

City of Carpinteria
City Council Regular Meeting Agenda
Monday, April 28, 2014
Council Chamber, 5775 Carpinteria Avenue, Carpinteria

5:30 p.m. Regular Session

INFORMATION ABOUT CITY COUNCIL MEETINGS:

- The time at which an item on the agenda is scheduled to be heard is an estimate only. The City Council may consider and act on an agenda item in any order it deems appropriate. In addition, an item may be considered earlier or later than the Estimated Time, depending on the length of time which is devoted to other agenda items. All persons interested in an item listed on the agenda are advised to be present throughout the meeting to ensure that they are present when the item is called.
- The regular meeting will be broadcast live over Government Access Television Channel 21. The City Council meetings will be rebroadcast on Wednesdays at 8:00 p.m. and Saturdays at 5:00 p.m.
- Materials related to an Agenda item submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office at 5775 Carpinteria Avenue, Carpinteria, during normal business hours. Such documents are also available on the City of Carpinteria website at www.carpinteria.ca.us subject to staff's ability to post the documents before the meeting.
- Any written or printed material and/or exhibits presented at a City Council meeting or work session become the property of the City.
- Any information presented to the City Council by the public on any agenda item and during Public Comment using electronic media to be displayed on the overhead projector must be arranged with staff for review of materials in advance of the meeting and no later than close of business Wednesday prior to the Council meeting.
- As a courtesy to others and to avoid interference with the public address system, please refrain from using cell phones in the Council Chambers and please turn off all audible pagers and rings.
- If you wish to speak on a matter scheduled for consideration in a closed session, you will have an opportunity to address the City Council before the Council retires to its closed session deliberations.

**ESTIMATED
TIME:**

5:30 p.m. **CALL TO ORDER**

ROLL CALL

5:31 p.m. **PLEDGE OF ALLEGIANCE**

5:32 p.m. **INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS**

1. Presentation of the City's Spring 2014 Outstanding Community Partner Award to Carpinteria Arts Council.

5:42 p.m. **PUBLIC INFORMATION REPORTS AND ANNOUNCEMENTS**

5:45 p.m. **CITY MANAGER'S REPORT**

The City Manager will report to the City Council regarding various City and/or community events and/or matters of interest. There will be no City Council discussion except to ask questions or refer matters to staff; and no action will be taken unless listed on a subsequent agenda.

5:50 p.m. **PRESENTATIONS BY CITIZENS/PUBLIC COMMENT**

This is a time for public comments on matters not otherwise on the agenda but within the subject matter jurisdiction of the City Council. The Public Comment period is limited to 15 minutes and divided among those persons desiring to speak. No person shall speak longer than three minutes; however, the Mayor may establish shorter periods based on the time available and/or the number of persons waiting to speak. Persons wishing to speak on a specific item will be recognized at the time the agenda item is considered.

Please be aware that items on the consent calendar are considered to be routine and are normally enacted by one unanimous vote of the Council. If you wish to speak on a consent calendar item, or on a matter scheduled for consideration in a closed session at the end of the agenda, please do so during the Presentations by Citizens/Public Comment portion of the meeting.

The City and the City Council are not responsible for the content of statements made during the public comment period, or the factual accuracy of any such statements.

AGENDA MODIFICATIONS: The City Council may modify the regular agenda by tabling items, adding urgency items to the agenda, or changing the order in which items are considered. Changes to the Consent Calendar are considered at the time the Consent Calendar is considered.

6:05p.m.

CONSENT CALENDAR (to be approved by roll call vote on one motion with all resolutions and ordinances as read by title only). Individual items may be removed and considered separately at the request of a Councilmember. Any item, which is removed from the Consent Calendar, will be added to the end of the agenda under Other Business.

2. Expenditures for the period ending April 22, 2014.
3. Adopt Resolution Nos. 5521 and 5522, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Street Lighting District No. 1 for fiscal year 2014-15 for public hearing at the regular City Council meeting of May 27, 2014.
4. Adopt Resolution Nos. 5523 and 5524, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Right-of-Way Improvement District No. 3 for fiscal year 2014-15 for public hearing at the regular City Council meeting of May 27, 2014.
5. Adopt Resolution Nos. 5518 and 5519, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Parking and Business Improvement Area District No. 4 for fiscal year 2014-15 for public hearing at the regular City Council meeting of May 27, 2014.
6. Adopt Resolution Nos. 5520, as read by title only, acting to accept the annual report and set a public hearing date for May 27, 2014, for the City Council to consider setting the annual assessment and ordering the construction of the improvement for Assessment District No. 5.
7. Minutes of the Regular City Council Meeting of April 14, 2014.
8. Letters in support of grant applications by the Santa Barbara County Association of Governments Submitting for the Rincon Bike Trail and Santa Claus Lane Bike Path Projects to be signed by the Mayor.

9. Quarterly Review of Investment Results and Certifications Concerning Compliance with Investment Policy and Cash Flow Needs for the next six months.

ADMINISTRATIVE MATTER: NONE

PUBLIC HEARING: NONE

OTHER BUSINESS:

- 6:08 p.m. 10. Authorization and Award for the Ozone System Project at Carpinteria Community Pool

Recommendation: 1) Adopt Resolution No. 5525, appropriating funds for the Ozone System Project, and 2) Authorize the City Manager to execute a construction services contract with Sea Clear Pools Inc., in the amount of \$64,625 for purchase and installation of an ozone system for the Carpinteria Community Pool.

Staff presenter: Mat Roberts, Parks and Recreation Director

- a. Staff Report
- b. Public Comment
- c. City Council Determination

- 6:25 p.m. 11. Status Report on the Linden Avenue and Casitas Pass Road Interchanges Project

Recommendation: Receive and file this project status report for the Linden Avenue and Casitas Pass Road Interchanges Project.

Staff presenter: Charlie Ebeling, Public Works Director

- a. Staff Report
- b. Public Comment
- c. City Council Determination

- 7:05 p.m. 12. Ninth Street Pedestrian Bridge Replacement Project Secondary Use Agreement and Authorization to Advertise City Maintenance Project No. 15018, Ninth Street Pedestrian Bridge Replacement Project, for Formal Bid

Recommendation: 1) Authorize the Mayor to Sign the Santa Barbara County Flood Control Secondary Use Agreement, and 2)

authorize the Public Works Director to advertise City Maintenance Project No. 15018, Ninth Street Pedestrian Bridge Replacement Project, for formal bid.

Staff presenter: Charlie Ebeling, Public Works Director and Matt Maechler, Civil Engineer

- a. Staff Report
- b. Public Comment
- c. City Council Determination

- 7:20 p.m. 13. Response to the 2013-14 Santa Barbara Grand Jury Report, "What is 2-1-1? Will It Survive in Santa Barbara County?"

Recommendation: Approve letter for the Mayor's signature that responds to the subject Santa Barbara Grand Jury report.

Staff presenter: Dave Durflinger, City Manager

- a. Staff Report
- b. Public Comment
- c. City Council Determination

7:35 p.m. **COMMITTEE REPORTS, INQUIRIES AND OTHER MATTERS PRESENTED BY COUNCILMEMBERS**

Informational reports from Councilmember representatives on the committees, commissions and organizations listed below. This is also an opportunity for Councilmembers to request information from staff or seek support from fellow Councilmembers for future agenda items.

1. Representatives to Regional Agencies and Committees

- a. Beach Erosion Authority for Clean Oceans and Nourishment (BEACON) (Shaw/Alt. Carty)
- b. California Joint Powers Insurance Authority (CJPIA) (Clark/Alt. Shaw)
- c. Channel Counties Division, League of California Cities (Nomura/Alt. Shaw)
- d. Santa Barbara Association of Governments (SBCAG)(Clark/Alt. Nomura)
- e. Santa Barbara County Air Pollution Control District (APCD)(Clark/Alt. Nomura)
- f. Santa Barbara Joint Housing Task Group (Carty &Shaw)
- g. Central Coast Collaborative on Homelessness (C3H) (Carty)
- h. South Coast Task Force on Youth Gangs Leadership and Executive Council (Carty & Clark)

2. Joint and Standing Committees

- a. City Council/Chamber of Commerce Board of Directors Committee (Carty & Nomura)
- b. City Council/Public Safety Committee (Clark & Shaw)
- c. City Council/School Board Committee (Stein & Carty)
- d. City Council/Utilities Committee (Stein & Nomura)
- e. City Council/First District Supervisor Committee (Stein & Carty)
- f. City Council Finance/Budget Committee (Stein & Clark)

3. Ad Hoc Committees

- a. Public Facility Site Acquisition/Development Committee (Carty & Nomura)
- b. Transportation Committee (Stein & Shaw)
- c. Labor Relations Committee (Wade & Shaw)

4. City Representatives on other Committees

- a. Community Media Access Center (Gary Dobbins)
- b. Santa Barbara Coastal Vector Control District (Ronald Hurd)
- c. Santa Barbara Metropolitan Transit District (Chuck McQuary)

ATTENDANCE OF COUNCILMEMBERS FOR FUTURE MEETINGS

7:40 p.m.

ADJOURNMENT

The next regular meeting to be held on **Monday, May 12, 2014**, at **5:30 P.M.**, in the Council Chamber, City Hall, 5775 Carpinteria Avenue, Carpinteria, California.

In compliance with the Americans with Disabilities Act, if you need assistance to participate in this meeting, please contact the City Clerk's Office at 684-5405, extension 403 or the California Relay Service at (866) 735-2929. Notification 72 hours prior to the meeting will enable the City to make reasonable arrangements for accessibility to this meeting.

This agenda was posted on Tuesday, April 22, 2014, in the City Clerk's Office, on the City Hall Public Notices Board, and on the Internet.

STAFF REPORT
CITY COUNCIL MEETING:
April 28, 2014

ITEM FOR COUNCIL CONSIDERATION:

Presentation of the City's Spring 2014 Outstanding Community Partner award to The Carpinteria Arts Center.

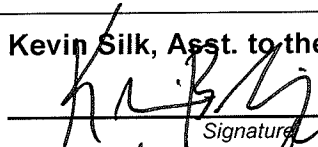
Report prepared by:

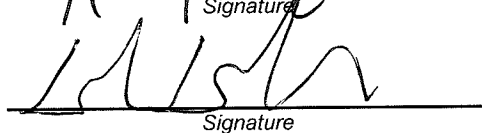
Kevin Silk, Asst. to the City Manager

Department: Administration

Reviewed by:

City Manager


Signature


Signature

Action Item ☐ ; Non-Action Item ☒

Staff Recommendation: Present the City's Spring 2014 Outstanding Community Partner award to The Carpinteria Arts Center.

Motion: None required

I. BACKGROUND:

The purpose of the City's Outstanding Community Partner program is to recognize *businesses, organizations, and individuals that provide:*

- *Extraordinary service and/or assistance to a disadvantaged segment of the community (e.g., seniors, youth, economically disadvantaged, etc.)*
- *Exceptional leadership / participation in a community based event, activity, project and/or organization*
- *A significant aesthetic, accessibility related and/or environmental improvement to the community*
- *Community philanthropic efforts*

The Carpinteria Arts Center has been selected as the City's Spring 2014 Community Partner Award recipient.

The Carpinteria Arts Center, located at 855 Linden Avenue, provides a community venue where locals and visitors alike can enjoy the arts. The Arts Center hosts, primarily, Santa Barbara County artists and offers a diversity of exhibits that include oil paintings, sculpture, water color, ceramics, and photography, all of which helps promote local artists and heightens the awareness of the arts in the community.

Led by Executive Director Sherri Frazer and an 11 member Board of Directors, The Arts Center is also involved with community outreach programs including the Artist's Studio Tour, Belles Artes (which offers Latin cultural arts education), and Art By the Sea Summer Camp. The Arts Center's outreach also includes collaborations with the Boys & Girls Club, Carpinteria Cares for Youth, DEEP Magazine, The Rhythmic Arts Project, and Carpinteria First to introduce and expand the arts in the community. In addition to their on-going work and outreach efforts, The Arts Center is looking to further expand their programs and classes.

The City is grateful for the cultural and educational benefits that the Arts Center provides to the Carpinteria community and offers congratulations as the Spring 2014 Community Partner Award Recipient.

II. PRINCIPAL PARTIES EXPECTED AT MEETING:

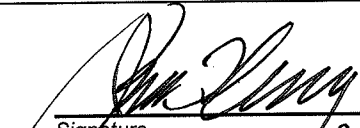
Carpinteria Arts Center Executive Director Sherri Frazer

STAFF REPORT
CITY COUNCIL MEETING
April 28, 2014

ITEM FOR COUNCIL CONSIDERATION

EXPENDITURES FOR THE PERIOD ENDING APRIL 22, 2014

Report prepared by: John Thornberry,
Administrative Services Director


Signature

Reviewed by: Dave Durflinger, City Manager


Signature

STAFF RECOMMENDATION

Action Item ☐; Non-Action Item ☒

That the City Council accept this report concerning the current list of warrants pursuant to Carpinteria Municipal Code 2.08.150(F).

I. DISCUSSION

As part of each regular City Council agenda, staff prepares the attached listing of checks dispersed with staff certification that the expenditure transactions listed in the warrant register have been reviewed and are within the budgeted appropriations. Staff recommends that the City Council ratify the schedule of demands attached.

II. FINANCIAL CONSIDERATIONS

The warrant register included as an attachment is designed to communicate fiscal activity since the previous regular City Council agenda. No further action of a fiscal nature is requested as part of this report.

III. ATTACHMENT

A. Warrant Register for the period ending April 22, 2014

9:21 AM

04/22/14

CITY OF CARPINTERIA

Warrant Register

April 8 - 22, 2014

Num	Date	Name	Memo	Account	Paid Amount
82798	04/09/14	A-OK POWER EQUIPMENT	INVOICE #38408	1000101 · Operating Cash 10	
38408	03/12/14		ROW	3332308 · Supplies & Materials 32	(27.15)
TOTAL					(27.15)
82799	04/09/14	A T & T MOBILITY	ACCOUNT #337015224648	1000101 · Operating Cash 10	
337015224...	03/23/14		PW CELL PHONE	2531301 · Telephone 31	(132.80)
			PW CELL PHONE	1013308 · Supplies & Materials 13	(13.84)
			PW CELL PHONE	3332308 · Supplies & Materials 32	(11.84)
			PW CELL PHONE	2531308 · Supplies & Materials 31	(82.69)
TOTAL					(241.17)
82800	04/09/14	AG ENT INC	13627	1000101 · Operating Cash 10	
13627	03/22/14		CARP LIBRARY	2361221 · Miscellaneous Contracts	(243.25)
TOTAL					(243.25)
82801	04/09/14	ARTISTIC PAVER MFG	SALES ORDER #26004	1000101 · Operating Cash 10	
26004	01/28/14		SEASIDE PARK PROJECT	3100242 · Park Improvements DIF	(13,787.50)
TOTAL					(13,787.50)
82802	04/09/14	BEGA	INVOICE #186739	1000101 · Operating Cash 10	
186739	02/27/14		SEASIDE PARK	2979610 · Public Pkg Lot / Bikew...	(1,941.66)
TOTAL					(1,941.66)
82803	04/09/14	BIG GREEN CLEANING COMPANY		1000101 · Operating Cash 10	
441319	03/01/14		SEASIDE	4865309 · Misc Operating Expen...	(165.00)
441321	03/01/14		CITY HALL	1013224 · Interior Maintenance	(805.00)
			VETS HALL	4865224 · Custodial Services	(725.00)
441324	03/01/14		ASH AVE	2861225 · Marsh/Bluffs Maintena...	(347.00)
			PARKING LOTS	3332221 · Miscellaneous Contra...	(694.00)
			PARKS	2361221 · Miscellaneous Contracts	(1,390.00)
TOTAL					(4,126.00)
82804	04/09/14	CALIFORNIA BUILDING STANDARDS CO...	CBSC JAN - MAR 2014 FEES	1000101 · Operating Cash 10	
JAN - MAR ...	03/31/14		STATE BUILDING STANDARDS FUND JAN - ...	1000226 · SB1473 State Building...	(435.60)

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CITY OF CARPINTERIA
Warrant Register
 April 8 - 22, 2014

Num	Date	Name	Memo	Account	Paid Amount
TOTAL					(435.60)
82805	04/09/14	CARPINTERIA VETERINARY HOSPITAL INC		1000101 · Operating Cash 10	
210285	03/06/14		DOG LICENSE	1047213 · Animal Sheltering	(25.00)
210728	03/13/14		DOG LICENSE	1047213 · Animal Sheltering	(70.00)
211136	03/20/14		DOG LICENSE	1047213 · Animal Sheltering	(50.00)
TOTAL					(145.00)
82806	04/09/14	CENTRAL COAST IMAGING SOLUTIONS	INVOICE #AR26846	1000101 · Operating Cash 10	
AR26846	03/31/14		COPIER MAINTENANCE	1018222 · Equipment Maintenance	(162.32)
TOTAL					(162.32)
82807	04/09/14	COAST AUTO PARTS, INC.	MARCH 2014 BILLING	1000101 · Operating Cash 10	
MAR 2014 ...	03/31/14		VEHICLE MAINTENANCE	2531308 · Supplies & Materials 31	(11.33)
TOTAL					(11.33)
82808	04/09/14	COAST OFFICE TECHNOLOGY	INVOICE #2014106	1000101 · Operating Cash 10	
2014106	04/01/14		COMPUTER HARDWARE	1070417 · Computer Hardware	(159.84)
TOTAL					(159.84)
82809	04/09/14	COASTAL VIEW NEWS	INVOICE #42936	1000101 · Operating Cash 10	
42936	03/27/14		OIL PAYMENT PROGRAM	2000261 · Deferred Revenue 20	(578.00)
TOTAL					(578.00)
82810	04/09/14	CONCRETE EXPRESS, INC	ACCT 3CAR050	1000101 · Operating Cash 10	
41989	03/14/14		SIDEWALKS	2779611 · Misc. Concrete Repair...	(282.36)
TOTAL					(282.36)
82811	04/09/14	DRAKE HAGLAN & ASSOCIATES, INC.	INVOICE #12034-12	1000101 · Operating Cash 10	
12034-12	03/10/14		HBR GRANT	2000261 · Deferred Revenue 20	(28,988.31)
			HBR GRANT	2079614 · FHWA HBR 20	(28,988.33)
			DIF PROGRAM HIGHWAY BRIDGES & INTER...	3100232 · DIF Highway Intercha...	(3,755.75)
			DIF PROGRAM HIGHWAY BRIDGES & INTER...	3179616 · FHWA HBR 31	(3,755.75)

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CITY OF CARPINTERIA
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Num	Date	Name	Memo	Account	Paid Amount
TOTAL					(65,488.14)
82812	04/09/14	EASY LIFT TRANSPORTATION	INVOICE 6055	1000101 · Operating Cash 10	
6055	04/01/14		CLAIM PER CONTRACT FOR APRIL	2734221 · Easy Lift/CART 27	(1,000.00)
TOTAL					(1,000.00)
82813	04/09/14	EMERGENCY MEDICAL PRODUCTS INC	INVOICE #1631179	1000101 · Operating Cash 10	
1631179	03/14/14		POOL FIRST AID SUPPLIES	4866308 · Supplies & Materials 66	(144.99)
TOTAL					(144.99)
82814	04/09/14	FIDELITY SECURITY LIFE INSURANCE	INVOICE #7547979	1000101 · Operating Cash 10	
7547979	04/01/14		APRIL 2014 VISION	1016194 · Wellness 16	(17.56)
			APRIL 2014 VISION	1041194 · Wellness 41	(8.78)
			APRIL 2014 VISION	1030194 · Wellness 30	(8.78)
			APRIL 2014 VISION	1030194 · Wellness 30	(25.81)
			APRIL 2014 VISION	1022194 · Wellness 22	(17.56)
			APRIL 2014 VISION	2531194 · Wellness 31	(25.81)
			APRIL 2014 VISION	1069194 · Wellness 69	(8.78)
			APRIL 2014 VISION	1051194 · Wellness 51	(16.68)
			APRIL 2014 VISION	1011194 · Wellness 11	(8.78)
			APRIL 2014 VISION	1069194 · Wellness 69	(25.81)
			APRIL 2014 VISION	1044194 · Wellness 44	(16.68)
			APRIL 2014 VISION	2531194 · Wellness 31	(8.78)
TOTAL					(189.81)
82815	04/09/14	GRAINGER	ACCT #838183929	1000101 · Operating Cash 10	
9390046192	03/14/14		PW SHOP	3332308 · Supplies & Materials 32	(158.91)
TOTAL					(158.91)
82816	04/09/14	GRANITE CONSTRUCTION COMPANY	INVOICE #622827	1000101 · Operating Cash 10	
622827	03/31/14	15041	MEASURE A GRANT 8	2000261 · Deferred Revenue 20	(1,353.14)
		15041	MEASURE A GRANT 8	2079275 · Public Work Grants	(1,353.15)
		15041	SANTA YNEZ IMPROVEMENTS	2779619 · Safe Routes to Schoo...	(1,793.71)
TOTAL					(4,500.00)
82817	04/09/14	MCCORMIX CORP	INVOICE #886058	1000101 · Operating Cash 10	

