities:									
Net investment in capital assets	$ 8,924,720	$ 9,224,163	$ 9,645,343	$ 10,403,137	$ 12,764,362	$ 14,278,785	$ 15,750,059	$ 16,089,180	$ 17,313,073
Restricted	5,805,978	6,265,203	6,328,293	6,498,990	6,387,940	8,621,089	5,509,149	7,935,418	7,478,132
Unrestricted	5,947,105	6,361,102	7,408,559	9,333,445	7,380,527	6,948,205	6,194,379	5,939,387	6,065,642
Total governmental activities net position	$ 20,677,803	$ 21,850,468	$ 23,382,195	$ 26,235,572	$ 26,532,829	$ 29,848,079	$ 27,453,587	$ 29,963,985	$ 30,856,847
Primary government (City wide totals)									
Net investment in capital assets	$ 8,924,720	$ 9,224,163	$ 9,645,343	$ 10,403,137	$ 12,764,362	$ 14,278,785	$ 15,750,059	$ 16,089,180	$ 17,313,073
Restricted	5,805,978	6,265,203	6,328,293	6,498,990	6,387,940	8,621,089	5,509,149	7,935,418	7,478,132
Unrestricted	5,947,105	6,361,102	7,408,559	9,333,445	7,380,527	6,948,205	6,194,379	5,939,387	6,065,642
Total primary government net position	$ 20,677,803	$ 21,850,468	$ 23,382,195	$ 26,235,572	$ 26,532,829	$ 29,848,079	$ 27,453,587	$ 29,963,985	$ 30,856,847

Note: The City implemented GASB Statement Number 34 in the 2003 fiscal year. The information in this schedule is therefore set forth prospectively from the year of implementation. The City reports no business-type activities.

Schedule 2
City of Carpinteria
Changes in Net Position, Last Ten Fiscal Years
(Accrual Basis of Accounting)

For The Fiscal Years Ended June 30

	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Expenses:										
Governmental activities:										
General government	$ 2,463,385	$ 2,735,676	$ 2,683,965	$ 2,986,529	$ 3,241,203	$ 3,723,162	$ 3,519,612	$ 3,397,245	$ 3,771,421	$ 3,643,689
Police	2,620,462	2,692,071	2,777,336	2,854,942	2,981,952	3,163,145	3,340,770	3,307,293	3,578,492	2,863,491
Public works	1,457,028	1,926,172	2,244,942	2,313,229	2,637,041	2,308,955	1,983,199	1,992,042	2,571,301	3,072,449
Recreation	1,365,141	1,473,951	1,783,154	1,481,315	2,216,869	1,588,448	1,548,443	1,283,076	1,691,338	1,653,131
Interest on long-term debt	84,015	77,776	73,751	66,664	63,568	60,517	51,822	48,031	42,115	35,681
Total governmental activities expenses	7,990,031	8,905,646	9,563,148	9,702,679	11,140,633	10,844,227	10,443,846	10,027,687	11,654,667	11,268,441
Total City government expenses	$ 7,990,031	$ 8,905,646	$ 9,563,148	$ 9,702,679	$ 11,140,633	$ 10,844,227	$ 10,443,846	$ 10,027,687	$ 11,654,667	$ 11,268,441
Program Revenues:										
Governmental activities:										
Charges for services:										
General government	$ 247,465	$ 435,688	$ 341,078	$ 402,927	$ 276,799	$ 319,336	$ 399,380	$ 1,026,840	$ 591,751	$ 407,630
Police protection	133,501	144,312	165,528	194,942	177,020	138,701	106,548	140,338	116,220	116,927
Public works	416,366	565,478	446,955	532,412	333,186	892,015	312,544	533,027	414,111	615,098
Parks and recreation	734,464	1,204,064	958,650	1,122,420	770,409	922,533	738,189	1,817,641	1,246,140	1,188,270
Operating grants and contributions	1,203,302	1,324,107	1,356,595	2,233,483	1,220,609	1,125,588	1,194,191	1,444,513	1,537,842	1,858,200
Capital grants and contributions	152,846	246,478	476,255	451,735	1,123,850	557,555	1,278,619	575,694	8,856	-
Total governmental activities program revenues	2,887,944	3,920,127	3,745,061	4,937,919	3,901,873	3,955,728	4,029,471	5,538,053	3,914,920	4,186,125
Total City government program revenues	$ 2,887,944	$ 3,920,127	$ 3,745,061	$ 4,937,919	$ 3,901,873	$ 3,955,728	$ 4,029,471	$ 5,538,053	$ 3,914,920	$ 4,186,125

Continued)

Schedule 2 -Continued
City of Carpinteria
Changes in Net Position, Last Ten Fiscal Years
(Accrual Basis of Accounting)

For The Fiscal Years Ended June 30

	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Net(Expense)Revenue:										
Governmental activities	$ (5,102,087)	$ (4,985,519)	$ (5,818,087)	$ (4,764,760)	$ (7,238,760)	$ (6,888,499)	$ (6,414,375)	$ (4,489,634)	$ (7,739,747)	$ (7,082,316)
Total City government	$ (5,102,087)	$ (4,985,519)	$ (5,818,087)	$ (4,764,760)	$ (7,238,760)	$ (6,888,499)	$ (6,414,375)	$ (4,489,634)	$ (7,739,747)	$ (7,082,316)
General Revenues and Other Changes in in Net Position:										
Governmental activities:										
Taxes:										
Property taxes	$ 1,122,348	$ 2,260,191	$ 2,400,098	$ 2,553,681	$ 2,598,405	$ 2,617,817	$ 2,581,797	$ 2,619,423	$ 2,875,344	$ 2,861,510
Sales taxes	1,321,802	1,309,958	1,492,933	1,700,449	1,951,187	1,886,345	1,610,860	1,860,725	1,739,414	1,900,971
Other taxes	2,185,979	2,242,098	2,514,825	2,470,860	2,345,848	2,319,130	2,415,064	2,620,476	2,742,122	3,100,288
Miscellaneous Motor vehicle in lieu fees not restricted to a specific program	1,194,601	98,194	112,933	86,361	68,611	81,180	50,588	61,261	33,299	-
Other general revenues			202,825	21,182	23,031	182,229	14,889	14,039	7,907	41,386
Investment earnings	197,513	247,743	565,497	781,441	548,935	291,497	172,236	108,605	57,164	71,023
Total governmental activities	6,022,243	6,158,184	7,289,111	7,613,974	7,536,017	7,378,198	6,845,434	7,284,529	7,455,250	7,975,178
Total City government	$ 6,022,243	$ 6,158,184	$ 7,289,111	$ 7,613,974	$ 7,536,017	$ 7,378,198	$ 6,845,434	$ 7,284,529	$ 7,455,250	$ 7,975,178
Change in net position:										
Governmental activities	$ 920,156	$ 1,172,665	$ 1,471,024	$ 2,849,214	$ 297,257	$ 489,699	$ 431,059	$ 2,794,895	$ (284,497)	$ 892,862
Total City government	$ 920,156	$ 1,172,665	$ 1,471,024	$ 2,849,214	$ 297,257	$ 489,699	$ 431,059	$ 2,794,895	$ (284,497)	$ 892,862

Note: In fiscal 2005 Motor Vehicle in Lieu of Property Taxes was classified as Motor Vehicle in Lieu, and in 2006 was reported as part of Property Taxes as it was in lieu of Property Taxes.

Schedule 3
City of Carpinteria
Fund Balances, Governmental Funds
Last Ten Fiscal Years

Fiscal Year	General Fund: Nonspendable	Restricted	Committed	Unassigned	Total	All Other Governmental Funds: Nonspendable	Restricted	Committed	Unassigned	Total
2014	$ 4,167	$ 324,734	$6,091,164	$ 1,538,606	$ 7,958,671	$ 15,887	$ 7,198,891	$ 42,915	$ -	$ 7,257,693
2013	$ 205,646	$ 324,734	$5,737,366	$ 1,362,455	$ 7,630,201	$ 19,766	$ 7,609,684	$ 43,287	$ -	$ 7,672,737
2012	$ 4,167	$ 324,734	$1,924,447	$ 5,596,686	$ 7,850,034	$ 13,212	$ 7,549,043	$ -	$ -	$ 7,562,255
2011	$ 173,152	$ 324,734	$1,856,800	$ 4,805,990	$ 7,160,676	$ 9,978	$ 5,184,415	$ -	$ -	$ 5,194,393

Fiscal Year	Reserved	Unreserved	Total General Fund	Reserved	Unreserved, reported in Special Revenue	Capital Projects	Total
2010	$ 2,379	$ 7,604,958	$7,607,337	$ 23,937	$ 3,143,733	$ 2,276,455	$ 5,444,125
2009	$ 4,758	$ 7,726,393	$7,731,151	$ 25,353	$ 2,764,316	$ 3,237,142	$ 6,026,811
2008	$ 173,792	$ 8,841,682	$9,015,474	$ 25,353	$ 3,081,517	$ 3,534,857	$ 6,641,727
2007	$ 406,524	$ 7,468,271	$7,874,795	$ 25,447	$ 2,483,455	$ 3,166,885	$ 5,675,787
2006	$ 16,922	$ 6,803,201	$6,820,123	$ 31,012	$ 2,629,076	$ 3,151,960	$ 5,812,048
2005	$ 137,563	$ 5,844,281	$5,981,844	$ 32,489	$ 2,672,888	$ 2,498,945	$ 5,204,322

Note: The City implemeted GASB statement Number 34 in the 2003 fiscal year. The information in this schedule is therefore set forth prospectively from the year of implementation.

Schedule 4
City of Carpinteria
Changes in Fund Balances, Governmental Funds
Last Ten Fiscal Years

(Modified acrual basis of accounting)

	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Revenues:										
Taxes	$ 4,623,795	$ 5,805,562	$ 6,382,499	$ 6,724,990	$ 6,895,440	$ 6,823,292	$ 6,607,721	$ 7,100,657	$ 7,348,781	$7,862,769
Licenses and permits	117,811	191,172	102,283	97,519	81,981	72,302	123,567	157,988	157,406	109,909
Fines and forfeits	118,245	126,355	163,913	193,528	175,732	137,910	106,243	105,079	116,099	116,705
Charges for services	789,223	1,469,872	1,162,487	1,461,187	705,059	1,425,239	804,591	2,741,309	1,558,431	1,600,991
Special assessments	232,692	224,866	220,472	221,372	222,177	224,681	222,168	222,636	227,055	221,724
Intergovernmental	2,499,367	1,628,585	1,703,480	2,549,370	2,256,250	1,725,304	2,253,103	2,053,664	1,521,800	2,274,809
Investment earnings	268,038	310,357	737,683	942,019	674,935	373,368	242,615	158,746	101,954	96,005
Other revenues	261,014	299,042	387,245	333,408	348,815	551,830	514,897	282,503	338,644	279,974
Total revenues	8,910,185	10,055,811	10,860,062	12,523,393	11,360,389	11,333,926	10,874,905	12,822,582	11,370,170	12,562,886
Expenditures:										
General government	2,367,740	2,598,384	2,635,513	2,825,720	3,057,446	3,381,989	3,149,117	2,987,938	3,288,772	3,244,566
Public safety	2,610,374	2,692,071	2,717,000	2,812,193	2,970,475	3,151,668	3,263,648	3,222,610	3,415,221	3,445,409
Parks	1,125,229	1,267,808	1,316,353	1,269,500	1,342,264	1,481,804	1,376,443	1,230,178	1,326,966	1,153,380
Planning and public works	1,494,523	2,183,772	2,684,899	2,884,514	2,810,131	2,432,332	1,357,768	1,434,091	1,878,396	1,904,318
Recreation										
Capital outlay	323,360	415,051	479,009	450,296	2,276,037	1,417,116	2,248,976	715,597	1,390,970	2,718,834
Intergovernmental					633,185	-	-	-	-	-
Debt service:										
Payment to escrow agent										
Costs of issuance										
Principal	131,032	123,154	100,000	105,000	105,000	115,000	120,000	125,000	135,000	145,000
Interest	85,281	79,143	73,751	69,551	65,090	60,517	55,346	49,948	44,196	37,953
Total expenditures	8,137,539	9,359,383	10,006,525	10,416,774	13,259,628	12,040,426	11,571,298	9,765,362	11,479,521	12,649,460
Excess of revenues over(under) expenditures	772,646	696,428	853,537	2,106,619	(1,899,239)	(706,500)	(696,393)	3,057,220	(109,351)	(86,574)
Other Financing Sources(Uses)										
Proceeds from borrowing										
Payments to escrow agent										
Transfers in	1,154,090	1,359,437	1,341,265	1,261,917	1,547,951	1,939,510	2,891,794	1,482,586	1,591,130	1,699,086
Transfers out	(1,154,090)	(1,359,437)	(1,341,265)	(1,261,917)	(1,547,951)	(1,939,510)	(2,891,794)	(1,482,586)	(1,591,130)	(1,699,086)
Total other financing sources(uses)	-	-	-	-	-	-	-	-	-	-
Net change in fund balances	$ 772,646	$ 696,428	$ 853,537	$ 2,106,619	$ (1,899,239)	$ (706,500)	$ (696,393)	$ 3,057,220	$ (109,351)	$ (86,574)
Debt service as a percentage of noncapital expenditures	2.82%	2.37%	1.92%	1.91%	1.96%	1.94%	2.27%	1.99%	1.82%	1.89%

Schedule 5
City of Carpinteria
Assessed Value and Estimated Actual Value of Taxable Property
Last Ten Fiscal Years

Fiscal Year	Assessed Taxable Values: Secured	Unsecured	Totals	Total Direct Tax Rate	Estimated Actual Taxable Value	Assessed Value as a Percentage of Actual Value
2004-2005	1,209,522,775	91,402,042	1,300,924,817	1.00%	3,154,381,283	41.24%
2005-2006	1,336,496,290	88,906,389	1,425,402,679	1.00%	3,468,249,852	41.10%
2006-2007	1,446,021,352	87,596,534	1,533,617,886	1.00%	3,740,191,286	41.00%
2007-2008	1,525,486,287	84,044,973	1,609,531,260	1.00%	3,933,779,965	40.92%
2008-2009	1,595,744,659	92,047,309	1,687,791,968	1.00%	4,120,857,803	40.96%
2009-2010	1,624,592,897	96,402,743	1,720,995,640	1.00%	4,199,200,447	40.98%
2010-2011	1,611,435,112	98,273,571	1,709,708,683	1.00%	4,168,978,596	41.01%
2011-2012	1,648,224,902	102,081,036	1,750,305,938	1.00%	4,266,392,306	41.03%
2012-2013	1,649,207,464	106,715,505	1,755,922,969	1.00%	4,275,469,381	41.07%
2013-2014	1726261900	112576204	1,838,838,104	1.00%	4,476,477,899	41.08%

Notes:

1. 1 Property in Santa Barbara County is assessed at market value in the year in which the property is exchanged pursuant to a sale. In years thereafter, the assessed value is increased by one percent as required by state law. Based upon the frequency of property exchanges, assessed value is estimated to be about 40 percent of actual value for real property and about 70 percent for personal property. Estimated actual value is calculated by dividing assessed value by those percentages. Tax rates are per $100 of assessed values.

Source: Santa Barbara County Assessors Office

Schedule 6
City of Carpinteria
Direct and Overlapping Property Tax Rates
For The Last Ten Fiscal Years
(Rates per $100 of assessed value)

	City Direct Rate			Overlapping Rates	
Fiscal Year	Basic Rate	General Obligation Debt Rate	Total	School Districts	Special Distriicts
2004-2005	1.00%	0.00%	1.00%	0.00662%	0.00%
2005-2006	1.00%	0.00%	1.00%	0.00675%	0.00%
2006-2007	1.00%	0.00%	1.00%	0.00645%	0.00%
2007-2008	1.00%	0.00%	1.00%	0.00635%	0.00%
2008-2009	1.00%	0.00%	1.00%	0.00642%	0.00%
2009-2010	1.00%	0.00%	1.00%	0.00642%	0.00%
2010-2011	1.00%	0.00%	1.00%	0.00653%	0.00%
2011-2012	1.00%	0.00%	1.00%	0.00635%	0.00%
2012-2013	1.00%	0.00%	1.00%	0.00635%	0.00%
2013-2014	1.00%	0.00%	1.00%	0.00635%	0.00%

Note: The City's direct property tax rates can only be changed with specific voter approval

Schedule 7
City of Carpinteria
Principal Property Tax Payers - Top Ten Payers
Last Ten Fiscal Years

2014

Taxpayer	Taxable Assessed Value	Rank	Percentage of Total City Taxable Assessed Value
VENOCO, INC	$20,303,866	1	1.10%
G6 HOSPITALITY PROPERTY	$17,310,817	2	0.94%
6267 CARP AVE LLC	$15,775,000	3	0.86%
BEGA/US , INC	$15,267,826	4	0.83%
CARP ONE LLC	$14,995,187	5	0.82%
SCHAFF, VICTOR WILLIAM &	$14,632,975	6	0.80%
CARP TWO LLC	$14,357,773	7	0.78%
PORTER, ALAN R	$14,041,953	8	0.76%
BBH HOLDINGS, LLC (CA)	$13,166,152	9	0.72%
SCHAFF, VICTOR WILLIAM	$11,990,150	10	0.65%
Total	$ 151,861,699		8.26%

2013

Taxpayer	Taxable Assessed Value	Rank	Percentage of Total City Taxable Assessed Value
VENOCO, INC	$19,830,590	1	1.13%
G6 HOSPITALITY PROPERTY	$16,871,922	2	0.96%
6267 CARP AVE LLC	$16,090,823	3	0.92%
BEGA/US , INC	$14,968,459	4	0.85%
CARP ONE LLC	$14,701,164	5	0.84%
CARP TWO LLC	$14,076,249	6	0.80%
SCHAFF, VICTOR WILLIAM &	$13,711,894	7	0.78%
CARPI, LLC	$12,302,936	8	0.70%
HMBL, LLC	$11,615,379	9	0.66%
SHEPARD PLACE LTD	$11,359,975	10	0.65%
Total	$ 145,529,391		8.29%

2012

Taxpayer	Taxable Assessed Value	Rank	Percentage of Total City Taxable Assessed Value
VENOCO, INC	$19,830,590	1	1.16%
6267 CARPINTERIA AVENUE	$16,090,823	2	0.94%
BEGA/US , INC	$14,968,459	3	0.88%
CARP ONE LLC	$14,701,164	4	0.86%
RESCAL LAGUNITAS 73, LLC	$14,438,834	5	0.84%
CARP TWO LLC	$14,076,249	6	0.82%
SCHAFF, VICTOR WILLIAM &	$13,711,894	7	0.80%
CARPI, LLC	$12,302,936	8	0.72%
HMBL, LLC	$11,615,379	9	0.68%
SHEPARD PLACE LTD	$11,359,975	10	0.66%
Total	$ 143,096,303		8.37%

2011

Taxpayer	Taxable Assessed Value	Rank	Percentage of Total City Taxable Assessed Value
VENOCO, INC	$18,928,984	1	1.11%
6267 CARPINTERIA AVENUE, L	$15,657,419	2	0.92%
CARP ONE LLC	$14,305,189	3	0.84%
CARP TWO LLC	$13,697,107	4	0.80%
SCHAFF, VICTOR WILLIAM & S	$13,180,532	5	0.77%
4646 CARPAV, LLC (CA)	$12,550,002	6	0.73%
CARPI, LLC	$11,971,558	7	0.70%
HMBL, LLC	$11,331,611	8	0.66%
SHEPARD PLACE LTD	$11,046,812	9	0.65%
GANTENBRINK-ROUTH PARTI	$9,801,167	10	0.57%
Total	$ 132,470,381		7.75%

2010

Taxpayer	Taxable Assessed Value	Rank	Percentage of Total City Taxable Assessed Value
VENOCO, INC	$19,157,770	1	1.11%
4646 CARPAV, LLC (CA)	$16,372,756	2	0.95%
6267 CARPINTERIA AVENUE,	$15,694,616	3	0.91%
CARP ONE LLC	$14,339,174	4	0.83%
CARP TWO LLC	$13,725,638	5	0.80%
SCHAFF, VICTOR WILLIAM &	$13,211,851	6	0.77%
CARPI, LLC	$12,000,000	7	0.70%
HMBL, LLC	$11,347,948	8	0.66%
SHEPARD PLACE LTD	$11,072,881	9	0.64%
GANTENBRINK-ROUTH PAR	$9,824,454	10	0.57%
Total	$ 136,747,088		7.95%

2009

Taxpayer	Taxable Assessed Value	Rank	Percentage of Total City Taxable Assessed Value
VENOCO, INC	$ 17,636,306	1	1.04%
6267 CARPINTERIA AVENUE, L	15,343,644	2	0.91%
CARP ONE LLC	14,058,015	3	0.83%
NARANG HOLDING GROUP LL	13,567,564	4	0.80%
CARP TWO LLC	13,456,509	5	0.80%
SCHAFF, VICTOR WILLIAM & S	12,920,202	6	0.77%
CAPINTERIA PARTNERS LIMIT	12,000,000	7	0.71%
HMBL, LLC	11,146,616	8	0.66%
CARPI, LLC	10,866,769	9	0.64%
SHEPARD PLACE LTD	10,857,240	10	0.64%
Total	$ 131,852,865		7.81%

2008

Taxpayer	Taxable Assessed Value	Rank	Percentage of Total City Taxable Assessed Value
CARPINTERIA BLUFFS, LLC	$ 17,483,815	1	1.14%
6267 CARPINTERIA AVENUE,	14,680,044	2	0.96%
CARP ONE LLC	13,782,368	3	0.90%
CARP TWO LLC	13,192,657	4	0.86%
SCHAFF, VICTOR WILLIAM &	12,666,870	5	0.83%
CALDWELL CHILD'S TRUST	12,646,060	6	0.82%
POINT CENTER FINANCIAL I	11,964,600	7	0.78%
PORTER, ALAN R	11,184,300	8	0.73%
HMBL, LLC	10,949,233	9	0.71%
SHEPARD PLACE LTD	10,645,827	10	0.69%
Total	$ 129,195,774		8.42%

2007

Taxpayer	Taxable Assessed Value	Rank	Percentage of Total City Taxable Assessed Value
CARPINTERIA BLUFFS, LLC	$ 17,333,262	1	1.13%
6267 CARPINTERIA AVENUE, L	14,392,200	2	0.94%
CARP ONE LLC	13,496,326	3	0.88%
CARP TWO LLC	12,933,978	4	0.84%
SCHAFF, VICTOR WILLIAM & S	12,416,500	5	0.81%
SUMMERWIND AT THE BLUFF	11,730,000	6	0.76%
PORTER, ALAN R	10,965,000	7	0.71%
INTERNATIONAL AIRPORT HO	10,755,720	8	0.70%
SHEPARD PLACE LTD	10,435,619	9	0.68%
GANTENBRINK-ROUTH PARTI	9,187,606	10	0.60%
Total	$ 123,648,211		8.06%