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Num	Date	Name	Memo	Account	Paid Amount
886058	03/15/14		PW VEHICLE FUEL	3332307 · Vehicle Oper & Mainte...	(130.55)
TOTAL					(130.55)
82818	04/09/14	MISSION UNIFORM SERVICE	MARCH 2014 BILLING	1000101 · Operating Cash 10	
MAR 2014 ...	03/31/14		UNIFORM EXPENSE	2531198 · Uniform Rental 31	(90.82)
			UNIFORM EXPENSE	3332198 · Uniform Rental 32	(90.82)
			UNIFORM EXPENSE	1013198 · Uniform Rental 13	(45.41)
			UNIFORM EXPENSE	2361198 · Uniform Rental 61	(45.40)
TOTAL					(272.45)
82819	04/09/14	MONTECITO BANK & TRUST		1000101 · Operating Cash 10	
5285	03/24/14		FRAUD ADJUSTMENT	1022306 · Meetings & Travel 22	(161.28)
4692	03/24/14		PW SUPPLIES	2531308 · Supplies & Materials 31	(295.33)
			COMPUTER HARDWARE	1070417 · Computer Hardware	(3,413.85)
			PROJ 4864 - ARBOR DAY	1000251 · Development Deposits	(131.44)
			PW SUPPLIES	2531308 · Supplies & Materials 31	(166.64)
			PROJ 4864 - ARBOR DAY	1000251 · Development Deposits	(6.99)
			COMPUTER HARDWARE	1070417 · Computer Hardware	(1,156.22)
			VOLUNTEER SERVICES	1045308 · Supplies & Materials 45	(9.60)
			MEETING EXPENSE	1024306 · Meetings & Travel 24	(10.64)
			MEETING EXPENSE	1024306 · Meetings & Travel 24	(158.93)
			DISASTER PREPAREDNESS	1024215 · Disaster Preparedness	(370.59)
			VOLUNTEER SERVICES	1045308 · Supplies & Materials 45	(268.00)
TOTAL					(6,149.51)
82820	04/09/14	NOBLE SAW INC	INVOICE #213314	1000101 · Operating Cash 10	
213314	02/24/14		POOL	4866308 · Supplies & Materials 66	(70.32)
TOTAL					(70.32)
82821	04/09/14	PACIFIC PLUMBING SPECIALITIES	INVOICE 062917	1000101 · Operating Cash 10	
062917	03/12/14		CITY HALL	1013224 · Interior Maintenance	(236.68)
TOTAL					(236.68)
82822	04/09/14	PORT SUPPLY		1000101 · Operating Cash 10	
009060	01/07/14		POOL SUPPLIES	4866308 · Supplies & Materials 66	(28.24)
1472073	01/24/14		ASH AVE	2862308 · Supplies & Materials 62	(52.34)
TOTAL					(80.58)

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CITY OF CARPINTERIA

Warrant Register

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Num	Date	Name	Memo	Account	Paid Amount
82823	04/09/14	QUINN COMPANY	INVOICE NO01630	1000101 · Operating Cash 10	
NO01630	03/25/14		CIVIC CENTER GENERATOR	1013308 · Supplies & Materials 13	(709.59)
TOTAL					(709.59)
82824	04/09/14	RADIOSHACK	ACCT: 00000403062193	1000101 · Operating Cash 10	
FEB 2014 B...	03/01/14		CIVIC CENTER	1013224 · Interior Maintenance	(32.91)
			BAILEY SAFETY FUND	2361197 · Indiv Equip Reimburse...	(43.18)
			DOWNTOWN T	3332308 · Supplies & Materials 32	(33.20)
TOTAL					(109.29)
82825	04/09/14	SANSUM CLINIC		1000101 · Operating Cash 10	
104241-001	04/01/14		PHYSICAL - FLY	1016320 · Pre-placement Health ...	(121.00)
104241-002	04/01/14		PHYSICAL - FLY	1016320 · Pre-placement Health ...	(35.00)
TOTAL					(156.00)
82826	04/09/14	SO CA EDISON		1000101 · Operating Cash 10	
2-19-146-82...	03/29/14		STREET LIGHTING	2923220 · Street Lighting	(37.83)
2-17-070-74...	03/29/14		STREET LIGHTING	2923220 · Street Lighting	(55.74)
TOTAL					(93.57)
82827	04/09/14	SOLID WASTE SOLUTIONS INC	INVOICE #103	1000101 · Operating Cash 10	
103	04/01/14		AB949 COORDINATION	3337221 · Solid Waste	(728.50)
TOTAL					(728.50)
82828	04/09/14	SOUTHERN CALIFORNIA SWIMMING	SWIM FEES	1000101 · Operating Cash 10	
SWIM FEES	04/09/14		SWIM FEES	4868308 · Supplies & Materials 68	(155.50)
TOTAL					(155.50)
82829	04/09/14	STAPLES ADVANTAGE		1000101 · Operating Cash 10	
3225489550	03/14/14		OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(9.34)
3225489549	03/14/14		OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(116.96)
3225489548	03/14/14		OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(367.14)
3225489551	03/14/14		PARKS	2361221 · Miscellaneous Contracts	(55.70)
3225489547	03/14/14		ROW	3332221 · Miscellaneous Contra...	(139.64)

CITY OF CARPINTERIA
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April 8 - 22, 2014

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04/22/14

Num	Date	Name	Memo	Account	Paid Amount
3225489546	03/14/14		PARKS ROW PARKS	2361221 · Miscellaneous Contracts 3332221 · Miscellaneous Contra... 2361221 · Miscellaneous Contracts	(139.65) (61.54) (61.54)
TOTAL					(951.51)
82830	04/09/14	SUN COAST RENTALS	INVOICE 24052	1000101 · Operating Cash 10	
24052	03/31/14		EQUIPMENT RENTAL FINANCE CHARGE	2361306 · Bluffs Park Expense 1069308 · Supplies & Materials 69	(655.00) (9.83)
TOTAL					(664.83)
82831	04/09/14	THE WAKEFIELD CO	INVOICE #5293	1000101 · Operating Cash 10	
5293	04/02/14		BENCH DONATION	2779623 · Ped Facilities Improve...	(2,535.60)
TOTAL					(2,535.60)
82832	04/09/14	VENCO POWER SWEEPING INC	INVOICE 0043767-IN	1000101 · Operating Cash 10	
0043767-IN	03/15/14		STREET SWEEPING SERVICE	2531227 · Street Sweeping	(3,318.60)
TOTAL					(3,318.60)
82833	04/09/14	VERIZON WIRELESS	INVOICE #9721473893	1000101 · Operating Cash 10	
9721473893	03/09/14		CELL PHONE - BUILDING INSPECTOR	1042308 · Supplies & Materials 42	(113.27)
TOTAL					(113.27)
82834	04/09/14	WEST COAST ARBORIST INC	INVOICE 95391	1000101 · Operating Cash 10	
95391	03/15/14		TREE MAINTENANCE	2732220 · Public Tree Maintenan...	(499.98)
TOTAL					(499.98)
82835	04/09/14	WISSING, ALICE	PC 03-03-14	1000101 · Operating Cash 10	
PC 03-03-14	03/30/14		PROJ 1649 PROJ 1701 PROJ 1705 MISC MINUTES	1000251 · Development Deposits 1000251 · Development Deposits 1000251 · Development Deposits 1041225 · Misc. Planning Contra...	(110.00) (55.00) (55.00) (41.25)
TOTAL					(261.25)
82836	04/09/14	ALL AROUND LANDSCAPE SUPPLY	INVOICE #S1715781.001	1000101 · Operating Cash 10	

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Num	Date	Name	Memo	Account	Paid Amount
S1715781.0...	03/18/14		VIOLA	2361221 · Miscellaneous Contracts	(52.14)
TOTAL					(52.14)
82837	04/09/14	C3 INTELLIGENCE, INC	INVOICE #3588	1000101 · Operating Cash 10	
3588	04/01/14		RECRUITMENT	1016320 · Pre-placement Health ...	(94.00)
TOTAL					(94.00)
82838	04/09/14	EVOQUA WATER TECHNOLOGIES LLC	INVOICE #901629878	1000101 · Operating Cash 10	
901629878	03/17/14		POOL	4866308 · Supplies & Materials 66	(328.09)
TOTAL					(328.09)
82839	04/09/14	FEDEX	INVOICE #2-611-67206	1000101 · Operating Cash 10	
2-611-67206	04/04/14		SHIPPING EXPENSE	1030308 · Supplies & Materials 30	(28.45)
TOTAL					(28.45)
82840	04/09/14	GRAINGER	ACCT #9393039566	1000101 · Operating Cash 10	
9393039566	03/19/14		POOL	4866308 · Supplies & Materials 66	(20.65)
TOTAL					(20.65)
82841	04/09/14	KIMBALL MIDWEST	INVOICE 3464331	1000101 · Operating Cash 10	
3464331	03/18/14		PW SHOP	3332308 · Supplies & Materials 32	(133.84)
TOTAL					(133.84)
82842	04/09/14	PACIFIC PLUMBING SPECIALITIES	INVOICE 062937	1000101 · Operating Cash 10	
062937	03/17/14		CITY HALL	1013224 · Interior Maintenance	(269.72)
TOTAL					(269.72)
82843	04/09/14	PRAXAIR	INVOICE #48860679	1000101 · Operating Cash 10	
48860679	03/20/14		PW SUPPL,IES	3332308 · Supplies & Materials 32	(23.91)
TOTAL					(23.91)
82844	04/09/14	SO CA EDISON		1000101 · Operating Cash 10	

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Num	Date	Name	Memo	Account	Paid Amount
2-22-684-64...	04/01/14		STREET LIGHTING	2923220 · Street Lighting	(30.52)
2-14-791-58...	04/01/14		STREET LIGHTING	2923220 · Street Lighting	(90.32)
2-32-832-75...	04/02/14		PROJ 4865 - VETS HALL	1000251 · Development Deposits	(494.15)
			VETS HALL	4865309 · Misc Operating Expen...	(420.94)
2-30-652-42...	04/02/14		SEASIDE BUILDING	4865309 · Misc Operating Expen...	(62.65)
2-26-504-72...	04/03/14		STREET LIGHTING	2923210 · Traffic Signals	(31.66)
TOTAL					(1,130.24)
82845	04/09/14	STAPLES ADVANTAGE		1000101 · Operating Cash 10	
3225908984	03/18/14		PW SUPPLIES	2531308 · Supplies & Materials 31	(121.45)
3225908983	03/18/14		OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(175.63)
			PW SUPPLIES	1030308 · Supplies & Materials 30	(26.73)
TOTAL					(323.81)
82846	04/09/14	WATER GEAR INC	INVOICE 00233915	1000101 · Operating Cash 10	
00233915	03/17/14		POOL RESALE ITEMS	4866308 · Supplies & Materials 66	(126.55)
TOTAL					(126.55)
82847	04/09/14	ZUMAR INDUSTRIES INC.	INVOICE 0151482	1000101 · Operating Cash 10	
0151482	03/20/14		SIGNAGE	3332308 · Supplies & Materials 32	(110.55)
TOTAL					(110.55)
82848	04/09/14	AQUASOURCE	INVOICE #35842	1000101 · Operating Cash 10	
35842	03/03/14		POOL SUPPLIES	4866308 · Supplies & Materials 66	(1,099.20)
TOTAL					(1,099.20)
82849	04/09/14	AYSO	DEPOSIT REFUND	1000101 · Operating Cash 10	
DEPOSIT R...	03/03/14		DEPOSIT REFUND	1000152 · Deposits	(300.00)
TOTAL					(300.00)
82850	04/10/14	ALLEN, DAVID	PC APR - JUN 2014	1000101 · Operating Cash 10	
PC APR - J...	04/10/14		PC APR - JUN 2014	1051160 · Advisory Board Stipends	(120.00)
TOTAL					(120.00)
82851	04/10/14	BENEFIELD, JANE L	PC APR - JUN 2014	1000101 · Operating Cash 10	

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Num	Date	Name	Memo	Account	Paid Amount
PC APR - J...	04/10/14		PC APR - JUN 2014	1051160 · Advisory Board Stipends	(120.00)
TOTAL					(120.00)
82852	04/10/14	CALLENDER, JOHN	PC APR - JUN 2014	1000101 · Operating Cash 10	
PC APR - J...	04/10/14		PC APR - JUN 2014	1051160 · Advisory Board Stipends	(180.00)
TOTAL					(180.00)
82853	04/10/14	CHAPPELL, DYLAN ARB	ARB APR - JUN 2014	1000101 · Operating Cash 10	
ARB APR - ...	04/10/14		ARB APR - JUN 2014	1051160 · Advisory Board Stipends	(100.00)
TOTAL					(100.00)
82854	04/10/14	ELLINWOOD, SCOTT	ARB APR - JUN 2014	1000101 · Operating Cash 10	
ARB APR - ...	04/10/14		ARB APR - JUN 2014	1051160 · Advisory Board Stipends	(100.00)
TOTAL					(100.00)
82855	04/10/14	GAHAN, RAHCELLE	ARB APR - JUN 2014	1000101 · Operating Cash 10	
ARB APR - ...	04/10/14		ARB APR - JUN 2014	1051160 · Advisory Board Stipends	(75.00)
TOTAL					(75.00)
82856	04/10/14	JOHNSON, RICHARD E	ARB APR - JUN 2014	1000101 · Operating Cash 10	
ARB APR - ...	04/10/14		ARB APR - JUN 2014	1051160 · Advisory Board Stipends	(100.00)
TOTAL					(100.00)
82857	04/10/14	LA FEVERS, GLENN D	PC APR - JUN 2014	1000101 · Operating Cash 10	
PC APR - J...	04/10/14		PC APR - JUN 2014	1051160 · Advisory Board Stipends	(180.00)
TOTAL					(180.00)
82858	04/10/14	MOYER, JOHN	PC APR - JUN 2014	1000101 · Operating Cash 10	
PC APR - J...	04/10/14		PC APR - JUN 2014	1051160 · Advisory Board Stipends	(180.00)
TOTAL					(180.00)
82859	04/10/14	REGINATO, JIM	ARB APR - JUN 2014	1000101 · Operating Cash 10	

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Num	Date	Name	Memo	Account	Paid Amount
ARB APR - ...	04/10/14		ARB APR - JUN 2014	1051160 · Advisory Board Stipends	(75.00)
TOTAL					(75.00)
82860	04/10/14	THE BOTTOM LINE	INVOICE #8060 BALANCE	1000101 · Operating Cash 10	
8060 BAL	03/26/14		QUICKBOOKS UPGRADE	1018220 · System Administration	(1,162.50)
TOTAL					(1,162.50)
82861	04/16/14	76 FLEET SERVICES	INVOICE 36272836	1000101 · Operating Cash 10	
36272836	03/31/14		VEHICLE FUEL	2531307 · Vehicle Oper & Mainte...	(120.14)
TOTAL			VEHICLE FUEL	3332307 · Vehicle Oper & Mainte...	(294.78)
					(414.92)
82862	04/16/14	ALL AROUND LANDSCAPE SUPPLY	INVOICE #S1716508.001	1000101 · Operating Cash 10	
S1716508.0...	03/21/14		POOL	4866308 · Supplies & Materials 66	(9.06)
TOTAL					(9.06)
82863	04/16/14	BENGAL ENGINEERING	INVOICE #2315	1000101 · Operating Cash 10	
2315	03/13/14		RINCON TRAIL MEASURE A GRANT	2000261 · Deferred Revenue 20	(11,555.12)
TOTAL					(11,555.12)
82864	04/16/14	CARPINTERIA VALLEY CHAMBER OF CO...	ANNUAL BOARD LUNCHES 2014	1000101 · Operating Cash 10	
5748	02/21/14		ANNUAL BOARD LUNCHES 2014	1012306 · Meetings & Travel 12	(120.00)
TOTAL					(120.00)
82865	04/16/14	CARPINTERIA VALLEY WATER DISTRICT	MARCH 2014 BILLING	1000101 · Operating Cash 10	
MAR 2014 ...	03/24/14		CIVIC CENTER	1013312 · Utility - Water 13	(382.07)
			PARKING/STREETS	3332312 · Utility - Water 32	(1,047.27)
			PARKS	2361312 · Utility - Water 23	(4,456.82)
			POOL	4866312 · Utility - Water 66	(622.23)
			VETS HALL	4865309 · Misc Operating Expen...	(129.27)
			PROJ 4865	1000251 · Development Deposits	(262.46)
TOTAL					(6,900.12)
82866	04/16/14	CARPINTERIA VETERINARY HOSPITAL INC	INVOICE 211826	1000101 · Operating Cash 10	

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Num	Date	Name	Memo	Account	Paid Amount
211826	03/31/14		DOG LICENSE	1047213 · Animal Sheltering	(55.00)
TOTAL					(55.00)
82867	04/16/14	CENTRAL COAST IMAGING SOLUTIONS	INVOICE #AR26927	1000101 · Operating Cash 10	
AR26927	04/08/14		COPIER MAINTENANCE	1018222 · Equipment Maintenance	(908.67)
TOTAL					(908.67)
82868	04/16/14	COASTAL VIEW NEWS		1000101 · Operating Cash 10	
42947	03/27/14		LEGAL NOTICES	1011303 · Printing & Advertising ...	(357.00)
42958	04/07/14		FIRST FRIDAY AD	1044302 · Marketing Materials	(750.00)
43031	04/11/14		PET ADS	1047303 · Printing & Advertising ...	(60.00)
43036	04/11/14		CITY HALL NEWS	1045303 · Printing & Advertising ...	(2,682.00)
TOTAL					(3,849.00)
82869	04/16/14	DUDEK	INVOICE #20141277	1000101 · Operating Cash 10	
20141277	04/09/14		PROJ 1522	1000251 · Development Deposits	(713.44)
TOTAL					(713.44)
82870	04/16/14	EDD-SDI	PAYROLL 04-17-14	1000101 · Operating Cash 10	
PAYROLL ...	04/16/14		PAYROLL 04-17-14	1000223 · SDI Withheld 10	(976.93)
TOTAL					(976.93)
82871	04/16/14	EDD-SIT	PAYROLL 04-17-14	1000101 · Operating Cash 10	
PAYROLL ...	04/16/14		PAYROLL 04-17-14	1000221 · SIT Withheld 10	(3,956.75)
TOTAL					(3,956.75)
82872	04/16/14	GESTON, JAMEY	APRIL FIRST FRIDAY PERFORMANCE	1000101 · Operating Cash 10	
04042014	04/07/14		APRIL FIRST FRIDAY PERFORMANCE	1044302 · Marketing Materials	(100.00)
TOTAL					(100.00)
82873	04/16/14	MONTECITO BANK & TRUST	4563	1000101 · Operating Cash 10	
4563	03/24/14		COMPUTER HARDWARE FINANCE CHARGE	1070417 · Computer Hardware 1011308 · Supplies & Materials 11	(35.00) (9.88)

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Num	Date	Name	Memo	Account	Paid Amount
TOTAL					(44.88)
82874	04/16/14	RISDON'S AUTO CARE CENTER	INVOICE 35813	1000101 · Operating Cash 10	
35813	03/21/14		UNIT 325 MAINTENANCE	2531307 · Vehicle Oper & Mainte...	(99.95)
TOTAL					(99.95)
82875	04/16/14	ROCKWELL PRINTING	INVOICE #24496	1000101 · Operating Cash 10	
24496	04/11/14		OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(622.10)
TOTAL					(622.10)
82876	04/16/14	SANTA BARBARA COUNTY, SHERIFF'S D...		1000101 · Operating Cash 10	
14-208	03/21/14		SHERIFF OVERTIME	1021215 · Sheriff's Overtime	(392.56)
14-210	03/21/14		CONTRACT SERVICES	1021214 · SB County Sheriff	(274,301.01)
			CONTRACT SERVICES	1000150 · COPS Grant Receivable	(8,333.33)
			CONTRACT SERVICES	1121214 · SB County Sheriff 11	(1,666.66)
TOTAL					(284,693.56)
82877	04/16/14	SEUI LOCAL 620	PAYROLL 04-17-14	1000101 · Operating Cash 10	
PAYROLL ...	04/16/14		PAYROLL 04-17-14	1000229 · SEIU Local 620	(146.19)
TOTAL					(146.19)
82878	04/16/14	STAPLES ADVANTAGE		1000101 · Operating Cash 10	
3226080654	03/21/14		OFFICE SUPPLIES	1013308 · Supplies & Materials 13	(321.28)
3226080655	03/21/14		PW SUPPLIES	2531308 · Supplies & Materials 31	(22.01)
TOTAL					(343.29)
82879	04/16/14	STRADLING YOCCA CARLSON & RAUTH	INVOICE #287736-001	1000101 · Operating Cash 10	
287736-001	03/31/14		MISC EMPLOYMENT MATTERS	1072211 · Personnel Services	(474.00)
TOTAL					(474.00)
82880	04/16/14	T. ROWE PRICE	PAYROLL CONTRIBUTION - ANTHONY VEGA	1000101 · Operating Cash 10	
PAYROLL ...	04/16/14		PAYROLL 04-17-14 - ANTHONY VEGA	1000105 · ICMA Cash	(50.00)
TOTAL					(50.00)

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Num	Date	Name	Memo	Account	Paid Amount
82881	04/16/14	TRANSAMERICA EMPLOYEE BENEFITS	GROUP ID #G000026466	1000101 · Operating Cash 10	
MAR 2014 ...	03/01/14		WELLNESS - MARCH	1030194 · Wellness 30	(18.75)
			WELLNESS - MARCH	1016194 · Wellness 16	(35.70)
			WELLNESS - MARCH	1041194 · Wellness 41	(36.45)
			WELLNESS - MARCH	1051194 · Wellness 51	(33.12)
			WELLNESS - MARCH	4868194 · Wellness 68	(35.70)
			WELLNESS - MARCH	1011194 · Wellness 11	(18.75)
			WELLNESS - MARCH	1030194 · Wellness 30	(40.68)
			WELLNESS - MARCH	1022194 · Wellness 22	(35.70)
			WELLNESS - MARCH	1042194 · Wellness 42	(99.37)
			WELLNESS - MARCH	1041194 · Wellness 41	(76.88)
			WELLNESS - MARCH	1041194 · Wellness 41	(33.12)
			WELLNESS - MARCH	1021194 · Wellness 22	(72.48)
			WELLNESS - MARCH	1012194 · Wellness 12	(18.75)
			WELLNESS - MARCH	1042194 · Wellness 42	(67.40)
			WELLNESS - MARCH	2531194 · Wellness 31	(109.37)
			WELLNESS - MARCH	1030194 · Wellness 30	(35.70)
			WELLNESS - MARCH	2531194 · Wellness 31	(67.40)
			WELLNESS - MARCH	1011194 · Wellness 11	(35.70)
			WELLNESS - MARCH	1022194 · Wellness 22	(18.75)
TOTAL					(889.77)
82882	04/16/14	TRIBUNE MEDIA SERVICES, INC.	INVOICE #0622036	1000101 · Operating Cash 10	
0622036	03/31/14		LISTINGS DISTRIBUTION	1000251 · Development Deposits	(56.28)
TOTAL					(56.28)
82883	04/16/14	UNITED WAY OF SANTA BARBARA COU...	PAYROLL 04-17-14	1000101 · Operating Cash 10	
PAYROLL ...	04/16/14		PAYROLL 04-17-14	1000224 · United Way Payable	(20.00)
TOTAL					(20.00)
82884	04/16/14	VANTAGEPOINT TRANSFER AGENTS - 457	PAYROLL 04-17-14	1000101 · Operating Cash 10	
PAYROLL ...	04/16/14		PAYROLL 04-17-14	1000105 · ICMA Cash	(950.00)
TOTAL					(950.00)
82885	04/16/14	WESTERN EXTERMINATOR COMPANY	MARCH 2014 BILLING	1000101 · Operating Cash 10	
MAR 2014 ...	03/31/14		MARCH 2014 BILLING	1000251 · Development Deposits	(44.50)
TOTAL					(44.50)