2006

Taxpayer	Taxable Assessed Value	Rank	Percentage of Total City Taxable Assessed Value
Venoco, Inc	$ 17,251,718	1	1.12%
6267 Carpinteria Avenue, LLC	14,110,000	2	0.92%
Carp One LLC	13,231,693	3	0.86%
Carp Two LLC	12,680,372	4	0.83%
Schaff, Victor William & Susan	12,175,000	5	0.79%
Summerwind At The Bluffs, Llc	11,500,000	6	0.75%
International Airport Hotel, Llc	10,566,000	7	0.69%
Shepard Place Ltd	10,232,474	8	0.67%
Porter, Alan R	9,566,589	9	0.62%
Gantenbrink-Routh Partnership	9,007,459	10	0.59%
Total	$ 120,321,305		7.84%

2005

Taxpayer	Taxable Assessed Value	Rank	Percentage of Total City Taxable Assessed Value
Venoco, Inc.	$ 15,121,249	1	1.16%
Carp One, LLC	12,972,249	2	1.00%
Carp Two, LLC	12,431,738	3	0.96%
International Airport Hotel, LLC	10,380,000	4	0.80%
Shepard Place Limited	10,033,311	5	0.77%
Carpinteria Bluffs Associates	9,623,192	6	0.74%
Meriko Tamaki Trust	9,379,011	7	0.72%
Gantenbrink-Routh Partnership	8,830,844	8	0.68%
Motel 6 Operating LTD	8,732,514	9	0.67%
Carpinteria Motor Inn LTD	8,587,093	10	0.66%
Total	$ 106,091,201		8.15%

Schedule 8
City of Carpinteria
Property Tax Levies and Collections
Last Ten Fiscal Years

Fiscal Year	Total Tax Levy for Fiscal Year	Collections within the Fiscal Year of the Levy		Collections in Subsequent Years	Total Collections To Date	
		Amount	Percentage of Levy		Amount Collected	Percentage of Levy
2004-05	1,141,651	1,128,108	98.8%	12,976	1,141,084	100.0%
2005-06	1,254,760	1,236,802	98.6%	17,143	1,253,945	99.9%
2006-07	1,347,338	1,319,038	97.9%	27,666	1,346,704	100.0%
2007-08	1,409,468	1,375,359	97.6%	33,148	1,408,507	99.9%
2008-09	1,474,151	1,432,437	97.2%	38,707	1,471,144	99.8%
2009-10	1,501,727	1,464,993	97.6%	32,168	1,497,161	99.7%
2010-11	1,488,310	1,462,922	98.3%	20,529	1,483,451	99.7%
2011-12	1,501,364	1,482,088	98.7%	14,589	1,496,677	99.7%
2012-13	1,536,286	1,520,728	99.0%	9,767	1,530,496	99.6%
2013-14	1,606,334	1,593,519	99.2%	n/a	1,593,519	99.2%

Source: Santa Barbara County Auditor-Controller's Office

Schedule 9
City of Capinteria
Revenue Base Concentration Data - Principal Sales Tax Generators By Industry
Last Ten Fiscal Years

2014

Industry	Retail Sales Generated	Rank	Percentage of Total City Retail Sales Dollars
Business & Industry	466,512	1	26.55%
Fuel and Service Stations	$ 465,935	2	26.52%
Restaurants and Hotels	310,102	3	17.65%
Food and Drugs	257,239	4	14.64%
Building and Construction	116,994	5	6.66%
General Consumer Goods	115,986	6	6.60%
Autos and Transportation	24,372	7	1.39%
Total	$1,757,140		100.00%

2013

Industry	Retail Sales Generated	Rank	Percentage of Total City Retail Sales Dollars
Fuel and Service Stations	$ 584,598	1	33.61%
Business & Industry	358,997	2	20.64%
Restaurants and Hotels	312,811	3	17.98%
Food and Drugs	250,783	4	14.42%
General Consumer Goods	122,179	5	7.02%
Building and Construction	88,215	6	5.07%
Autos and Transportation	21,832	7	1.26%
	-		
Total	$1,739,414		100.00%

2012

Industry	Retail Sales Generated	Rank	Percentage of Total City Retail Sales Dollars
Fuel and Service Stations	$ 562,285	1	32.00%
Business & Industry	368,999	2	21.00%
Restaurants and Hotels	316,285	3	18.00%
Food and Drugs	281,142	4	16.00%
General Consumer Goods	105,428	5	6.00%
Building and Construction	87,857	6	5.00%
Autos and Transportation	35,143	7	2.00%
Total	$1,860,725		100.00%

2011

Industry	Retail Sales Generated	Rank	Percentage of Total City Retail Sales Dollars
Fuel and Service Stations	$ 439,945	1	27.31%
General Consumer Goods	321,411	2	19.95%
Restaurants and Hotels	293,273	3	18.21%
Food and Drugs	241,850	4	15.01%
Business & Industry	190,333	5	11.82%
Building and Construction	97,190	6	6.03%
Autos and Transportation	26,858	7	1.67%
Total	$1,610,860		100.00%

2010

Industry	Retail Sales Generated	Rank	Percentage of Total City Retail Sales Dollars
Business and Industry	$ 546,412	1	28.96%
Fuel and Service Stations	389,290	2	20.64%
Restaurants and Hotels	350,225	3	18.56%
Food and Drugs	269,723	4	14.30%
Building and Construction	156,977	5	8.32%
General Consumer Goods	142,833	6	7.57%
Autos and Transportation	31,085	7	1.65%
Total	$1,886,545		100.00%

2009

Industry	Retail Sales Generated	Rank	Percentage of Total City Retail Sales Dollars
Business and Industry	$ 803,784	1	44.78%
Fuel and Service Stations	290,359	2	16.18%
Food and Drugs	232,686	3	12.96%
Restaurants and Hotels	239,907	4	13.37%
General Consumer Goods	122,619	5	6.83%
Building and Construction	59,646	6	3.32%
Autos and Transportation	45,900	7	2.56%
Total	$1,794,901		100.00%

2008

Industry	Retail Sales Generated	Rank	Percentage of Total City Retail Sales Dollars
Business and Industry	$ 611,920	1	35.99%
Fuel and Service Stations	271,260	2	15.95%
Food and Drugs	265,740	3	15.63%
Restaurants and Hotels	260,670	4	15.33%
General Consumer Goods	137,530	5	8.09%
Building and Construction	109,650	6	6.45%
Autos and Transportation	43,680	7	2.57%
Total	$1,700,450		100.00%

2007

Industry	Retail Sales Generated	Rank	Percentage of Total City Retail Sales Dollars
All Other Outlets	$ 523,000	1	34.67%
Other Retail Stores	237,000	2	15.71%
Eating and Drinking Places	227,000	3	15.05%
Service Stations	241,000	4	15.98%
Building Materials	137,000	5	9.08%
Food Stores	84,000	6	5.57%
Auto Dealers and Supplies	44,000	7	2.92%
Apparel Stores	12,390	8	0.82%
General Merchandise	2,910	9	0.19%
Total	$1,508,300		100.00%

2006

Industry	Retail Sales Generated	Rank	Percentage of Total City Retail Sales Dollars
All Other Outlets	$ 470,782	1	35.62%
Other Retail Stores	183,980	2	13.92%
Eating and Drinking Places	173,520	3	13.13%
Service Stations	173,170	4	13.10%
Building Materials	161,610	5	12.23%
Food Stores	127,400	6	9.64%
Auto Dealers and Supplies	16,040	7	1.21%
Apparel Stores	12,390	8	0.94%
General Merchandise	2,910	9	0.22%
Total	$1,321,802		100.00%

2005

Industry	Retail Sales Generated	Rank	Percentage of Total City Retail Sales Dollars
All Other Outlets	$ 430,644	1	35.03%
Other Retail Stores	177,580	2	14.45%
Eating and Drinking Places	175,020	3	14.24%
Service Stations	155,860	4	12.68%
Building Materials	146,180	5	12.05%
Food Stores	112,460	6	9.15%
Auto Dealers and Supplies	15,200	7	1.24%
Apparel Stores	11,190	8	0.91%
General Merchandise	3,160	9	0.26%
Total	$1,229,294		100.00%

Schedule 10
City of Carpinteria
Sales Tax Revenue Base Data
Last Ten Fiscal Years

Fiscal Year	Revenue Base City-Wide Retail Sales Subject to Tax	Total Retail Sales Tax Rate	City Direct Tax Rate	Revenue Recognized By the City
2005	132,180,200	7.75%	1.00%	1,321,802
2006	130,995,800	7.75%	1.00%	1,309,958
2007	149,293,300	7.75%	1.00%	1,492,933
2008	170,045,000	7.75%	1.00%	1,700,450
2009	195,118,700	8.25%	1.00%	1,951,187
2010	188,634,500	8.75%	1.00%	1,886,345
2011	161,086,000	7.75%	1.00%	1,610,860
2012	186,072,500	8.00%	1.00%	1,860,725
2013	173,941,400	8.00%	1.00%	1,739,414
2014	190,097,100	8.00%	1.00%	1,900,971

Note: The City's direct retail sales tax rate is established pursuant to the City's Minicipal Code. Any increase in the City's direct tax rate requires voter approval

Schedule 11
City of Carpinteria
Transient Occupany Tax Revenue Base Data
Last Ten Fiscal Years

Fiscal Year	Revenue Base Room Revenues Subject to Tax	City Direct Tax Rate	Revenue Recognized By the City
2005	11,778,600	10.00%	1,177,860
2006	12,438,520	10.00%	1,243,852
2007	14,527,090	10.00%	1,452,709
2008	14,150,310	10.00%	1,415,031
2009	13,269,290	10.00%	1,326,929
2010	12,624,320	10.00%	1,262,432
2011	13,060,330	10.00%	1,306,033
2012	15,552,570	10.00%	1,555,257
2013	13,595,233	12.00%	1,631,428
2014	16,029,483	12.00%	1,923,538

Schedule 12
City Of carpinteria
Transient Occupancy Tax- Principal Payers and Other Data
Last Ten Fiscal Years

			Transient Occupancy Tax Revenues In Dollars	
			Concentration By Hotel Size Based on Number of Rooms	
Fiscal Year	City-Wide Occupany Rate	Average Daily Room Rates	Number Hotels 50 or More Rooms	Number Hotels under 50 Rooms
			4	15
2005	N/A	N/A	$ 979,461.00	$ 198,399.00
			4	15
2006	N/A	N/A	$ 952,508.00	$ 291,344.00
			4	15
2007	N/A	N/A	$ 1,172,699.00	$ 280,010.00
			4	15
2008	N/A	N/A	$ 1,096,090.00	$ 318,941.00
			4	15
2009	N/A	N/A	$ 1,126,937.94	$ 213,125.73
			4	15
2010	N/A	N/A	$ 1,069,209.00	$ 193,223.00
			4	15
2011	N/A	N/A	$ 1,075,964.00	$ 230,069.00
			4	15
2012	N/A	N/A	$ 1,152,472.00	$ 269,365.00
			4	15
2013	N/A	N/A	$ 1,234,420.21	$ 397,007.79
			4	15
2014	N/A	N/A	$ 1,322,195.48	$ 578,775.52

Schedule 13
City of Carpinteria
Principal Transient OccupancyTax Payers - Top Ten
Last Ten Fiscal Years
(In Alphbetical Order)

Fiscal Years Ended June 30

2014	2013	2012	2011
BEST WESTERN CARPINTERIA INN	ACCOR NORTH AMERICA	ACCOR NORTH AMERICA	ACCOR NORTH AMERICA
CARPINTERIA REAL ESTATE INC.	BEST WESTERN CARPINTERIA INN 332	BEST WESTERN CARPINTERIA INN 332	BEST WESTERN CARPINTERIA INN 332
G6 HOSPITALITY LLC	HMBL, LLC (DBA HOLIDAY INN EXPRESS	HMBL, LLC (DBA HOLIDAY INN EXPRESS	HMBL, LLC (DBA HOLIDAY INN EXPRESS
HMBL, LLC DBA HOLIDAY INN EXPRESS HOTEL	VENTURA LODGE LLC / SANDYLAND REEF INN	VENTURA LODGE LLC / SANDYLAND REEF INN	VENTURA LODGE LLC / SANDYLAND REEF INN
MURPHYKING REAL ESTATE	CARPINTERIA SHORES	CARPINTERIA SHORES	CARPINTERIA SHORES
PLAYA BEACH LODGING	SOLIMAR SANDS	SOLIMAR SANDS	SOLIMAR SANDS
SANDYLAND RD ASSOC DBA CARPINTERIA SHORES	CARPINTERIA REAL ESTATE, INC.	CARPINTERIA REAL ESTATE, INC.	CARPINTERIA REAL ESTATE, INC.
SOLIMAR-SANDS	SUNSET SHORES CONDOMINIUM	SUNSET SHORES CONDOMINIUM	SUNSET SHORES CONDOMINIUM
SUNSET SHORES CONDOMINIUM	MURPHYKING REAL ESTATE	MURPHYKING REAL ESTATE	MURPHYKING REAL ESTATE
VENTURA LODGE LLC / SANDYLAND REEF INN	SANDYLAND REEF INN	SANDYLAND REEF INN	SANDYLAND REEF INN

Fiscal Years Ended June 30

2010	2009	2008	2007
ACCOR NORTH AMERICA	ACCOR NORTH AMERICA	ACCOR NORTH AMERICA	ACCOR NORTH AMERICA
BEST WESTERN CARPINTERIA INN 332	BEST WESTERN CARPINTERIA INN 332	BEST WESTERN CARPINTERIA INN	BEST WESTERN
HMBL, LLC	BEST WESTERN INN 332	CARPINTERIA REAL ESATE, INC,	HOLIDAY INN EXPRESS
4975 SANDYLAND ROAD ASSOCIATION INC DBA CARPINTERIA SHORES RENTAL ACCOUNT #1	CARPINTERIA SHORES	CARPINTERIA SHORES	VENTURA LODGE LLC
BEST WESTERN INN 332	HMBL, LLC	HMBL, LLC	SUNSET SHORES CONDOMINIUM
BEST WESTERN INN	MURPHYKING REAL ESTATE	MURPHY KING REAL ESTATE	CARPINTERIA SHORES
VENTURA LODGE LLC / SANDYLAND REEF INN	PRUFROCK'S GARDEN INN	PRUFROCK'S GARDEN INN	SOLIMAR SANDS
SOLIMAR SANDS	SOLIMAR SANDS	SOLIMAR SANDS	PRUFROCK'S GARDEN INN B&B
CARPINTERIA REAL ESTATE, INC.	SUNSET SHORES CONDOMINIUM	SUNSET SHORES CONDO	MURPHY-KING REAL ESTATE
SANDYLAND REEF INN	VENTURA LODGE LLC / SANDYLAND REEF INN	VENTURA LODGE LLC	CASA DEL SOL

Fiscal Year Ended June 30

2006	2005
ACCOR ECOMONY LODGING	ACCOR ECOMONY LODGING
BEST WESTERN	BEST WESTERN
CARPINTERIA SHORES	CARPINTERIA SHORES
CASA DEL SOL	CASA DEL SOL
EUGENIA MOTEL	EUGENIA MOTEL
HOLIDAY INN EXPRESS	HOLIDAY INN EXPRESS
PRUFROCK'S GARDEN INN	PRUFROCK'S GARDEN INN
REEF INN	REEF INN
SOLIMAR SANDS	SOLIMAR SANDS
SUNSET SHORES	SUNSET SHORES

Schedule 14
City of Carpinteria
Ratios of Outstanding Debt By Type
Last Ten Fiscal Years

	Governmental Activities						Business-type Activities			Total City Debt as	Total
Fiscal Year	General Obligation Bonds	Lease Revenue Bonds	Special Assessment Bonds	Reassessment Revenue Bonds	Certificates of Participation	Capital Lease Obligations	Capital Lease Obligations	State of Califonria and Other Loans	Total City Debt	Percentage of Personal Income	City Debt Per Capita
2005	-	-	-	-	1,680,000	23,154	-	-	1,703,154	0.31%	119
2006	-	-	-	-	1,580,000	-	-	-	1,580,000	0.29%	110
2007	-	-	-	-	1,480,000	-	-	-	1,480,000	0.27%	104
2008	-	-	-	-	1,375,000	-	-	-	1,375,000	0.25%	97
2009	-	-	-	-	1,270,000	-	-	-	1,270,000	0.23%	89
2010	-	-	-	-	1,155,000	-	-	-	1,155,000	0.21%	80
2011	-	-	-	-	1,035,000	-	-	-	1,035,000	0.19%	71
2012	-	-	-	-	910,000	-	-	-	910,000	0.18%	70
2013	-	-	-	-	775,000	-	-	-	775,000	0.13%	59
2014	-	-	-	-	630,000	-	-	-	630,000	0.10%	47

Schedule 15
City of Carpinteria
Ratios of General Bonded Debt Outstanding
Last Ten Fiscal Years

	General Bonded Debt Outstanding				
Fiscal Year	General Obligation Bonds	Lease Revenue Bonds	Total	Percentage of Actual Taxable Value of Property	Per Capita
2005	-		-		-
2006	-		-		-
2007	-		-		-
2008	-		-		-
2009	-		-		-
2010	-		-		-
2011	-		-		-
2012	-		-		-
2013	-		-		-
2014					

The City did not have any General Bonded Debt during this period.

Schedule 16
City of Carpinteria
Diect and Overlapping Governmental Activities Debt (Unaudited)
As of June 30, 2014

Governmental Unit	Debt Outstanding	Estimated Percentage Applicable	Estimated Share of Overlapping Debt
Overlapping Debt:			
Carpinteria Unified School District	$ 18,725,000	40.589%	$ 7,600,290
Santa Barbara County Certificates of Participation	65,355,000	3.099%	2,025,351
Carpinteria Sanitary District General Fund Obligations	13,065,000	81.762%	10,682,205
TOTAL GROSS OVERLAPPING GENERAL FUND DEBT			$ 20,307,847
Less: Carpinteria Sanitary District General Fund Obligations (100% Self-supporting)			10,682,205
Total Net General Fund Overlapping Debt			$9,625,642
Direct Debt:			
City of Carpinteria Certificates of Participation	630,000	100.000%	630,000
Total Direct Debt			630,000
Total Overlapping and Direct Debt			$ 10,255,642

Methodology:

Overlapping governments are those that coincide, at least in part with the geographic boundaries of the City. This schedule estimates the portion of the overlapping debt of those overlapping governments that is borne by the property taxpayers of the City of Carpinteria. This process recognizes that, when considereing the government's ability to issue and repay long-term debt, the entire debt burden borne by the property taxpayers should be taken into account. However, this does not imply that every taxpayer is a resident, and therefore is responsible for repaying the debt, of each overlapping government.

Schedule 17
City of Carpinteria
Legal Debt Margin Information
Last Ten Fiscal Years

Fiscal Year	Debt Limit	Total Debt Applicable To Limit	Total Debt Applicable To Limit as Percentage of Debt Limit
2005	48,793,207	-	0%
2006	53,460,553	-	0%
2007	57,517,209	-	0%
2008	60,357,422	-	0%
2009	63,292,199	-	0%
2010	64,537,337	-	0%
2011	64,114,076	-	0%
2012	64,755,216	-	0%
2013	65,847,111	-	0%
2014	68,956,429	-	0%

Legal Debt Margin Calculation for Fiscal Year 2014

Assessed value	$ 1,838,838,104
Debt limit (3.75% of assessed value)	68,956,429
Less debt applicable to limit:	
General obligation bonds	-
Total debt applicable to limit	-
Legal debt margin	$ 68,956,429

The Government Code of the State of California provides for a legal debt limit of 15% of gross assessed valuation. However, this provision was enacted when assessed valuation was based upon 25% of market value. Effective with the 1981[82 fiscal year, each parcel is now assessed at 100% of market vale (as of the most recent change in ownership for that parcel). The computatins shown above reflect a conversion ad assessed valuation data for each fiscal year from the current full valuation perspective to the 25% level that was in effect at the time that the legal dobt margin was enacted by the State of California for local governments located with the state.

Schedule 18
City of Carpinteria
Pledged Revenue Coverage
Last Ten Fiscal Years

	Special Assessment Bonds				
	Special	Capital	Debt Service		
Fiscal Year	Assessment Collections	Impact Fees	Principal	Interest	Coverage
2005	-	-	-	-	-
2006	-	-	-	-	-
2007	-	-	-	-	-
2008	-	-	-	-	-
2009	-	-	-	-	-
2010	-	-	-	-	-
2011	-	-	-	-	-
2012	-	-	-	-	-
2013	-	-	-	-	-
2014	-	-	-	-	-

The City had no Pledged Revenues during this period.