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Num	Date	Name	Memo	Account	Paid Amount
82886	04/16/14	BIG GREEN CLEANING COMPANY	INVOICE #442450	1000101 · Operating Cash 10	
442450	03/26/14		ASH AVE 5TH 9TH	2861225 · Marsh/Bluffs Maintena... 3332221 · Miscellaneous Contra... 3332221 · Miscellaneous Contra...	(30.00) (30.00) (30.00)
TOTAL					(90.00)
82887	04/16/14	ENGEL & GRAY, INC.	INVOICE 73717	1000101 · Operating Cash 10	
73717	03/24/14		HARVEST BLEND COMPOST	2370419 · Park Equipment	(2,872.80)
TOTAL					(2,872.80)
82888	04/16/14	FEDEX	INVOICE #2-619-11082	1000101 · Operating Cash 10	
2-619-11082	04/11/14	15030 15030	SSV GRANT SSV GRANT	2000261 · Deferred Revenue 20 2079275 · Public Work Grants	(15.46) (15.46)
TOTAL					(30.92)
82889	04/16/14	HASLER	7900-0110-3770-5400	1000101 · Operating Cash 10	
7900-0110-...	04/06/14		POSTAGE	1013302 · Postage 13	(1,000.00)
TOTAL					(1,000.00)
82890	04/16/14	SANTA BARBARA PEST CONTROL		1000101 · Operating Cash 10	
49619	03/20/14		PEST CONTROL	3332223 · Chemical Treatment	(65.00)
49620	03/20/14		PEST CONTROL	3332223 · Chemical Treatment	(45.00)
TOTAL					(110.00)
82891	04/16/14	SO CA EDISON	2-01-201-6465	1000101 · Operating Cash 10	
2-01-201-64...	04/09/14		STREET LIGHTING	2923220 · Street Lighting	(7,363.74)
TOTAL					(7,363.74)
82892	04/16/14	STAPLES ADVANTAGE		1000101 · Operating Cash 10	
3226548257	03/27/14		PARKS	2361221 · Miscellaneous Contracts	(102.58)
3226548258	03/27/14		PARKS	2361221 · Miscellaneous Contracts	(123.08)
TOTAL					(225.66)

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Num	Date	Name	Memo	Account	Paid Amount
82894	04/16/14	WMS AQUATICS	INVOICE #15846	1000101 · Operating Cash 10	
15846	03/27/14		POOL SUPPLIES	4866308 · Supplies & Materials 66	(87.06)
TOTAL					(87.06)
82895	04/16/14	WATERLINE TECHNOLOGIES	INVOICE 5265043	1000101 · Operating Cash 10	
5265043	03/26/14		CHLORINE FOR POOL	4866338 · Chemicals	(1,041.67)
TOTAL					(1,041.67)
82896	04/16/14	COUNCIL ON ALCOHOLISM & DRUG AB...	VOID: 033114CALGRIP2	1000101 · Operating Cash 10	
TOTAL					0.00
82897	04/16/14	COUNCIL ON ALCOHOLISM & DRUG AB...	033114CALGRIP2	1000101 · Operating Cash 10	
033114CAL...	04/16/14		CALGRIP GRANT	2000261 · Deferred Revenue 20	(15,539.07)
TOTAL					(15,539.07)
82898	04/16/14	SOCAL SWIMMING	CAC MEET ENTRY FEES	1000101 · Operating Cash 10	
MEET FEES	04/16/14		CAC MEET FEES	4868308 · Supplies & Materials 68	(172.75)
TOTAL					(172.75)
TOTAL					\$463,821.46

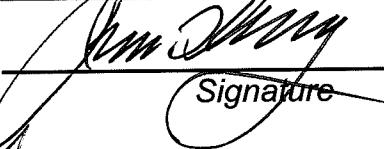
STAFF REPORT
CITY COUNCIL MEETING:
APRIL 28, 2014

ITEM FOR COUNCIL CONSIDERATION:

REPORT ON STREET LIGHTING DISTRICT No. 1 -- FY 2014-15

Report prepared by: **John Thornberry, Administrative Services Director**

Department: Administrative Services


Signature

Reviewed by:

City Manager


Signature

ACTION ITEM x ; NON-ACTION ITEM

STAFF RECOMMENDATION: Adopt Resolution Nos. 5521 and 5522, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Street Lighting District No. 1 for fiscal year 2014-15 for public hearing at the regular City Council meeting of May 27, 2014.

MOTION: I recommend adoption of Resolution Nos. 5521 and 5522, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Street Lighting District No. 1 for fiscal year 2014-15 for public hearing at the regular City Council meeting of May 27, 2014.

I. BACKGROUND:

On March 24, 2014, by Resolution No. 5505, the City Council ordered a report on the status and projections for Carpinteria Street Lighting District No. 1 for Fiscal Year 2014-15. This status report is required annually under the California Street Lighting Act of 1919, as amended, and is one of several steps required each year in order to continue

the District. The purpose of the District is to provide a funding source to finance the cost of electrical power and maintenance and replacement of outdoor public lighting facilities. The following are the steps: A.) Ordering the report and Notice of Intent to continue the District, B.) Accept the report and set for public hearing, C.) Hold a Public hearing and decide on continuing the District.

II. FINANCIAL CONSIDERATIONS:

The ending fund balance as of June 30, 2013, was \$959,899. Revenues, expenditures and transfers to other funds for FY 2013-14 are projected to be \$274,941, \$250,148 and \$128,426 respectively leaving a fund balance at June 30, 2014, of \$748,534. These funds are used for both operations and maintenance of the lighting system and, once a sufficient fund balance is accumulated, these funds are also used to finance capital projects such as new or replacement street lights, upgrades to traffic signals, etc.

III. LEGAL ISSUES:

This district is an ad valorem (property tax) district and as such is governed by the provisions of Proposition 13 and is not subject to the provisions of Proposition 218. Attached are two proposed resolutions for your consideration and adoption. The first resolution accepts the report as presented and the second resolution states the Council's intent to continue the District for FY 2014-15 and sets the matter for public hearing on May 27, 2014.

IV. ATTACHMENTS:

- A. Report of the City Manager
 - 1) Map of the District boundaries
 - 2) Street Light Inventory
 - 3) State of Fund Transactions and Financial Condition for the fiscal year.
- B. Resolution No. 5521
- C. Resolution No. 5522

ATTACHMENT A

**CITY OF CARPINTERIA
CARPINTERIA STREET LIGHTING DISTRICT NO. 1
REPORT OF THE CITY MANAGER RELATIVE
TO THE CONTINUATION OF CARPINTERIA
STREET LIGHTING DISTRICT NO. 1**

1. INTRODUCTION:

This report is prepared pursuant to Resolution No. 5505 as adopted by the City Council on March 24, 2014. The objective of the report is to describe the status of the district, which provides street lighting for the City and to provide projections for FY 2014-15.

2. BACKGROUND:

The City assumed responsibility for funding street lighting within the City on July 1, 1977, in conjunction with the detachment of the City from County Service Area No. 11, the funding vehicle for street lighting prior to July 1, 1977. The City ultimately established two special districts to provide funding for street lighting; both are governed by the City Council and both cover the entire City. The second district, Street Lighting District #2, was suspended in 1985.

Street Lighting District No. 1 was formed by the City Council on November 22, 1976, for a period of five (5) years starting July 1, 1977 and has been continued each year thereafter in one year increments. The Street Lighting Act of 1919 was the legal basis for this action. The district was formed to establish a way of financing street lights through the collection of the ad valorem (property) tax. The initial tax rate was about 15 cents per \$100.00 of assessed valuation and the second year was 12.95 cents per \$100.00. With the adoption of Proposition 13, a limit was placed on the overall tax rate as well as a reduction of the total tax base. Since the passage of Proposition 13, the district's share of the property tax has been about 1.8% of all property tax or a tax rate equivalent to about 1.8 cents per \$100.00 of assessed valuation.

Most of the Street lighting in Carpinteria is owned and operated by Southern California Edison Company. The City has a contract with Edison to provide street lighting based on a monthly flat rate as set by the California Public Utilities Commission. The same rate is charged year round. As owner of the lights, Edison has the responsibility for maintenance and operations. As the customer, the City determines number, location, and type of lights and pays the bill.

With respect to other public lighting provided by the District, included are lights on the Franklin Creek and Carpinteria Creek Bikeways, Civic Center parking lights, and parking lot lights in Parking Lots #1 #2 and #3, lights on Linden Avenue between Carpinteria Avenue and the beach and street-end parking lot lights at Elm, Holly and Ash Avenues. All of these lights are City-owned and charges are based on meter readings. Traffic signal lights are also supported by the District. The four-way lights located at Linden and Carpinteria Avenues, 7th Street and Casitas Pass and Carpinteria Avenues are City-owned.

In addition to electrical power, funding from this district pays for both the maintenance and operations costs of the city-owned portion of the lighting system.

3. ANNEXATIONS:

There were no annexations to either the City or the District during the past fiscal year.

4. STREET LIGHTING DISTRICT NO. 1:

The attached Exhibit 1 is a map of the City and District. The District boundaries are coterminous with the City boundaries with the exception of that portion located off-shore.

5. STREET LIGHT INVENTORY:

Please refer to the attached Exhibit 2 for a listing of projected street lights by size and cost.

6. CAPITAL IMPROVEMENTS:

A total of \$1,026,224 is estimated to be available for capital improvements during fiscal 2014-15.

7. FINANCIAL DATA AND PROPOSED FY 2014-15 BUDGET:

Exhibit 3 is a Statement of Fund Transactions and Financial Condition for the past three years, current year and next year.

The District also has its own Appropriations Limit pursuant to Proposition 4.

8. RECOMMENDATION;

It is recommended that the City Council adopt Resolution Nos. 5521 and 5522, thereby acting to accept and file the mandatory annual report and set the matter of the continuation of Street Lighting District No. 1 for fiscal year 2014-15 for public hearing at the regular City Council meeting of May 27, 2014.

Dave Durflinger
City Manager

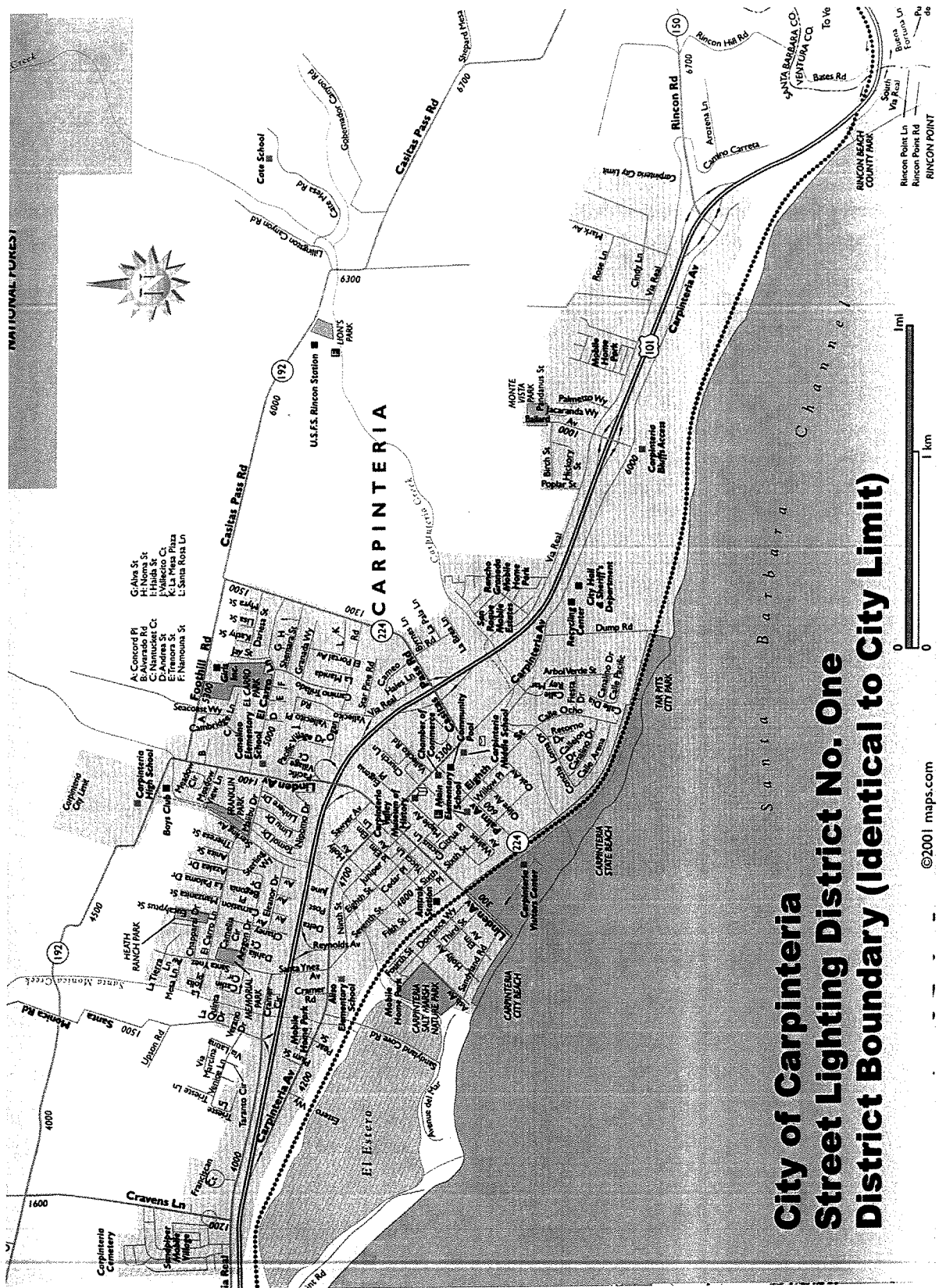


Exhibit 1
 4/3/2014

CITY OF CARPINTERIA			
STREET LIGHTING DISTRICT NUMBER 1			
STREET LIGHTING INVENTORY			
LOCATION	NUMBER	WATTS	TYPE
CARPINTERIA AVE.	66	23	LED
DOWNTOWN "T"	8	23	LED
LNDEN AVE.	69	23	LED
LINDEN BEACH	6	23	LED
ELM AVE.	4	70	HIGH PRESSURE SODIUM VAPOR
HOLLY AVE.	4	70	HIGH PRESSURE SODIUM VAPOR
ASH AVE.	4	70	HIGH PRESSURE SODIUM VAPOR
WULLBRANDT WAY	3	23	LED
PARKING LOT #1	5	150	HIGH PRESSURE SODIUM VAPOR
PARKING LOT #2	2	150	HIGH PRESSURE SODIUM VAPOR
PARKING LOT #3	12	26	CFL
TRAIN STATION	28	23	LED
FRANKLIN CREEK BIKEWAY	8	26	CFL
CARPINTERIA CREEK BIKEWAY	5	250	HIGH PRESSURE SODIUM VAPOR
TRAFFIC LIGHTS/SIGNALS	12		
SEAL FOUNTAIN	16	3	LEDs

Exhibit 2

FUND	CITY OF CARPINTERIA				
	STREET LIGHTING DISTRICT NUMBER 1				
STATEMENT OF FUND TRANSACTIONS AND FINANCIAL CONDITION					
FUND 290					
STREET LIGHTING FUND	ACTUAL 2010-11	ACTUAL 2011-12	ACTUAL 2012-13	BUDGET 2013-14	PROJECTED 2014-15
A. SUMMARY OF FUND TRANSACTIONS:					
Fund Balance, July 1	811,574	769,655	854,667	854,667	748,534
Fiscal Year Revenues	283,812	278,433	287,402	274,941	277,690
Fiscal Year Expenditures	325,731	193,421	182,170	381,074	382,676
Fund Balance, June 30	769,655	854,667	959,899	748,534	643,549
Revenue Over/(Under) Expense	(41,919)	85,012	105,232	(106,133)	(104,985)
B. BALANCE SHEET, JUNE 30					
ASSETS:					
Cash in Savings	789,155	858,620	968,091	748,534	643,549
Time Deposits	0	0	0	0	0
Accounts Receivable	0	0	0	0	0
*Total Assets>>>>>>>>>>>>>>>	789,155	858,620	968,091	748,534	643,549
LIABILITIES AND FUND BALANCE					
Accounts Payable	19,500	3,953	8,192	0	0
Fund Balance	769,655	854,667	959,899	748,534	643,549
*Total Liabilities & Fund Balance>>	789,155	858,620	968,091	748,534	643,549
C. FISCAL YEAR REVENUE DETAIL:					
Use of Money & Property	17,054	11,331	4,497	11,331	11,444
District #1-Property Taxes, Secured	248,083	249,300	260,294	251,607	254,123
District #1-Property Taxes, Unsecured	11,800	11,842	12,862	2,609	2,635
District #1-Supplemental Roll	3,893	2,660	4,178	6,746	6,813
District #1-Homeowners Reimbursement	1,840	1,785	1,785	1,133	1,144
Prior Years and Penalties	1,142	1,515	3,786	1,515	1,530
Special District Assistance	0	0	0	0	0
Transfers from Other Funds	0	0	0	0	0
*Total Revenues>>>>>>>>>>>>>>>	283,812	278,433	287,402	274,941	277,690
D. FISCAL YEAR EXPENDITURE DETAIL:					
Traffic Signals	50,771	863	7,469	66,648	67,448
Public Right of Way Lighting	11,423	14,619	1,429	60,000	60,720
Street Lighting	105,212	85,245	107,477	110,000	112,420
Parking Lot Lighting		11,825		3,500	3,542
Miscellaneous Operating Expense	1,488	2,738		10,000	10,120
*Total Operating Expense>>>>>	168,894	115,289	116,375	250,148	254,250
*Transfers Out>>>>>	61,980	67,026	65,795	128,426	128,426
Street Lights	94,858	11,106	0	2,500	0
*Total Capital Outlay Expense>>>>	94,858	11,106	0	2,500	0
*Total Expenditures>>>>>>>>>>>>>>>	325,731	193,421	182,170	381,074	382,676
EXHIBIT A					

ATTACHMENT B

RESOLUTION NO. 5521

**A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
ACCEPTING AND ORDERING THE FILING OF THE
REPORT OF THE CITY MANAGER OF SAID CITY
FOR THE FURNISHING OF ELECTRIC CURRENT AND
THE MAINTENANCE OF CERTAIN LIGHTING FIXTURES AND
APPLIANCES IN THE CITY OF CARPINTERIA
STREET LIGHTING DISTRICT NO. 1 (FY 2014-15)**

WHEREAS, pursuant to the provisions of the "Street Lighting Act of 1919" of the State of California (Part 1 of Division 14, of the Streets and Highways Code of said State), as amended, the City Council of the City of Carpinteria did on March 24, 2014, adopt Resolution No. 5505 instructing the City Manager to make and file with the City Council a report in writing presenting certain matters relating to street lighting assessment districts for the furnishing of electric energy and the servicing and maintenance of all street lights in said City; and

WHEREAS, the City Manager of the City of Carpinteria has presented to the City Council a report in writing dated April 28, 2014, presenting certain matters relating to the furnishing of electric energy and the servicing and maintenance of all street lights in the City of Carpinteria Street Lighting District No. 1; and

WHEREAS, proceedings for the lighting, maintenance and servicing district are to be taken pursuant to the provisions of the "Street Lighting Act of 1919," Part 1 of Division 14, of the Streets and Highways Code, as amended, and particularly as contemplated under the provisions of said law; and

WHEREAS, this City Council has carefully examined and inspected the report of the City Manager, and is satisfied with each and all of the items set forth in said report;

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA DOES HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:

SECTION 1.

That the report of the City Manager of the City of Carpinteria, dated April 28, 2014, is hereby accepted and is hereby ordered filed with the City Clerk of the City.

SECTION 2.

That the City Clerk shall certify to the passage and adoption of this resolution and shall make a minute of the passage and adoption thereof in the records of the proceedings of the City Council in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 28th day of April, 2014 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of April, 2014 .

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

City Attorney

Attachment C

RESOLUTION NO. 5522

**A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
DECLARING ITS INTENTION TO CONTINUE FURNISHING
ELECTRICAL ENERGY, SERVICING AND MAINTENANCE OF ALL
STREET LIGHTS, APPLIANCES AND FIXTURES ON THE STREETS AND
PUBLIC PLACES IN THE CITY OF CARPINTERIA, FOR THE PERIOD
BEGINNING JULY 1, 2014 AND ENDING JUNE 30, 2015,
AS PERMITTED BY
THE STREET LIGHTING ACT OF 1919, FOR
STREET LIGHTING ASSESSMENT DISTRICT NO. 1, AND
APPOINTING A TIME AND PLACE FOR HEARING PROTESTS IN
RELATION THERETO
(FY 2014-15)**

The City Council of the City of Carpinteria, California, does hereby resolve, declare, determine and order as follows:

SECTION 1.

That the public interest and convenience require, and it is the intention of the City Council of the City of Carpinteria, to order the furnishing of electric energy, servicing and maintenance of all street lights, appliance and fixtures, on portions of public streets, places, avenues, courts, and drives within said City, all as shown on Diagram and Plan No. LP-1977-2002-E, on file in the office of the City Clerk to be maintained and electric current to be furnished for lighting said fixtures and appliances for the period beginning July 1, 2014 , and ending June 30, 2015 (Street Lighting District No. 1) permitted by the Street Lighting Act of 1919, in accordance with the report of the City Manager dated April 28, 3, on file in the office of the City Clerk, and which is hereby referred to and by this reference incorporated herein and made a part hereof. The period of time for the furnishing of electric current and maintenance for the fixtures and appliances on said streets (or rights-of-way stated above) shall be set forth in a "NOTICE OF IMPROVEMENT," which said notice the law requires to be both published and posted. All of the work and improvement shall be done in accordance with the plans and specifications referred to in the said report of the City Manager dated April 28, 2014.

SECTION 2.

That public interest and convenience further requires, and that it is the intention of the said City Council, from time to time to add certain lighting fixtures, lamps and appliances and/or the replacement of certain existing street lighting fixtures, lamps and appliances on and along said public streets, places, avenues, courts and drives, as they become necessary, said cost to be included in the assessment district costs.

SECTION 3.

That the City Treasurer has established a special fund known as "Street Lighting Assessment District, No. 1 Fund"; said City Treasurer shall place in said special fund all moneys collected by the Tax Collector pursuant to the provision of Section 6 of this resolution as soon as said moneys have been transferred to said City Treasurer.

Payments shall be made out of said special fund only for the purposes provided for in this resolution. To expedite the making of this improvement, the City Council may transfer into said special fund, out of any money in the general fund, such sums as it may deem necessary, and the sums so transferred, shall be deemed a loan to such special fund, and shall be repaid out of the proceeds of the assessments provided for in this resolution.

SECTION 4.

That said contemplated work of improvement, in the opinion of the City Council, is of more than local or ordinary benefit, and said Council hereby makes the expense of said work and improvement chargeable upon a district, which district said Council hereby declares to be the district benefited by said work and improvements, and to be assessed to pay the cost and expense thereof, which said district includes the entire City of Carpinteria as shown on Diagram and Plan No. LP-1977-2002-E (District No. 1) on file in the office of the City Clerk, on which Diagram and Plans the extent of said assessment district is indicated, and the exterior boundaries thereof are described by a boundary line, (being the City Boundary Lines including annexations completed prior to June 30, 1992) and to which said Diagram and Plan reference is hereby made for a complete and detailed description of said district, excepting from said district any portion of any public street or alley that may be included therein. The said diagram on file shall govern for all details as to the extent of said assessment district.

SECTION 5.

Any lots or parcels of land known as public property, as the same is defined in Section 18014, Part 1, Division 14, Streets and Highways Code, as amended ("Street Lighting Act of 1919"), hereinafter referred to, which are included in the said assessment district shall be exempt from the assessment to be made to cover and expenses of said contemplated improvement.

SECTION 6.

That the improvement contemplated by the Resolution of Intention shall be done under the provisions of the "Street Lighting Act of 1919" (now Part 1 of Division 14 of the Streets and Highways Code of the State of California), as amended.

SECTION 7.

That Tuesday, May 27, 2014 at the hour of 5:30 p.m. of the said day, be and the same is hereby appointed as the time, and the Council Chambers in the City Hall, 5775 Carpinteria Avenue, in said City of Carpinteria, California, is hereby appointed as the place for hearing protests in reference to the said proposed improvement and also in reference to those matters stated in Section 2, above.

SECTION 8.

That the City Clerk is hereby authorized, designated and directed to give notice of said hearing in time, form and manner as required by law.

SECTION 9.

That the Coastal View, a newspaper of general circulation, published in the County of Santa Barbara and circulated within the City of Carpinteria, is hereby designated as the newspaper in which such notice shall be published.

SECTION 10.

That this resolution shall take effect immediately and the City Clerk shall certify to the passage and adoption of this resolution; shall enter the same among the original resolutions of the City Council of said City, and shall make a minute of the passage and adoption thereof in the records of the proceedings of the City Council of said City in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 28th day of April, 2014 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of April, 2014.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

City Attorney

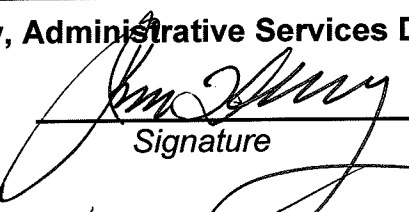
STAFF REPORT
CITY COUNCIL MEETING:
April 28, 2014

ITEM FOR COUNCIL CONSIDERATION:

REPORT ON RIGHT-OF-WAY IMPROVEMENT DISTRICT No. 3 -- FY 2014-15

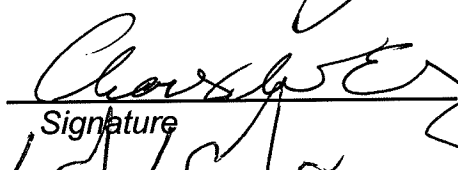
Report prepared by: John Thornberry, Administrative Services Director

Department: Administrative Services

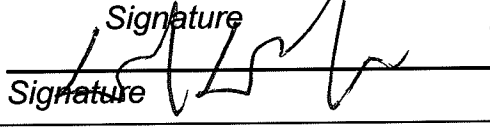

Signature

Reviewed by:

Public Works Director


Signature

City Manager


Signature

ACTION ITEM X ; NON-ACTION ITEM

STAFF RECOMMENDATION: Adopt Resolution Nos. 5523 and 5524, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Right-of-Way Improvement District No. 3 for fiscal year 2014-15 for public hearing at the regular City Council meeting of May 27, 2014.

MOTION: I recommend adoption of Resolutions 5523 and 5524, as read by title only, acting to accept and file the mandatory annual report and set the matter of the continuation of Right-of-Way Improvement District No. 3 for fiscal year 2014-15 for public hearing at the regular City Council meeting of May 27, 2014.

I. BACKGROUND:

On March 24, 2014, by Resolution No. 5506, the City Council ordered a report on the status and projections for Carpinteria Right -Of- Way Improvement District No. 3 for

Fiscal Year 2014-15. The District provides general maintenance and repairs of curb gutter and sidewalks, tree planting, tree trimming and tree removal as well as installation and maintenance of landscaped street planters and medians. This status report is required annually under the California Streets and Highways Code starting with Section 22500, and is one of several steps required each year in order to continue the subject Assessment District. The following are the steps: A.) Order the report and issue the Notice of Intent to continue the assessment District, B.) Accept the report and set for public hearing, C.) Conduct the Public hearing and decide on continuing the District.

II. FINANCIAL CONSIDERATIONS:

This District's income stream is derived from a fixed dollar assessment on property while a majority of the District's expenses are subject to inflationary pressures. Projects such as the Carpinteria Avenue Improvement project have also increased the District's maintenance and utility expenses, due to the construction of many landscaped planters. To preserve the fund balance, capital improvements are no longer being funded by this source. The beginning fund balance for fiscal 2013-14 was \$0. The ending fund balances for fiscal years 2013-14 and 2014-15 are projected to be \$0. Please see Exhibit B. It is estimated that the District will require a General Fund subsidy of approximately \$242K to balance.

III. LEGAL ISSUES:

Attached are two resolutions for your consideration and adoption. The first resolution accepts the report as presented and the second resolution states the Council's intent to continue the District for FY 2014-15 and sets the matter for public hearing on May 27, 2014.

IV. ATTACHMENTS:

A. Report of the City Engineer

- 1) Map of the District boundaries
- 2) State of Fund Transactions and Financial Condition for the District

B. Resolution No. 5523

C. Resolution No. 5524

CITY OF CARPINTERIA

REPORT OF THE CITY ENGINEER RELATIVE TO THE ASSESSMENT OF RIGHT-OF-WAY IMPROVEMENT DISTRICT NO. 3

April 28, 2014

1. INTRODUCTION

This report is prepared pursuant to Resolution No. 5506 as adopted by the City Council on March 24, 2014. The objective of the report is to describe the status of the District which provides funding for maintenance and improvements to the public right-of-way, including maintenance and repair of curbs, gutters, sidewalks, street trees and related activities. Further, the purpose is to provide a recommendation for assessments for the coming year which provides revenue to offset the costs involved in the district.

2. BACKGROUND

The Carpinteria Right-Of-Way Improvement District No. 3 was formed under the authority of the Landscaping and Lighting Act of 1972 found in the California Streets and Highways Code, starting with Section 22500. The District was formed after lengthy study and hearings that were completed in June 1985.

Establishment of the District, which serves as a backup for street light funding, resulted in the suspension of Street Lighting District No. 2, which formerly performed a similar function.

Under the provisions of the above Act, an annual report must be presented and a hearing conducted on the proposed assessments for the upcoming fiscal year, FY 2014-15. The assessment is not considered a tax in that the "benefit" is derived in accordance with the assessment, which varies from location to location depending upon the level of maintenance required in the public right-of-way adjacent to a parcel.

Effective with the adoption of the FY 1992-93 budget, assessments were increased \$140,000 to finance the cost of park maintenance previously financed by the General Fund.

With the passage of Proposition 218 in FY 1996-97 park maintenance costs became ineligible for funding from this source and the \$140,000 assessment for park maintenance was removed from the roll in FY 1997-98. In that same year voters approved a Park Maintenance Tax, accounted for in a separate Park Maintenance Fund, to finance these activities.

3. FINANCIAL DATA/ASSESSMENT INFORMATION

The income stream to the District consists of a fixed dollar assessment on real property, interest and transfers from other funds. Fund transfers, such as from the Gas Tax Fund, are permitted to offset the costs associated with District employees performing activities as a part of District implementation that qualify for payment through the Gas Tax program. Although the expenses of the District are subject to inflationary pressures there is no corresponding provision for inflationary adjustments to the assessments. Please see Exhibit 2.

4. DISTRICT BOUNDARIES

The attached Exhibit 1 is a map of the City and District. The District boundaries are coterminous with the City boundaries with the exception of that portion located off-shore.

Public and other exempt properties are not assessed by this district.

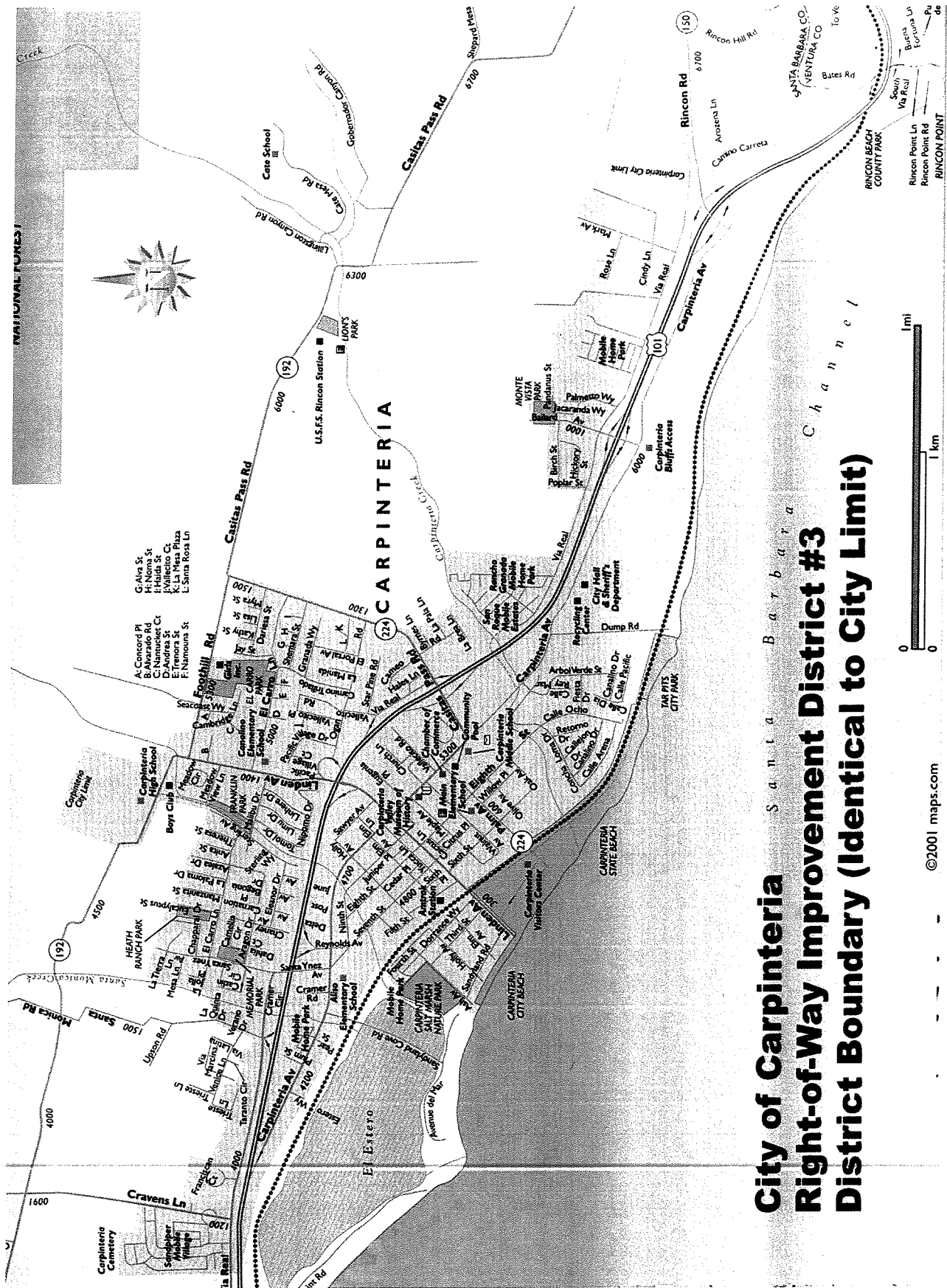
5. ANNEXATIONS

There were no annexations to either the City or the District during the past fiscal year.

6. RECOMMENDATION

It is recommended that the City Council adopt Resolution Nos. 5523 and 5524, thereby acting to accept and file the mandatory annual report and set the matter of the continuation of Right of Way Improvement District No. 3 for fiscal year 2014-15 for public hearing at the regular City Council meeting of May 27, 2014.

Charles W. Ebeling, C.E., T.E.
City Engineer



ACTUAL 2010-11	ACTUAL 2011-12	ACTUAL 2012-13	BUDGET 2013-14	PROJECTED 2014-15
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Fund Balance; July 1	(1)	(1)	(2)	(2)	(2)
Fiscal Year Revenues	496,026	496,026	440,896	460,861	465,385
Fiscal Year Expenditures	496,026	496,026	440,896	460,861	465,385
Fund Balance; June 30	(1)	(2)	(2)	(2)	(2)
Revenue Over/(Under) Expense	(0)	(0)	(1)	0	0

ASSETS:

Cash in Savings	(1)	(2)	(2)	(2)	(2)
Time Deposits	0	0	0	0	0
Accounts Receivable	0	0	0	0	0
*Total Assets>>>>>>>>>>>>>>>	(1)	(2)	(2)	(2)	(2)

Accounts Payable	0	0	0	0	0
Fund Balance	(1)	(2)	(2)	(2)	(2)
*Total Liabilities & Fund Balance>>	(1)	(2)	(2)	(2)	(2)

Use of Money & Property	(134)	(134)	(721)	0	0
District #3 Assessment	195,474	195,474	201,797	221,909	221,909
Prior Years Assessments	2,600	2,600	26	900	900
Penalties and Interest	722	722	2,822	500	500
Grant Revenue					
Transfer from Other Funds	297,363	297,363	236,971	237,552	242,076
Total Revenues>>>>>>>>>>>>>>>>	496,026	496,026	440,896	460,861	465,385

	\$	M	T	B	K	C
Personnel Expense	155,920	155,920	196,464	207,689	207,689	
Right-Of-Way Maintenance (Contract)	113,207	113,207	62,529	50,000	51,000	
Street Tree Maintenance (Contract)	24,226	24,226	7,779	45,000	45,900	
Park Maintenance	0	0			0	
Supplies and Materials	21,807	21,807	22,352	17,500	17,500	
Vehicle Operations	20,444	20,444	10,524	12,500	12,750	
Legal Services	0	0			0	
Other Contract Services	69,872	69,872	51,048	41,500	42,330	
Engineering Expense	0	0			0	
Utilities	24,529	24,529	27,419	23,528	23,999	
Other Operating Expense	0	0	937	2,500	2,550	
*Total Operating Expense>>>	430,005	430,005	379,052	400,217	403,718	
Transfers To Other Funds	66,021	66,021	61,844	60,644	61,667	
	0	0	0	0	0	
	0	0	0	0	0	
Capital Improvements	0	0	0	0	0	
*Total Capital Outlay Expense>>>>	0	0	0	0	0	
*Total Expenditures>>>>>>>>>>>>>>>>	496,026	496,026	440,896	460,861	465,385	

Exhibit 2

Attachment B

RESOLUTION NO. 5523

**A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
ACCEPTING AND ORDERING THE FILING OF THE REPORT OF THE
CITY ENGINEER OF SAID CITY MADE PURSUANT TO THE
REQUIREMENTS OF RESOLUTION NO. 5506 OF SAID COUNCIL --
CARPINTERIA LIGHTING, LANDSCAPING AND RIGHT-OF-WAY
IMPROVEMENT DISTRICT NO. 3
(FY 2014-15)**

WHEREAS, the City Council of the City of Carpinteria, California, did in Resolution No. 5506, adopted March 24, 2014, pursuant to the provisions of the Landscaping and Lighting Act of 1972" of the State of California (Part 2 of Division 15 Section 22500 et seq. of the Streets and Highways Code of said State) , require the City Engineer to make and file with the Clerk of the City Council a report in writing, presenting certain matters relating to the proposed furnishing to certain street lighting fixtures and appurtenances to be installed; electrical energy and maintenance to be furnished for all street lights throughout the City; and for the furnishing of landscaping maintenance, appurtenances and appurtenant work in the City, as contemplated under the provisions of said "Landscaping and Lighting Act of 1972"; and

WHEREAS, said City Engineer, pursuant to the requirements of said City Council as expressed in Resolution No. 5506 and of said law, did make and on April 28, 2014, file in the office of the City Clerk (who is ex-officio Clerk of the City Council) of said City, the report in writing responsive to the requirements of said Resolution No. 5506 and as contemplated under the provisions of said "Landscaping and Lighting Act of 1972"; and

WHEREAS, said City Clerk has presented the said report to the City Council of said City, and said Council has proceeded to carefully examine, inspect and consider the said report and is satisfied with said report and with each and all of the items therein set forth;

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA, DOES HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:

SECTION 1. That the report of the City Engineer and City Engineer of the City of Carpinteria, California, dated April 28, 2014, which said report was prepared and filed pursuant to the requirements of the provisions of Resolution No.5506 of the City Council of said City and in conformity with the provisions of the "Landscaping and Lighting Act of 1972," of the State of California, (Part 2 of Division 15 of the Streets and Highways Code of said State), and which said report was filed in the office of the City Clerk of the City of Carpinteria, California, on the 28th day of April 2014, be and the same is hereby approved as so prepared, presented and filed.

SECTION 2. That the City Clerk shall certify to the passage and adoption of this resolution; shall cause the same to be entered among the original resolutions of the City Council of said City and shall make a minute of the passage and adoption thereof in the records of the passage and adoption thereof in the records of the proceedings of the

City Council of said City in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 28th day of April 2014 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of April 2014.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

City Attorney

Attachment C

RESOLUTION NO. 5524

**A RESOLUTION OF THE
CITY COUNCIL OF THE CITY OF CARPINTERIA
DECLARING ITS INTENTION TO ORDER THE CONTINUATION OF AN
ASSESSMENT DISTRICT AND COLLECT ASSESSMENTS, AND TO ORDER
CERTAIN STREET LIGHTING FIXTURES AND APPURTENANCES TO BE
INSTALLED; ELECTRICAL ENERGY AND MAINTENANCE TO BE FURNISHED FOR
ALL STREET LIGHTS THROUGHOUT THE CITY; AND TO MAINTAIN ALL
LANDSCAPING, INCLUDING THE MAINTENANCE OF ALL TREES LOCATED ON
CITY PROPERTY ; INSTALLATION AND MAINTENANCE OF IRRIGATION
SYSTEMS; STREET SWEEPING, AND MAINTENANCE OF ALL DESIGNATED
PARKWAYS, LANDSCAPED AREAS AND CURB, GUTTER AND SIDEWALKS AS
SHOWN ON PLAN AND DIAGRAM
FOR THE FISCAL YEAR COMMENCING
JULY 1, 2014, AND ENDING JUNE 30, 2015;
AND APPOINTING A TIME AND PLACE FOR HEARING PROTESTS IN RELATION
THERETO
CARPINTERIA LIGHTING, LANDSCAPING AND RIGHT-OF-WAY IMPROVEMENT
DISTRICT NO. 3 (FY 2014-15)**

THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA, DOES
HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:

SECTION 1. That the public interest and convenience require, and that it is the intention of the City Council of the City of Carpinteria, California, to order the continuation of an assessment district known as the Carpinteria Lighting, Landscaping and Right-of-Way Improvement District No. 3 and to levy and collect assessments pursuant to the Landscaping and Lighting Act of 1972 (Streets and Highways Code Section 22500 et seq.) and to order certain street lighting fixtures and appurtenances to be installed, electrical energy and maintenance to be furnished for all street lights throughout the City; and to maintain all landscaping, which includes the maintenance of all trees throughout the City of Carpinteria located on City property; replacing of same and appurtenances and appurtenant work relating to the above said landscaping and tree maintenance; the installation and maintenance of all irrigation systems necessary for the above; street sweeping, maintaining of all designated parkways, landscaped areas and curb, gutter and sidewalks as shown on DIAGRAM and PLAN for Carpinteria for Carpinteria Lighting, Landscaping and Right-of-Way Improvement District No. 3, and such areas as the City Council may require; all as on file in the office of the City Clerk, for the fiscal year beginning July 1, 2014.

SECTION 2. To expedite the making of said improvement, the City Council may at any time transfer into the Carpinteria Lighting, Landscaping and Right-of-Way Improvement District No. 3 Fund, out of any money in the General Fund, such sums as it shall deem necessary, and the sums so transferred shall be deemed a loan to such Fund and shall be repaid out of the proceeds of the assessments provided for in this resolution, and pursuant to the provisions of Section 22657, "Landscaping and Lighting Act of 1972," referred to herein.

SECTION 3. That said contemplated work and improvement, in the opinion of the City Council is of more than local or ordinary benefit, and said Council hereby makes the expense of said work and improvement chargeable upon a district, which district said Council hereby declares to be the district benefited by said work and improvement, and to be assessed to pay the cost and expense thereof, which said district is the City of Carpinteria as shown on Diagram and Plan for said District No. 3 on file in the office of the City Clerk, and to which said Diagram and Plan reference is hereby made for a complete and detailed description of said district, excepting from said district any portion of any public street, alley or court that may be included therein. The said Diagram and Plan so on file shall govern for all details as to the extent of said assessment district.

SECTION 4. Any lots or parcels of land known as public property, as the same is defined in Section 22663, Part 2, Division 15, Streets and Highways Code, ("Landscaping and Lighting Act of 1972"), hereinafter referred to, which are included in the said assessment district, shall be omitted and exempt from the assessment to be made to cover the costs and expenses of said contemplated improvement.