Schedule 19
City of Carpinteria
Demographic and Economic Statistics
For The Last Ten Fiscal Years

Fiscal Year	Population	Personal Income	Per Capita Personal Income	Unemployment Rate
2004	14,364	468,266,400	32,600	3.40%
2005	14,340	491,862,000	34,300	4.00%
2006	14,172	511,609,200	36,100	3.50%
2007	14,123	543,735,500	38,500	3.80%
2008	14,271	589,392,300	41,300	5.20%
2009	14,409	595,091,700	41,300	5.20%
2010	14,528	600,006,400	41,300	5.20%
2011	14,103	442,650,861	31,387	5.80%
2012	13,076	422,376,600	32,302	8.20%
2013	13,099	589,455,000	45,000	6.30%
2014	13,442	639,839,200	47,600	5.40%

Schedule 20
City of Carpinteria
Principal Employers (Ten Largest)
Last Eight Fiscal Years

Fiscal Year Ended June 30, 2014

Employer	Number Employees	Rank	Percentage of Total City Employment
Nusil Technology	415	1	2.66%
Carpinteria Unified School District	272	2	1.15%
DAKO Corporation	180	3	1.74%
Lynda.com	410	4	2.63%
AGIA Inc.	129	5	0.92%
Helix Medical Inc.	143	6	0.83%
TE Connectivity	130	7	0.63%
CKE (Carl Karcher Enterprises)	103	8	0.66%
Albertson's	99	9	0.00%
Plan Member Services	110	10	0.71%
Totals	1991		12.76%

Fiscal Year Ended June 30, 2013

Employer	Number Employees	Rank	Percentage of Total City Employment
Nusil Technology	391	1	2.51%
Carpinteria Unified School District	350	2	2.20%
DAKO Corporation	343	3	2.24%
Lynda.com	303	4	1.94%
AGIA Inc.	161	5	0.96%
Helix Medical Inc.	150	6	1.03%
TE Connectivity	130	7	0.65%
CKE (Carl Karcher Enterprises)	110	8	0.71%
Albertson's	101	9	0.00%
Plan Member Services	90	10	0.58%
Totals	2129		13.65%

Fiscal Year Ended June 30, 2012

Employer	Number Employees	Rank	Percentage of Total City Employment
Nusil Technology	354	1	2.27%
Carpinteria Unified School District	272	2	1.47%
DAKO Corporation	230	3	1.74%
Clipper Wind Power	200	4	1.28%
CKE Restaurants Inc.	170	5	1.01%
AGIA, Inc.	158	6	1.09%
Lynda.com	155	7	0.94%
Tyco Electronics	150	8	0.96%
Helix	146	9	0.00%
Plan Member Services	93	10	0.60%
Totals	1928		12.36%

Fiscal Year Ended June 30, 2011

Employer	Number Employees	Rank	Percentage of Total City Employment
Nusil Technology	354	1	2.27%
Carpinteria Unified School District	272	2	1.47%
DAKO Corporation	230	3	1.74%
Clipper Wind Power	200	4	1.28%
CKE Restaurants Inc.	170	5	1.01%
AGIA, Inc.	158	6	1.09%
Lynda.com	155	7	0.94%
Tyco Electronics	150	8	0.96%
Helix	146	9	0.00%
Plan Member Services	93	10	0.60%
Totals	1928		12.36%

Fiscal Year Ended June 30, 2010			
Employer	Number Employees	Rank	Percentage of Total City Employment
Nusil Technology	324	1	2.08%
DAKO Corporation	320	2	2.05%
Carpinteria Unified School District	305	3	1.96%
Clipper Wind Power	183	4	1.17%
AGIA, Inc.	170	5	1.09%
CKE Restaurants Inc.	165	6	1.06%
Helix	163	7	1.04%
Pacific Scientific	130	8	0.83%
Tyco Electronics	117	9	0.75%
Bega Lighting	102	10	0.65%
Totals	1979		12.69%

Fiscal Year Ended June 30, 2009			
Employer	Number Employees	Rank	Percentage of Total City Employment
Nusil Technology	324	1	2.08%
DAKO Corporation	320	2	2.05%
Carpinteria Unified School District	305	3	1.96%
Clipper Wind Power	183	4	1.17%
AGIA, Inc.	170	5	1.09%
CKE Restaurants Inc.	165	6	1.06%
Helix	163	7	1.04%
Pacific Scientific	130	8	0.83%
Tyco Electronics	117	9	0.75%
Bega Lighting	102	10	0.65%
Totals	1979		12.69%

Fiscal Year Ended June 30, 2008			
Employer	Number Employees	Rank	Percentage of Total City Employment
Carpinteria Unified School District	370	1	2.37%
DAKO Corporation	335	2	2.15%
Nusil Technology	330	3	2.12%
CKE Restaurants Inc.	180	4	1.15%
AGIA, Inc.	175	5	1.12%
Tyco Electronics	170	6	1.09%
Helix	160	7	1.03%
Clipper Wind Power	150	8	0.96%
Pacific Scientific	150	9	0.96%
Bega Lighting	113	10	0.72%
Totals	2133		13.67%

Fiscal Year Ended June 30, 2007			
Employer	Number Employees	Rank	Percentage of Total City Employment
Nusil Technology	316	1	2.03%
Carpinteria Unified School District	275	2	1.76%
DAKO Corporation	250	3	1.60%
Helix	165	4	1.06%
AGIA, Inc.	162	5	1.04%
CKE Restaurants Inc.	160	6	1.03%
Pacific Scientific	150	7	0.96%
Clipper Wind Power	150	8	0.96%
Tyco Electronics	110	9	0.71%
Bega Lighting	102	10	0.65%
Totals	1840		11.79%

Fiscal Year Ended June 30, 2006			
Employer	Number Employees	Rank	Percentage of Total City Employment
Carpinteria Unified School District	312	1	2.00%
DAKO Corporation	309	2	1.98%
Nusil Technology	300	3	1.92%
CKE Restaurants Inc.	200	4	1.28%
AGIA, Inc.	160	5	1.03%
Helix	155	6	0.99%
Pacific Scientific	155	7	0.99%
Kilovac	110	8	#REF!
Natures West	100	9	0.71%
Clipper Wind Power	90	10	0.58%
Totals	1891		12.12%

Schedule 21
City of Carpinteria
Full-Time Equivalent City Government Employees by Function/Program
Last Ten Fiscal Years

Function/Program	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
General government:										
City council	5	5	5	5	5	5	5	5	5	5
City clerk	1	1	1	1	1	2	2	2	2	2
City manager	3	3	3	3	3	4	4	4	4	4
Finance	2	2	2	2	2	2	2	2	2	2
Public safety:										
Police Contract	-	-	-	-	-	-	-	-	-	-
Planning:										
Planning	7	7	7	7	7	7	7	7	7	7
Building and safety	2	2	2	2	2	2	2	2	2	2
Public works:										
Roads and streets	7	7	7	7	7	7	7	9	9	9
Parks:										
Parks and recreation	12	12	12	12	12	12	12	12	12	12
Totals	39	39	39	39	39	41	41	43	43	43

Note: No full-time equivalent employees are shown for police because the City contracts with Santa Barbara County Sheriff's Department for such services.

Schedule 22
City of Carpinteria
Operating Indicators by Funcution/Program
Last Ten Fiscal years

	Fiscal Year									
Function/Program	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Police:										
Traffic violations	414	602	617	758	690	724	724	724		
Planning										
Building permits issued	254	267	301	248	205	206	207	262	276	237
Public works:										
Miles streets resurfaced	1.41	0.40	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Parks:										
Jr. Lifeguard Participants	163	162	165	160	185	185	120	120	190	213
Swimming pool admissions	39,100	37,400	35,500	33,696	31,984	31,000	31,000	31,000	32,883	33,091

Schedule 23
City of Carpinteria
Capital Asset Statistics by Funcution/Program
Last Ten Fiscal years

	Fiscal Year									
Function/Program	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Police:										
Stations	1	1	1	1	1	1	1	1	1	1
Patrol units	2	2	2	2	2	2	2	2	2	2
Public works:										
Miles of streets	33	33	33	33	33	33	33	33	33	33
Streetlights	630	630	630	630	630	630	630	630	630	631
Traffic signals	3	3	3	3	3	3	3	3	3	3
Parks:										
Community centers										
Parks	11	11	11	11	12	12	12	12	12	12
Park acerage	98	98	98	98	100	100	100	100	100	103

AGENDA SECTION OTHER BUSINESS
AGENDA ITEM # 10
REPORT # 15- 19

STAFF REPORT
CITY COUNCIL MEETING
January 26, 2015

ITEM FOR COUNCIL CONSIDERATION:

ADOPTION OF RESOLUTION NO. 5564 APPROVING A CHANGE IN THE PAYMENT AND REPORTING VALUE OF EMPLOYER PAID MEMBER CONTRIBUTIONS TO THE CALIFORNIA PUBLIC EMPLOYEES RETIREMENT SYSTEM.

Report prepared by: Arlene Balmadrid

Department:

Signature

Reviewed by:

City Manager *Signature*

STAFF RECOMMENDATION:

ACTION ITEM X; NON-ACTION ITEM ___

Adopt Resolution No. 5564, approving a change in the payment and reporting value of the employer paid member contributions to California Public Employees Retirement System.

MOTION: I move adoption of Resolution No. 5564, as read by title only, to approve a change in the payment and reporting value of the employer paid member contribution.

I. BACKGROUND:

At its special meeting on December 22, 2014, through adoption of Resolution No.5563, the City Council approved the Memorandum of Understanding between the City of Carpinteria and Service Employees International Union (SEIU) Local 620, representing employees in the general service and public works bargaining units. On January 26, 2015, the City Council approved the revised Conditions of Employment for Management and Miscellaneous Unrepresented employees.

The Conditions of Employment Agreements for all full time employees were also revised to be consistent with the represented employee's retirement contribution.

II. ANALYSIS/ DISCUSSION:

The Conditions of Employment for all full time employees provide the following changes on retirement contribution as follows:

- Additional employee cost sharing on the combined CalPERS employer and employee retirement contribution rates of 1.25% for the first year of the contract and another 1.25% effective July 1, 2015. Current employee cost sharing is 4.5%. With the additional 2.5% employee cost sharing, the total employee cost sharing contribution on the combined CalPERS employer and employee retirement contribution rate, will be 7% of the employees "compensation earnable" by July 1, 2015.
- Retirement contribution for City employees hired after January 1, 2013 and new members of CalPERS under PEPRA shall pay the full member contribution amount of 6.5% of "compensation earnable". New members will not contribute toward any portion of the required CalPERS employer contribution.
- Base wage adjustment of 2.75% effective the first pay period after the Memorandum of Understanding has been approved by the City Council.
- Base wage adjustment of 3% effective July 1, 2015.
- CPI Adjustment effective July 1, 2016 with a minimum increase of 1% and a maximum increase of 2%.

The attached resolution is required by CalPERS to change the City's EPMC.

III. FINANCIAL CONSIDERATIONS:

The changes in EPMC will be cost neutral to the ity.

IV. ATTACHMENTS:

A. Resolution No. 5564

RESOLUTION NO. 5564

A RESOLUTION OF THE CITY OF CARPINTERIA CITY COUNCIL APPROVING A CHANGE IN EMPLOYER PAID MEMBER CONTRIBUTIONS

WHEREAS, the City of Carpinteria has the authority to implement government Code Section 20691;

WHEREAS, the City of Carpinteria has a written labor policy or agreement which specifically provides for the normal member contributions to be paid by the employer;

WHEREAS, one of the steps in the procedures to implement Section 20691 is the adoption by the City of Carpinteria of a Resolution to commence said Employer Paid Member Contributions (EPMC);

WHEREAS, the City of Carpinteria has identified the following conditions for the purpose of its election to pay EPMC:

- This benefit shall apply to all Management and Unrepresented Miscellaneous Personnel and SEIU Local 620 Represented employees, General Service and Public Works bargaining units.
- This benefit shall consist of paying 5.75% of the normal member contributions as EPMC.
- The effective date of this Resolution shall be January 3, 2015.

NOW, THEREFORE, BE IT RESOLVED that the City of Carpinteria elects to pay EPMC, as set forth above.

PASSED, APPROVED AND ADOPTED this 26th day of January 2015, by the following called vote:

AYES: COUNCIL MEMBERs:
NOES: COUNCIL MEMBERs:
ABSENT: COUNCIL MEMERs:

____
Gregg Carty
Mayor, City of Carpinteria

ATTEST: ____________________
Fidela Garcia
City Clerk, City of Carpinteria

I hereby certify that the foregoing was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 12th day of January 2015.

Fidela Garcia
City Clerk, City of Carpinteria

Approved as to form:

Peter Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

AGENDA SECTION OTHER BUSINESS
AGENDA ITEM # 11
REPORT # 15-20

STAFF REPORT
CITY COUNCIL MEETING
January 26, 2015

ITEM FOR COUNCIL CONSIDERATION:

ADOPT RESOLUTIONS NOS. 5586, 5587 AND 5588 APPROVING REVISIONS TO THE CONDITIONS OF EMPLOYMENT FOR MANAGEMENT AND MISCELLANEOUS UNREPRESENTED PERSONNEL AND MAKING RELATED SALARY GRADE STRUCTURE ADJUSTMENTS FOR ALL FULL-TIME EMPLOYEES.

Report prepared by: Arlene Balmadrid ______ Signature

Department:

Reviewed by:

City Manager ______ Signature

STAFF RECOMMENDATION:

ACTION ITEM X; NON-ACTION ITEM ___

1) Adopt Resolution Nos. 5586 and 5587, approving the Conditions of Employment for Management and Miscellaneous Unrepresented Personnel.

2) Adopt Resolution No. 5588, approving adjustment to management and miscellaneous unrepresented employee salary ranges effective the first full pay period after January 3, 2015, and approve and adopt revisions to the Conditions of Employment for Management Personnel.

MOTION: I move approval of Resolution Nos. 5586, 5587 and 5588 as read by title only.

I. BACKGROUND:

At its Special meeting on December 22, 2014, through adoption of Resolution No.5563, the City Council approved the Memorandum of Understanding for December 23, 2014 through June 30, 2017 between the City of Carpinteria and Service Employees International Union (SEIU) Local 620, representing employees in the general service and public works bargaining units.

The SEIU MOU provided for an additional employee cost sharing on the combined CalPERS employer and employee retirement contribution rates of 1.25% beginning the pay period of January 3, 2015 and another 1.25% effective July 1, 2015. Current employee cost sharing is 4.5%. With the additional 2.5% employee cost sharing, the total employee cost sharing contribution on the combined CalPERS employer and employee retirement contribution rate, will be 7% of the employees "compensation earnable" by July 1, 2015.

It also provided for a base wage adjustment of 2.75% beginning the pay period of January 3, 2015, a base wage adjustment of 3% effective July 1, 2015 and a Cost of Living Adjustment effective July 1, 2016 with a minimum increase of 1% and a maximum increase of 2%.

II. ANALYSIS/ DISCUSSION:

The Conditions of Employment for all full time employees reflect the following changes:

- Additional employee cost sharing on the combined CalPERS employer and employee retirement contribution rates of 1.25% for the first year of the contract and another 1.25% effective July 1, 2015. Current employee cost sharing is 4.5%. With the additional 2.5% employee cost sharing, the total employee cost sharing contribution on the combined CalPERS employer and employee retirement contribution rate, will be 7% of the employees "compensation earnable" by July 1, 2015.
- A monthly health insurance cost sharing premium (employee and dependents) in the amount equal to .029% of the employees' annual salary, beginning the first pay period after January 1, 2016.
- Retirement contribution for City employees hired after January 1, 2013 and new members of CalPERS under PEPRA shall pay the full member contribution amount of 6.5% of "compensation earnable". New members will not contribute toward any portion of the required CalPERS employer contribution.
- Base wage adjustment of 2.75% effective the first pay period after the Memorandum of Understanding has been approved by the City Council.
- Various cleanup and clarification changes in the agreements.

III. POLICY:

The proposed Condition of Employment for Management and Miscellaneous Unrepresented Personnel is consistent with the City's interest in offering competitive compensation as a part of the Staff Recruitment, Retention and Development program, as well as its strategic initiative for controlling health insurance and retirement costs.

IV. FINANCIAL CONSIDERATIONS:

The table on the next page illustrates the costs associated with the Miscellaneous Unrepresented and Management Employee agreements, if implemented over the full three year planned salary adjustments of the agreement. The salary grade structure adjustment is proposed for the first year only. Future adjustments will be subject to financial conditions and budgeting with City Council approval.

Miscellaneous Unrepresented Personnel

	Total Current Bi-weekly Payroll	Year 1 2.75% Salary Increase with additional 1.25% EPMC Cost Sharing*	Year 2 3.00% Salary Increase with additional 1.25% EPMC Cost Sharing**	Year 3 (A) ***1% Increase	Year 3 (B) ***2% Increase
Base Pay	$18,810	$19,327	$19,907	$20,106	$20,305
PERS Gross Cost	3,808	3,992	3,956	3,995	4,035
Meditax	273	280	289	292	294
Translation Skills					
PERS Cost Sharing	(956)	(1,188)	(1,365)	(1,378)	(1,392)
Health Cost Sharing			(6)	(6)	(6)
Net Cost	21,935	22,412	22,781	23,009	23,236
Annual Net Cost	$570,320	$576,510	$592,303	$598,225	$604,148
Incremental Cost		6,190	15,793	5,923	11,845

Management Personnel

	Total Current Bi-weekly Payroll	2.75% Salary Increase with additional 1.25% EPMC Cost Sharing*	3.00% Salary Increase with additional 1.25% EPMC Cost Sharing**	***1% Increase	***2% Increase
Base Pay	$60,193	$61,848	$63,703	$64,340	$64,977
Special Pay	$1,301	$1,333	$1,369	$1,381	$1,394
PERS Gross Cost	13,394	13,607	13,855	13,993	14,132
Meditax	892	917	944	954	963
Translation Skills	40	40	40	40	40
PERS Cost Sharing	(2,774)	(3,581)	(4,442)	(4,486)	(4,531)
Health Cost Sharing			(18)	(19)	(19)
Net Cost	73,046	74,163	75,451	76,204	76,956
Annual Net Cost	$1,899,201	$1,913,713	$1,961,723	$1,981,294	$2,000,868
Incremental Cost		14,513	48,010	19,571	39,145

**** Effective Pay period beginning January 31, 2015***

***** Effective first pay period beginning in July 2015***

****** Effective first pay period beginning in July 2016***

V. ATTACHMENTS:

A. Resolution No. 5586
B. Resolution No. 5587
C. Resolution No. 5588

ATTACHMENT A

RESOLUTION NO. 5586

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL APPROVING AND ADOPTING REVISIONS TO THE CONDITIONS OF EMPLOYMENT FOR MANAGEMENT PERSONNEL

WHEREAS, the Carpinteria City Council recognizes that the conditions of employment for at-will management-level employees should be addressed separately from classified employees covered under the Memorandum of Understanding between the City of Carpinteria and the Service Employees International Union (SEIU) Local 620; and,

WHEREAS, this classification of employee includes Department Directors, and other management, mid-management and supervisory positions, the Carpinteria City Council wishes to update the Conditions of Employment and the Classification/Compensation Program for this classification to insure that the City remains competitive; and,

WHEREAS, said Conditions of Employment for Management Personnel has been recommended to the City Council for approval for the period beginning January 26, 2015 and ending June 30, 2017.

WHEREAS, it is the desire of the City Council to revise the conditions of employment for those employees classified as management personnel as attached.

NOW, THEREFORE, THE CARPINTERIA CITY COUNCIL HEREBY RESOLVES THAT the revised Conditions of Employment for Management Personnel, attached hereto as Exhibit A dated January 26, 2015, is hereby approved.

PASSED, APPROVED AND ADOPTED this 26th day of January, 2015 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing Resolution was duly and regularly introduced and adopted at a special meeting of the City Council of the City of Carpinteria held the 26th day of January, 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

EXHIBIT A

CITY OF CARPINTERIA
CONDITIONS OF EMPLOYMENT
FOR MANAGEMENT PERSONNEL

EFFECTIVE JANUARY 26, 2015

TABLE OF CONTENTS

CITY OF CARPINTERIA
CONDITIONS OF EMPLOYMENT FOR MANAGEMENT PERSONNEL

EFFECTIVE JANUARY 26, 2015

SECTION 1

CLASSIFICATION

Management for purposes of this Agreement, will include those employees in the following classifications: Management, Mid-Management and/or Supervisory

Position	**Salary Grade**
MANAGEMENT	
Community Development Director	19
Public Works Director/City Engineer	19
Administrative Services Director	18
Parks and Recreation Director	17
MID-MANAGEMENT/SUPERVISORY/PROFESSIONAL	
Civil Engineer	16
Senior Planner	14
Human Resources Administrator/Risk Manager	14
Assistant to the City Manager	14
City Clerk	14
Finance Supervisor	12
Public Works Supervisor	12
Building Inspector/Plan Check	12
Code Compliance Supervisor	11

1.1 EMPLOYER/EMPLOYEE RELATIONSHIP:

An employee in this classification is classified as a salaried, at-will employee and not hired for any specified term. Accordingly, the employee is free to resign from their position at any time, with or without cause, upon oral or written notice to the City Manager and, similarly, the City may end its employment relationship with the employee or change their status (i.e., modify the position, implement a demotion, adjust wages, etc.) at any time, with or without cause, upon oral or written notice to the employee. None of the provisions of the Conditions of Employment for Management Personnel are intended to modify this employment relationship.

1.2 CLASSIFICATION:

Employees serving in Management, Mid-management and Supervisory positions are exempt from the City's Personnel Rules. They are "at-will" employees, serving at the pleasure of the City Manager. By the administrative and/or technical nature of their positions, they have been determined to be exempt from the overtime requirements of the Federal Fair Labor Standards Act.

SECTION 2

WORK SCHEDULE

2.1 REPORTING:

Management employees are classified as salaried employees. All employees for the City of Carpinteria are required to report hours worked on a daily time sheet; however, as salary-status employees, tracking of hours for this category of employees is for internal use only and does not affect the salary of exempt employees.

2.2 FLEXTIME SCHEDULING:

Employees may have the option to participate in an alternate 9/80 work schedule based on a flexible 9 day/80 hour pay period (eight 9-hour days and one 8-hour day). Each employee electing to participate in flextime scheduling must select a Monday or Friday as their designated flex day off, the alternate Monday or Friday will be their 8-hour day. The daily work schedule will begin at 7:30 a.m. and end at 5:30 p.m.

Individuals may request flexible work schedules which meet their personal needs, however, the flex day selected is subject to the operational needs and requirements of the department as determined by the City Manager. Human Resources and Payroll must be advised, in writing, of the selected day and any change from the established flex day, must be requested in advance and approved by the Department Head and the City Manager.

The program will be evaluated on an on-going basis regarding personal performance standards, as well as monitoring staffing needs to ensure that the public is being appropriately served. Continuation of such schedules shall be subject to review and approval of the City Manager.

The City Manager reserves the right to remove any (or all) employees from flexible work schedules. To the extent possible, two weeks advance written notice will be provided to the employee. An employee shall not be removed from a flexible work schedule for arbitrary or capricious reasons.

2.3 COMPENSATORY HOURS:

Compensatory hours will not be granted for hours worked in excess of regularly scheduled hours for this category of employees, however, salary-status employees, although exempt from the overtime requirements of FLSA, are not prohibited from accruing non FLSA time for hours worked beyond their regularly scheduled work day. Tracking of accrued flex hours is for internal use only and does not affect the salary of an exempt employee.

Hours worked in excess of a regularly scheduled work day, i.e., eight 9- hour days and one 8-hour day in a pay period for employees on a 9/80 flex schedule, will be recorded on a computerized time sheet as accrued flex hours. For salary-status employees on a 10/80 schedule, hours worked in excess of an 8-hour day will be recorded as non FLSA "hours accrued". These hours do not have a cash-out value.

2.4 ABSENCES:

Absences of less than a full day, if the employee has worked a minimum of two hours and has approval of the City Manager to be absent, may be offset by non FLSA "flex hours accrued" and will not be subject to deductions from a leave bank account.

2.5 LEAVE:

Any absence of one day or more, for other than City business, will be appropriately recorded and deducted from the employee's leave bank. With prior approval from the City Manager, in addition to a regularly scheduled flex day, a management employee participating in the 9/80 alternate flextime scheduling may take one additional flex day off within a given pay period if there are sufficient accrued flex hours to cover the time.

2.6 CARRY OVER OF FLEXTIME HOURS:

A maximum of forty (40) flextime hours may be carried forward from one fiscal year to the next. Effective the first full pay period in July, adjustments on accrued flex hours will be made. Anything less than forty (40) hours and negative balances will be carried forward as they appear on the last full pay period in June.

SECTION 3

COMPENSATION AND BENEFITS

3.1 PAY STATUS:

An affected employee is considered to be in pay status and eligible for benefits under any of the following circumstances:

(1) While working regular hours
(2) While on authorized Leave Bank hours
(3) While on authorized Injured on Duty (IOD) status
(4) While on authorized jury duty

The City Manager may authorize coverage of benefits on an individual basis for employees on authorized leave without pay for a period of up to four (4) months when it is deemed appropriate.

3.2 METHOD OF PAYMENT:

Employees shall be paid on a bi-weekly basis and pay checks will be available on the Thursday afternoon following the close of the regular pay period unless holidays or circumstances beyond the control of the City occur in which case all necessary action will be taken to insure that individual pay checks are available with a minimum of delay.

Employees are encouraged to take advantage of direct deposit of payroll checks. Upon request, payroll checks may be directly deposited to an employee's checking or savings account.

3.3 BASE WAGE ADJUSTMENT:

Effective the first full pay period after July 1 the affected employees listed in Section 1, (Classification), shall have their base wages adjusted pursuant to the results of an annual performance based employee evaluation.

The review shall include a Performance Rating of one of five categories:

- Unacceptable
- Needs Improvement;
- Contributor;
- Valued Performer;
- High Performer.

The result of the Performance Rating shall be used by the City Manager to determine the appropriate range of increase to the base wage as illustrated in the Merit Increase Matrix. The exact increase within the range provided for in the Matrix shall be determined by the City Manager.

All wage increases are subject to availability of funds as authorized by City Council. Should fiscal constraints limit full funding of wage increases, all authorized base wage increases shall be reduced by an equal percentage.

For the first year, if an employee achieves a performance evaluation with a minimum result of "meets expectations or Contributor", the salary adjustment shall not be less than the percentage change equal to the highest positive rate of change March to March of the average Consumer Price Index (CPI) All Urban wage earners and clerical workers, as issued by the U.S. Department of Labor for the Los Angeles-Riverside-Orange County, CA area.

MERIT INCREASE MATRIX

		Position and Range		
		Lower Third Min to 90% of CP	Middle Third 90.1% of CP to CP	Upper Third CP to Maximum
Performance Rating	High Performer	11 ½ to 12 ½%	10 to 11%	9 ½ or to Range Max
	Valued Performer	8 ½ to 9 ½%	7 ½ to 8%	6 ½ to 7% or to Range Max
	Contributor	5 ½ to 6%	4 to 5%	0
	Needs Improvement	0	0	0
	Unacceptable	0	0	0

3.4 DEFERRED COMPENSATION PROGRAM:

The ICMA Deferred Compensation Program will be available to Management employees with participation on a voluntary basis.

3.5 STATE DISABILITY INSURANCE:

The City participates in the State Disability Insurance Program to provide coverage for non-industrial injuries. As a benefit, the City reimburses affected employees in this classification for payroll deductions made on base salary for State Disability Insurance.

3.6 SHORT-TERM/LONG TERM DISABILITY PROGRAM:

In addition to coverage under the State Disability Insurance Program, the City provides eligible full-time employees with additional short-term disability coverage to integrate with SDI for a weekly benefit of 60%
of covered earnings and a long-term disability program to provide a monthly benefit of 66 2/3% of covered earnings, such coverage to be at City expense. This program will be at the expense of the City.

3.7 FICA MEDICARE TAX:

All employees hired after April 1986 are subject to FICA Medicare taxes in accordance with Federal regulations. The City will reimburse the affected employees for payroll deductions made on base salary for FICA Medicare Tax.

3.8 SPECIAL PAY:

Pursuant to Section 3.6 and 3.8, reimbursement equal to the payroll deduction for the employee's annual cost for SDI and/or FICA Medicare tax will be pro-rated over the twenty-six (26) payroll periods and paid to the employee on the regular bi-weekly payroll check as “Special Pay”.

3.9 OUTSTANDING OBLIGATIONS UPON TERMINATION:

If any affected employee has any outstanding obligations due to the City, such as advance use of any benefits subject to pro-rata distribution based on the proportion of the fiscal year served or lost or damaged equipment, at the time of termination, the employee will be so notified and such amounts shall be deducted from the cash value of any accumulated or unused leave time or base wages prior to final payment of such amounts to the employee.

3.10 CAL PERS RETIREMENT:

The City provides retirement benefits through the California Public Retirement System pursuant to Section 7.

3.11 HEALTH, DENTAL AND WELLNESS PROGRAM:

The City provides health, dental and wellness benefits pursuant to Section 8.

SECTION 4

LEAVE REGULATIONS

4.1 ANNUAL LEAVE:

The purpose of annual leave is to enable each eligible employee annually to return to work mentally refreshed. All employees in the competitive service shall be entitled to annual leave with pay except the following:

(a) Employees who have served less than six (6) months in the service of the City. However, leave credits for the time will accrue for each such regular full-time employee.

(b) Employees who work on a provisional basis, all employees who are not employed in a regular classified position, and employees who work 1040 hours or less per year.

4.2. LEAVE TIME (Leave Bank):

In place of separate leave accrual for vacation, sick leave, paid administrative leave and floating holidays, each employee will accrue leave in a Leave Bank that will be inclusive of all such leave benefits (vacation, sick, floating holidays, paid administrative leave and approved paid personal leave).

4.3 LEAVE ACCRUAL:

Employees will accrue leave time based on years of service. Except as set forth in section 4.4, at the beginning of each fiscal year employees will receive a leave bank equal to his/her annual leave entitlement. The accrued leave time shall be subject to the following provision:

If employment with the City starts after July 1 or ends before June 30 of any fiscal year, accrued leave time will be prorated for the fiscal year in question. Upon termination of employment with the City, the cash value of any unused leave time in excess of that which would have been earned had it been granted on a pro-rated monthly basis and other outstanding obligations due the City shall be deducted from the final payment to the employee.

Accrued leave time can be taken off prior to the date of retirement and used to delay final date of employment if it is requested in writing and approved at least thirty (30) days prior to the scheduled date that such leave would begin.

Annual leave shall be accrued in accordance with the following established schedule:

4.4 LEAVE BANK SCHEDULE

(Days on leave bank schedule are figured as eight (8) hour days)

MANAGEMENT EMPLOYEES SERVING AS DEPARTMENT HEADS
(Accrual includes 48 hours of administrative leave)

Years of service	**Total Accrual**	
0 through three	192 hours	(24 days)
Four through six	208 hours	(26 days)
Seven through ten	232 hours	(29 days)
Eleven	240 hours	(30 days)
Twelve	248 hours	(31 days)
Thirteen through fifteen	256 hours	(32 days)
Sixteen through eighteen	272 hours	(34 days)
Nineteen or more	280 hours	(35 days)
Thirty year service award	304 hours	(38 days)

MANAGEMENT, MID-MANAGEMENT, SUPERVISORY AND PROFESSIONAL EMPLOYEES
(Accrual includes 40 hours of administrative leave)

Years of Service	**Total Accrual**	
0 through three	184 hours	(23 days)
Four through six	200 hours	(25 days)
Seven through ten	224 hours	(28 days)
Eleven	232 hours	(29 days)
Twelve	240 hours	(30 days)
Thirteen through fifteen	248 hours	(31 days)
Sixteen through eighteen	264 hours	(33 days)
Nineteen or more	272 hours	(34 days)
Thirty year service award	296 hours	(37 days)

4.5 LEAVE ELIGIBILITY:

Employees are eligible for leave benefits the first of the month following one full month employment.

Employees classified as regular employees who work less than full-time, but more than 1040 hours a year and are eligible for leave benefits, shall be credited leave on a prorated basis.

Leave periods shall be scheduled by management to provide adequate staffing. Such scheduling shall be subject to the needs of the City but shall take into account employee seniority and personal preference.

The City will make every effort to give maximum possible advance notice to the affected employee in the event scheduled leave must be cancelled or modified due to the needs of the service.

In the event one or more municipal holidays fall within an authorized leave with pay, such holidays shall not be charged as leave, and the leave shall be extended accordingly.

4.6 NOTIFICATION FOR USE OF LEAVE BANK:

Where use of accrued leave time is requested due to an emergency illness or other unexpected absence, the employee shall notify his immediate superior or Human Resources prior to, or within four (4) hours after the time set for beginning his/her daily duties when such leave is due to an emergency, unexpected or unplanned absence.

Except in cases of illness or extreme emergency, employees are required to make written requests through the appropriate supervisory channels for any use of accrued leave time as follows:

The written request shall be submitted at least two full working days in advance for leave requests of up to four working days; for leave requests of five working days or more, including requests related to planned medical treatment, the written request shall be submitted at least ten working days, but not earlier than ninety calendar days, prior to the beginning date of the requested leave. No use of accrued leave time or related absence is authorized until the employee's written request is approved in writing.

4.7 UNAUTHORIZED LEAVE

An employee's absence shall be unauthorized if such employee does not report his or her absence to his or her supervisor as designated by the department head within four (4) hours of his or her regular starting time, except in cases of emergency in which case the employee shall provide notification as soon as possible.

4.8 LEAVE BANK ACCUMULATION

A maximum of one hundred and twenty hours (15 days) of leave accrual will be the maximum allowed for carry-over at the end of a fiscal year, provided however, if at the end of year there are any leave bank hours over 120, the hours will be carried over and a commensurate number of hours shall be deducted from the employee's annual accrual in order that the maximum leave bank accrual not exceed an employee's annual accrual plus 120 hours.

4.9 LEAVE BANK CASH-OUT/OPTIONS

1. Each employee may cash-out up to eighty (80) hours of any unused leave hours accrued at the beginning of the fiscal year, provided the employee retains an accrued leave balance of at least forty (40) hours in their leave bank. Compensation for such cash-out of unused accrued leave hours will be based on the employee's existing salary at the time the request is made.

2. Further, each employee may direct that all or any portion of the allowed cash-out amount be used to buy benefits offered under the Flexible Benefit Program.

4.10 TREATMENT OF ACCRUED LEAVE UPON TERMINATION

At the time of termination of employment, employees shall be paid the cash value of all unused accrued leave hours based on the employee's then existing salary rate; or, in the alternative, the employee may exercise the option to invest the cash value of such unused accrued leave hours in the Employee Flexible benefit Program.

If a retiring employee terminates employment during the year and is legally entitled to a distribution of unused leave, the employee may submit, in writing, his or her request for the agency to "hold" payment of his or her accumulated leave until the following year. Such request must be submitted in writing in advance of the date of retirement and requires written approval by the City Manager before any disbursement can be made.

4.11 TREATMENT OF ACCRUED LEAVE UPON RETIREMENT:

Unused leave time can be taken off prior to retirement date and used to delay the final date of employment if requested in writing and approved at least 30 days prior to the scheduled date that such leave would begin.

If a retiring employee terminates employment during the year and is legally entitled to a distribution of unused leave, the employee may submit, in writing, a request for the agency to "hold" payment of pro-rated accumulated leave until the following year. Such request must be submitted in writing prior to date of retirement and requires written approval by the City Manager before any disbursement can be made.

4.12 CATASTROPHIC EVENT:

In the event an employee in this group suffers a catastrophic event, e.g. serious illness, and there is not a sufficient leave balance to cover the employee's absence from the workplace, upon written request to the City Manager, an advancement of up to thirty (30) days of leave may be granted, with the understanding that it will be reimbursed to the City on a day-for-day basis from future allocated leave or reimbursed to the City as outlined under Section 3.9 Outstanding Obligations Upon Termination.

4.13 UNPAID LEAVE:

Leave of Absence Without Pay

The City Manager may grant a regular or probationary employee leave of absence without pay or accrual of employment benefits, such as paid time off or seniority, for reasons other than pregnancy, disability or family care leave, for a period not to exceed ninety (90) days. No employee shall be authorized leave without pay if said employee has accrued leave or compensatory time-off accrued on the books of the City. After ninety (90) days, the leave of absence may be extended if authorized by the City Council.

No such leave shall be granted except upon written request of the employee, setting forth the reason for the request, and the approval will be in writing. Upon return to duty following expiration of a regularly approved leave, the employee shall be reinstated in the position held at the time leave was granted. Failure on the part of the employee on leave to report promptly at its expiration shall be cause for discharge. The depositing in the United States mail of a first class letter postage paid, addressed to the employee's last known place of address shall be reasonable notice.

Department heads may grant a regular, or probationary employee leave of absence without pay for not to exceed one (1) calendar week. Such leaves shall be reported to the Human Resources Administrator.

No leave shall accrue to any employee during any full biweekly pay period in which the employee is on an authorized leave without pay in excess of five (5) days. Employee on leave without pay may also be responsible for full payment (employer and employee portion) of insurance premiums for insurance coverage during such leave. Benefits shall be continued at City expense during the first thirty (30) days of such leave.

Statutory Family and Medical Leave

1. Eligibility

The City provides eligible employees the opportunity to take unpaid leaves of absence for specific reasons in accordance with California's Moore-Brown-Roberti Family Rights Act (CFRA) and the federal Family and Medical Leave Act of 1993 (FMLA). To be eligible for FMLA/CFRA Leave, an employee must (1) have worked for the City for at least twelve months prior to the date on which the leave is to commence; and (2) have worked at least 1,250 hours in the twelve months preceding the leave.

2. FMLA Leave

a. Permissible Uses

"Family care leave" may be requested under the FMLA for (1) the birth or adoption of an employee's child, (2) the placement of a foster child with the employee; or (3) the serious health condition of an employee's child, spouse, or parent. "Medical leave" may be requested under the FMLA for an employee's own serious health condition. A "serious health condition" is one that requires either in-patient care in a medical facility or continuing treatment or supervision by a health care provider.

"Qualifying exigency leave" may be requested under the FMLA for qualifying exigencies arising out of the fact that an employee's spouse, son, daughter, or parent is on active duty (or has been notified of an impending call or order to active duty) in the Armed Forces in support of a contingency operation. "Qualifying exigencies" include certain absences related to short-notice deployment, military events and related activities, childcare and school activities, financial and legal arrangements, counseling, rest and recuperation, post-deployment activities, and additional activities. Employees may contact the Human Resources Administrator or her or his designee for more information about what qualifies as a "qualifying exigency."

"Military caregiver leave" may be requested under the FMLA to care for a "covered service member" if the employee is a spouse, child, parent, or next of kin of the "covered service member." A "covered service member" is:

- a member of the Armed Forces, including the National Guard and Reserves, who, because of a serious injury or illness incurred in the line of duty while on active duty that may render the member medically unfit to perform the duties of the member's office, grade, rank, or rating, is: (1) undergoing medical treatment, recuperation, or therapy; (2) in outpatient status; or (3) on the temporary disability retired list; or

a veteran who is undergoing medical treatment, recuperation, or therapy, for a serious injury or illness and who was a member of the Armed Forces (including a member of the National Guard or Reserves) at any time during the period of five (5) years preceding the date on which the veteran undergoes that medical treatment, recuperation, or therapy.

b. Amount of FMLA Leave Available

Provided all the conditions of this policy are met, an employee may take a maximum of twelve (12) weeks total of family care leave, medical leave, and qualifying exigency leave under the FMLA in a 12-month period. This 12-month period is measured backwards from the date the employee's family care leave, medical leave, or qualifying exigency leave under the FMLA commences. Spouses who are both employed by the City may take a maximum combined total of twelve weeks of family care leave under the FMLA in a 12-month period for the birth, adoption, or foster care of their child.

Provided all of the conditions of this policy are met, an employee may take up to 26 weeks total of a combination of all leaves under the FMLA during a 12-month period (up to 12 weeks of which may be for FMLA leave other than military caregiver leave). The 12-month period used to measure this entitlement will commence upon the first use of military caregiver leave under the FMLA for a covered service member's particular injury.

3. CFRA Leave

"Family care leave" may be requested under the CFRA for (1) the birth or adoption of an employee's child, (2) the placement of a foster child with the employee; or (3) the serious health condition of an employee's child, spouse, domestic partner as defined in California Family Code Section 297, or parent. "Medical leave" may be requested for an employee's own serious health condition. A "serious health condition" is one that requires either in-patient care in a medical facility or continuing treatment or supervision by a health care provider.

Provided all of the conditions of this policy are met, an employee may take up to twelve (12) weeks of leave under the CFRA during a 12-month period. This 12-month period is measured backwards from the date the employee's family care leave or medical leave under the CFRA commences. Spouses who are both employed by the City may take a maximum combined total of twelve weeks of family care leave under the CFRA in a 12-month period for the birth, adoption, or foster care of their child.

Family care leave and medical leave under the CFRA typically run concurrently with family care leave and/or medical leave under the FMLA.

4. Intermittent Leave

FMLA/CFRA Leave taken for the birth, adoption, or foster care placement of a child generally must be taken in blocks of at least two (2) weeks' duration; however, the City will provide employees with family care leave for birth, adoption, or foster care placement for periods of less than two (2) weeks duration on any two (2) occasions. FMLA/CFRA Leave taken for the birth, adoption, or foster care placement of a child must be concluded within one (1) year of the birth, adoption, or placement.

Qualifying exigency leave under the FMLA may be taken on an intermittent or reduced schedule as required by the qualifying exigency.

FMLA/CFRA Leave for any other reason may be taken intermittently or on a reduced schedule where medically necessary. If FMLA/CFRA Leave is authorized to be taken intermittently or on a reduced schedule, the City retains the discretion to transfer the employee temporarily to an alternative position with equivalent pay and benefits which better accommodates the employee's leave schedule.

5. Substitution of Paid Leave

Employees are required to substitute accrued paid time off, including accrued compensatory time off, for all FMLA/CFRA Leaves, except that employees can retain a five (5) day balance of accrued paid time off.

If the employee is receiving payments from State Disability Insurance ("SDI") while on FMLA/CFRA leave, the accrued paid leave time will only be used in an amount which supplements the SDI payment such that the employee receives the full amount of his or her regular compensation as an active employee.

The substitution of paid leave time for FMLA/CFRA Leave does not extend the total duration of FMLA/CFRA Leave to which an employee is entitled. For example, if an employee has accrued two (2) weeks of unused paid vacation time at the time of the request for medical leave under the FMLA/CFRA, that paid vacation time will be substituted for the first two (2) weeks of FMLA/CFRA Leave, leaving up to ten (10) additional weeks of unpaid FMLA/CFRA Leave.

6. Leave's Effect on Pay

Except to the extent that other paid leave time is substituted for FMLA/CFRA Leave, FMLA/CFRA Leave is unpaid.

7. Leave's Effect on Benefits

During an employee's FMLA/CFRA Leave, the City shall continue to pay for the employee's participation in the City's group health insurance to the same extent and under the same terms and conditions as would apply had the employee not taken leave. Employees are required to continue to make any payments they normally make towards healthcare coverage premiums while on leave. In the event an employee on leave fails to make timely payment for their portion of healthcare coverage premiums, the City will notify the employee of such failure and, if payment is not made, terminate the coverage.

If the employee fails to return from the leave for a reason other than the recurrence or continuation of the health condition that brought about the leave or other circumstances beyond the employee's control, the City is entitled to recover any health premiums paid by the City on the employee's behalf during any unpaid period of the leave.

Employees on FMLA/CFRA Leave accrue employment benefits, such as paid time off or seniority, only when paid time off is being substituted for unpaid leave and only if the employee would otherwise be entitled to such accrual. If the employee is using accrued paid time off to supplement SDI payments as discussed above, he or she will accrue employment benefits on a pro rata basis.

8. Procedure for Requesting Family Care and Medical Leave

Notice Requirements

Employees should notify the Human Resources Administrator of their request for FMLA/CFRA Leave as soon as they are aware of the need for such leave. For foreseeable events, if possible, the employee shall provide thirty (30) calendar days' advance written notice to the Human Resources Administrator of the need for FMLA/CFRA Leave. For events that are unforeseeable thirty (30) days in advance, but are not emergencies, the employee must notify the Human Resources Administrator, in writing, as soon as he/she learns of the need for the leave, ordinarily no later than one (1) to two (2) working days after the employee learns of the need for the leave. If the leave is requested in connection with a planned, non-emergency medical treatment, the employee may be requested to reschedule the treatment so as to minimize disruption of the City's business.

If an employee fails to provide the requisite 30-day advance notice for foreseeable events without any reasonable excuse for the delay, the City reserves the right to deny the taking of the leave.

All requests for FMLA/CFRA Leave should include anticipated date(s) and duration of the leave. Any requests for extensions of an FMLA/CFRA Leave must be received at least five (5) working days before the date on which the employee was originally scheduled to return to work and must include the revised anticipated date(s) and duration of the family care or medical leave.

Certification

Any request for FMLA/CFRA Leave must be supported by proper certification of the need for leave. For foreseeable leaves, employees must provide the required certification before the leave begins. When this is not possible, employees must provide the required certification within fifteen (15) calendar days after the City's request for certification, unless it is not practicable under the circumstances to do so, despite the employee's good faith efforts. Failure to provide the required certification may result in the denial of foreseeable leaves until such certification is provided. In the case of unforeseeable leaves, failure to provide the required certification within fifteen days of being requested to do so may result in a denial of the employee's continued leave. Any request for an extension of the leave also must be supported by an updated certification.

Certification of family care leave under the FMLA/CFRA shall include (1) the date on which the serious health condition commenced; (2) the probable duration of the condition; (3) the health care provider's estimate of the amount of time needed for family care; and (4) the health care provider's assurance that the health care condition requires family care leave.

Certification of medical leave under the FMLA/CFRA shall include (1) the date on which the serious health condition commenced; (2) the probable duration of the condition; (3) a statement that, due to the serious health condition, the employee is unable to perform the functions of his or her position; and (4) in the case of intermittent leave or revised schedule leave where medically necessary, the probably duration of such a schedule. In addition, the certificate may, at the employee's option, identify the nature of the serious health condition involved. If the City has reason to doubt the validity of the certification provided by the employee, the City may require the employee to obtain a second opinion from a doctor of the City's choosing at the City's expense. If the employee's health care provider and the doctor providing the second opinion do not agree, the City may require a third opinion, also at the City's expense, performed by a mutually agreeable doctor who will make a final determination. Before permitting the employee to return to work, the City may also require the employee to provide medical certification that he or she is able to return to work.

Certification of a military caregiver leave under the FMLA shall be either (1) an appropriate medical certification from an authorized health care provider or (2) a copy of an Invitation Travel Order or Authorization issued by the Department of Defense.

The nature and format of the certification of a qualifying exigency leave under the FMLA will vary depending on the nature of the qualifying exigency, and will typically include a copy of the active duty orders for the employee's spouse, son, daughter, or parent.

9. Leave's Effect on Reinstatement

Employees returning from FMLA/CFRA leave are entitled to reinstatement to the same or comparable position consistent with applicable law, provided that the total period of the FMLA/CFRA Leave does not exceed the employee's maximum leave entitlement as described above.

Employees who take medical leave under the FMLA/CFRA for their own serious health condition must provide medical certifications verifying that they are able to return to work in the same manner as employees who return to work from other types of medical leave.

<u>Pregnancy Disability Leave (PDL)</u>

Under the California Fair Employment and Housing Act (FEHA), if an employee is disabled by pregnancy, childbirth or related medical conditions, she is eligible to take a pregnancy disability leave (PDL). If an employee is affected by pregnancy or a related medical condition, she is also eligible to transfer to a less strenuous or hazardous position or to less strenuous or hazardous duties, if this transfer is medically advisable.

- The PDL is for any period(s) of actual disability caused by pregnancy, childbirth or related medical conditions up to four (4) months (or eighty-eight (88) work days for a full-time employee) per pregnancy.

- The PDL does not need to be taken in one continuous period of time but can be taken on an as-needed basis.

- Time off needed for prenatal care, severe morning sickness, doctor ordered bed rest, childbirth, and recovery from childbirth would all be covered by the PDL.

- Except as other specifically provided in this section, generally, the City is required to treat pregnancy disability the same as the City treats other disabilities of similarly situated employees. The leave will be unpaid.