SECTION 5. The improvement contemplated by this Resolution of Intention shall be done under the provisions of the "Landscaping and Lighting Act of 1972" (now Part 2 of Division 15 of the Streets and Highways Code of the State of California).

SECTION 6. That May 27, 2014, at the hour of 5:30 P.M. of said day, be and the same is hereby appointed as the time, and the Council Chamber in the City Hall, at 5775 Carpinteria Avenue in the City of Carpinteria, California, is hereby appointed as the place for hearing protests in reference to said improvement.

SECTION 7. That the City Clerk is hereby authorized, designated and directed to give notice of said hearing in time, form and manner as required by law.

SECTION 8. That the Coastal View, a newspaper of general circulation, published in the County of Santa Barbara and circulated in the City of Carpinteria, California, is hereby designated as the newspaper in which such notice shall be published.

SECTION 9. That this resolution shall take effect immediately, and the City Clerk shall certify to the passage and adoption of this resolution; shall cause the original of the same to be entered among the original resolutions of the City Council of said City; and shall make a minute of the passage and adoption thereof in the records of the proceedings of the City Council of said City in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 28th day of April 2014 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of April 2014.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

City Attorney

STAFF REPORT
CITY COUNCIL
April 28, 2014

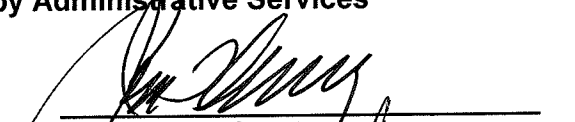
ITEM FOR COUNCIL CONSIDERATION:

**Report on Parking and Business Improvement Area Assessment District No. 4 for
Fiscal Year 2014-15**

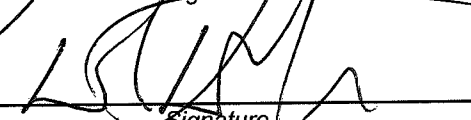
Report prepared by: Brian C. Barrett, Management Analyst
Department: Public Works


Signature

**Reviewed by Administrative Services
Director:**


Signature

**Reviewed by
City Manager:**


Signature

Action X Non-Action Staff Recommendation:

Recommendation: Adopt Resolution Nos. 5518 and 5519, acting to accept the mandatory annual report and budget and to set the matter of the continuation of Parking and Business Improvement Area District No. 4 for fiscal year 2014-2015 for public hearing at the regular City Council meeting of May 27, 2014.

Sample Motion: I move to adopt Resolution Nos. 5518 and 5519, as read by title only, thereby acting to accept the mandatory annual report and budget; and to set the matter of the continuation of Parking and Business Improvement Area District No. 4 for fiscal year 2014-15 for public hearing at the regular City Council meeting of May 27, 2014.

I. BACKGROUND:

The Parking and Business Improvement Area (City Assessment District No. 4) was formed in September 1986 with the adoption of City Ordinance No. 393, pursuant to the California Parking and Business Improvement Area Law of 1979. Parking and Business Improvement Areas are formed to enhance a specific area and increase customer/tourist appeal. This has historically been accomplished through parking improvements,

advertising/promotions, and increased public services like restroom and sidewalk cleanings. In 1989, the State of California repealed the Parking and Business Improvement Area Law of 1979 and enacted the Parking and Business Improvement Area Law of 1989 (Streets and Highways Code Section 36500 et seq.). This new law was the impetus for the adoption in 1990 of City Resolution No.1882, which appointed a required five member Parking and Business Improvement Advisory Board (PBIA Advisory Board) to advise the City Council regarding the level of assessments, expenditure of revenues from the assessments, and to provide an annual report (Attachment A) outlining certain activities within the District for the coming fiscal year.

On March 24, 2014, by Resolution No. 5507, the City Council ordered a report on the status and projections for Carpinteria Parking and Business Improvement Area District No. 4 for Fiscal Year 2014-15.

This matter will allow the City Council, if determined appropriate, to accept the Report and to set a public hearing date for its consideration of continuing the District.

II. DISCUSSION:

The Board has a duty to prepare and present an Annual Report to the City Council that addresses all matters relating to the Assessment District, including the methods and basis of levying the assessments and recommendations on the use of funds collected by the District. Additionally the Board makes recommendations to the City Council for general promotion of business activities, economic growth and stability of the District, and general maintenance issues within the Downtown-T.

The PBIA Advisory Board, at their January 3, 2014 meeting, passed a motion to recommend changing its name from the Parking and Business Improvement Area Advisory Board (PBIAAB) to the Downtown-T Business Advisory Board (DTBAB).

At its April 17, 2014 meeting, the Downtown-T Business Advisory Board passed a motion recommending that its Annual Report (Attachment A) be forwarded to the City Council. The Annual Report contains the following recommendations:

1. That the General Assessment Fee, applicable to all businesses located within the boundaries of Assessment District No. 4, remain at \$119.00 annually, and that the application of the inflationary index on the general assessment be suspended for FY 2014-15.
2. Special Parking Assessment Fees, which apply only to selected businesses, remain the same from prior years as detailed below.

Parking Lot #1 and Parking Lot #2

Parking Lots #1 and #2 are paid off. No Change.

Parking Lot #3

Board recommends the assessments for Parking Lot #3 (Sub Area "B"), based on the parking space shortfall per business, remain at \$51.00 per space for FY 2014 - 15.

3. The Board recommends that the PBIA Fund spend up to \$1,800 per parade for promotion. The remainder of parade costs for safety and janitorial services (approximately \$2,200) would be funded from the General Fund.
4. Although there are occasionally delinquencies in the payment of general business assessment fees, delinquent merchants continue to receive the benefits funded by the business assessment fees. The Downtown-T Business Advisory Board urges the City Council to continue to support staff in vigorously pursuing delinquent assessment fees, up to and including Small Claims proceedings, with any appeal made directly to the City Council.
5. Unpaid balances from an individual merchant continue to *not* be forgiven, rather that the balance due be carried forward and added to assessments billed to that business in the next fiscal year.
6. Any deficiencies in parking assessments continue to be financed through the City's General Fund. The Board has a duty to uniformly and equitably apply the parking fees throughout the Assessment District and does not feel the burden from a shortfall of funds between the parking fees assessed and collected; the amount charged for debt service and/or uncollected revenue, should be placed on other businesses in the District.

The PBIA District spends approximately \$13,000 on various Downtown T promotions and related activities including the Independence Day Parade, Halloween Trick or Treat, the Holiday Spirit Parade, and operation of the light pole banner program. Over the last 20 years, expenses for maintaining the City's downtown infrastructure have grown considerably. In the 1990's the City improved the Downtown with new pavement and sidewalks, landscaping, decorative street lighting and street furniture (benches, trash receptacles, newspaper vending racks, etc.) The City of Carpinteria now spends from Public Works funds, such as Gas Tax and Measure A funds, approximately \$10,000 per year on steam cleaning the Downtown sidewalks, approximately \$50,000 on operating and maintaining the restrooms adjacent to Parking Lot Nos. 1 and 3, and approximately \$20,000 on landscaping, lighting and street furniture maintenance. The District's Sub-Area "B" also collects an assessment to pay for a portion of the Certificates of Participation distributed for Parking Lot No. 3.

III. FINANCIAL CONSIDERATIONS:

Total revenue from the District is projected to be \$27,975. The district collects fees from two main categories: General Assessment Fees and Special Parking Assessment Fees. Attachments C and D detail the state of current fund transactions, the financial condition of the district and the proposed assessment roll for fiscal year 2014-15. A description of the fees, including their status, is provided below:

General Assessment Fees: apply to all businesses within the district and are currently set at \$119.00 per business, and are used for promotion, maintenance, and revitalization activities within district. As discussed above, the General Assessment is proposed to remain at \$119.00.

Special Parking Assessment Fees: apply only to selected businesses and are based on parking shortfalls as specified by City Zoning Code and a benefit ratio. The benefit ratio is based on a business's proximity to the location of a specific parking improvement and is used for acquisition, construction, and maintenance of those specific sub area parking improvements. The City has three publicly owned parking lots that are improved through special assessments. Only one special parking assessment (Parking Lot #3) remains active.

Parking Lot # 1 (Located on 9th Street between Yucca Lane and Elm Street)
The funding program for this parking lot, which was financed through the sale of serial bonds, was paid off in 1988 by means of property assessments on the individual property owners. In FY 1996-97 an assessment fee was levied on businesses adjacent to Parking Lot #1 to reimburse the City for half of the costs incurred for resurfacing of the parking lot. Final payments on this assessment were completed in June, 2006.

Parking Lot #2 (Located on Cactus Lane)
The funding program for Parking Lot #2 was established in FY 1987-88. SB 959 Grant monies were used to fund a portion of the cost and funding of the balance was shared equally by the City and the businesses located in the area of benefit. The business share of the assessment was paid off in June, 1997.

Parking Lot #3 (Located at the Amtrak Station on Linden Ave. and Fifth Street)
Parking Lot #3 was completed in FY 1989-90. SB 959 Grant monies were used on this project and, since this lot was intended to benefit both the downtown and the beach area, a portion of the cost was funded through the Tidelands Trust Fund as well as the City's General Fund. Businesses located in the proximity of Parking Lot #3 (also known as Sub Area "B") are currently paying an assessment of \$51.00 per parking space shortfall. This debt will be paid off in 2018.

Due to vacancies and delinquencies, there is a potential to create a shortfall in the PBIA budget. Monitoring of the PBIA funds and expenses throughout the year can manage the shortfall. Should a shortfall result, the City may contribute an allocation from the General Fund.

The projected fund balance at the end of this fiscal year (FY 2013-14) is \$33,611. Estimated revenue for Fiscal Year 2014-15 is \$27,975 and the proposed budget is \$27,975. The proposed budget can be found in Attachment A and includes expenditures for Downtown events and activities.

V. ATTACHMENTS:

- A. Downtown-T Business Advisory Board Annual Report & FY 2014-15 Proposed Budget
- B. Map of the District boundaries
- C. State of Fund Transactions and Financial Condition for the District
- D. Proposed Assessment Roll for 2014-15
- E. Resolution No. 5518
- F. Resolution No. 5519

Attachment A

**City of Carpinteria
Downtown-T Business Advisory Board
(Formerly Parking and Business Improvement Area Advisory Board)**

**ANNUAL REPORT RELATIVE TO
ASSESSMENT DISTRICT NO.4
Submitted April 28, 2014**

BOARD RESPONSIBILITIES

In compliance with local and State regulations, the Downtown-T Business Advisory Board (DTBAB) (formerly the Parking and Business Improvement Area Advisory Board or PBIAAB) is responsible for providing an operational and financial mechanism to acquire, construct, and maintain off-street public parking facilities. Additionally the Board makes recommendations to the City Council for general promotion of business activities, economic growth and stability in the District, and general maintenance issues within the Downtown-T. The Board has a duty to prepare and present an Annual Report to the City Council that addresses all matters relating to the Assessment District, including the methods and basis of levying the assessments and recommendations on use of funds collected by the District. Prior to preparing this report the Board reviewed the status of delinquent assessment fees and changes that have taken place on the assessment roll during the past year.

BACKGROUND

California State Law and Streets and Highways Code Sections 36500-36504 prescribe the process for levying assessments and establish guidelines for the appointment of an Advisory Board to make recommendations on assessments and use of funds collected by the Assessment District. The Carpinteria Downtown-T Business Advisory Board is made up of five members appointed by the Mayor, subject to the advice and consent of the City Council. They serve at the pleasure of the City Council without pay. Three of the members must represent businesses paying assessment fees in Assessment District No. 4. The remaining two members, while preferably business-related and representative of the Assessment District, may be any qualified persons as determined by the Council.

ASSESSMENT DISTRICT NO. 4

Advisory Board

The Downtown-T Business Advisory Board meets at City Hall at 8:00 a.m. every other month on the first Thursday during the months of January, March, May, July, September, and November. Meetings are conducted in accordance with the Brown Act and are open to the public.

Board Recommendation:

At its meeting on January 3, 2014, the Board passed a motion to recommend changing its name from the Parking and Business Improvement Area Advisory Board to the Downtown-T Business Advisory Board.

Current Board members:

Roxanne Barbieri (Chair)	Roxanne's A Wish and A Dream
Lynda Lang (Vice Chair)	Carpinteria Valley Chamber of Commerce
Kiona Gross	Curious Cup
Gloria Tejeda	Señor Frog's
Arthur Willner	Resident

District Boundaries

The boundaries of Carpinteria Assessment District No. 4 include the areas adjacent to Linden Avenue from the railroad to Carpinteria Avenue and from Holly Avenue to Palm Avenue. All businesses located within the boundary of the Carpinteria Parking and Business Improvement Area District No. 4 are subject to a general business improvement assessment as set by City Ordinance and, where applicable, a special parking benefit assessment fee based on parking requirements as outlined in the City's zoning ordinance. The District Boundaries can be found in Attachment A.

PARKING ASSESSMENT

Businesses within the boundaries of the Assessment District are subject to a special parking benefit assessment fee, which is used to reimburse the City's General Fund for the costs associated with the City's three parking lots. By City Ordinance No. 393, the funds derived from this assessment must be used exclusively for the purpose of acquisition, construction, and maintenance of off-street parking.

The criteria used for establishing parking assessments is based on the parking requirement per the zoning ordinance, less any on-site parking provided by the business, adjusted to reflect the benefit derived from the parking lot based on distance and accessibility to the parking lot.

- **Parking Lot # 1** (Located on 9th Street between Yucca Lane and Elm Street)
The funding program for this parking lot, which was financed through the sale of serial bonds, was paid off in 1988 by means of property assessments on the individual property owners. In FY 1996-97 an assessment fee was levied on businesses adjacent to Parking Lot #1 to reimburse the City for costs incurred for resurfacing of the parking lot. Final payments on this assessment were completed in June, 2006.

- **Parking Lot #2** (Located on Cactus Lane)
The funding program for Parking Lot #2 was established in FY 1987-88. SB 959 Grant monies were used to fund a portion of the cost and funding of the balance was shared equally by the City and the businesses located in the area of benefit. The business share of the assessment was paid off in June, 1997.
- **Parking Lot #3** (Located at the Amtrak Station on Linden Ave. and Fifth Street)
Parking Lot #3 was completed in FY 1989-90. SB 959 Grant monies were used on this project and, since this lot was intended to benefit both the downtown and the beach area, a portion of the cost was funded through the Tidelands Trust Fund as well as the City's General Fund. Businesses located in the proximity of Parking Lot #3 are currently paying an assessment of \$51.00 per parking space shortfall. This assessment will be paid off in 2018.

Board Recommendation:

At the special meeting of the Downtown-T Business Advisory Board held on April 17, 2014, the Board passed a motion to make the following recommendations to the City Council:

Parking Lot # 1 and Parking Lot #2

Parking Lots #1 and #2 are paid off. No action taken by Board.

Parking Lot #3

Board recommends the assessments for Parking Lot #3, based on the parking space shortfall per business to remain at \$51.00 per space for FY 2014 - 2015.

GENERAL BUSINESS ASSESSMENT

All businesses located within the boundaries of District No. 4 are required to pay a general business assessment fee.

As outlined by City Ordinance No. 393, funds derived from the general business improvement assessment are restricted funds and must be used exclusively for the benefit of general business revitalization, promotion and improvement within the boundaries of the Parking and Business Improvement Assessment District No.4.

The General Business Assessment fee for all businesses located within the Parking and Business Improvement Area District No. 4 had not been increased since 1991 when City Resolution No. 1998 increased the assessment from the Fifty Dollars (\$50.00) set by Ordinance in 1988, to One Hundred Dollars (\$100.00) per business per year. On June 27, 2011, the annual general assessment was increased to One Hundred Fifteen Dollars (\$115.00) per business per year, including an annual inflationary adjustments with a maximum increase of 3% annually. In 2012, the general assessment fee was adjusted to \$117.00 and in 2013 was \$119.00 following the inflationary adjustments.

The annual inflationary index for this assessment is the Consumer Price Index for Urban Wage Earners and Clerical Workers for Los Angeles-Riverside-Orange County, CA. The calculation is based on a March to March increase evaluation, in accordance with other City fee adjustments.

Board Recommendation:

The Downtown-T Business Advisory Board on April 17, 2014, passed a motion to make the following recommendation to the City Council:

That the General Business Assessment annual fee is applicable to all businesses located within the boundaries of Assessment District No. 4, remain at \$119.00 per business and that the application of the inflationary index on the general assessment be suspended for FY 2014-15.

FUNDING OF DTBAB EVENTS AND ACTIVITIES

With the adoption of a City Marketing Plan in 1993, the City Council authorized the PBIA Board to fund events and activities in the Downtown "T" with monies derived from the annual General Business Assessment fees. The use of the restricted business assessment fees as the primary funding source changed the scope of the 1993 City Marketing Plan, at least geographically, from focus on a "Community-wide" plan to a Downtown "T" plan.

The Downtown-T Business Advisory Board budget allocates the fees for planning and promotion of events held in the Downtown "T", as well as cooperative advertising programs, preparation and distribution of a courtesy map listing merchants in the Downtown "T", maintenance and replacement of the existing flag (banner) systems, a signage program, and many other maintenance/improvement activities.

It should be noted that the Project Carpinteria Committee, which was formed under the Marketing Plan and made up of PBIAAB members and representatives of the Carpinteria Valley Chamber of Commerce, has been dissolved and is no longer an active Committee under the Downtown-T Business Advisory Board.

In October, 2007 the City Council approved the formation of a more inclusive community committee, separating it from the PBIAAB. Funding for the new "Carpinteria First" Committee is provided by the General Fund, with the expectation that the Committee will focus on events and activities that benefit the entire community.

The Downtown-T Business Advisory Board continues to have the responsibility and duty to oversee the Assessment District parking and business assessment fees in compliance with State regulations, including the responsibility to promote and encourage the economic growth and stability of the district. The Board also continues to plan and promote activities and events in the Downtown "T".

The DTBAB reviewed the proposed 2014-15 budget prepared by City staff. The balanced budget included various promotional, marketing, improvements and special

events that highlight the Downtown-T. In adopting the budget, the DTBAB recommended that the budget be reviewed during the next fiscal year to explore ways to reduce the costs of the special events and parades while increasing the funds allocated to promotions and marketing.

Board Recommendation:

The Downtown-T Business Advisory Board on April 17, 2014, passed a motion to make the following recommendation to the City Council:

The District to spend up to \$1,800 per parade for promotion. The remainder of parade costs for safety and janitorial services (approximately \$2,200) would be funded through the General Fund.

PROPOSALS

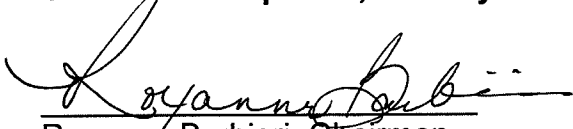
On April 17, 2014, the Downtown-T Business Advisory Board passed a motion to recommend the following proposals to the City Council:

1. Although delinquent in the payment of general business assessment fees, the delinquent merchants continue to receive the benefits funded by the business assessment fees. The Council is urged to continue to support staff in vigorously pursuing delinquent assessment fees, up to and including Small Claims proceedings, with any appeal made directly to the City Council.
2. Unpaid balances from an individual merchant continue to *not* be forgiven, but the balance due be carried forward and added to assessments billed to that business in the next fiscal year.
3. Any deficiencies in parking assessments continue to be financed through the City's General Fund. The Board has a duty to uniformly and equitably apply the parking fees throughout the Assessment District and does not feel the burden from a shortfall of funds between the parking fees assessed and collected and the amount charged for debt service and transfer created by vacancies and/or uncollected revenue should be placed on other businesses in the District.

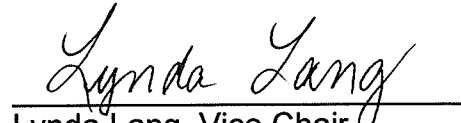
PRESENTATION OF REPORT

It is recommended that the City Council accept this report and continue the existing Parking and Business Improvement Area District No. 4 for Fiscal Year 2014-2015, including the various proposals and recommendations associated therewith as presented or with modification.