Employees on PDL will be required to obtain a written certification from their health care provider of the pregnancy disability or the medical advisability for a transfer. The certification should include:

1. The date on which the employee becomes disabled due to pregnancy or the date of the medical advisability for the transfer;

2. the probable duration of the period(s) of disability or the period (s) for the advisability of the transfer, and

3. a statement that, due to the disability, the employee is unable to work at all or to perform any one or more of the essential functions of the position without undue risk to herself, the successful completion of the pregnancy or to other persons or a statement that, due to your pregnancy, the transfer is medically advisable.

At the employee's option, any accrued paid time off may be used as part of the pregnancy disability leave before taking the remainder of the leave as an unpaid leave. However, taking paid time off during the period of the pregnancy disability leave does not extend the maximum time allowed for such leave. Employees may also be eligible for state disability insurance for the unpaid portion of the leave.

Employees on PDL accrue employment benefits, such as paid time off or seniority, only when paid time off is being substituted for unpaid leave and only if the employee would otherwise be entitled to such accrual. If the employee is using accrued paid time off to supplement SDI payments she will accrue employment benefits on a pro rata basis.

An employee who is on a leave of absence for a period in excess of two (2) months must notify the Human Resources Administrator by the end of each month thereafter both of the status of the disability and the employee's continued intent to work once the employee recovers from the disability. An employee returning from an absence shall be required to provide a physician's certification that indicates that she is fit to return to work.

An employee who returns to work at the end of a leave of absence due to pregnancy, childbirth or related medical condition will be returned to her former position, if possible, or will be offered the first available opening in a comparable position for which she is qualified.

An employee who returns from a leave of absence due to pregnancy will be credited with all service prior to the commencement of her disability.

An employee who fails to report for work at the end of an approved leave will be deemed to have voluntarily resigned.

During an employee's approved PDL, the City shall continue to pay for the employee's participation in the City's group health insurance to the same extent and under the same terms and conditions as would apply had the employee not taken leave, for up to four months. Employees are required to continue to make any payments they normally make towards healthcare coverage premiums while on leave. In the event an employee on leave fails to make timely payment for their portion of healthcare coverage premiums, the City will notify the employee of such failure and, if payment is not made, terminate the coverage. The City is entitled to recover any health premiums paid by the City on the employee's behalf during any unpaid period of the leave if the employee fails to return from the PDL for a reason other than one of the following: (1) the employee takes FMLA/CFRA Leave; (2) the continuation, recurrence or onset of a serious health condition or serious injury or illness within the meaning of FMLA/CFRA; or (3) other circumstances beyond the employee's control as provided by law.

Employees on PDL accrue employment benefits, such as paid time off or seniority, only when paid leave is being substituted for unpaid leave and only if the employee would otherwise be entitled to such accrual. If the employee is using accrued paid time off to supplement SDI payments as discussed above, he or she will accrue employment benefits on a pro rata basis.

Paid Family Leave

Employees who are covered by the state's SDI program will be eligible for reimbursement for up to six (6) weeks during a twelve (12) month period of qualifying unpaid leave, for the purposes of bonding with a newborn child (up to one (1) year from birth or adoption), or to care for a family member or domestic partner.

An employee who is eligible for SDI benefits may only become eligible for PFL benefits after SDI benefits are no longer being paid. SDI benefits are payable when an employee is disabled for a non-work related reason, which may include pregnancy; PFL benefits are for baby bonding and for providing care to a family member.

Once an employee is no longer disabled, and (in the case of pregnancy) has given birth, her SDI benefits may cease and she may apply for baby bonding benefits under PFL.

Once an employee applies for PFL, there is a seven (7) day unpaid waiting period before the employee may start receiving benefits. However, an employee who previously served a waiting period before receiving SDI benefits will not have to serve another waiting period before receiving PFL benefits. Employees may use their accrued paid time off during the seven (7) day waiting period.

Paid Family Leave is administered by the State of California and may be modified by the State from time to time.

4.14 DEATH OR CRITICAL ILLNESS IN IMMEDIATE FAMILY BEREAVEMENT LEAVE

An employee eligible for benefits, upon the necessity of his absence being shown to and with the consent of the City Manager, may be allowed to be absent from the duties of his/her position and to receive full compensation during such absence for bereavement leave. Eligible City employees shall be entitled to bereavement leave, in addition to any other leave, to provide up to three (3) working days per year with pay in the case of death or of critical illness where death appears eminent. This time shall be in addition to accrued leave time or compensatory time. The City shall cooperate with the employee in providing time off, using accrued leave time, or compensatory time, for any additional bereavement needs if the three (3) days bereavement leave has been used.

Such benefit shall apply to all immediate family members, to include spouse, child, brother, sister, parent (including step family and in-laws), grandparents and grandchildren when the relationship of the person to the employee warrants such use of bereavement leave. Where such death or critical illness has occurred, the employee shall furnish satisfactory evidence of such death or critical illness to his/her department head.

Such leave of absence shall not be allowed in any case where, in the preceding six (6) calendar months, a leave of absence for the critical illness of that same relative has been granted. Such bereavement leave is not cumulative from year to year.

Leave to attend the funeral of a co-worker will be acceptable to the City upon Department Head approval consistent with maintenance of operations. Such leave is considered leave with pay and not charged to any other leave.

4.15. CONFLICT OF LAWS

In the event of any conflict between the provisions of this Conditions of Employment and Federal or State laws, such Federal or State laws shall prevail.

SECTION 5

5.1 LEGAL HOLIDAYS

A. The City has established the following schedule of days that shall be observed as legal holidays by all affected employees, at which time the City's administrative offices will be closed. The City observes twelve holidays. Three floating holidays are included in the leave bank

January 1st	New Year's Day
	Day before or day after New Year's Day
3rd Monday in January	Martin Luther King Day
3rd Monday in February	President's Day
Last Monday in May	Memorial Day
July 4th	Independence Day
1st Monday in September	Labor Day
November 11th	Veteran's Day
4th Thursday in November	Thanksgiving Day
4th Friday in November	Friday following Thanksgiving
December 25th	Christmas Day
	Day before or day after Christmas

B. When a holiday falls on a Saturday or Sunday, the preceding Friday or the following Monday respectively shall be observed as the legal holiday. If the holiday falls on an employee's flex day, the flex day may be rescheduled within the same work week. Rescheduled flex days off that are rescheduled because a holiday falls on that day are subject to prior approval by the Department Head and appropriate notification to the Administrative Services Department.

D. Observance of a legal holiday on a Friday or Monday, at which time the City's Administrative offices will be closed, will not create overtime or the loss of time from an employee's leave bank if the holiday falls on a regularly scheduled flex day. The hours for any given holiday will relate to the number of hours of the employee's regular scheduled work day for that particular day.

Nothing in this Conditions of Employment shall preclude the City from declaring a holiday when a legal holiday has been declared by the President of the United States or the Governor of the State of California or the City Council.

SECTION 6

WORKERS' COMPENSATION INSURANCE

The City will provide workers' compensation benefits when an employee is injured on duty arising out of and in the course of employment. Workers' Compensation coverage is currently through the California Joint Powers Insurance Authority.

For a period not to exceed six months, commencing with the first day following such injury, while a full-time City employee is totally disabled from industrial injury and on accepted workers' compensation status, employee shall be compensated in an amount equal to such employee's base wages at the time of such disability, less the aggregate of (a) any workers' compensation benefits, and (b) any other disability payments made to such employee. Such payment shall be limited to said six month period or until such employee is retired on permanent disability or terminated from the City's employment, whichever comes first.

An employee shall be entitled to benefits at the normal rate if the employee is on accepted workers' compensation status and in a pay status for up to six months as defined above.

The workers' compensation carrier of the City reserves the right to subrogate if a claim is filed by an employee against a third party.

SECTION 7

RETIREMENT PROGRAM

A. The City shall continue to participate in the California Public Employees Retirement System (CalPERS). Under CalPERS, the City provides the 2%@55 Miscellaneous Plan formula for all employees who are "classic members" as defined by the Public Employees' Pension Reform Act of 2013 (PEPRA). Classic employees are currently defined as those who were enrolled in CalPERS as of December 31, 2012. For all "new members" as defined by PEPRA, the City provides the 2%@62 formula. New members are currently defined as employees not previously enrolled in CalPERS as of January 1, 2013 (and not meeting certain exceptions provided under PEPRA). Employees of the City who are hired on or after January 1, 2013 who classified as new members under PEPRA are subject to the other CalPERS terms and conditions set forth in PEPRA.

B. Effective the first pay period after the necessary contract amendment is approved by CalPERS, City employees who are classic members shall share in the cost of CalPERS coverage through payroll deduction as follows:

Employees will contribute a portion of the required employer contribution equal to 4.5% of "compensation earnable." This 4.5% contribution by employees to the employer contribution will be considered to be a contribution towards the normal cost as defined under PEPRA.

C. In addition, the City's contribution toward Employer Paid Member Contribution (EPMC) shall be reduced as follows:

1. Effective the pay period beginning January 3, 2015, the City's contribution toward EPMC shall be reduced to 5.75%, with employees paying 1.25% of "compensation earnable."

2. Effective the first pay period after July 1, 2015, the City's contribution toward EPMC shall be reduced to 4.5%, with employees paying 2.5% of "compensation earnable."

D. Employees who are hired after January 1, 2013 and are new members under PEPRA, shall pay the full member contribution amount which is currently 6.5% of "compensation earnable." However, new members will not contribute toward any portion of the required employer contribution.

E. The City shall continue to report the value of the EPMC on all reportable compensation subject to CalPERS for all employees in Management as adopted by Resolution 5564.

SECTION 8

HEALTH/DENTAL BENEFITS
FLEXIBLE BENEFIT PROGRAM

8.1 LIFE, HEALTH AND DENTAL BENEFITS

A. The parties agree that the City will maintain a life insurance program providing a $100,000.00 term life insurance policy for the management, mid-management employee only, the beneficiary to be designated by said employee. The cost of the premium for this policy to be paid in full by the City. Employees retiring with 20 years or more of continuous service with the City and enrolled in the City's group life insurance program at the time of retirement, may continue to be covered in the City's group life insurance program at City expense for a life benefit of $10,000. Extended coverage will not include AD&D benefits.

B. The City will maintain the current health insurance program with the Public Employees Retirement System (PERS) Medical and Hospital Care Act pursuant to Government Code Section 22850. The health insurance program shall be available to all regular full-time employees and retirees as follows:

- Each eligible employee or retiree may select a health insurance carrier providing coverage in the Carpinteria geographic area, as defined and provided by PERS and currently in effect and on file in the City Human Resources Office.

  For the period through and including December 31, 2015, the City will continue to pay the health insurance premium for each employee, including for dependents coverage where applicable, up to the premium amount for coverage under the PERS Health Benefit Program HMO Plan (Blue Shield Access + HMO). Beginning the first pay period after January 1, 2016, employees will pay by payroll deduction or through the Flexible Benefit Program a monthly contribution to their health insurance premium (employee and dependents) in an amount equal to .029% of their annual base salary. (For example, if an employee's annual base salary is $50,000, the monthly contribution for that employee would be $14.58.) In the event the Blue Shield Access + HMO plan is no longer available to City employees, the most similar HMO plan will be substituted for purposes of this provision.

  In addition, a Health Care All Employee Committee will be created to evaluate options for the provision of health insurance. The City will schedule these Committee meetings as appropriate in 2015 and, if needed, 2016. However, the City will not initiate any changes to the currently existing health insurance plans during the term of this Conditions of Employment without the agreement of the Management Staff.

  Employees shall be responsible for payment as a payroll deduction or through the Flexible Benefit Program, for any health insurance premium contribution which exceeds the City's contribution amount for the premium for coverage under the PERS Health Benefit Program HMO plan.

- Health insurance coverage for newly hired employees will commence on the first day of the month following one full month of employment. If hired prior to the 15th day of the month, the month of hire will count as a full month of employment and coverage will be effective the first day of the following month. If hired after the 15th day of the month the month of hire will not count as a full month and the following month will be the first full month of employment.

- For covered employees who terminate during the fiscal year, such health insurance coverage shall end on the last day of the month following when said termination becomes effective, except that the provisions of COBRA may be applied at the employee's option. Covered employees who retire from the City under PERS may, at their option, continue such coverage without interruption pursuant to the provisions of the PERS Health Program.

C. The City will provide 100% of the premium charged to maintain a Dental Insurance Plan for each employee in the General Services Bargaining Unit and Public Works Bargaining Unit, where appropriate, for dependent coverage. The City will retain control over the administration of the dental insurance program subject to maintenance of equivalent benefits to the extent it is within the control of the City, and subject to any applicable obligations under the Meyers-Milias-Brown Act.

8.2 DENTAL INSURANCE:

The City will provide 100% of the premium charged to maintain a Dental Insurance Plan for management employees and, where appropriate, dependent coverage. COBRA benefits may be applied at the employee's option. Dental coverage will commence on the first day of the month following one full month of employment.

8.3 FLEXIBLE BENEFIT PROGRAM (WELLNESS) ALLOWANCE:

In addition to the Health and Dental Insurance Programs, management employees will be eligible to receive an annual Flexible Benefit Program allowance of $1,162 following one full month of employment. This benefit is based on a calendar year and the allowance will be pro-rated to the first day of the month following one full month of employment.

(1) The purpose of the Flexible Benefit Program is to provide reimbursement to the covered employee for eligible medical and health-related costs not otherwise covered by health insurance or subject to reimbursement from any other source or to participate in other benefits provided by the Flexible Benefit Program. Payment of medical costs, not covered by insurance, must be prescribed by a physician or determined by the Internal Revenue Service (IRS) to be an eligible health-related expense.

(2) In addition to reimbursement for eligible medical and health related costs and dependent care, the employee will have the option to purchase benefits offered through the Flexible Benefit Program up to the allowance of $1,162. The level of participation in benefits provided by the Flexible Benefit Program will be at the option of each employee. Employees may cash out up to $810 of the Flexible Benefit allowance, subject to applicable payroll taxes. The balance must be used for health-related expenses.

(3) Reimbursement for bona fide health-related expenses, which may be eligible expenses under the Flexible Benefit Program may be subject to payroll taxes unless related to a particular medical condition and so prescribed by a medical doctor.

8.4 OUTSIDE COVERAGE OPTION:

(1) The employee is not required to select or participate in any health/dental insurance program provided by the City, but such employee must provide satisfactory documentation that he/she is covered by an alternative health/dental insurance program.

(2) Those employees with proof of health and/or dental insurance coverage who choose not to participate in the City's group health insurance and/or dental insurance program due to the availability of other coverage through a spouse's employer, the military, or other source, will receive, in addition to the $1,162 Flexible Benefit Program allowance, a benefit allowance equal to fifty percent (50%) of the premium charged for the annual single coverage in the PERS basic HMO Plan available to this group of employees. An employee shall receive this benefit allowance as a one-time cash payment, with such payment subject to payroll taxes.

(3) Those eligible employees who participate in the city's group health insurance program and have an eligible spouse or family dependents, but select single coverage and choose to cover any dependents under insurance offered through a spouse's employer, the military, or other source will receive, in addition to the employer contribution, the fully paid premium contribution balance for single health insurance coverage and the $1,162 benefit allowance as provided for in Section 8.3, plus an additional benefit equal to 50% of the allowance specified in the above section, 8.4 (2).

8.5 FITNESS PROGRAM:

The City agrees to reimburse employees at the rate of thirty-one dollars and twenty cents ($31.20) per month for the employee's membership fee at an athletics club or fitness program approved by the City Manager. Only full-time employees are eligible to receive this benefit.

This benefit will be made available to each management employee and councilmember subject to budgetary constraints and fiscal allocation. The level of participation in this benefit will be at the option of each employee.

SECTION 9

SERVICE AWARDS

The City of Carpinteria has established appropriate service awards to recognize continuous service with the City at levels of five, ten, fifteen, twenty, twenty-five and thirty years. In addition, in recognition of long-time service of twenty-five and thirty years eligible employees are entitled to the following recompense:

<u>Twenty Five Year Service Recognition</u>

Upon completion of twenty-five years of continuous service, eligible employees will be entitled to two personal days of leave per fiscal year. The hours will not have a cash value nor will they accumulate to be carried over to the next fiscal year. The use of the days as time off shall be subject to the regular request process.

Thirty Year Service Recognition

Upon completion of thirty years of continuous service, eligible employees will receive a commemorative recognition gift and be entitled to have three additional days added to their existing leave bank hours.

SECTION 10

LIFE INSURANCE

10.1 LIFE INSURANCE:

The City will maintain a group term life insurance policy for employee only, the beneficiary to be designated by the employee. The cost of the premium for this policy to be paid in full by the City. The coverage of such life insurance for this classification of employee will be $100,000 subject to conditions of the providing life insurance company.

Employees retiring with 20 years or more on continuous service with the City and enrolled in the City's group life insurance at the time of retirement, may continue to be covered at City expense for a life benefit of $10,000. Extended coverage will not include AD&D benefits.

SECTION 11

EDUCATION/TRAINING AND PROFESSIONAL DEVELOPMENT

11.1 TRAINING PROGRAMS:

The City recognizes the importance of training programs and advancement of employees to higher skills and encourages employees to participate in programs to improve his/her performance on the job. The City agrees that all direct costs of all training or instruction required by the City shall be paid for by the City.

Charges for tuition, books and supplies for educational courses, not required by the City but directly related to the affected employee's position with the City, and having *prior written approval* of the Department Director and City Manager will be reimbursed upon presentation of satisfactory completion of such training.
It is the policy of the City to provide paid membership in approved professional associations for management employees as budgeted and subject to approval by the City Manager. This policy also includes publications associated with membership and other educational materials. The City encourages management employees to attend professional conferences subject to budgetary restraints and fiscal allocation.

SECTION 12

MISCELLANEOUS POLICIES

12.1 PHYSICAL EXAMINATION:

The City encourages all employees to have an annual physical examination as provided for under our health insurance benefits.

12.2 DRESS AND DECORUM:

All employees shall observe professional standards of dress, and decorum considered suitable for general public contact based on current social standards as interpreted by the City Manager.

12.3 EMPLOYEE RESPONSIBILITIES:

Each employee shall comply with all safety laws, rules and regulations and adopted polices of the City in performing the duties required of his/her position; and shall not willfully violate any of the provisions of the ordinances and resolutions which have been adopted and/or prescribed by the Carpinteria City Council or City Manager.

12.4 DEATH BENEFIT:

The City shall pay a death benefit in the amount of Two Thousand Five Hundred Dollars ($2,500) directly to the spouse or legal heirs of any affected employee within seventy two hours of the death of any affected employee as the result of any industrial injury or illness as defined by Cal Osha Publication #120-A, sustained by such employee while on duty within the course and scope of his/her employment with the City of Carpinteria.

12.5 DIVERSITY PAY:

(1). Management employees are encouraged to voluntarily develop bilingual skills in instances where the public contact nature of their jobs would make such skills valuable.

(2). Any affected employee hired prior to August 15, 1998 who, on a regular basis, is required to translate/interpret from Spanish to English or English to Spanish and is proficient in Spanish as determined by the City Manager shall be paid Diversity Pay. Such amount to be paid bi-weekly and determined by the demand for such service.

12.6 PARTICIPATION IN CITY-SPONSORED RECREATION PROGRAMS:

The City agrees to provide special employee rates to currently employed, regular full-time employees and their immediate family members who wish to participate in City-sponsored Parks and Recreation Department Programs. All fees are payable in advance of participation in the program. Requests for employee rates for City-sponsored programs should be in writing and approved by the Parks and Recreation Director and scheduled with the appropriate recreation program supervisor.

(1) Eligible employees will receive a fifty percent (50%) discount on tuition and registration only for City-sponsored recreation programs. Individual enrollees will be responsible for any ala carte activities scheduled in the programs, e.g. field and/or camping trips, movies, special meals, etc. A discount on recreation rental equipment is dependent upon availability.

(2) The annual fee for Community Pool Family Membership will be discounted Seventy-five percent (75%) The fee will include participation in the Masters' Program, Lap Swimming, Recreational Swimming and Water Aerobics.

SECTION 13

MANAGEMENT PERSONNEL CLASSIFICATION

Management for purposes of this Agreement, will include employees in the following classifications: Management, Mid-Management, Supervisory and Professional. (Employer-Employee Relations Policy – Resolution 4994).

Management:

Community Development Director
Public Works Director/City Engineer
Administrative Services Director
Parks and Recreation Director

Mid-Management and/or Supervisory:

Senior Planner
Human Resources Administrator/Risk Manager
City Clerk
Civil Engineer (contract)
Assistant to the City Manager
Finance Supervisor (confidential)
Public Works Supervisor
Code Compliance Supervisor

Professional:

Building Inspector

SECTION 14

MANAGEMENT PERSONNEL CLASSIFICATION AND COMPENSATION

Effective January 26, 2015

Position	Grade	Minimum	Control Point	Maximum
Community Development Director	M19	$98,279.21	$122,848.49	$135,133.12
Public Works Director	M19	$98,279.21	$122,848.49	$135,133.12
Administrative Services Director	M18	$89,312.95	$111,641.45	$122,805.18
Parks & Recreation Director	M17	$81,177.07	$101,471.86	$111,619.27
Civil Engineer (Contract)	M16	$72,121.79	$90,243.02	$99,168.16
Human Resources/Risk Manager	M14	$65,344.81	$81,681.01	$89,848.58
City Clerk	M14	$65,344.81	$81,681.01	$89,848.58
Assistant to City Manager	M14	$65,344.81	$81,681.01	$89,848.58
Senior Planner	M14	$65,344.81	$81,681.01	$89,848.58
Building Inspector/Plan Check	M12	$55,039.28	$68,799.62	$75,679.26
Finance Supervisor	M12	$56,552.86	$70,691.61	$77,760.44
Environmental Coordinator	M12	$56,552.86	$70,691.61	$77,760.44
Public Works Supervisor	M12	$56,552.86	$70,691.61	$77,760.44
Emergency & Volunteer Service Coordinator	M12	$56,552.86	$70,691.61	$77,760.44
Code Compliance Supervisor	M11	$53,098.20	$66,372.76	$73,009.51

ATTACHMENT B

RESOLUTION NO. 5587

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL APPROVING AND ADOPTING THE CONDITIONS OF EMPLOYMENT FOR MISCELLANOUS UNREPRESENTED PERSONNEL

WHEREAS, the Carpinteria City Council recognizes that the conditions of employment for Miscellaneous Unrepresented Personnel should be addressed separately from classified employees covered under the Memorandum of Understanding between the City of Carpinteria and the Service Employees International Union (SEIU) Local 620; and,

WHEREAS, this classification of employee includes an Assistant Planner, Associate Planner, Code Compliance Officers, Pool Superintendent, Aquatics Coordinator, Parks and Recreation Maintenance Technician, Management Analyst, Engineering Technician, Management Assistant and other clerical positions, the Carpinteria City Council wishes to update the Conditions of Employment and the Compensation Program for this classification to insure that the City remains competitive; and,

WHEREAS, these positions are not classified as management employees nor are they represented by Service Employees International Union (SEIU) Local 620; and,

WHEREAS, said Conditions of Employment for Miscellaneous Unrepresented Personnel has been recommend to the City Council for approval for the period beginning January 26, 2015 and ending June 30, 2017.

WHEREAS, it is the desire of the City Council to approve the Conditions of Employment Agreement for those employees classified as Miscellaneous Unrepresented Personnel;

NOW, THEREFORE, THE CARPINTERIA CITY COUNCIL HEREBY RESOLVES THAT the Conditions of Employment for Miscellaneous Unrepresented Personnel of the City of Carpinteria attached hereto as Exhibit A dated January 26, 2015, is hereby approved.

PASSED, APPROVED AND ADOPTED this 26th day of January 2015 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

____
Mayor, City of Carpinteria

ATTEST:

____
City Clerk, City of Carpinteria

I hereby certify that the foregoing Resolution was duly and regularly introduced and adopted at a special meeting of the City Council of the City of Carpinteria held the 26th day of January, 2015.

____
City Clerk, City of Carpinteria

APPROVED AS TO FORM:

____
Peter Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

EXHIBIT A

CITY OF CARPINTERIA
CONDITIONS OF EMPLOYMENT
FOR MISCELLENOUS UNREPRESENTED PERSONNEL

EFFECTIVE JANUARY 26, 2015

TABLE OF CONTENTS

MISCELLANEOUS EMPLOYEE CLASSIFICATION

EFFECTIVE JANUARY 26, 2015

The following positions have been designated by the City of Carpinteria as Miscellaneous Unrepresented Employees. These positions are not classified as management employees nor are they represented by SEIU Local 620.

Employees in this category are full-time employees. None of the positions are exempt from overtime requirements of the Federal Fair Labor Standards Act and, as with other non-exempt employees, all overtime must be authorized in advance (unless in emergency) by the affected employee's department head.

		SALARY RANGE		
POSITION	**GRADE**	**Minimum**	**Control Point**	**Maximum**
Associate Planner*	12	$55,039.28	$68,799.62	$75,679.26
Assistant Planner	10	$48,015.68	$60,020.01	$66,021.43
Engineering Technician	9	$43,062.69	$53,828.36	$59,212.01
Code Compliance Officer II	8	$41,545.25	$51,932.07	$57,125.49
Management Assistant*	7	$39,587.56	$49,484.97	$54,432.64
Management Analyst	7	$39,587.56	$49,484.97	$54,432.64
Code Compliance Officer I	6	$37,714.19	$47,143.77	$51,858.04
Pool Superintendent *	6	$37,714.19	$47,143.77	$51,858.04
Parks & Recreation Maintenance Technician	6	$37,714.19	$47,143.77	$51,858.04
Aquatics Program Coordinator	5	$35,840.82	$44,801.03	$49,281.13

- *Under Ordinance # 559, the positions of Management Assistant and Assistant Planner are included in the list of positions that are classified as "at will employees serving at the pleasure of the City Manager and exempt from the City Personnel rules. Accordingly, an employee serving in this capacity is free to resign from his/her position any time, with or without cause, upon oral or written notice to the City Manager, and similarly, the City may end the employment relationship or change the status (i.e., modify the position, implement a demotion, adjust wages, etc.) at any time, with our without cause, upon oral or written notice to the employee.*

1.2 PERSONNEL RULES:

The City intends to continue to review and develop revised personnel rules, regulations, and grievance procedures, employee handbook, job classifications and job descriptions.

SECTION 2

WORK SCHEDULE

2.1 REPORTING:

Miscellaneous unrepresented employees are classified as hourly and non-exempt employees. All miscellaneous employees for the City of Carpinteria are required to report hours worked on a daily time sheets.

2.2 FLEXTIME SCHEDULING:

Employees may have the option to participate in an alternate 9/80 work schedule based on a flexible 9 day/80 hour pay period (eight 9-hour days and one 8-hour day). Each employee electing to participate in flextime scheduling must select a Monday or Friday as their designated flex day off, the alternate Monday or Friday will be their 8-hour day. The daily work schedule will begin at 7:30 a.m. and end at 5:30 p.m.

Individuals may request flexible work schedules which meet their personal needs, however, the flex day selected is subject to the operational needs and requirements of the department as determined by the City Manager. Human Resources and Payroll must be advised, in writing, of the selected day and any change from the established flex day, must be requested in advance and approved by the Department Head and the City Manager.

The program will be evaluated on an on-going basis regarding personal performance standards, as well as monitoring staffing needs to ensure that the public is being appropriately served. Continuation of such schedules shall be subject to review and approval of the City Manager.

The City Manager reserves the right to remove any (or all) employees from flexible work schedules. To the extent possible, two weeks advance written notice will be provided to the employee. An employee shall not be removed from a flexible work schedule for arbitrary or capricious reasons.

SECTION 3

COMPENSATION AND BENEFITS

3.1 PAY STATUS:

An affected employee is considered to be in pay status and eligible for benefits under any of the following circumstances:

(1) While working regular hours
(2) While on authorized Leave Bank hours
(3) While on authorized Injured on Duty (IOD) status
(4) While on authorized jury duty

The City Manager may authorize coverage of benefits on an individual basis for employees on authorized leave without pay for a period of up to four (4) months when it is deemed appropriate.

3.2 METHOD OF PAYMENT:

Employees shall be paid on a bi-weekly basis and pay checks will be available on the Thursday afternoon following the close of the regular pay period unless holidays or circumstances beyond the control of the City occur in which case all necessary action will be taken to insure that individual pay checks are available with a minimum of delay.

Employees are encouraged to take advantage of direct deposit of payroll checks. Upon request, payroll checks may be directly deposited to an employee's checking or savings account.

3.3 BASE WAGE ADJUSTMENT:

Effective the first full pay period after July 1 the affected employees listed in Section 1, (Classification), shall have their base wages adjusted pursuant to the results of an annual performance based employee evaluation.

The review shall include a Performance Rating of one of five categories:

- Unacceptable
- Needs Improvement;
- Contributor;
- Valued Performer;
- High Performer.

The result of the Performance Rating shall be used by the City Manager to determine the appropriate range of increase to the base wage as illustrated in the Merit Increase Matrix. The exact increase within the range provided for in the Matrix shall be determined by the City Manager.

All wage increases are subject to availability of funds as authorized by City Council. Should fiscal constraints limit full funding of wage increases, all authorized base wage increases shall be reduced by an equal percentage.

For the first year of employment, if an employee achieves a performance evaluation with a minimum result of "meets expectations or Contributor", the salary adjustment shall not be less than the percentage change equal to the highest positive rate of change March to March of the average Consumer Price Index (CPI) All Urban wage earners and clerical workers, as issued by the U.S. Department of Labor for the Los Angeles-Riverside-Orange County, CA area.

MERIT INCREASE MATRIX

		Position and Range		
		Lower Third Min to 90% of CP	Middle Third 90.1% of CP to CP	Upper Third CP to Maximum
Performance Rating	High Performer	11 ½ to 12 ½%	10 to 11%	9 ½ or to Range Max
	Valued Performer	8 ½ to 9 ½%	7 ½ to 8%	6 ½ to 7% or to Range Max
	Contributor	5 ½ to 6%	4 to 5%	0
	Needs Improvement	0	0	0
	Unacceptable	0	0	0

3.4 DEFERRED COMPENSATION PROGRAM:

The ICMA Deferred Compensation Program will be available to miscellaneous unrepresented employees with participation on a voluntary basis.

3.5 SHORT-TERM/LONG TERM DISABILITY PROGRAM:

In addition to coverage under the State Disability Insurance Program, the City provides eligible full-time employees with additional short-term disability coverage to integrate with SDI for a weekly benefit of 60% of covered earnings and a long-term disability program to provide a monthly benefit of 66 2/3% of covered earnings, such coverage to be at City expense. This program will be at the expense of the City.

3.6 OUTSTANDING OBLIGATIONS UPON TERMINATION:

If any affected employee has any outstanding obligations due to the City, such as advance use of any benefits subject to pro-rata distribution based on the proportion of the fiscal year served or lost or damaged equipment, at the time of termination, the employee will be so notified and such amounts shall be deducted from the cash value of any accumulated or unused leave time or base wages prior to final payment of such amounts to the employee.

3.7 CAL PERS RETIREMENT:

The City provides retirement benefits through the California Public Retirement System pursuant to Section 7.

3.8 HEALTH, DENTAL AND WELLNESS PROGRAM:

The City provides health, dental and wellness benefits pursuant to Section 8.

3.9 REPORTING HOURS WORKED

A. Individual employees are responsible for accurately reporting time worked. All hours worked shall be reported by clocking in and out on the computer at their work station. Actual time worked will be recorded in straight time on a daily basis and tabulation of time at the end of the work period will be computed to the exact hour and fractional minutes. Such time shall be verified by the employees' supervisor.

A. The City acknowledges that from time to time a computer clock may gain or lose time, causing the clock to be off by minutes. The City agrees to take this into consideration whenever it appears that the computer clock has caused an employee to clock in late. If an employee becomes aware of such a problem with his/her computer, it should be reported immediately to their supervisor.

B. If access to a computer is not available, all hours worked by employees shall be reported on time sheets provided and shall be stated in straight time hours. The individual employee is responsible for accurately reporting all hours worked. Hours worked shall be reported in not less than quarter (1/4 or .25) hour increments of time actually worked. Time worked 7 1/2 minutes or less will not be reported and time worked in excess of 7 1/2 minutes will be reported as a quarter (.25) hour. Such time shall be verified by the employees' supervisor.

3.10 BREAKS

Each affected employee shall be entitled to a sixty (60) minute lunch period and two breaks per eight-hour working day. The morning break and the afternoon break is fifteen (15) minutes. Breaks shall not be taken earlier than one hour after starting work in the morning or lunch, or later than one hour before lunch or the end of the working day.

Breaks do not accumulate and will be scheduled by the employee's supervisor.

3.11 OVERTIME RECORDS

Employees shall report all overtime in actual hours worked on their biweekly timesheet. The Finance office shall convert all reported overtime hours worked to time and one-half, identify reported overtime hours worked as regular overtime hours and/or premium overtime hours and record such converted hours to the credit of the affected employee. All overtime must be authorized in advance (unless in emergency) by the affected employee's department head.

3.12 OVERTIME COMPENSATION

The affected employee shall be compensated for overtime hours as follows:

1. Timesheets determine actual hours worked by calculating the elapsed time between clock in times and clock out times in nearest quarter hour increments. See Section 43.C (Reporting Hours Worked).

2. PTO, holiday, compensatory time off and other time not actually worked are not counted as hours worked for overtime purposes.

3. Hours worked in excess of the employee's regularly scheduled hours in any one work day (12 midnight to 12 midnight) or in excess of the employees total regularly scheduled hours in any work week (Saturday through Friday) are recorded as overtime hours worked. Hours worked that are in excess of both the employee's regularly scheduled hours in the work day and the work week are not considered as separate incidents of overtime worked.

4. Hours worked on a day that has no scheduled hours (usually weekend days or holidays) are recorded as overtime hours worked with a two hour minimum.

5. At the end of each two week pay period, total overtime hours worked are accumulated and categorized as either (a) premium overtime hours or (b) regular overtime hours.

    a. Hours worked in excess of the employee's total regularly scheduled hours in any work week are categorized as premium overtime hours.

b. total overtime hours less hours categorized as premium overtime hours are categorized as regular overtime hours.

6. Regular overtime hours are multiplied by 1.5 and the resulting hours are added to the employee's overtime bank.

7. Premium overtime hours are multiplied by 1.65 (1.5 x 1.1) and the resulting hours are added to the employee's overtime bank.

8. Hours in an overtime bank in excess of 100 at the end of a payroll cycle will be paid in the subsequent payroll at the employee's regular base pay hourly rate then in effect and the paid hours deducted from the overtime bank.

9. At the employee's choice, overtime hours recorded on an employee's timesheet may be either (a) paid or (b) accrued to the employee's overtime bank to be used as compensatory time off or paid at a later time as provided in this section. Employees must specify in writing on their timesheet which one of these two options is chosen. If the employee does not specify how the overtime hours should be treated, the default option is to be paid for the hours.

10. If an employee elects and is approved to use some or all of the employee's accrued overtime bank as compensatory time off, the amount taken as compensatory time off shall be deducted from the employee's overtime bank on an hour-for-hour basis.

11. No overtime shall be worked without department head approval in advance in writing. However, such approval shall be given in any case in which the affected employee worked such overtime at the direction of a supervisor. No overtime shall be authorized or worked for the convenience of the employee (i.e. voluntary shift trading, etc.).

12. Management shall assign overtime work as equitably as possible among all qualified employees in the same classification in the same organizational unit and work location.

13. Employees with accumulated overtime hours accrued in their overtime bank may, not more often than four times per fiscal year, request and receive a cash buy-out of a portion or all of such accumulated accrued time in their overtime bank. Such payment will be made on the next regular pay period and paid at the employee's regular base pay hourly rate then in effect.

14. When employment with the City terminates the City shall make a cash payment for the employee's accumulated unused overtime bank time on the books at the employee's regular base pay hourly rate then in effect.

3.16 HOLIDAY PAY

A. Unless otherwise provided herein, a regular employee not working on a holiday will receive one day's pay at his or her normal straight time rate, exclusive of shift or temporary or relief supervisory differentials. Said holiday pay shall not be paid if the employee is not in a pay status the last normal working day before a holiday or the first normal working day after such holiday. See Section 3.1 for definition of pay status.

B. An employee who is scheduled to work on a holiday but fails to report for work and fails to notify the City or provide evidence of an emergency is unexcused and will not receive payment for the holiday.

C. If a holiday falls on a normal workday which is during an approved leave, at the employee's option the employee will not be charged for a leave day the day of the holiday, or may be given an additional day at the beginning or end of his/her leave. Holidays which fall on normal working days within an unpaid leave of absence, will not be counted as workdays and will not be recognized for pay purposes.

D. Employees who are required to work on holidays will receive, in addition to the holiday pay provided for in this section, straight time pay for hours worked and time and one-half for hours worked beyond 8 hours or a normal shift, whichever is appropriate.

3.17 CALL BACK PAY

A. Any affected employee called out to work after his/her normal working hours shall receive a minimum of two (2) hours cash compensation at the rate paid for overtime work. In such cases all work in excess of the two (2) hour minimum shall be compensated at the regular overtime rate. The overtime rate shall be based on the employee's base hourly rate. Call-back shall be defined as being called out to work outside one's normal working hours by the Sheriff's Department, City Manager, or Department Head r on an unscheduled basis.

An employee should not respond to a call-back if any alcoholic beverage has been consumed or a medication taken that might impair his/her ability to perform the duties required.

B. All affected employees who are required and/or authorized to attend an authorized meeting which starts after the established work day shall be credited for a minimum of two (2) hours overtime. For all time in excess of two (2) hours, normal overtime policies shall be in effect.

C. In the event a call-out exceeds two (2) hours in actual time for an employee, the time reported for payroll purposes only shall begin with ten (10) minutes prior to the employee reporting for work and end ten (10) minutes after the time the employee leaves work to return home.

D. Employees in off-duty status will not be required to respond to call-back. The exception being when a state of emergency has been declared by the City Manager.

3.18 MOVE-UP PAY

A. In the event any affected employee is required to work more than two (2) working days in succession in a classification higher than that for which he/she is being compensated, in an unforeseen situation, such employee shall receive ten percent (10%) increase over his/her normal compensation, or the rate of compensation of the position filled, whichever is less, for the time over two days when such employee works in the higher classification.

In the event of planned assignment due to vacation or other cause known in advance, such move-up pay shall be paid for all time worked if such work exceeds two successive working days, including the first two days worked.

B. Position for which said "move-up" pay is eligible is as follows: Acting Parks and Recreation Superintendent.

C. For the purposes of this memorandum, base compensation is defined as the base salary paid to the employee without additions for overtime, medical insurance, longevity, expense or other benefits. If any affected employee is requested to work in a higher class for a cumulative total of ten (10) days, not including vacations and/or days off due to flexible work schedule, during any fiscal year, such employee shall then be paid at the increased rate for all such days worked.

3.19 WITNESS AND JURY DUTY PAY

Required court time for off-duty regular full-time City employees shall be treated as overtime, with the minimum time being two (2) hours for any one day. This section shall not apply to any on-duty employees. This section shall apply only in court cases dealing with the scope of employment and shall not apply in cases of a personal or non-job related court action.

Every classified employee of the City who is called or required to serve as a trial juror shall be entitled to absent himself or herself from his/her duties with the City during the period of such service or while necessarily being present in court as a result of such call. Said employee shall continue to receive his/her full compensation from the City while serving on such jury duty, but shall reimburse the City the amount of daily per diem fees (exclusive of travel expenses) paid to such employee while acting as a juror.

3.20 STANDBY PAY

Only the City Manager or Acting City Manager may order standby status. When on standby status, an employee shall be required to be on call during normal time off, accessible by telephone or other agreed upon electronic device and available to report to work immediately.

The City agrees to pay two hours of straight time pay, or the employee may elect to take two hours compensatory time, per twenty-four (24) hour period or portion thereof in excess of four (4) hours when any miscellaneous unrepresented employee is required to-be on call on a standby basis at home during normal time off. This does not include informal alerts or requests to keep the City Manager or Acting City Manager advised of whereabouts during possible emergencies.

An employee on standby status must be in physical condition to adequately to perform his/her duties and must not have consumed any alcoholic beverage or taken medication or other substance that might, in any way, hinder performance of his/her duties.

SECTION 4

LEAVE REGULATIONS

4.1 ANNUAL LEAVE:

The purpose of annual leave is to enable each eligible employee annually to return to work mentally refreshed. All employees in the competitive service shall be entitled to annual leave with pay except the following:

(a) Employees who have served less than six (6) months in the service of the City. However, leave credits for the time will accrue for each such regular full-time employee.

(b) Employees who work on a provisional basis, all employees who are not employed in a regular classified position, and employees who work 1040 hours or less per year.

4.2. LEAVE TIME (Leave Bank):

In place of separate leave accrual for vacation, sick leave, paid administrative leave and floating holidays, each employee will accrue leave in a Leave Bank that will be inclusive of all such leave benefits (vacation, sick, floating holidays, paid administrative leave and approved paid personal leave).

4.3 LEAVE ACCRUAL:

Employees will accrue leave time based on years of service. Except as set forth in section 4.4, at the beginning of each fiscal year employees will receive a leave bank equal to his/her annual leave entitlement. The accrued leave time shall be subject to the following provision:

If employment with the City starts after July 1 or ends before June 30 of any fiscal year, accrued leave time will be prorated for the fiscal year in question. Upon termination of employment with the City, the cash value of any unused leave time in excess of that which would have been earned had it been granted on a pro-rated monthly basis and other outstanding obligations due the City shall be deducted from the final payment to the employee.

Accrued leave time can be taken off prior to the date of retirement and used to delay final date of employment if it is requested in writing and approved at least thirty (30) days prior to the scheduled date that such leave would begin.

Annual leave shall be accrued in accordance with the following established schedule:

4.4 LEAVE BANK SCHEDULE

(Days on leave bank schedule are figured as eight (8) hour days)

Years of Service	***Accrual***	
One through three	144 hours	(18 days)
Four through six	160 hours	(20 days)
Seven through ten	184 hours	(23 days)
Eleven	192 hours	(24 days)
Twelve	200 hours	(25 days)
Thirteen through fifteen	208 hours	(26 days)
Sixteen through eighteen	224 hours	(28 days)
Nineteen or more	232 hours	(29 days)
Thirty years (longevity benefit)	24 additional hours	3 additional days

4.5 LEAVE ELIGIBILITY:

Employees are eligible for leave benefits the first of the month following one full month employment.

Leave periods shall be scheduled by management to provide adequate staffing. Such scheduling shall be subject to the needs of the City but shall take into account employee seniority and personal preference.

The City will make every effort to give maximum possible advance notice to the affected employee in the event scheduled leave must be cancelled or modified due to the needs of the service.

In the event one or more City holidays fall within an authorized leave with pay, such holidays shall not be charged as leave, and the leave shall be extended accordingly.

4.6 NOTIFICATION FOR USE OF LEAVE BANK:

Where use of accrued leave time is requested due to an emergency illness or other unexpected absence, the employee shall notify his immediate superior or Human Resources prior to, or within four (4) hours after the time set for beginning his/her daily duties when such leave is due to an emergency, unexpected or unplanned absence.

Except in cases of illness or extreme emergency, employees are required to make written requests through the appropriate supervisory channels for any use of accrued leave time as follows:

The written request shall be submitted at least two full working days in advance for leave requests of up to four working days; for leave requests of five working days or more, including requests related to planned medical treatment, the written request shall be submitted at least ten working days, but not earlier than ninety calendar days, prior to the beginning date of the requested leave. No use of accrued leave time or related absence is authorized until the employee's written request is approved in writing.

4.7 UNAUTHORIZED LEAVE

An employee's absence shall be unauthorized if such employee does not report his or her absence to his or her supervisor as designated by the department head within four (4) hours of his or her regular starting time, except in cases of emergency in which case the employee shall provide notification as soon as possible.

4.8 LEAVE BANK ACCUMULATION

A maximum of one hundred and twenty hours (15 days) of leave accrual will be the maximum allowed for carry-over at the end of a fiscal year, provided however, if at the end of year there are any leave bank hours over 120, the hours will be carried over and a commensurate number of hours shall be deducted from the employee's annual accrual in order that the maximum leave bank accrual not exceed an employee's annual accrual plus 120 hours.

4.9 LEAVE BANK CASH-OUT/OPTIONS

1. Each employee may cash-out up to eighty (80) hours of any unused leave hours accrued at the beginning of the fiscal year, provided the employee retains an accrued leave balance of at least forty (40) hours in their leave bank. Compensation for such cash-out of

unused accrued leave hours will be based on the employee's existing salary at the time the request is made.

2. Further, each employee may direct that all or any portion of the allowed cash-out amount be used to buy benefits offered under the Flexible Benefit Program.

4.10 TREATMENT OF ACCRUED LEAVE UPON TERMINATION

At the time of termination of employment, employees shall be paid the cash value of all unused accrued leave hours based on the employee's then existing salary rate; or, in the alternative, the employee may exercise the option to invest the cash value of such unused accrued leave hours in the Employee Flexible benefit Program.

If a retiring employee terminates employment during the year and is legally entitled to a distribution of unused leave, the employee may submit, in writing, his or her request for the City to "hold" payment of his or her accumulated leave until the following year. Such request must be submitted in writing in advance of the date of retirement and requires written approval by the City Manager before any disbursement can be made.

4.11 CATASTROPHIC EVENT:

In the event an employee in this group suffers a catastrophic event, e.g. serious illness, and there is not a sufficient leave balance to cover the employee's absence from the workplace, upon written request to the City Manager, an advancement of up to thirty (30) days of leave may be granted, with the understanding that it will be reimbursed to the City on a day-for-day basis from future allocated leave or reimbursed to the City as outlined under Section 3.6 Outstanding Obligations Upon Termination.