SUBMITTED April 28, 2014 by:



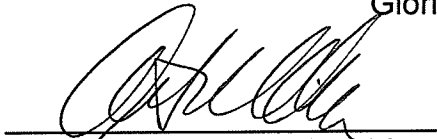
Roxanne Barbieri, Chairman



Lynda Lang, Vice Chair

Kiona Gross, Boardmember

Gloria Tejeda, Boardmember



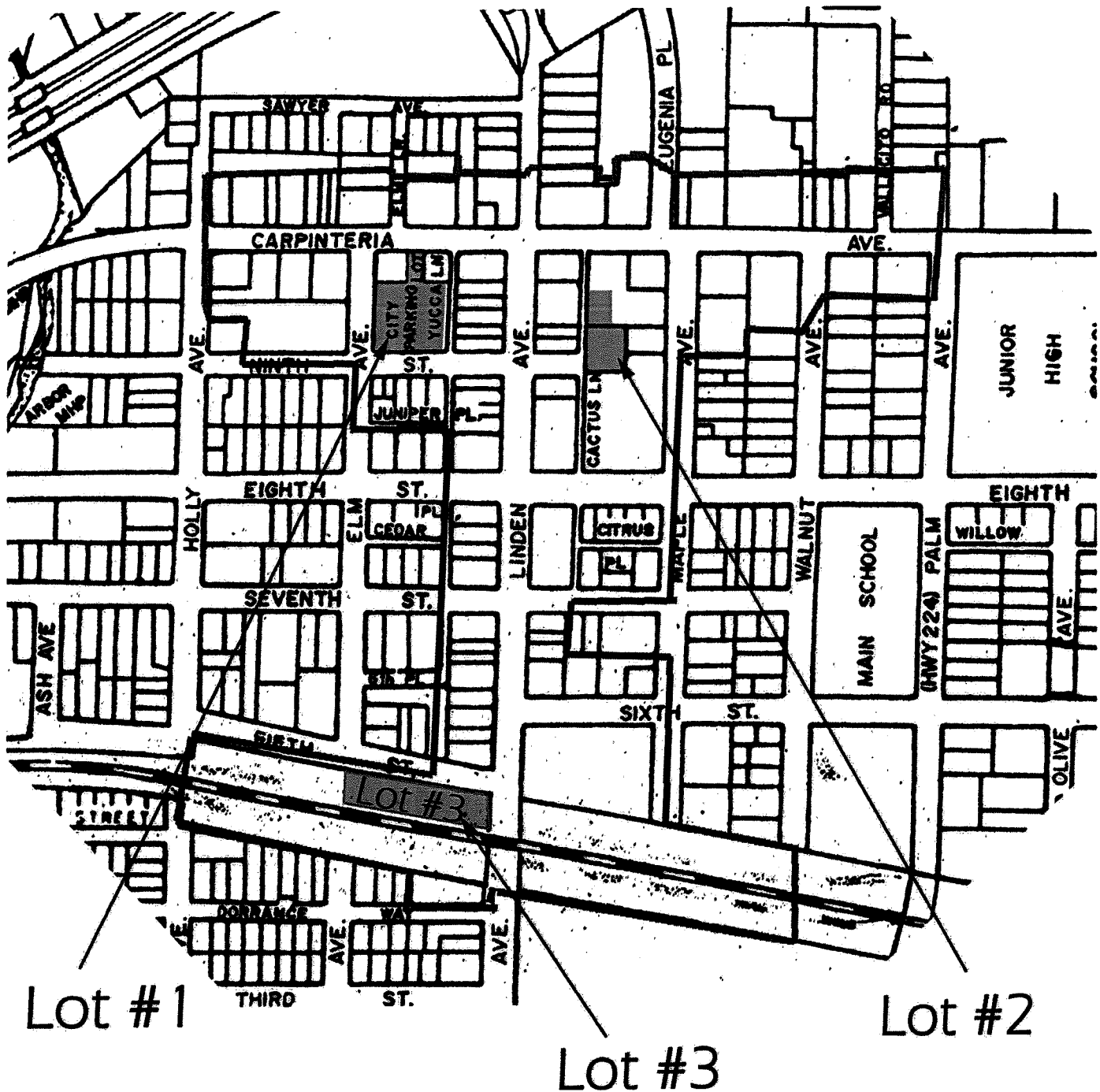
Arthur Willner, Boardmember

CITY OF CARPINTERIA
Assessment District No. 4
Parking and Business Improvement Area Fund 38
General Business Assessment Budget
FY 2014-2015

Estimated Fund Balance on 7/1/14 \$ 33,611.00
General Assessment \$ 119.00
Estimated General Assessment Revenue 2014-2015 \$ 14,875.00
Estimated Parking Lot Assessment Revenue 2014-15 \$ 13,100.00
Total Estimated Revenue \$ 27,975.00
Budgeted Expenditures \$ 27,975.00
Estimated General Fund Subsidy to Cover Parking Lot #3 Debt \$ 4,149.00

Item Description	FY 13/14 Actuals	FY 14/15 Proposed Budget	Revenue
MARKETING/PROJECT CARPINTERIA (38-45-22-2)			\$ 8,300.00
Independence Day & Holiday Spirit Parades	\$ 7,650.00	\$ 3,600.00	
Halloween Safe Trick or Treat Fliers and Bags	\$ 500.00	\$ 700.00	
Flags and Banners	\$ 39.38	\$ 1,000.00	
Shop, Play, Dine, Stay' and District Brochures	\$ 734.43	\$ 3,000.00	
Miscellaneous	\$ 100.00	-	
SUB TOTAL	\$ 9,023.81	\$ 8,300.00	
DOWNTOWN T PROMOTIONS (38-45-22-8)			\$ 2,426.00
Advertising	\$ 298.00	\$ 2,000.00	
Miscellaneous	\$ 100.00	\$ 426.00	
SUB TOTAL	\$ 398.00	\$ 2,426.00	
PARKING LOT #3 DEBT (38-78-53-3)			\$ 17,249.00
SUB TOTAL	\$ 17,249.00	\$ 17,249.00	
GRAND TOTAL	\$ 26,670.81	\$ 27,975.00	\$ 27,975.00
Unaudited Existing Fund Balance (Estimated)			\$ 37,760.00

Attachment B

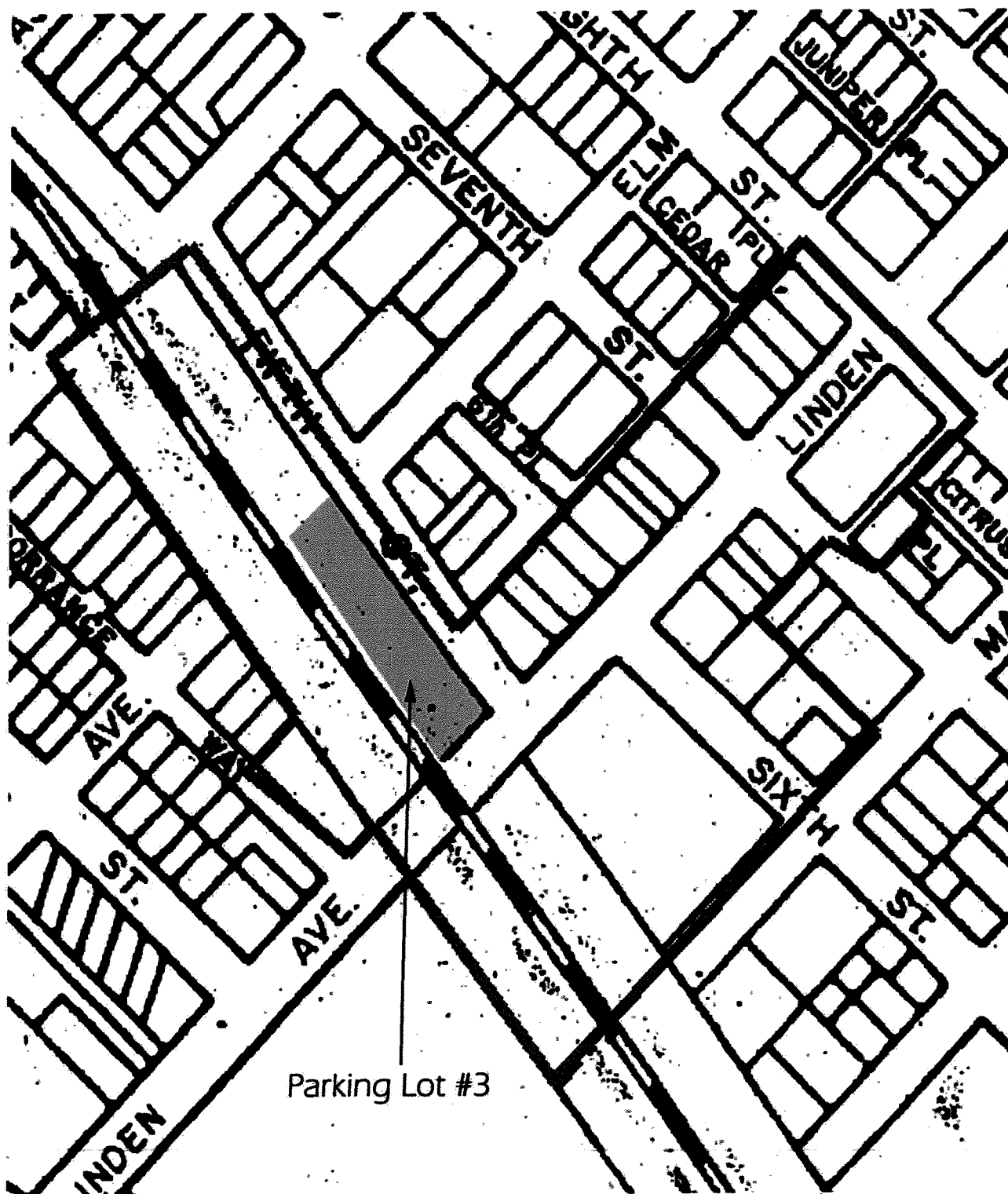


Attachment B

District Boundaries of Carpinteria

Parking and Business Improvement Area

District No. 4



Attachment B

Sub Area "B"

Attachment C

ATTACHMENT C

FUND 38

PARKING AND BUSINESS IMPROVEMENT AREA DISTRICT NO 4

	ACTUAL 2010-11	ACTUAL 2011-12	ACTUAL 2012-13	BUDGET 2013-14	PROJECTED 2014-15
A.	SUMMARY OF FUND TRANSACTIONS:				
Fund Balance; July 1	18,346	19,153	20,301	16,678	33,611
Fiscal Year Revenues	26,740	27,829	25,248	46,882	29,703
Fiscal Year Expenditures	25,933	26,681	28,871	29,949	33,249
Fund Balance; June 30	19,153	20,301	16,678	33,611	30,065
Revenue Over/(Under) Expense	807	1,148	-3,622	16,933	-3,546
B.	BALANCE SHEET, JUNE 30				
ASSETS:					
Cash in Savings	21,144	21,144	20,679	33,611	30,065
Time Deposits	0	0	0	0	0
Accounts Receivable	0	0	0	0	0
*Total Assets>>>>>>>>>>>>>>>>>>>>	21,144	21,144	20,679	33,611	30,065
LIABILITIES AND FUND BALANCE					
Accounts Payable	1,991	1,991	4,000	0	0
Fund Balance	19,153	20,301	16,678	33,611	30,065
*Total Liabilities & Fund Balance>>	21,144	22,292	20,678	33,611	30,065
C.	FISCAL YEAR REVENUE DETAIL:				
Use of Money & Property	516	501	58	384	384
Assessment General BusinessDistrict #4 Assessment	11,800	13,232	14,397	13,935	13,500
Parking Lot Assessment	14,424	14,096	10,793	15,819	15,819
Transfers In	0	0	0	16,744	0
*Total Revenues>>>>>>>>>>>>>>>>>>>>	26,740	27,829	25,248	46,882	29,703
D.	FISCAL YEAR EXPENDITURE DETAIL:				
Parking Lot #2 Payments					
Project Carpinteria					
Business Promotion/Revitalization	8,684	9,432	11,622	12,700	16,000
Parking Lot Maintenance	0	0	0	0	0
Parking Lot #3 Debt	17,249	17,249	17,249	17,249	17,249
Other Operating Expense	0	0	0	0	0
*Total Operating Expense>>>	25,933	26,681	28,871	29,949	33,249
Linden Avenue	0	0	0	0	0
Public Restroom					
*Total Capital Outlay Expense>>>>	0	0	0	0	0
*Total Expenditures>>>>>>>>>>>>>>>>>>>>	25,933	26,681	28,871	29,949	33,249

Attachment D

Lot 3 Assessment \$51.00
Lot 1 Assessment \$0.00
General Assessment \$119.00
Includes 0 occupants
10 vacancies

LOT 3 ASSESSMENT 15,436.00
LOT 3 COP PAYMENT 17,249.00
G/F SUBSIDY (1,813.00)

Proposed PBIA Assessment Roll FY 2014-15

21-Apr

Line #	Number	Address	Name	Gross Assessment		Benefit Adj Factor	Adjusted Assessment		Gen Bus Assess	Prior Year Unpaid Assessments	Total Assess	Sub Area	Total Sq Ft	Spaces Req	Spaces Provided	Deficit Lot 1	Deficit Lot 3	Assess Per Space	
				Lot 1	Lot 3		Lot 1	Lot 3											
Totals				0.00	18,119.48	X=	0.00	15,436.00	16,303.00	0.00	31,739.00								
1	890	Cactus Lane	Petcera		0.00	0.00	50.00%	0.00	0.00	119.00	0.00	119.00	C	900	3.60	4.00	0.00	0.00	0.00
2	4806	Carpinteria Ave	Sansum Clinic CLOSED		0.00	0.00	30.00%	0.00	0.00	0.00	0.00	0.00	A	9,742	36.00	26.00	10.00	0.00	0.00
3	4819	Carpinteria Ave	VACANT LOT		0.00	0.00	30.00%	0.00	0.00	0.00	0.00	0.00	A	1,474	0.00	0.00	0.00	0.00	0.00
4	4835	Carpinteria Ave	Taqueria El Buen Gusto		0.00	0.00	30.00%	0.00	0.00	119.00	0.00	119.00	A	2,000	25.00	12.00	13.00	0.00	0.00
5	4846	Carpinteria Ave	Angels		0.00	0.00	30.00%	0.00	0.00	119.00	0.00	119.00	A	2,752	5.50	3.00	2.50	0.00	0.00
6	4850	Carpinteria Ave	Rockwell Printing		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	625	1.25	2.50	0.00	0.00	0.00
7	4850	Carpinteria Ave	Rockwell Cleaners		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	1,925	3.85	2.50	1.35	0.00	0.00
8	4856	Carpinteria Ave	Carpinteria - Summerland Coastal		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	624	1.24	1.00	0.24	0.00	0.00
9	4859	Carpinteria Ave	DNA Design & Art		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	1,250	2.50	5.00	0.00	0.00	0.00
10	4860	Carpinteria Ave	Coastal Liquor		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	1,900	3.80	1.00	2.80	0.00	0.00
11	4869	Carpinteria Ave	Husted Plumbing		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	756	1.51	5.00	0.00	0.00	0.00
12	4890	Carpinteria Ave	Danny's Deli / Car Wash		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	1,056	7.00	7.00	0.00	0.00	0.00
13	4897	Carpinteria Ave	Beach Motors & Tires		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	1,854	3.70	5.00	0.00	0.00	0.00
14	4904	Carpinteria Ave	Nueva Imagen		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	680	1.36	1.00	0.36	0.00	0.00
15	4912	Carpinteria Ave	Casa Don Roge		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	680	1.36	1.00	0.36	0.00	0.00
16	4915-A	Carpinteria Ave	Peggy's Used Treasures		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	2,375	4.75	1.00	3.75	0.00	0.00
17	4915-B/C	Carpinteria Ave	Seascope Realty		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	1,858	3.71	1.00	2.71	0.00	0.00
18	4915-D	Carpinteria Ave	Farmers Insurance		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	760	1.52	1.00	0.52	0.00	0.00
19	4915-F	Carpinteria Ave	Axis One Mortgage/CLOSED		0.00	0.00	150.00%	0.00	0.00	0.00	0.00	0.00	A	760	1.52	1.00	0.52	0.00	0.00
20	4915-G	Carpinteria Ave	A-1 Solar		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	860	1.72	1.00	0.72	0.00	0.00
21	4915-H	Carpinteria Ave	Rhio Company, Inc		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	480	0.96	1.00	0.00	0.00	0.00
22	4916	Carpinteria Ave	Plaza Theatre		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	3,917	43.20	12.00	31.20	0.00	0.00
23	4920	Carpinteria Ave	Valley Barbers MAIL 4764 CARP A		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	680	1.36	1.00	0.36	0.00	0.00
24	4924	Carpinteria Ave	Puerto Vallarta		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	680	1.36	1.00	0.36	0.00	0.00
25	4928	Carpinteria Ave	La Tiendita		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	680	1.36	1.00	0.36	0.00	0.00
26	4939	Carpinteria Avé	Wally's World of Locks		0.00	0.00	150.00%	0.00	0.00	0.00	0.00	0.00	A	633	1.27	0.00	1.27	0.00	0.00
27	4939	Carpinteria Ave	Karen Gruszka Art Studio/CLOSED		0.00	0.00	150.00%	0.00	0.00	0.00	0.00	0.00	A	174	0.34	0.00	0.34	0.00	0.00
28	4939	Carpinteria Ave	Bill's Coins		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	174	0.34	0.00	0.34	0.00	0.00
29	4943-B	Carpinteria Ave	L's Salon		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	633	1.27	0.00	1.27	0.00	0.00
30	4945	Carpinteria Ave	Lemos Feed & Pet Supply		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	4,500	14.99	4.00	10.99	0.00	0.00
31	4950	Carpinteria Ave	El Payasito		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	496	1.00	2.00	0.00	0.00	0.00
32	4954	Carpinteria Ave	Carpinteria & Linden Pub		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	1,100	7.00	2.00	5.00	0.00	0.00

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				Lot 1	Lot 3		Lot 1	Lot 3										
				Totals	0.00	18,119.48	x=	0.00	15,436.00	16,303.00	0.00	31,739.00						
33	4960	Carpinteria Ave	Yessenia's Boutique		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	630	1.26	0.50	0.76	0.00
34	4961	Carpinteria Ave	Yudith's Salon		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	680	1.36	1.00	0.36	0.00
35	4962	Carpinteria Ave	El Corte Perfecto		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	630	1.26	0.50	0.76	0.00
36	4991	Carpinteria Ave	The Coffee Bean and Tea Leaf		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	680	1.36	1.00	0.36	0.00
37	4994	Carpinteria Ave	Bank of America		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	A	4,000	8.00	35.00	0.00	0.00
38	5003	Carpinteria Ave	Giovannis Pizza		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	4,000	28.00	10.00	0.00	0.00
39	5030	Carpinteria Ave	Rabobank		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	1,925	3.60	5.00	0.00	0.00
40	5032	Carpinteria Ave	Actief		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00
41	5034	Carpinteria Ave	Epsteen & Associates		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	700	2.00	2.00	0.00	0.00
42	5036	Carpinteria Ave	Epsteen & Associates		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00
43	5050	Carpinteria Ave	Jack's Famous Bagels		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	5,800	36.60	24.00	0.00	0.00
44	5065	Carpinteria Ave	VACANT		0.00	0.00	125.00%	0.00	0.00	0.00	0.00	0.00	C	4,250	8.50	7.00	0.00	0.00
45	5080	Carpinteria Ave	Sunburst Printing PO BOX 638, Ca		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00
46	5085	Carpinteria Ave	Union 76		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	850	3.40	4.00	0.00	0.00
47	5096	Carpinteria Ave	Cabo's Baja Grill & Cantina		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	2,200	24.40	19.00	0.00	0.00
48	5100	Carpinteria Ave	Rincon Cycles		0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	4,050	16.20	6.00	0.00	0.00
49	5100	Carpinteria Ave	Lucky Liama		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00
50	5205	Carpinteria Ave	Foster Freeze		0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	2,800	21.20	18.00	0.00	0.00
51	5210	Carpinteria Ave	Karen Lacks & Co. Real Estate		0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	3,150	12.60	0.00	0.00	0.00
52	5212	Carpinteria Ave	VACANT		0.00	0.00	70.00%	0.00	0.00	0.00	0.00	0.00	C	1,450	4.00	1.00	0.00	0.00
53	5250	Carpinteria Ave	Rusty's Pizza		0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	2,840	29.00	22.00	0.00	0.00
54	5277	Carpinteria Ave	Eugenia Motel		0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	0	12.00	0.00	0.00	0.00
55	5285	Carpinteria Ave	Carpinteria Toy Company		0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00
56	5292	Carpinteria Ave	City Market Center		0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00
57	5292	Carpinteria Ave	Tom Watts Automotive		0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00
58	5292 - B	Carpinteria Ave	Miri Mara		0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	0	0.00	0.00	0.00	0.00
59	5025	Eighth Street	Beach Medical Clinic		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	B	0	0.00	0.00	0.00	0.00
60	5025	Eighth Street	Hickey Bros Land Co.		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	B	0	0.00	0.00	0.00	0.00
61	915	Elm Avenue	Carpinteria Valley Lumber		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	5,130	20.50	11.00	9.50	0.00
62	933	Elm Avenue	Carpinteria Landscape Nursery		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	700	4.69	5.00	0.00	0.00
63	910-A	Linden Avenue	S.O.A.P.		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	350	1.00	0.00	0.00	0.00
64	389	Linden Avenue	The Spot		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	B	864	9.78	14.00	0.00	0.00

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				Lot 1	Lot 3		Lot 1	Lot 3		Lot 1	Lot 3										
65	501	Linden Avenue	City Market #15	0.00	0.00	125.00%	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	B	2,080	4.16	9.00	0.00	0.00	0.00
66	507	Linden Avenue	Esau's	0.00	1,020.00	125.00%	0.00	1,020.00	125.00%	0.00	1,275.00	119.00	0.00	1,394.00	B	1,900	20.00	0.00	0.00	20.00	51.00
67	509	Linden Avenue	Jiramat Kelloy	0.00	772.65	125.00%	0.00	772.65	125.00%	0.00	986.00	119.00	0.00	1,085.00	B	2,075	15.15	0.00	0.00	15.15	51.00
68	550	Linden Avenue	Kilovac Corporation/Tyco	0.00	4,099.38	125.00%	0.00	4,099.38	125.00%	0.00	5,124.00	119.00	0.00	5,243.00	B	43,690	87.38	7.00	0.00	80.38	51.00
69	600	Linden Avenue	Prufrocks Bed & Breakfast/VACAN	0.00	153.00	100.00%	0.00	153.00	100.00%	0.00	0.00	0.00	0.00	0.00	B	3,200	7.00	4.00	0.00	3.00	51.00
70	606	Linden Avenue	Blum & Sons Electric	0.00	120.36	70.00%	0.00	84.00	70.00%	0.00	84.00	119.00	0.00	203.00	B	3,184	6.36	4.00	0.00	2.36	51.00
71	619	Linden Avenue	Tidepools	0.00	96.90	70.00%	0.00	68.00	70.00%	0.00	68.00	119.00	0.00	187.00	B	950	1.90	0.00	0.00	1.90	51.00
72	655	Linden Avenue	Coast Calibration Co., Inc	0.00	0.00	70.00%	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	B	3,300	3.00	6.60	0.00	0.00	0.00
73	659	Linden Avenue	Rincon Designs	0.00	53.04	70.00%	0.00	37.00	70.00%	0.00	37.00	119.00	0.00	156.00	B	2,520	5.04	4.00	0.00	1.04	51.00
74	666	Linden Avenue	GiannFranco's Trattoria	0.00	510.00	70.00%	0.00	357.00	70.00%	0.00	357.00	119.00	0.00	476.00	B	1,200	10.00	0.00	0.00	10.00	51.00
75	686	Linden Avenue	Sly's Steakhouse & Seafood	0.00	1,479.00	70.00%	0.00	1,035.00	70.00%	0.00	1,035.00	119.00	0.00	1,154.00	B	3,319	33.00	4.00	0.00	29.00	51.00
76	699	Linden Avenue	Tony's Restaurant	0.00	357.00	50.00%	0.00	179.00	50.00%	0.00	179.00	119.00	0.00	298.00	B	2,109	20.00	13.00	0.00	7.00	51.00
77	700	Linden Avenue	Austin's Home Center/CLOSED	0.00	1,173.00	50.00%	0.00	0.00	50.00%	0.00	0.00	0.00	0.00	0.00	B	15,000	30.00	7.00	0.00	23.00	51.00
78	701	Linden Avenue	The Palms Restaurant	0.00	1,938.00	50.00%	0.00	969.00	50.00%	0.00	969.00	119.00	0.00	1,088.00	B	1,890	47.00	9.00	0.00	38.00	51.00
79	741	Linden Avenue	Oaxaca Fresh	0.00	561.00	50.00%	0.00	281.00	50.00%	0.00	281.00	119.00	0.00	400.00	B	1,530	15.00	4.00	0.00	11.00	51.00
80	751	Linden Avenue	Tacos Don Roge	0.00	344.25	50.00%	0.00	172.00	50.00%	0.00	172.00	119.00	0.00	291.00	B	440	6.75	0.00	0.00	6.75	51.00
81	751	Linden Avenue	Rainbow Ice Cream	0.00	151.47	50.00%	0.00	76.00	50.00%	0.00	76.00	119.00	0.00	195.00	B	1,988	4.97	2.00	0.00	2.87	51.00
82	768	Linden Avenue	Traveling Pants	0.00	112.20	50.00%	0.00	56.00	50.00%	0.00	56.00	119.00	0.00	175.00	B	1,100	2.20	0.00	0.00	2.20	51.00
83	771	Linden Avenue	Lola Bella Salon	0.00	0.00	50.00%	0.00	0.00	50.00%	0.00	0.00	119.00	0.00	119.00	B	1,038	2.07	3.00	0.00	0.00	0.00
84	776	Linden Avenue	Vintage Blues	0.00	40.80	50.00%	0.00	20.00	50.00%	0.00	20.00	119.00	0.00	139.00	B	900	1.80	1.00	0.00	0.80	51.00
85	794	Linden Avenue	Beach Liquors/ Tacos To Go	0.00	102.00	50.00%	0.00	51.00	50.00%	0.00	51.00	119.00	0.00	170.00	B	1,500	3.00	1.00	0.00	2.00	51.00
86	801	Linden Avenue	Rite Aid #5776 PO Box 3165, Harr	0.00	0.00	70.00%	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	6,400	12.80	14.00	0.00	0.00	0.00
87	850	Linden Avenue	Von's Market (see mailing address	0.00	0.00	70.00%	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	27,720	110.90	138.00	0.00	0.00	0.00
88	855	Linden Avenue	Carpinteria Valley Art Council (po t	0.00	0.00	70.00%	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	600	1.20	0.90	0.30	0.00	0.00
89	865	Linden Avenue	Cajun Kitchen	0.00	0.00	70.00%	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	1,656	15.32	0.00	15.32	0.00	0.00
90	873	Linden Avenue	Island Outfitters on Linden	0.00	0.00	70.00%	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	2,160	4.32	4.41	0.00	0.00	0.00
91	877	Linden Avenue	Linden Laundromat	0.00	0.00	70.00%	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	C	1,080	2.16	2.20	0.00	0.00	0.00
92	887	Linden Avenue	Head to Toe	0.00	0.00	70.00%	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	1,080	2.16	2.20	0.00	0.00	0.00
93	888	Linden Avenue	Neumann Mendro Andruiaitis Arch	0.00	0.00	125.00%	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	3,395	6.75	0.09	0.00	0.00	0.00
94	892	Linden Avenue	Senor Frogs	0.00	0.00	125.00%	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	1,800	11.00	0.56	0.00	0.00	0.00
95	897	Linden Avenue	Reynaldo's Bakery	0.00	0.00	70.00%	0.00	0.00	70.00%	0.00	0.00	119.00	0.00	119.00	A	1,363	2.73	2.78	0.00	0.00	0.00
96	900	Linden Avenue	Robitaille's Fine Candy	0.00	0.00	125.00%	0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	3,750	7.50	1.40	0.00	0.00	0.00

Lot 3 Assessment \$51.00
Lot 1 Assessment \$0.00
General Assessment \$119.00

LOT 3 ASSESSMENT
LOT 3 COP PAYMENT
GIF SUBSIDY

15,436.00
17,249.00
(1,813.00)

Includes 0 occupants
10 vacancies

Proposed PBIA Assessment Roll FY 2014-15

21-Apr

Line #	Number	Address	Name	Gross Assessment		Benefit Adj Factor	Adjusted Assessment		Gen Bus Assess	Prior Year Unpaid Assessments	Sub Area	Total Sq Ft	Spaces Req	Spaces Provided	Deficit Lot 1	Deficit Lot 3	Assess Per Space
				Lot 1	Lot 3		Lot 1	Lot 3									
Totals				0.00	18,119.48	x=	0.00	15,436.00	16,303.00	0.00	31,739.00						
97	901	Linden Avenue	The Beach Bowl		0.00	80.00%	0.00	0.00	119.00	0.00	A	700	1.60	0.61	0.99	0.00	0.00
98	901-B	Linden Avenue	The Gym Next Door		0.00	80.00%	0.00	0.00	119.00	0.00	A	700	1.60	0.61	0.99	0.00	0.00
99	901-C	Linden Avenue	Coast Auto Parts		0.00	80.00%	0.00	0.00	119.00	0.00	A	866	1.73	1.21	0.52	0.00	0.00
100	905	Linden Avenue	Blue Orchid		0.00	80.00%	0.00	0.00	119.00	0.00	A	1,525	3.05	0.56	2.49	0.00	0.00
101	910	Linden Avenue	Corktree Cellars		0.00	125.00%	0.00	0.00	119.00	0.00	C	2,270	9.54	0.34	0.00	0.00	0.00
102	914	Linden Avenue	Hurley Optical		0.00	125.00%	0.00	0.00	119.00	0.00	C	1,219	2.44	0.48	0.00	0.00	0.00
103	915	Linden Avenue	Nutbelly Pizzaria & Deli		0.00	80.00%	0.00	0.00	119.00	0.00	A	2,750	20.50	1.00	19.50	0.00	0.00
104	916	Linden Avenue	Mirame Salon		0.00	125.00%	0.00	0.00	119.00	0.00	C	1,147	2.29	0.45	0.00	0.00	0.00
105	919	Linden Avenue	Seastrand		0.00	80.00%	0.00	0.00	119.00	0.00	A	925	1.85	0.56	1.29	0.00	0.00
106	919-B	Linden Avenue	919 Boutique		0.00	80.00%	0.00	0.00	119.00	0.00	A	350	0.70	0.56	0.14	0.00	0.00
107	929-A	Linden Avenue	Vacant		0.00	80.00%	0.00	0.00	119.00	0.00	A	1,120	2.24	1.00	1.24	0.00	0.00
108	933	Linden Avenue	United Studios of Self Defense		0.00	80.00%	0.00	0.00	119.00	0.00	A	1,500	9.50	1.30	8.20	0.00	0.00
109	943	Linden Avenue	Homestead Antiques		0.00	70.00%	0.00	0.00	119.00	0.00	A	2,000	4.00	4.00	0.00	0.00	0.00
110	944	Linden Avenue	Pacific Health Foods		0.00	125.00%	0.00	0.00	119.00	0.00	C	2,800	5.60	2.00	0.00	0.00	0.00
111	954	Linden Avenue	Carpinteria Barber		0.00	80.00%	0.00	0.00	119.00	0.00	C	710	3.42	2.00	0.00	0.00	0.00
112	961	Linden Avenue	Maple		0.00	80.00%	0.00	0.00	119.00	0.00	A	2,512	4.00	1.93	2.07	0.00	0.00
113	962	Linden Avenue	Whimsy		0.00	125.00%	0.00	0.00	119.00	0.00	C	1,710	3.42	1.88	0.00	0.00	0.00
114	963	Linden Avenue	Chalk Gallery - out of business		0.00	80.00%	0.00	0.00	119.00	0.00	A	424	1.00	2.00	0.00	0.00	0.00
115	970	Linden Avenue	Sushi Teri House		0.00	125.00%	0.00	0.00	119.00	0.00	C	4,550	25.00	5.01	0.00	0.00	0.00
116	973	Linden Avenue	Worker Bee Cafe		0.00	80.00%	0.00	0.00	119.00	0.00	A	1,282	12.00	4.00	8.00	0.00	0.00
117	977	Linden Avenue	Little Rainbow Foot Spa		0.00	125.00%	0.00	0.00	119.00	0.00	C	4,550	25.00	5.01	0.00	0.00	0.00
118	991	Linden Avenue	Luxury Nail Salon		0.00	125.00%	0.00	0.00	119.00	0.00	C	4,550	25.00	5.01	0.00	0.00	0.00
119	505	Maple Avenue	PK Engineering & Manufacturing		0.00	90.00%	0.00	0.00	119.00	0.00	B	0	0.00	0.00	0.00	0.00	0.00
120	509	Maple Avenue	Brothers of Industry		0.00	90.00%	0.00	477.00	119.00	0.00	B	5,200	10.40	0.00	0.00	10.40	51.00
121	517	Maple Avenue	Rincon Catering		0.00	90.00%	0.00	441.00	119.00	0.00	B	4,800	9.60	0.00	0.00	9.60	51.00
122	555	Maple Avenue	Table Top Studios		0.00	50.00%	0.00	0.00	119.00	0.00	B	1,450	2.90	7.00	0.00	0.00	0.00
123	601	Maple Avenue	Phos Industries Inc		0.00	70.00%	0.00	257.00	119.00	0.00	B	3,600	7.20	0.00	0.00	7.20	51.00
124	919	Maple Avenue	Roxanne's Wish & a Dream		0.00	125.00%	0.00	0.00	119.00	0.00	C	2,690	12.00	7.00	0.00	0.00	0.00
125	919	Maple Avenue	Sea Rose Salon		0.00	125.00%	0.00	0.00	119.00	0.00	C	400	12.00	7.00	0.00	0.00	0.00
126	957	Maple Avenue	Twice As Nice		0.00	90.00%	0.00	0.00	119.00	0.00	C	300	0.60	0.41	0.00	0.00	0.00
127	957	Maple Avenue	Moorhouse Financial		0.00	90.00%	0.00	0.00	119.00	0.00	C	480	0.96	0.65	0.00	0.00	0.00
128	957	Maple Avenue	Image Net		0.00	90.00%	0.00	0.00	0.00	0.00	C	480	0.96	0.65	0.00	0.00	0.00

Lot 3 Assessment \$51.00
 Lot 1 Assessment \$0.00
 General Assessment \$119.00

LOT 3 ASSESSMENT 15,436.00
 LOT 3 COP PAYMENT 17,249.00
 G/F SUBSIDY (1,813.00)

Includes 0 occupants
 10 vacants

Proposed PBIA Assessment Roll FY 2014-15

21-Apr

Line #	Number	Address	Name	Gross Assessment		Benefit Adj Factor	Adjusted Assessment		Gen Bus Assess	Prior Year Unpaid Assessments	Total Assess	Sub Area	Total Sq Ft	Spaces Req	Spaces Provided	Deficit Lot 1	Deficit Lot 3	Assess Per Space
				Lot 1	Lot 3		Lot 1	Lot 3										
				Totals	0.00	18,119.48	x=	0.00	15,436.00	16,303.00	0.00	31,739.00						
129	957	Maple Avenue	Roland Rotz PhD		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	480	0.96	0.65	0.00	0.00
130	957	Maple Avenue	Matthew I. Berger Law Group		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	C	480	0.96	0.65	0.00	0.00
131	4909	Ninth Street	9th Street Dance Shop		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	440	0.88	2.00	0.00	0.00
132	4915	Ninth Street	Curtis Dance Studio		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	880	1.76	2.00	0.00	0.00
133	4921-A	Ninth Street	Watermark		0.00	0.00	150.00%	0.00	0.00	119.00	0.00	119.00	A	506	2.00	0.00	0.00	0.00
134	5042	Seventh Street	Whimsy		0.00	36.72	50.00%	0.00	18.00	119.00	0.00	137.00	B	360	0.72	0.00	0.72	51.00
135	5040	Sixth Street	Serigraph Resort Wear Inc.		0.00	1,157.70	90.00%	0.00	1,042.00	119.00	0.00	1,161.00	B	11,328	22.70	0.00	22.70	51.00
136	5045	Sixth Street	Pagiel Warehouse		0.00	346.80	90.00%	0.00	312.00	119.00	0.00	431.00	B	3,400	6.80	0.00	6.80	51.00
137	5049	Sixth Street	Island Brewing Company		0.00	426.36	125.00%	0.00	533.00	119.00	0.00	652.00	B	3,680	8.36	0.00	8.36	51.00
138	5055	Sixth Street	Super Springs		0.00	288.26	125.00%	0.00	335.00	119.00	0.00	454.00	B	2,632	5.26	0.00	5.26	51.00
139	5095	Sixth Street	Holly Workshop / Flag Factory		0.00	1,412.39	90.00%	0.00	1,271.00	119.00	0.00	1,390.00	B	13,847	27.69	0.00	27.69	51.00
140	5096	Sixth Street	Colson's Towing Inc.		0.00	0.00	90.00%	0.00	0.00	119.00	0.00	119.00	B	3,482	6.96	0.00	0.00	0.00
141	5025	Wullbrandt Way	Carpinteria Cotton Co		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	546	1.09	0.17	0.00	0.00
142	5035	Wullbrandt Way	Carpinteria Nails		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	532	1.06	0.18	0.00	0.00
143	5045	Wullbrandt Way	Santa Cruz Island Foundation		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	C	456	0.91	0.30	0.00	0.00
144	871 A	Yucca Lane	STP Screenprinting		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	A	0	0.00	0.00	0.00	0.00
145	871 B	Yucca Lane	Seven Sims Choppers & Black Crow Arts		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	A	0	0.00	0.00	0.00	0.00
146	929	Yucca Lane	Tatiana's Pilates		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	A	1,062	2.13	2.00	0.13	0.00
147	972	Yucca Lane	Vacant		0.00	0.00	125.00%	0.00	0.00	119.00	0.00	119.00	A	0	0.00	0.00	0.00	0.00

Attachment E

RESOLUTION NO. 5518

**A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
ACCEPTING AND ORDERING THE FILING OF THE REPORT MADE PURSUANT TO
THE REQUIREMENTS OF
RESOLUTION NO. 5507 OF SAID COUNCIL
PARKING AND BUSINESS IMPROVEMENT AREA DISTRICT NO. 4
(FY 2014-15)**

WHEREAS, the City Council of the City of Carpinteria, California, did in Resolution No. 5507, adopted March 24, 2014, pursuant to the provisions of Chapters 3 and 4 "Parking and Business Improvement Area Law of 1989" of the State of California (Part 6 of Division 18 Section 36530 et seq. of the Streets and Highways Code of said State), require the Downtown-T Business Advisory Board (formerly Parking and Business Improvement Area Advisory Board) to make and file with the Clerk of the City Council a report in writing, presenting certain matters relating to the proposed acquisition, construction or maintenance of parking facilities for the benefit of the area; decoration of any public place in the area; promotion of public events which are to take place on or in public places in the area; furnishing music in any public place in the area; and general promotion of business activities in the area as contemplated under the provisions of said "Parking and Business Improvement Area Law of 1989"; and

WHEREAS, said Downtown-T Business Advisory Board, pursuant to the requirements of said City Council as expressed in said Resolution and of said law, did make and on the 28th day of April, 2014, file in the office of the City Clerk (who is ex-officio Clerk of the City Council) of said City, the report in writing responsive to the requirements of said Resolution and as contemplated under the provisions of said "Parking and Business Improvement Area Law of 1989"; and

WHEREAS, said City Clerk has presented the said report to the City Council of said City, and said Council has proceeded to carefully examine, inspect and consider the said report and is satisfied with said report and with each and all of the items therein set forth;

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA, DOES HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:

SECTION 1. That the report of the Downtown-T Business Advisory Board of the City of Carpinteria, California, bearing date of the 28th of April, 2014, which said report was prepared and filed pursuant to the requirements of the provisions of Resolution No. 5507 of the City Council of said City and in conformity with the provisions of the "Parking and Business Improvement Area Law of 1989," of the State of California, (Part 6 of Division 18 of the Streets and Highways Code of said State), and which said report was filed in the office of the City Clerk of the City of Carpinteria, California, on the 28th

day of April, 2014, be and the same is hereby approved as so prepared, presented and filed.

SECTION 2. That the City Clerk shall certify to the passage and adoption of this resolution; shall cause the same to be entered among the original resolutions of the City Council of said City and shall make a minute of the passage and adoption thereof in the records of the proceedings of the City Council of said City in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 28th day of April, 2014 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of April, 2014.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter Brown
City Attorney

Attachment F

RESOLUTION NO. 5519

**A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
DECLARING ITS INTENTION TO ORDER THE
CONTINUATION OF AN ASSESSMENT DISTRICT
AND COLLECT ASSESSMENTS PURSUANT TO THE
PARKING AND BUSINESS IMPROVEMENT AREA LAW OF 1989
FOR PURPOSES OF ACQUISITION, CONSTRUCTION
OR MAINTENANCE OF PARKING FACILITIES AND GENERAL PROMOTION
OF BUSINESS ACTIVITIES IN THE AREA DESCRIBED AS SHOWN ON
THE PLAN AND DIAGRAM FOR THE FISCAL YEAR COMMENCING
JULY 1, 2014 AND ENDING JUNE 30, 2015;
AND APPOINTING A TIME AND PLACE FOR
HEARING PROTESTS IN RELATION THERETO --
CARPINTERIA PARKING AND BUSINESS IMPROVEMENT AREA DISTRICT NO. 4
(FY 2014-15)**

**THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA, DOES
HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:**

SECTION 1. That the public interest and convenience require, and that it is the intention of the City Council of the City of Carpinteria, California, to order the continuation of an assessment district known as the Carpinteria Parking and Business Improvement Area District No. 4 and to levy and collect assessments pursuant to the Parking and Business Improvement Area Law of 1989 (Streets and Highways Code 36500 et seq.) and to order the acquisition, construction and maintenance of parking facilities as well as to undertake such other activities for the purpose of the general promotion of business activities within the District as described herein, shown on the diagram and plan for the Carpinteria Parking and Business Improvement Area District No. 4, all as on file in the office of the City Clerk, for the fiscal year beginning July 1, 2014.

SECTION 2. The following summarizes the proposed boundaries, assessments and programs for Fiscal Year 2014-15 for Improvement Area District No. 4:

1. The boundaries of the Parking and Business Improvement Area District No. 4 are proposed to be unchanged from FY 1997-98.
2. The General Business Assessment, applicable to all businesses within the Improvement Area District No. 4, for FY 2014-15 is proposed to remain at \$119.00 per business per year and the application of the inflationary index on the general assessment be suspended for FY 2014-15.
3. The Parking Lot #3 assessment within Sub-Area "B" will be \$51.00 per parking space shortfall per business as detailed in the Report dated April 28, 2014.

4. Proceeds from the General Business Assessment will be used to promote and advertise business activities within the Improvement Area, develop a directional signage program, and contribute to parking lot maintenance activities.

SECTION 3. To expedite the making of said improvement, the City Council may at any time transfer into the Carpinteria Parking and Business Improvement Area District No. 4 Fund, from the General Fund, such sums as it shall deem necessary, and the sums so transferred shall be deemed a loan to such Fund and shall be repaid out of the proceeds of the assessments provided for in this resolution, and pursuant to the provisions of the "Parking and Business Improvement Area Law of 1989," referred to herein.

SECTION 4. That said contemplated work and improvement, in the opinion of the City Council, is of more than local or ordinary benefit, and said Council hereby makes the expense of said work and improvement chargeable upon a district, which district said Council hereby declares to be the district benefited by said work and improvement, and to be assessed to pay the cost and expense thereof, which said district consists of portions of the City of Carpinteria as shown on the Diagram and Plan for said District No. 4 on file in the office of the City Clerk, and to which said Diagram and Plan reference is hereby made for a complete and detailed description of said district, excepting from said district any portion of any public street, alley or court that may be included therein. The said Diagram and Plan so on file shall govern for all details as to the extent of said assessment district.

SECTION 5. Any lots or parcels of land known as public property, as the same is defined in Section 22663, Streets and Highways Code, hereinafter referred to, which are included in the said assessment district, shall be omitted and exempt from the assessment to be made to cover the costs and expenses of said contemplated improvement.

SECTION 6. The improvement contemplated by this Resolution of Intention shall be done under the provisions of the "Parking and Business Improvement Area Law of 1989" (now Part 6 of Division 18 of the Streets and Highways Code of the State of California).

SECTION 7. That Tuesday, May 27, 2014, at the hour of 5:30 P.M. of said day, be and the same is hereby appointed as the time, and the Council Chamber in the City Hall, at 5775 Carpinteria Avenue in the City of Carpinteria, California, is hereby appointed as the place for hearing protests in reference to said improvement.

SECTION 8. That the City Clerk is hereby authorized, designated and directed to give notice of said hearing in time, form and manner as required by law.

SECTION 9. That the Coastal View, a newspaper of general circulation, published in the County of Santa Barbara and circulated in the City of Carpinteria, California, is hereby designated as the newspaper in which such notice shall be published.

SECTION 10. That this resolution shall take effect immediately, and the City Clerk shall certify to the passage and adoption of this resolution; shall cause the original of the same to be entered among the original resolutions of the City Council of said City; and shall make a minute of the passage and adoption thereof in the records of the proceedings of the City Council of said City in the minutes of the meeting at which the same is passed and adopted.

PASSED, APPROVED AND ADOPTED this 28th day of April, 2014 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of April, 2014.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

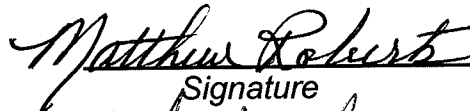
Peter N. Brown
City Attorney

STAFF REPORT
CITY COUNCIL MEETING
April 28, 2014

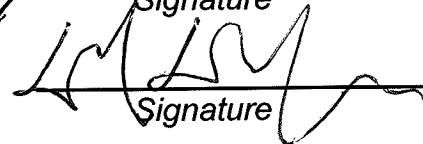
ITEM FOR COUNCIL CONSIDERATION:

Adoption of Resolution No. 5520, a Resolution of Intention to set the assessment for the City of Carpinteria's Assessment District No. 5 for the 2014-15 Fiscal Year.