4.13 UNPAID LEAVE:

Leave of Absence Without Pay

The City Manager may grant a regular or probationary employee leave of absence without pay or accrual of employment benefits, such as paid time off or seniority, for reasons other than pregnancy, disability or family care leave, for a period not to exceed ninety (90) days. No employee shall be authorized leave without pay if said employee has accrued leave or compensatory time-off accrued on the books of the City. After ninety (90) days, the leave of absence may be extended if authorized by the City Council.

No such leave shall be granted except upon written request of the employee, setting forth the reason for the request, and the approval will be in writing. Upon return to duty following expiration of a regularly approved leave, the employee shall be reinstated in the position held at the time leave was granted. Failure on the part of the employee on leave to report promptly at its expiration shall be cause for discharge. The depositing in the United States mail of a first class letter postage paid, addressed to the employee's last known place of address shall be reasonable notice.

Department heads may grant a regular, or probationary employee leave of absence without pay for not to exceed one (1) calendar week. Such leaves shall be reported to the Human Resources Administrator.

No leave shall accrue to any employee during any full biweekly pay period in which the employee is on an authorized leave without pay in excess of five (5) days. Employee on leave without pay may also be responsible for full payment (employer and employee portion) of insurance premiums for insurance coverage during such leave. Benefits shall be continued at City expense during the first thirty (30) days of such leave.

Statutory Family and Medical Leave

1. Eligibility

The City provides eligible employees the opportunity to take unpaid leaves of absence for specific reasons in accordance with California's Moore-Brown-Roberti Family Rights Act (CFRA) and the federal Family and Medical Leave Act of 1993 (FMLA). To be eligible for FMLA/CFRA Leave, an employee must (1) have worked for the City for at least twelve months prior to the date on which the leave is to commence; and (2) have worked at least 1,250 hours in the twelve months preceding the leave.

2. FMLA Leave

a. Permissible Uses

"Family care leave" may be requested under the FMLA for (1) the birth or adoption of an employee's child, (2) the placement of a foster child with the employee; or (3) the serious health condition of an employee's child, spouse, or parent. "Medical leave" may be requested under the FMLA for an employee's own serious health condition. A "serious health condition" is one that requires either in-patient care in a medical facility or continuing treatment or supervision by a health care provider.

"Qualifying exigency leave" may be requested under the FMLA for qualifying exigencies arising out of the fact that an employee's spouse, son, daughter, or parent is on active duty (or has been notified of an impending call or order to active duty) in the Armed Forces in support of a contingency operation. "Qualifying exigencies" include certain absences related to short-notice deployment, military events and related activities, childcare and school activities, financial and legal arrangements, counseling, rest and recuperation, post-deployment activities, and additional activities. Employees may contact the Human Resources Administrator or her or his designee for more information about what qualifies as a "qualifying exigency."

"Military caregiver leave" may be requested under the FMLA to care for a "covered service member" if the employee is a spouse, child, parent, or next of kin of the "covered service member." A "covered service member" is:

- a member of the Armed Forces, including the National Guard and Reserves, who, because of a serious injury or illness incurred in the line of duty while on active duty that may render the member medically unfit to perform the duties of the member's office, grade, rank, or rating, is: (1) undergoing medical treatment, recuperation, or therapy; (2) in outpatient status; or (3) on the temporary disability retired list; or

- a veteran who is undergoing medical treatment, recuperation, or therapy, for a serious injury or illness and who was a member of the Armed Forces (including a member of the National Guard or Reserves) at any time during the period of five (5) years preceding the date on which the veteran undergoes that medical treatment, recuperation, or therapy.

b. Amount of FMLA Leave Available

Provided all the conditions of this policy are met, an employee may take a maximum of twelve (12) weeks total of family care leave, medical leave, and qualifying exigency leave under the FMLA in a 12-month period. This 12-month period is measured backwards from the date the employee's family care leave, medical leave, or qualifying exigency leave under the FMLA commences. Spouses who are both employed by the City may take a maximum combined total of twelve weeks of family care leave under the FMLA in a 12-month period for the birth, adoption, or foster care of their child.

Provided all of the conditions of this policy are met, an employee may take up to 26 weeks total of a combination of all leaves under the FMLA during a 12-month period (up to 12 weeks of which may be for FMLA leave other than military caregiver leave). The 12-month period used to measure this entitlement will commence upon the first use of military caregiver leave under the FMLA for a covered service member's particular injury.

3. CFRA Leave

"Family care leave" may be requested under the CFRA for (1) the birth or adoption of an employee's child, (2) the placement of a foster child with the employee; or (3) the serious health condition of an employee's child, spouse, domestic partner as defined in California Family Code Section 297, or parent. "Medical leave" may be requested for an employee's own serious health condition. A "serious health condition" is one that requires either in-patient care in a medical facility or continuing treatment or supervision by a health care provider.

Provided all of the conditions of this policy are met, an employee may take up to twelve (12) weeks of leave under the CFRA during a 12-month period. This 12-month period is measured backwards from the date the employee's family care leave or medical leave under the CFRA commences. Spouses who are both employed by the City may take a maximum combined total of twelve weeks of family care leave under the CFRA in a 12-month period for the birth, adoption, or foster care of their child.

Family care leave and medical leave under the CFRA typically run concurrently with family care leave and/or medical leave under the FMLA.

4. Intermittent Leave

FMLA/CFRA Leave taken for the birth, adoption, or foster care placement of a child generally must be taken in blocks of at least two (2) weeks' duration; however, the City will provide employees with family care leave for birth, adoption, or foster care placement for periods of less than two (2) weeks duration on any two (2) occasions. FMLA/CFRA Leave taken for the birth, adoption, or foster care placement of a child must be concluded within one (1) year of the birth, adoption, or placement.

Qualifying exigency leave under the FMLA may be taken on an intermittent or reduced schedule as required by the qualifying exigency.

FMLA/CFRA Leave for any other reason may be taken intermittently or on a reduced schedule where medically necessary. If FMLA/CFRA Leave is authorized to be taken intermittently or on a reduced schedule, the City retains the discretion to transfer the employee temporarily to an alternative position with equivalent pay and benefits which better accommodates the employee's leave schedule.

5. Substitution of Paid Leave

Employees are required to substitute accrued paid time off, including accrued compensatory time off, for all FMLA/CFRA Leaves, except that employees can retain a five (5) day balance of accrued paid time off.

If the employee is receiving payments from State Disability Insurance ("SDI") while on FMLA/CFRA leave, the accrued paid leave time will only be used in an amount which supplements the SDI payment such that the employee receives the full amount of his or her regular compensation as an active employee.

The substitution of paid leave time for FMLA/CFRA Leave does not extend the total duration of FMLA/CFRA Leave to which an employee is entitled. For example, if an employee has accrued two (2) weeks of unused paid vacation time at the time of the request for medical leave under the FMLA/CFRA, that paid vacation time will be substituted for the first two (2) weeks of FMLA/CFRA Leave, leaving up to ten (10) additional weeks of unpaid FMLA/CFRA Leave.

6. Leave's Effect on Pay

Except to the extent that other paid leave time is substituted for FMLA/CFRA Leave, FMLA/CFRA Leave is unpaid.

7. Leave's Effect on Benefits

During an employee's FMLA/CFRA Leave, the City shall continue to pay for the employee's participation in the City's group health insurance to the same extent and under the same terms and conditions as would apply had the employee not taken leave. Employees are required to continue to make any payments they normally make towards healthcare coverage premiums while on leave. In the event an employee on leave fails to make timely payment for their portion of healthcare coverage premiums, the City will notify the employee of such failure and, if payment is not made, terminate the coverage.

If the employee fails to return from the leave for a reason other than the recurrence or continuation of the health condition that brought about the leave or other circumstances beyond the employee's control, the City is entitled to recover any health premiums paid by the City on the employee's behalf during any unpaid period of the leave.

Employees on FMLA/CFRA Leave accrue employment benefits, such as paid time off or seniority, only when paid time off is being substituted for unpaid leave and only if the employee would otherwise be entitled to such accrual. If the employee is using accrued paid time off to supplement SDI payments as discussed above, he or she will accrue employment benefits on a pro rata basis.

8. Procedure for Requesting Family Care and Medical Leave

Notice Requirements

Employees should notify the Human Resources Administrator of their request for FMLA/CFRA Leave as soon as they are aware of the need for such leave. For foreseeable events, if possible, the employee shall provide thirty (30) calendar days' advance written notice to the Human Resources Administrator of the need for FMLA/CFRA Leave. For events that are unforeseeable thirty (30) days in advance, but are not emergencies, the employee must notify the Human Resources Administrator, in writing, as soon as he/she learns of the need for the leave, ordinarily no later than one (1) to two (2) working days after the employee learns of the need for the leave. If the leave is requested in connection with a planned, non-emergency medical treatment, the employee may be requested to reschedule the treatment so as to minimize disruption of the City's business.

If an employee fails to provide the requisite 30-day advance notice for foreseeable events without any reasonable excuse for the delay, the City reserves the right to deny the taking of the leave.

All requests for FMLA/CFRA Leave should include anticipated date(s) and duration of the leave. Any requests for extensions of an FMLA/CFRA Leave must be received at least five (5) working days before the date on which the employee was originally scheduled to return to work and must include the revised anticipated date(s) and duration of the family care or medical leave.

Certification

Any request for FMLA/CFRA Leave must be supported by proper certification of the need for leave. For foreseeable leaves, employees must provide the required certification before the leave begins. When this is not possible, employees must provide the required certification within fifteen (15) calendar days after the City's request for certification, unless it is not practicable under the circumstances to do so, despite the employee's good faith efforts. Failure to provide the required certification may result in the denial of foreseeable leaves until such certification is provided. In the case of unforeseeable leaves, failure to provide the required certification within fifteen days of being requested to do so may result in a denial of the employee's continued leave. Any request for an extension of the leave also must be supported by an updated certification.

Certification of family care leave under the FMLA/CFRA shall include (1) the date on which the serious health condition commenced; (2) the probable duration of the condition; (3) the health care provider's estimate of the amount of time needed for family care; and (4) the health care provider's assurance that the health care condition requires family care leave.

Certification of medical leave under the FMLA/CFRA shall include (1) the date on which the serious health condition commenced; (2) the probable duration of the condition; (3) a statement that, due to the serious health condition, the employee is unable to perform the functions of his or her position; and (4) in the case of intermittent leave or revised schedule leave where medically necessary, the probably duration of such a schedule. In addition, the certificate may, at the employee's option, identify the nature of the serious health condition involved. If the City has reason to doubt the validity of the certification provided by the employee, the City may require the employee to obtain a second opinion from a doctor of the City's choosing at the City's expense. If the employee's health care provider and the doctor providing the second opinion do not agree, the City may require a third opinion, also at the City's expense, performed by a mutually agreeable doctor who will make a final determination. Before permitting the employee to return to work, the City may also require the employee to provide medical certification that he or she is able to return to work.

Certification of a military caregiver leave under the FMLA shall be either (1) an appropriate medical certification from an authorized health care provider or (2) a copy of an Invitation Travel Order or Authorization issued by the Department of Defense.

The nature and format of the certification of a qualifying exigency leave under the FMLA will vary depending on the nature of the qualifying exigency, and will typically include a copy of the active duty orders for the employee's spouse, son, daughter, or parent.

9. Leave's Effect on Reinstatement

Employees returning from FMLA/CFRA leave are entitled to reinstatement to the same or comparable position consistent with applicable law, provided that the total period of the FMLA/CFRA Leave does not exceed the employee's maximum leave entitlement as described above.

Employees who take medical leave under the FMLA/CFRA for their own serious health condition must provide medical certifications verifying that they are able to return to work in the same manner as employees who return to work from other types of medical leave.

Pregnancy Disability Leave (PDL)

Under the California Fair Employment and Housing Act (FEHA), if an employee is disabled by pregnancy, childbirth or related medical conditions, she is eligible to take a pregnancy disability leave (PDL). If an employee is affected by pregnancy or a related medical condition, she is also eligible to transfer to a less strenuous or hazardous position or to less strenuous or hazardous duties, if this transfer is medically advisable.

- The PDL is for any period(s) of actual disability caused by pregnancy, childbirth or related medical conditions up to four (4) months (or eighty-eight (88) work days for a full-time employee) per pregnancy.
- The PDL does not need to be taken in one continuous period of time but can be taken on an as-needed basis.
- Time off needed for prenatal care, severe morning sickness, doctor ordered bed rest, childbirth, and recovery from childbirth would all be covered by the PDL.
- Except as other specifically provided in this section, generally, the City is required to treat pregnancy disability the same as the City treats other disabilities of similarly situated employees. The leave will be unpaid.

Employees on PDL will be required to obtain a written certification from their health care provider of the pregnancy disability or the medical advisability for a transfer. The certification should include:

1. The date on which the employee becomes disabled due to pregnancy or the date of the medical advisability for the transfer;

2. the probable duration of the period(s) of disability or the period (s) for the advisability of the transfer, and

3. a statement that, due to the disability, the employee is unable to work at all or to perform any one or more of the essential functions of the position without undue risk to herself, the successful completion of the pregnancy or to other persons or a statement that, due to your pregnancy, the transfer is medically advisable.

At the employee's option, any accrued paid time off may be used as part of the pregnancy disability leave before taking the remainder of the leave as an unpaid leave. However, taking paid time off during the period of the pregnancy disability leave does not extend the maximum time allowed for such leave. Employees may also be eligible for state disability insurance for the unpaid portion of the leave.

Employees on PDL accrue employment benefits, such as paid time off or seniority, only when paid time off is being substituted for unpaid leave and only if the employee would otherwise be entitled to such accrual. If the employee is using accrued paid time off to supplement SDI payments she will accrue employment benefits on a pro rata basis.

An employee who is on a leave of absence for a period in excess of two (2) months must notify the Human Resources Administrator by the end of each month thereafter both of the status of the disability and the employee's continued intent to work once the employee recovers from the disability. An employee returning from an absence shall be required to provide a physician's certification that indicates that she is fit to return to work.

An employee who returns to work at the end of a leave of absence due to pregnancy, childbirth or related medical condition will be returned to her former position, if possible, or will be offered the first available opening in a comparable position for which she is qualified.

An employee who returns from a leave of absence due to pregnancy will be credited with all service prior to the commencement of her disability.

An employee who fails to report for work at the end of an approved leave will be deemed to have voluntarily resigned.

During an employee's approved PDL, the City shall continue to pay for the employee's participation in the City's group health insurance to the same extent and under the same terms and conditions as would apply had the employee not taken leave, for up to four months. Employees are required to continue to make any payments they normally make towards healthcare coverage premiums while on leave. In the event an employee on leave fails to make timely payment for their portion of healthcare coverage premiums, the City will notify the employee of such failure and, if payment is not made, terminate the coverage. The City is entitled to recover any health premiums paid by the City on the employee's behalf during any unpaid period of the leave if the employee fails to return from the PDL for a reason other than one of the following: (1) the employee takes FMLA/CFRA Leave; (2) the continuation, recurrence or onset of a serious health condition or serious injury or illness within the meaning of FMLA/CFRA; or (3) other circumstances beyond the employee's control as provided by law.

Employees on PDL accrue employment benefits, such as paid time off or seniority, only when paid leave is being substituted for unpaid leave and only if the employee would otherwise be entitled to such accrual. If the employee is using accrued paid time off to supplement SDI payments as discussed above, he or she will accrue employment benefits on a pro rata basis.

Paid Family Leave

Employees who are covered by the state's SDI program will be eligible for reimbursement for up to six (6) weeks during a twelve (12) month period of qualifying unpaid leave, for the purposes of bonding with a newborn child (up to one (1) year from birth or adoption), or to care for a family member or domestic partner.

An employee who is eligible for SDI benefits may only become eligible for PFL benefits after SDI benefits are no longer being paid. SDI benefits are payable when an employee is disabled for a non-work related reason, which may include pregnancy; PFL benefits are for baby bonding and for providing care to a family member.

Once an employee is no longer disabled, and (in the case of pregnancy) has given birth, her SDI benefits may cease and she may apply for baby bonding benefits under PFL.

Once an employee applies for PFL, there is a seven (7) day unpaid waiting period before the employee may start receiving benefits. However, an employee who previously served a waiting period before receiving SDI benefits will not have to serve another waiting period before receiving PFL benefits. Employees may use their accrued paid time off during the seven (7) day waiting period.

Paid Family Leave is administered by the State of California and may be modified by the State from time to time.

4.14 DEATH OR CRITICAL ILLNESS IN IMMEDIATE FAMILY BEREAVEMENT LEAVE

An employee eligible for benefits, upon the necessity of his absence being shown to and with the consent of the City Manager, may be allowed to be absent from the duties of his/her position and to receive full compensation during such absence for bereavement leave. Eligible City employees shall be entitled to bereavement leave, in addition to any other leave, to provide up to three (3) working days per year with pay in the case of death or of critical illness where death appears eminent. This time shall be in addition to accrued leave time or compensatory time. The City shall cooperate with the employee in providing time off, using accrued leave time, or compensatory time, for any additional bereavement needs if the three (3) days bereavement leave has been used.

Such benefit shall apply to all immediate family members, to include spouse, child, brother, sister, parent (including step family and in-laws), grandparents and grandchildren when the relationship of the person to the employee warrants such use of bereavement leave. Where such death or critical illness has occurred, the employee shall furnish satisfactory evidence of such death or critical illness to his/her department head.

Such leave of absence shall not be allowed in any case where, in the preceding six (6) calendar months, a leave of absence for the critical illness of that same relative has been granted. Such bereavement leave is not cumulative from year to year.

Leave to attend the funeral of a co-worker will be acceptable to the City upon Department Head approval consistent with maintenance of operations. Such leave is considered leave with pay and not charged to any other leave.

4.15. CONFLICT OF LAWS

In the event of any conflict between the provisions of this Conditions of Employment and Federal or State laws, such Federal or State laws shall prevail.

SECTION 5

5.1 LEGAL HOLIDAYS

A. The City has established the following schedule of days that shall be observed as legal holidays by all affected employees, at which time the City's administrative offices will be closed. The City observes twelve holidays. Three floating holidays are included in the leave bank.

January 1st	New Year's Day
January 2nd	Day before or day after New Year's Day
3rd Monday in January	Martin Luther King Day
3rd Monday in February	President's Day
Last Monday in May	Memorial Day
July 4th	Independence Day
1st Monday in September	Labor Day
November 11th	Veteran's Day
4th Thursday in November	Thanksgiving Day
4th Friday in November	Friday following Thanksgiving
December 25th	Christmas Day
December 26th	Day before or day after Christmas

B. When a holiday falls on a Saturday or Sunday, the preceding Friday or the following Monday respectively shall be observed as the legal holiday. If the holiday falls on an employee's flex day, the flex day may be rescheduled within the same work week. Rescheduled flex days off that are rescheduled because a holiday falls on that day are subject to prior approval by the Department Head and appropriate notification to the Administrative Services Department.

D. Observance of a legal holiday on a Friday or Monday, at which time the City's Administrative offices will be closed, will not create overtime or the loss of time from an employee's leave bank if the holiday falls on a regularly scheduled flex day. The hours for any given holiday will relate to the number of hours of the employee's regular scheduled work day for that particular day.

Nothing in this Conditions of Employment shall preclude the City from declaring a holiday when a legal holiday has been declared by the President of the United States or the Governor of the State of California or the City Council.

SECTION 6

WORKERS' COMPENSATION INSURANCE

The City will provide workers' compensation benefits when an employee is injured on duty arising out of and in the course of employment. Workers' Compensation coverage is currently through the California Joint Powers Insurance Authority.

For a period not to exceed six months, commencing with the first day following such injury, while a full-time City employee is totally disabled from industrial injury and on accepted workers' compensation status, employee shall be compensated in an amount equal to such employee's base wages at the time of such disability, less the aggregate of (a) any workers' compensation benefits, and (b) any other disability payments made to such employee. Such payment shall be limited to said six month period or until such employee is retired on permanent disability or terminated from the City's employment, whichever comes first.

An employee shall be entitled to benefits at the normal rate if the employee is on accepted workers' compensation status and in a pay status for up to six months as defined above.

The workers' compensation carrier of the City reserves the right to subrogate if a claim is filed by an employee against a third party.

SECTION 7

RETIREMENT PROGRAM

A. The City shall continue to participate in the California Public Employees Retirement System (CalPERS). Under CalPERS, the City provides the 2%@55 Miscellaneous Plan formula for all employees who are "classic members" as defined by the Public Employees' Pension Reform Act of 2013 (PEPRA). Classic employees are currently defined as those who were enrolled in CalPERS as of December 31, 2012. For all "new members" as defined by PEPRA, the City provides the 2%@62 formula. New members are currently defined as employees not previously enrolled in CalPERS as of January 1, 2013 (and not meeting certain exceptions provided under PEPRA). Employees of the

City who are hired on or after January 1, 2013 who classified as new members under PEPRA are subject to the other CalPERS terms and conditions set forth in PEPRA.

B. Effective the first pay period after the necessary contract amendment is approved by CalPERS, City employees who are classic members shall share in the cost of CalPERS coverage through payroll deduction as follows:

Employees will contribute a portion of the required employer contribution equal to 4.5% of "compensation earnable." This 4.5% contribution by employees to the employer contribution will be considered to be a contribution towards the normal cost as defined under PEPRA.

C. In addition, the City's contribution toward Employer Paid Member Contribution (EPMC) shall be reduced as follows:

1. Effective the pay period beginning January 3, 2015, the City's contribution toward EPMC shall be reduced to 5.75%, with employees paying 1.25% of "compensation earnable."

2. Effective the first pay period after July 1, 2015, the City's contribution toward EPMC shall be reduced to 4.5%, with employees paying 2.5% of "compensation earnable."

D. Employees who are hired after January 1, 2013 and are new members under PEPRA, shall pay the full member contribution amount which is currently 6.5% of "compensation earnable." However, new members will not contribute toward any portion of the required employer contribution.

E. The City shall continue to report the value of the EPMC on all reportable compensation subject to CalPERS for all employees in Management as adopted by Resolution 5564.

SECTION 8

HEALTH/DENTAL BENEFITS
FLEXIBLE BENEFIT PROGRAM

8.1 LIFE, HEALTH AND DENTAL BENEFITS

A. The City will maintain a life insurance program providing a $50,000.00 term life insurance policy for the miscellaneous unrepresented employee only, the beneficiary to be designated by said employee. The cost of the premium for this policy to be paid in full by the City. Employees retiring with 20 years or more of continuous service with the City and enrolled in the City's group life insurance program at the time of retirement, may continue to be covered in the City's group life insurance program at City expense for a life benefit of $10,000. Extended coverage will not include AD&D benefits.

B. The City will maintain the current health insurance program with the Public Employees Retirement System (PERS) Medical and Hospital Care Act pursuant to Government Code Section 22850. The health insurance program shall be available to all regular full-time employees and retirees as follows:

- Each eligible employee or retiree may select a health insurance carrier providing coverage in the Carpinteria geographic area, as defined and provided by PERS and currently in effect and on file in the City Human Resources Office.

  For the period through and including December 31, 2015, the City will continue to pay the health insurance premium for each employee, including for dependents coverage where applicable, up to the premium amount for coverage under the PERS Health Benefit Program HMO Plan (Blue Shield Access + HMO). Beginning the first pay period after January 1, 2016, employees will pay by payroll deduction or through the Flexible Benefit Program a monthly contribution to their health insurance premium (employee and dependents) in an amount equal to .029% of their annual base salary. (For example, if an employee's annual base salary is $50,000, the monthly contribution for that employee would be $14.58.) In the event the Blue Shield Access + HMO plan is no longer available to City employees, the most similar HMO plan will be substituted for purposes of this provision.

  In addition, a Health Care All Employee Committee will be created to evaluate options for the provision of health insurance. The City will schedule these Committee meetings as appropriate in 2015 and, if needed, 2016. However, the City will not initiate any changes to the currently existing health insurance plans during the term of this Conditions of Employment without consulting with the Miscellaneous Unrepresented Employee.

  Employees shall be responsible for payment as a payroll deduction or through the Flexible Benefit Program, for any health insurance premium contribution which exceeds the City's contribution amount for the premium for coverage under the PERS Health Benefit Program HMO plan.

- Health insurance coverage for newly hired employees will commence on the first day of the month following one full month of employment. If hired prior to the 15th day of the month, the month of hire will count as a full month of employment and coverage will be effective the first day of the following month. If hired after the 15th day of the month the month of hire will not count as a full month and the following month will be the first full month of employment.

- For covered employees who terminate during the fiscal year, such health insurance coverage shall end on the last day of the month following when said termination becomes effective, except that the provisions of COBRA may be applied at the employee's option. Covered employees who retire from the City under PERS may, at their option, continue such coverage without interruption pursuant to the provisions of the PERS Health Program.

C. The City will provide 100% of the premium charged to maintain a Dental Insurance Plan for each employee in the Miscellaneous Unrepresented group where appropriate, for dependent coverage. The City will retain control over the administration of the dental insurance program subject to maintenance of equivalent benefits to the extent it is within the control of the City, and subject to any applicable obligations under the Meyers-Milias-Brown Act.

8.2 DENTAL INSURANCE:

The City will provide 100% of the premium charged to maintain a Dental Insurance Plan for miscellaneous unrepresented employees and, where appropriate, dependent coverage. COBRA benefits may be applied at the employee's option. Dental coverage will commence on the first day of the month following one full month of employment.

8.3 FLEXIBLE BENEFIT PROGRAM (WELLNESS) ALLOWANCE:

In addition to the Health and Dental Insurance Programs, management employees will be eligible to receive an annual Flexible Benefit Program allowance of $1,162 following one full month of employment. This benefit is based on a calendar year and the allowance will be pro-rated to the first day of the month following one full month of employment.

(1) The purpose of the Flexible Benefit Program is to provide reimbursement to the covered employee for eligible medical and health-related costs not otherwise covered by health insurance or subject to reimbursement from any other source or to participate in other benefits provided by the Flexible Benefit Program. Payment of medical costs, not covered by insurance, must be prescribed by a physician or determined by the Internal Revenue Service (IRS) to be an eligible health-related expense.

(2) In addition to reimbursement for eligible medical and health related costs and dependent care, the employee will have the option to purchase benefits offered through the Flexible Benefit Program up to the allowance of $1,162. The level of participation in benefits provided by the Flexible Benefit Program will be at the option of each employee. Employees may cash out up to $810 of the Flexible Benefit allowance, subject to applicable payroll taxes. The balance must be used for health-related expenses.

(3) Reimbursement for bona fide health-related expenses, which may be eligible expenses under the Flexible Benefit Program may be subject to payroll taxes unless related to a particular medical condition and so prescribed by a medical doctor.

8.4 OUTSIDE COVERAGE OPTION:

(1) The employee is not required to select or participate in any health/dental insurance program provided by the City, but such employee must provide satisfactory documentation that he/she is covered by an alternative health/dental insurance program.

(2) Those employees with proof of health and/or dental insurance coverage who choose not to participate in the City's group health insurance and/or dental insurance program due to the availability of other coverage through a spouse's employer, the military, or other source, will receive, in addition to the $1,162 Flexible Benefit Program allowance, a benefit allowance equal to fifty percent (50%) of the premium charged for the annual single coverage in the PERS basic HMO Plan available to this group of employees. An employee shall receive this benefit allowance as a one-time cash payment, with such payment subject to payroll taxes.

(3) Those eligible employees who participate in the city's group health insurance program and have an eligible spouse or family dependents, but select single coverage and choose to cover any dependents under insurance offered through a spouse's employer, the military, or other source will receive, in addition to the employer contribution, the fully paid premium contribution balance for single health insurance coverage and the $1,162 benefit allowance as provided for in Section 8.3, plus an additional benefit equal to 50% of the allowance specified in the above section, 8.4 (2).

8.5 FITNESS PROGRAM:

The City will reimburse employees at the rate of thirty-one dollars and twenty cents ($31.20) per month for the employee's membership fee at an athletics club or fitness program approved by the City Manager. Only full-time employees are eligible to receive this benefit.

This benefit will be made available to each management employee and councilmember subject to budgetary constraints and fiscal allocation. The level of participation in this benefit will be at the option of each employee.

SECTION 9

SERVICE AWARDS

The City of Carpinteria has established appropriate service awards to recognize continuous service with the City at levels of five, ten, fifteen, twenty, twenty-five and thirty years. In addition, in recognition of long-time service of twenty-five and thirty years eligible employees are entitled to the following recompense:

Twenty Five Year Service Recognition

Upon completion of twenty-five years of continuous service, eligible employees will be entitled to two personal days of leave per fiscal year. The hours will not have a cash value nor will they accumulate to be carried over to the next fiscal year. The use of the days as time off shall be subject to the regular request process.

Thirty Year Service Recognition

Upon completion of thirty years of continuous service, eligible employees will receive a commemorative recognition gift and be entitled to have three additional days added to their existing leave bank hours.

SECTION 10

LIFE INSURANCE

10.1 LIFE INSURANCE:

The City will maintain a group term life insurance policy for employee only, the beneficiary to be designated by the employee. The cost of the premium for this policy to be paid in full by the City. The coverage of such life insurance for this classification of employee will be $50,000 subject to conditions of the providing life insurance company.

Employees retiring with 20 years or more on continuous service with the City and enrolled in the City's group life insurance at the time of retirement, may continue to be covered at City expense for a life benefit of $10,000. Extended coverage will not include AD&D benefits.

SECTION 11

EDUCATION/TRAINING AND PROFESSIONAL DEVELOPMENT

11.1 TRAINING PROGRAMS:

A. The City recognizes the importance of training programs and advancement of employees to higher skills and encourages employees to participate in programs to improve his/her performance on the job. All direct costs for all training or instruction required by the City shall be paid for by the City, provided however that no overtime shall accrue to employees for travel time to or from any training program conducted on a non-City site.

B. To the extent funding is available, the City shall provide for tuition and textbook reimbursement for regular full-time employees to a maximum of $200 per fiscal year.

Only educational course work required by the city and directly related to the affected employee's position with the City will be considered for reimbursement. Only costs for the books required for approved courses shall be deemed reimbursable. All application for reimbursement shall be approved by the City Manager prior to enrollment in the coursework. Reimbursement will be made upon written proof that the employee received a final grade of B or better or, in the case of a non-grade course received a Pass or Satisfactory final grade.

D. Meeting, travel and/or training expenses will be paid and/or reimbursed with prior authorization by the City Manager as described above and in conformance with the City's Travel and Expense Reimbursement Policy.

SECTION 12

MISCELLANEOUS POLICIES

12.1 PHYSICAL EXAMINATION:

The City encourages all employees to have an annual physical examination as provided for under our health insurance benefits.

12.2 DRESS AND DECORUM:

A. All employees shall observe professional standards of dress, shoes and decorum considered suitable for general public contact based on current social standards as interpreted by the City Manager.

B. While on duty, Code Compliance officers shall wear official City-issued uniforms. Field uniforms are not to be worn off duty. If a uniform is worn going to or from work, in order to not give the appearance of an employee being on duty when he/she is officially off duty, the City's uniform insignia must not be visible on public service uniforms.

C. Employees recognizes and will comply with standards of dress consistent with the positive representation of the City government through its employees. No dress codes other than the above standard are to be established in the various departments other than those which are related to uniform requirements and safety policies established by the City.

12.3 EMPLOYEE RESPONSIBILITIES:

Each employee shall comply with all safety laws, rules and regulations and adopted polices of the City in performing the duties required of his/her position; and shall not willfully violate any of the provisions of the ordinances and resolutions which have been adopted and/or prescribed by the Carpinteria City Council or City Manager.

12.4 DEATH BENEFIT:

The City shall pay a death benefit in the amount of Two Thousand Five Hundred Dollars ($2,500) directly to the spouse or legal heirs of any affected employee within seventy two hours of the death of any affected employee as the result of any industrial injury or illness as defined by Cal Osha Publication #120-A, sustained by such employee while on duty within the course and scope of his/her employment with the City of Carpinteria.

12.5 PARTICIPATION IN CITY-SPONSORED RECREATION PROGRAMS:

The City agrees to provide special employee rates to currently employed, regular full-time employees and their immediate family members who wish to participate in City-sponsored Parks and Recreation Department Programs. All fees are payable in advance of participation in the program. Requests for employee rates for City-sponsored programs should be in writing and approved by the Parks and Recreation Director and scheduled with the appropriate recreation program supervisor.

(1) Eligible employees will receive a fifty percent (50%) discount on tuition and registration only for City-sponsored recreation programs. Individual enrollees will be responsible for any ala carte activities scheduled in the programs, e.g. field and/or camping trips, movies, special meals, etc. A discount on recreation rental equipment is dependent upon availability.

(2) The annual fee for Community Pool Family Membership will be discounted Seventy-five percent (75%) The fee will include participation in the Masters' Program, Lap Swimming, Recreational Swimming and Water Aerobics.

12.6 EQUAL EMPLOYMENT OPPORTUNITIES

A. The City apply equally to all employees covered herein without favor or discrimination because of race, creed, color, sex, pregnancy (including childbirth, breastfeeding and/or related medical conditions), sexual orientation, age, national origin, religion, political or religious affiliations, organization membership, marital status, ancestry, military or veteran status, medical condition (genetic characteristics, cancer or a record or history of cancer), gender, gender identity, or gender expression, genetic information, or any other characteristic protected by state, federal or local law. The City will not discriminate against a qualified individual with physical or mental disability with regard to employment.

B. The City commist themselves to the goal of equal employment opportunity in all City services encourages its employees to assist in the implementation of the City's Equal Employment Opportunity commitment.

12.7 OFFICERS AND GRIEVANCE PROCESSING

A. Purpose

1. To promote employee morale and productivity by establishing a forum for resolving problems in the workplace by communication between employer and employee.

2. To provide a just and equitable method for resolution of grievances.

3. To afford employees, a systematic means of obtaining further consideration of problems after every reasonable effort through discussions has failed to resolve them.

4. To provide that grievances shall be settled as nearly as possible to the point of origin and shall be as informal as possible.

B. Grievance Defined

1. Grievance shall be defined as a claim by an employee or group of employees adversely affected by an alleged violation, misinterpretation or misapplication of department-wide policy or practice or City rules, regulations, resolutions, ordinances, or memoranda of understanding applicable to the employee, except as follows: Appeals of disciplinary actions of demotion, suspension, dismissal or probationary terminations.

2. Management has the right to establish policies governing the operation of City departments. However, allegations also subject to the grievance procedure are those in which the complaint concerns an inconsistent application of policy where the inconsistency results in a denial of the employee's rights under those policies defined in "A" above.

C. Initiation of Grievance

No act or activity which may be grievable may be considered for resolution unless a grievance is filed in accordance with the procedure contained herein within twenty (20) working days of the date the alleged activity/violation occurred or the date the employee became aware such activity/violation occurred.

In no event shall any grievance be accepted for consideration more than 6 months from the action or incident claimed as its basis regardless of the date of discovery. If the grievance is not presented within the time limitation herein provided, it shall be deemed not to exist.

D. Grievance Processing

The City may designate an officer for the purpose of investigating and/or processing grievances. Upon the request of the employee, the officer shall conduct and/or assist in the investigation, preparation and processing of grievances.

Prior to engaging in grievance handling, the officer shall notify his or her immediate supervisor of a request for assistance no later than five days prior to the requested time. The supervisor shall approve time for grievance processing during the scheduled work day hours prior to the steward or officer beginning the investigation, preparation and processing of grievances.

Both the officer and management agree to mutually cooperate in expediting the grievance handling process. The employee and the officer shall be afforded reasonable grievance handling time to jointly handle the grievance. It is agreed that every effort will be made to resolve grievances in an informal and timely manner as the first step in this process.

E. Procedure

Step One

Any employee who has a grievance shall first try to settle it through discussion with their immediate supervisor without undue delay.

Every effort shall be made to find an acceptable solution at the lowest possible level of supervision. The supervisor has seven (7) working days to respond to the grievant. Any grievance settled at this step shall be subject to the review and confirmation of the respective department head before the settlement may become effective. Such review will occur within seven (7) working days or the grievance shall automatically be moved to Step 2. In the event the department head does not confirm the settlement, the grievant may initiate Step 2 of this procedure.

Step Two

If a grievance is not settled in Step 1 or no response was forwarded to the grievant, he/she may file a formal grievance in writing to their Department Head within ten (10) working days after receiving the informal decision from their immediate supervisor or when decision was due. The grievant must submit his/her grievance in writing and must also explicitly specify the policy or the particular section of the Conditions of Employment, rule, resolution, or ordinance the violation of which is being alleged as the basis for the grievance. The remedy requested must also be specified. No modifications in the violation being alleged shall be made subsequent to filing unless mutually agreed to by both the City and the grievant.

The Department Head, after receiving the formal grievance, has ten (10) working days to render a decision in writing.

Step Three

If the grievance is not settled or an answer not forthcoming in Step 2, the grievant may appeal, in writing, within seven (7) working days from the expiration of the time limit for such decision under Step 2 or within seven (7) working days from the receipt of the decision of the department head to the City Manager.

Within ten (10) working days from receipt of appeal, the City Manager, shall deliver a written decision to the grievant.

Step Four

If the grievance is not settled or disposed of at Step 3, the grievant may request the services of a mediator from the State Mediation and Conciliation Service.

The grievance will be settled through mediation and both parties will agree to abide by the decisions made in the mediation process.

Under no circumstances will grievances of any kind for any reason proceed beyond the mediation process.

SECTION 13

MISCELLANOUS UNREPRESENETED PERSONNEL CLASSIFICATION AND COMPENSATION

CITY OF CARPINTERIA

Effective January 26, 2015

Position	Grade	Minimum	Control Point	Maximum
Engineering Tech	9	$44,246.91	$55,308.64	$60,840.34
Associate Planner	12	$56,552.86	$70,691.61	$77,760.44
Assistant Planner	10	$49,336.11	$61,670.56	$67,837.02
Code Compliance Officer II	8	$42,687.74	$53,360.20	$58,696.44
Management Assistant	7	$40,676.22	$50,845.81	$55,929.54
Management Analyst	7	$40,676.22	$50,845.81	$55,929.54
Code Comp. Officer I	6	$38,751.33	$48,440.22	$53,284.14
Pool Superintendent	6	$38,751.33	$48,440.22	$53,284.14
Parks & Rec. Tech.	6	$38,751.33	$48,440.22	$53,284.14
Aquatics Program Coordinator	5	$36,826.44	$46,033.06	$506,363.36

APPENDIX A

City of Carpinteria

Policy on Public Employee Personal Use of Telecommunication Equipment, Electronic Mail, Voice-Mail and other computer systems

PURPOSE

City-owned telecommunication equipment, computer hardware and software is intended to be used for business purposes. Electronic mail, known commonly as e-mail, is now a primary vehicle for communication in the workplace. As the use of e-mail increases, the need for a comprehensive policy clarifying the City's policy on personal use of City equipment becomes important to clarify the rights and obligations of employees and as a protection from potential liability for employers.

POLICY

The City of Carpinteria maintains and utilizes, as part of its operations, a computer system, voice-mail, e-mail and other systems. These systems are provided to assist employees in the conduct of City business. All computers and data stored on them, as well as all voice-mail and data stored on it, remain at all times, the sole property of the City of Carpinteria. As such, all voice-mail, e-mail and other messages composed, created, sent and received are, and remain, the property of the City of Carpinteria.

Use of computer systems, including voice-mail and e-mail systems, the Internet and other telecommunications capabilities for the conduct of personal business is discouraged and is to be limited to emergencies or urgent matters. All employees should be cognizant of the fact that the City of Carpinteria retains the right to inspect messages transmitted over or stored on the City's system without prior notice to employees and, further, that under certain circumstances, communications sent by e-mail may be discoverable in lawsuits and, under the California Public Records Act, the media or members of the public may obtain copies of certain employer e-mail records that qualify as documents required to be disclosed under the act.

Abuse or improper use of the computer systems, such as unprofessional or sexually explicit comments, messages that are derogatory, defamatory or obscene or otherwise inappropriate, is prohibited.

- *Except* for the right of the City of Carpinteria to access voice-mail and e-mail messages as described in this policy, all messages sent by voice-mail and e-mail are considered to be confidential and, as such, are to be accessed only by the addressed recipient or at the direction of the addressed recipient. Information or messages from a voice-mail or e-mail system will be revealed only to authorized personnel and disclosed only on a legitimate need-to-know basis.

- Although voice-mail, e-mail and other computer systems may accommodate the use of passwords for security, the reliability of passwords for maintaining confidentiality cannot be guaranteed. All passwords must be made known to the City in writing and passwords not known to the City may not be used. The use of encryption software is prohibited unless approved, in writing, by the City.

- The City reserves the right to monitor, review and audit all City equipment and matters sent over and/or stored in the system to ensure that these media are being used in compliance with the law and the City policy.

- Voice-mail, e-mail and other computer generated or stored messages may not contain material that may reasonably be considered offensive or disruptive to any employee, including, but not limited to, sexual comments or images, racial slurs, gender-specific comments or any comments that might offend someone based on his/her age, gender, sexual orientation, race, religious or political beliefs, national origin or disability.

- Employees may not install software on the computer system, even on a temporary basis, without the written approval of the City.

APPLICATION

This policy applies to all employees and applicants for positions with the City of Carpinteria.

The City reserves the right to prohibit the use of voice-mail and e-mail for the conduct of personal business when deemed appropriate.

EMPLOYEE RESPONSIBILITY

- Employees will be required to acknowledge that they have read, understand and will abide by the agency's technology policy. Violation of the policy may result in discipline, up to and including dismissal.

- To the extent that, under some circumstance, an employee is allowed to use e-mail for personal purposes the employee does so at his or her own risk. Employees should be aware that deletion of a message or file may not fully eliminate the message from the system.

- Employees learning of any misuse of the voice-mail, e-mail or other computer system or violations of this policy shall immediately notify the City Manager or his/her designee.

MANAGEMENT RESPONSIBILITIES AND GUIDELINES

Management and supervisors are responsible for reasonable enforcement of this policy. Any e-mail information or messages revealed or disclosed under this policy are considered to be of a confidential nature.

<u>EMPLOYEE ACKNOWLEDGEMENT</u>

I have read, understand and agree to abide by the foregoing City policy regarding Public Employee Use of Telecommunication Equipment, Electronic Mail, Voice-Mail and Computer Systems.

____
Print Name

____
Signature   Date

ATTACHMENT C

RESOLUTION NO. 5588

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL APPROVING AND ADOPTING REVISIONS TO THE SALARY STRUCTURE FOR MANAGEMENT AND MISCELLANEOUS UNREPRESENTED PERSONNEL

WHEREAS, the Carpinteria City Council recognizes that the salary structure for management and miscellaneous unrepresented personnel is separate from the classified employees covered under the Memorandum of Understanding between the City of Carpinteria and the Service Employees International Union (SEIU) Local 620; and,

WHEREAS, the City of Carpinteria City Council wishes to revise the Salary Structure of the Management and Miscellaneous Unrepresented Personnel pursuant to the attached schedule dated January 26, 2015; and,

NOW, THEREFORE, THE CARPINTERIA CITY COUNCIL HEREBY RESOLVES THAT the attached revised Salary Structure for Management and Miscellaneous Unrepresented Personnel dated January 26, 2015 is hereby approved and authorizes the City Manager to implement the changes as necessary.

PASSED, APPROVED AND ADOPTED this 26th day of January, 2015 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing Resolution was duly and regularly introduced and adopted at a special meeting of the City Council of the City of Carpinteria held the 26th day of January, 2015.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter Brown, on behalf of
Brownstein Hyatt Farber Schreck, LLP
Acting as City Attorney of the City of Carpinteria

City of Carpinteria
Management Personnel
Classification and Compensation Information
Effective January 26, 2015

Public Works Director	M19	$98,279.21	$122,848.49	$135,133.12
Community Development Director	M19	$98,279.21	$122,848.49	$135,133.12
Adm Services Director	M18	$89,312.95	$111,641.45	$122,805.18
Parks & Recreation Director	M17	$81,177.07	$101,471.86	$111,619.27
Civil Engineer	M16	$72,121.79	$90,243.02	$99,168.16
Human Resources/Risk Manager	M14	$65,344.81	$81,681.01	$89,848.58
City Clerk	M14	$65,344.81	$81,681.01	$89,848.58
Assistant to City Manager	M14	$65,344.81	$81,681.01	$89,848.58
Senior Planner	M14	$65,344.81	$81,681.01	$89,848.58
Building Inspector/Plan Check	M12	$56,552.86	$68,799.62	$75,679.26
Finance Supervisor	M12	$56,552.86	$68,799.62	$77,760.44
Environmental Coordinator	M12	$56,552.86	$70,691.61	$77,760.44
Public Works Supervisor	M12	$56,552.86	$70,691.61	$77,760.44
Emergency & Volunteer Service Coordinator	M12	$56,552.86	$70,691.61	$77,760.44
Code Compliance Supervisor	M11	$53,098.20	$66,372.76	$73,009.51

City of Carpinteria
Miscellaneous Unrepresented Personnel
Classification and Compensation Information
Effective January 26, 2015

Position	Grade	Minimum	Control Point	Maximum
Engineering Tech	9	$44,246.91	$55,308.64	$60,840.34
Associate Planner	12	$56,552.86	$70,691.61	$77,760.44
Assistant Planner	10	$49,336.11	$61,670.56	$67,837.02
Code Com.Officer II	8	$42,687.74	$53,360.20	$58,696.44
Management Assistant	7	$40,676.22	$50,845.81	$55,929.54
Management Analyst	7	$40,676.22	$50,845.81	$55,929.54
Code Compliance Officer I	6	$38,751.33	$48,440.22	$53,284.14
Pool Superintendent	6	$38,751.33	$48,440.22	$53,284.14
Parks & Recreation Technician	6	$38,751.33	$48,440.22	$53,284.14
Aquatics Program Coordinator	5	$36,826.44	$46,033.06	$50,636.36