REPORT PREPARED BY:
MATTHEW ROBERTS, PARKS AND RECREATION DIRECTOR


Signature

REVIEWED BY:
DAVE DURFLINGER, CITY MANAGER


Signature

Action Item: ✓ Non action item:

Recommendation: Accept and file the annual report and set a public hearing date for May 27, 2014, when the City Council will consider authorizing the continuation of the Assessment District No. 5 and ordering the improvement.

Sample Motion: I move to accept and file the District No. 5 annual report and to adopt Resolution No. 5520, as read by title only, thereby setting the public hearing date for the City Council to consider setting the annual assessment and ordering the construction of the improvement for Assessment District No. 5.

I. BACKGROUND:

The Santa Barbara coast experienced an extremely large wave event on March 1, 2014 that caused erosion to several local beaches and damage to beach front structures. The most infamous of these included a restaurant in Santa Barbara on Sterns Wharf that lost a large window due to the force of a large wave. Along the Carpinteria City beach structures were spared damage due to the fact that the winter protection berm was in place. Ocean water did overtop the berm in several locations but did not cause any damage. Based upon observations during this high tide, damage would have been very probable without the berm.

The Winter Protection Berm Assessment District No. 5 was formed by Resolution number 3061, dated December 14, 1992. Each year, it is necessary to set the annual assessment charged to properties within the District's boundaries. The passage of the

aforementioned Resolution is the next step toward meeting this objective. This Resolution sets the date of the Public Hearing for May 27, 2014 when final action on the setting of the annual assessment for the district may be taken pursuant to Government Code § 54954.6, that pertains to notice of assessments. Also attached to this report is the Assessment District No. 5 Report that is presented as ordered by City Council Resolution No. 5508.

This report contains the following:

- A. Plans and specifications of the winter berm project.
- B. An estimate of the costs of the project.
- C. An assessment role.
- D. A statement of the method in which project costs were apportioned to each benefited parcel of land within the district.
- E. A list of the names and addresses of each owner of property within the district's boundaries know to the City.
- F. An assessment diagram.
- G. A statement which confirms the collection of assessments for the project may be subsequent to the work for the project.
- H. A statement of the total amount of assessments for all properties with in district's boundaries which remains unpaid and the total true value, as near as may be determined, of the parcels of land and improvements that are proposed to be assessed. This is provided pursuant to §2961 of California's Streets and Highways Code known as the Majority Protest Act of 1931.

The City Council, at its discretion, may modify the findings of this report in any respect (§10300 Streets and Highway code) and the modified report will stand as the report for all subsequent proceedings except that the City Council may not change the boundaries of the district to include any territory that will not, in its judgment, be benefited by the improvement.

II. POLICY:

The City's winter protection berm is an important public safety project that protects public and private property improvements along the City Beach in the City of Carpinteria.

III. FINANCIAL CONSIDERATIONS:

The proposed actions are the next step toward continuing the District providing funding for annual Beach Berm construction.

District funding	\$22,228.34
City Contribution	<u>\$15,271.66</u>
Total Annual Cost	<u>\$35,500.00</u>

IV. LEGAL ISSUES:

The intended purpose of the berm is to provide a measure of protection to the public and private improvements that front on the Carpinteria City Beach. Protection is

needed during the winter in the event of a severe winter storm. Historically, powerful waves generated by large Pacific Ocean storms have been known to devastate local beaches and coastal structures. The winter protection berm is intended to help reduce the frequency of damage to property improvements that otherwise might occur if no berm was built. The minimal protection provided by the berm is not intended to serve as a substitute for any private storm protection improvements or private flood insurance. The winter protection berm is not impervious to being destroyed itself. Even with the winter protection berm in place, the possibility of beach front damage by winter storms is still high.

V. ATTACHMENTS:

- A. Resolution No. 5520
- B. Assessment District No. 5 Report
- C. Photographs depicting March 1st large wave event

ATTACHMENT A

RESOLUTION NO. 5520

RESOLUTION OF INTENTION BY THE CITY COUNCIL OF THE CITY OF CARPINTERIA TO SET THE ASSESSMENT FOR THE CITY OF CARPINTERIA'S ASSESSMENT DISTRICT NO. 5 FOR THE 2014-15 FISCAL YEAR. (PURSUANT TO THE MUNICIPAL IMPROVEMENT ACT OF 1913)

**NOW THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA DOES
HEREBY RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:**

SECTION 1. The City Council intends to set the amount of the assessment for the City's Assessment District No. 5 and to levy and collect this assessment pursuant to the Municipal Improvement Act of 1913, Streets and Highways Code.

SECTION 2. The improvements to be made in this assessment district are generally described as follows:

The annual installation and removal of The Carpinteria Beach Winter Protection Berm that is located along the entire length of the Carpinteria City Beach between Linden Avenue and the western limits of the City of Carpinteria along the coastline.

SECTION 3. This assessment district is known as Assessment District No. 5, City of Carpinteria, Santa Barbara County, California. The general location of the assessment district includes the entire length of the Carpinteria City Beach and the adjacent property frontage.

SECTION 4. In accordance with the City Council's resolution initiating proceedings, the City Parks and Recreation Director has filed with the City Clerk the report required by the Municipal Improvement Act of 1913. All interested persons are referred to that report for a full and detailed description of the improvements, the boundaries of the assessment district and the proposed assessments upon assessable lots and parcels of land within the assessment district.

SECTION 5. On Tuesday, the 27th day of May, 2014, at the hour of 5:30 o'clock PM, or as soon thereafter as may be heard, the City Council will conduct a public hearing on the levying of the proposed assessment and ordering the construction of the improvement. The hearing will be held in the City Council Chambers located at 5775 Carpinteria Avenue, Carpinteria, California.

SECTION 6. By adoption of this Resolution, the City Council indicates its intention to comply with the requirements of the Special Assessment Investigation, Limitation and Majority Protest Act of 1931, Streets and Highways code §2800 and following including specifically §2960 and §2961 thereof.

SECTION 7. The City Clerk is authorized and has been directed to give the notice of this hearing as required by § 54954.6 of the Government Code.

PASSED, APPROVED AND ADOPTED, this 28th day of April, 2014 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER

ABSENT: COUNCIL MEMBER

Mayor, City of Carpinteria

Attest:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held this 28th day of April, 2014.

City Clerk, City of Carpinteria

Approved as to form:

City Attorney, City of Carpinteria.

ATTACHMENT B

Assessment District Report
Assessment District No. 5
For Fiscal Year 2014-15

Matthew Roberts, Parks and Director has prepared this report for Assessment District No. 5, City of Carpinteria, Santa Barbara County, California makes this filing with the City Clerk, as directed by the City Council, pursuant to section 10203 of the Streets and Highways Code (Municipal Improvement Act of 1913.)

The improvements that are the subject of this report are briefly described as follows:

The annual construction and removal of a winter protection berm consisting of approximately thirteen-thousand cubic yards of sand along the Carpinteria City beach.

This report consists of eight parts as follows:

Part A – Plans and specifications for the improvements

Part B – An estimate of the cost of the improvement.

Part C – An assessment of the estimated cost of the improvement on each benefitted parcel of land within the Assessment District.

Part D – A statement of the method by which the undersigned has determined the amount proposed to be assessed against each parcel.

Part E – A list of the names and addresses of the owners of real property within the assessment roll for taxes, or as known to the City Clerk. This list is keyed to Part C by assessment number.

Part F – A diagram showing all of the parcels of real property within the Assessment District. The diagram is keyed to Part C by assessment number.

Part G – A statement that confirms the collection of assessments for the project described above may be subsequent to the work being performed.

Part H – A statement of the total amount of assessments for all properties within the District's boundaries that remain unpaid and the total true value, as near as may be determined, of the parcels of land and improvements that are proposed to be assessed. This information is provided pursuant to California's Streets and Highways Code known as the Majority Protest Act of 1931.

Respectfully Submitted,

Matthew Roberts
Parks and Recreation Director

Engineer's Report
City of Carpinteria Assessment District # 5
The Carpinteria Beach Winter Protection Berm
April 28, 2014
Part A

Project Description.

The Carpinteria Winter Protection Berm is a Sand berm constructed in the fall of each year and then removed again in the spring. It is constructed of beach sand scraped from the upper tidal zone and placed in the back shore area of the City's beach. The berm is built along the entire City beach from the City's western most boundary to the west to the eastern limit of Linden Avenue on the east. The approximate length of the berm is 1,450 feet. Approximately twelve-thousand cubic yards of beach material are used in the construction of the berm. The berm is built to an approximate height of sixteen feet above sea level or higher depending upon field conditions and sand inventories.

The intended purpose of the berm is to provide a measure of protection to the housing front on the Carpinteria City Beach. Protection is needed during the winter in the event of a severe winter storm. Historically, powerful waves generated by large Pacific Ocean storms have been known to cause damage to local beaches and coastal structures. The winter protection berm is intended to help reduce the frequency of damage to the housing front which might otherwise occur if no berm was built. The minimal protection provided by the berm is not intended to serve as a substitute for any private storm protection improvements or private flood insurance. The winter protection berm is not impervious to being destroyed itself. Even with the winter protection berm in place, the possibility of housing front damage by winter storms is still present.

PART B
Engineer's Report
Estimate of Project Cost
Carpinteria City Beach Winter Protection Berm
Fiscal Year 2014-2015

The following estimate of project cost for the Carpinteria City Beach Winter Protection Berm is prepared in order to determine the anticipated cost of installing, maintaining, and removing the annual project. Variables that exist in this estimation include not knowing what beach conditions will be for the berm installation in the fall, what level of maintenance will be necessary to keep the berm intact during the winter and how much machine time will be needed to remove the berm in the springtime. Other components of the cost estimate are more reliable. These components include the cost of biological reporting and project administration.

The installation of the winter protection berm is accomplished by a negotiated contract pursuant to 22032(a) of the California Public Contract Code. This code states that public projects of forty-five thousand dollars (\$45,000) or less may be performed by the employees of a public agency by force account, by negotiated contract, or by purchase order.

The cost to construct the berm in 2014 / 2015 Fiscal Year is estimated to be \$12,000. This amount is based upon the following breakdown;

Machine time	\$12,000.00
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The quantity of maintenance needed throughout the winter storm season is based upon the severity of weather encountered during the time the berm is in place. Some winters require no maintenance while others can require a significant maintenance effort. An amount should be contributed each year in an effort to anticipate some level of maintenance. Again based upon empirical data, a contribution of five thousand dollars per year should on average be adequate.

\$5,000.00

Removal of the berm in order to return the berm material to the upper tidal zone and to reconfigure the beach to a natural spring profile also varies for several reasons. Should the winter be exceptionally mild, and the berm remains one hundred percent intact, a greater amount of time will be required to remove it. After some winter seasons, a significant portion of the berm is eroded away, reducing berm removal costs. Removal costs can thereby vary significantly from year to year.

An estimated cost for this procedure equals	\$12,000.00
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Biological monitoring is a requirement of the Army Corps of Engineers and California Coastal Commission who grants authority to the City to do this project. Under the monitoring plan, the California grunion and snowy plover bird must be studied each season in order to insure mechanical beach sand movement does not interfere with the

spawning or nesting grounds. This procedure is performed by City staff with consultation being performed by a qualified biologist.

The cost of this operation equals \$ 2,000.00

The general administration of the berm project overall includes the coordination for construction of the berm, supervision of the construction, any general or related tasks associated with berm management, monitoring of the berm in the winter to watch for berm erosion, preparation of the reports that must be submitted to the Army Corps of Engineers and the California Coastal Commission, berm assessment accounting and management

The cost of this operation equals \$4,500.00

Based, therefore, on the above anticipated cost of the project, the estimated cost in the fiscal year 2014-2015 would be thirty-five thousand five-hundred dollars. (\$35,500).

The Assessment District No. 5 total assessment was set in January 1997 by a mail ballot. In that process, the total District fees were set at **Twenty thousand two hundred twenty eight and 34/100 dollars (\$20,228.34).**

The City of Carpinteria may not raise that assessment without an affirmative vote of the affected property owners. As such, in 2014/15 the City will contribute to the District in an amount of fifteen thousand two-hundred seventy one dollars and 66 cents (\$15,271.66). **Therefore the net amount assessable on land within the district is Twenty thousand two hundred twenty eight dollars and 34 cents (\$20,228.34).**

City of Carpinteria Assessment District #5 FY 2013-14

PART C An assessment role of the parcels
of land within the assessment district.

Owner	APN	Total Assessment
Joan Rock Bailard/ Bambi R. Bashore Seahorse Trust 1825 State Street #106, Santa Barbara, CA 93101	003-470-008	\$500.52
Claire Roberts 3330 Foothill Road, Carpinteria, CA 93013	003-470-010	\$494.21
Tharrell D./Dinah L. Ming 1113 Tam O Shanter Drive, Bakersfield, CA 93309	003-490-001	\$547.90
Brittany/Brook/Bridget Barnes / BABBB, LLC 210 South Orange Grove Boulevard, Pasadena, CA 91105	003-760-001	\$235.87
Judith Mulford 4709 Sandyland Road #2, Carpinteria, CA 93013	003-760-002	\$235.87
Tamara A. Campbell / Elsie Atkinson Family Trust 15421 Chemical Lane, Huntington Beach, CA 92647	003-760-003	\$235.87
James/Carol Miller 31838 Foxfield Drive, Westlake Village, CA 91361	003-760-004	\$235.87
William Grayer 14903 Valley Vista Blvd., Sherman Oaks, CA 91403	003-760-005	\$235.87
Adrienne A. Edmonston 467 Meadowbrook Drive, Santa Barbara, CA 93108	003-760-006	\$235.87
Greg/Deborah Galletly AMSS LLC 210 South Orange Grove Boulevard, Pasadena, CA 91105	003-760-007	\$235.87
Ruth Giacomuzzi 22419 Gilmore Street, Canoga Park, CA 91304-3707	003-760-008	\$235.87
Frank/ Margaret Roughan 884 Emerson Street, Thousand Oaks, CA 91362-2462	003-770-001	\$235.87
Judith Mulford 4709 Sandyland Road #2, Carpinteria, CA 93013	003-770-002	\$235.87
Rodney A./Ann B. Resnik 352 Calle Converse, Camarillo, CA 93010-1836	003-770-003	\$235.87
Rodney A./Ann B. Resnik 352 Calle Converse, Camarillo, CA 93010-1836	003-770-004	\$235.87
Lee/Krystyna Jamieson 3101 State Road, Bakersfield, CA 93308	003-800-001	\$235.87
Lee/Krystyna Jamieson 3101 State Road, Bakersfield, CA 93308	003-800-002	\$235.87
Dennis A. Maul Sales 2729 21st Street, Bakersfield, CA 93301	003-800-003	\$244.52
C/O Manager / 4975 Sandyland Road Association 4975 Sandyland Road #101, Carpinteria, CA 93013	003-830-001	\$193.80

City of Carpinteria Assessment District #5 FY 2013-14

PART C An assessment role of the parcels
of land within the assessment district.

Owner	APN	Total Assessment
Charles R./Cynthia G. Alleman / Robert Perreault 2004 N. Tracy Road, Simi Valley, CA 93063	003-830-002	\$193.80
Eric Schenker / Stanley L. Mayer, Trustee 3887 State Street #206, Santa Barbara, CA 93105	003-830-003	\$193.80
Mark/Fleur Chesler 4535 Encino Avenue, Encino, CA 91316	003-830-004	\$193.80
Vito Coletta 1466 Equistrian Avenue, Thousand Oaks, CA 91360	003-830-005	\$193.80
Karl Boeckmann 4194 Regal Oak Drive, Encino, CA 91436	003-830-006	\$193.80
Mark E./Kathleen D. Schroeder 5075 East Powers Avenue, Clovis, CA 93611	003-830-007	\$193.80
Luci Rogers 835 Holly Avenue, Carpinteria, CA 93013	003-830-008	\$193.80
Javier Echeverria 4403 Bear Mountain Blvd, Bakersfield, CA 93313	003-830-009	\$193.80
Peter Schiffrin, Trustee / The Estate of Leslie Schiffrin 9171 Gazette Avenue, Chatsworth, CA 91311-5918	003-830-010	\$193.80
Harvey C. Otis 3766 Oleander Drive, Highland, CA 92346	003-830-011	\$193.80
David/Curtis Blackburn PO BOX 4, Corona Del Mar, CA 92625	003-830-012	\$193.80
Kelly Palacios 585 Unique Lane, Simi Valley, CA 93065	003-830-013	\$193.80
Phyllis Keep/ Brian McKee 4212 E. Los Angeles Avenue #3441, Simi Valley, CA 93063	003-830-014	\$193.80
Nancy A. Wright 3153 Scottys Terrace, Simi Valley, CA 93063	003-830-015	\$193.80
Elizabeth Fortner Guyor P.O. Box 1530, Wrangell, AK 99929	003-830-016	\$193.80
Maury/Lisa Friedman 29480 Bertrand Drive, Agoura Hills, CA 91301-4126	003-830-017	\$193.80
Dawn Manolakos 1311 Kenwood Road, Santa Barbara, CA 93109	003-830-018	\$193.80
Peter C./Joanne C. Gerlach 1186 Deep Wood Drive, Westlake Village, CA 91362	003-830-019	\$193.80
Patricia Riner 23222 8th Street, Newhall, CA 91321	003-830-020	\$193.80
Jo Ann Day Trust 640 Terrace View Place, Port Hueneme, CA 93041	003-830-021	\$193.80

City of Carpinteria Assessment District #5 FY 2013-14

PART C An assessment role of the parcels
of land within the assessment district.

Owner	APN	Total Assessment
Mary Jane Mulholland 203 Quincy Avenue, Long Beach, CA 90803	003-830-022	\$193.80
Gerald Ryckman Trust 1784 Collingswood Court, Westlake Village, CA 91362-4730	003-830-023	\$193.80
Mike/Celine Kozman, Trustees / Family Trust 3630 Viewcrest Drive, Burbank, CA 91504	003-830-024	\$193.80
Robert/Jacquelyne Davis 12008 Wood Ranch Road, Granada Hills, CA 91344-2160	003-830-025	\$193.80
Mary P. Russo/Jose G. Sicari / Phillippe Sicari 32314 121 ST E, Juniper Hills, CA 93553	003-830-026	\$193.80
Laurence Press/ Marcela Ortuzar Press 10726 Esther Avenue, Los Angeles, CA 90064-3223	003-830-027	\$193.80
Daniel W./Janet L. Beaton 4611 Windsor Blvd, Cambria, CA 93428	003-830-028	\$193.80
Clifford/Patricia Aldrich 707 East Palm #A, Burbank, CA 91501	003-830-029	\$193.80
Stephen Genstil 798 Preakness Place, Newbury Park, CA 91320-4927	003-830-030	\$193.80
Francis X./Marion M. Schillo 91 Dombey Circle, Thousand Oaks, CA 91360	003-830-031	\$193.80
Karen Gichon-Marder 5321 Orrville Avenue, Woodland Hills, CA 91367	003-830-032	\$193.80
Linda Blackburn 8 Prado, Irvine, CA 92612	003-830-033	\$193.80
Hilda Murachanian 2370 Sherwood Road, San Marino, CA 91108	003-830-034	\$193.80
Marvin C./Jeanne C. Frey 29743 Arroyo Oak Lane, Castaic, CA 91384	003-830-035	\$193.80
Kathleen Bridgeland 2821 Westshire Drive, Los Angeles, CA 90068-1931	003-830-036	\$193.80
Darrell Friedman 31200 Cedar Valley Drive, Westlake Village, CA 91362-4035	003-840-013	\$251.03
Pat/Merry Henry 139 Wood Duck Avenue, Sanger, CA 93657	003-840-014	\$251.03
PANT PROPERTIES c/o Northridge Lumber Company 18537 Parthenia Street, Northridge, CA 91324-4026	003-840-015	\$251.03
Vincent Mezzio 18432 Oxnard Street, Ste. #202, Tarzana, CA 91356	003-840-016	\$251.03

City of Carpinteria Assessment District #5 FY 2013-14

PART C An assessment role of the parcels
of land within the assessment district.

Owner	APN	Total Assessment
Charles M./Bette L. Everett / Everett Trust 12-02-74 4925 Sandyland Road # E, Carpinteria, CA 93013	003-840-017	\$251.03
Mary M. Clark, Trustee 4925 Sandyland Road # F, Carpinteria, CA 93013	003-840-018	\$251.03
John D./Christina Lund 98 Olive Mill Lane, Santa Barbara, CA 93108	003-850-013	\$369.40
Jack L./Mechelle A. Sinclair 10811 Milstead Lane, Bentonville, AR 72712	003-850-014	\$251.03
Shelley Jensen 1025 Cheshire Hills Court Westlake Village, CA 91361	003-850-015	\$251.03
Joyce Resnik 888 Calle Del Norte, Camarillo, CA 93010	004-090-001	\$235.30
Louis/ Jacqueline Carnevale 4867 Sandyland # B, Carpinteria, CA 93013-2359	004-090-002	\$235.30
Brian G. Fitzgerald, Trustee 1935 Calamar Court, Camarillo, CA 93010-9279	004-090-003	\$235.30
John/Rosalia Zeolla 5327 Vanalden Avenue, Tarzana, CA 91356-3106	004-090-004	\$235.30
David/ Lorraine Paich 501 S. Beverly Dr., 3rd Floor, Beverly Hills, CA 90212-4520	004-091-001	\$235.24
Bank of America, Trustee, Attn: Russell Blair #66-90-090-8444374 901 Main Street, 16th Floor, Dallas, TX 75202	004-091-002	\$235.24
Judith Caratan 11611 Harrington Street, Bakersfield, CA 93311	004-091-003	\$235.24
Susan L. Caratan 3750 Three Bar Street, Bakersfield, CA 93314	004-091-004	\$235.24
Scott Harrison / Harrison Trust P.O. Box 81916, Bakersfield, CA 93308	004-091-005	\$235.24
Philip/Carole F. Gilligan 4885 Sandyland Road #6, Carpinteria, CA 93013	004-091-006	\$235.24
James F./Jennifer McIntosh 14919 La Cumbre Drive, Pacific Palisades, CA 90272	004-091-007	\$235.24
Richard De Mornay P.O. Box 4085, Santa Barbara, CA 93140-4085	004-091-008	\$235.24
Doris L. Ecker, Trustee 412 Oak Hill Drive, Belleville, ILL 62223-2236	004-101-001	\$219.45
Myron Cook 6612 Palm Tree Circle, Bakersfield, CA 93308	004-101-002	\$219.45

City of Carpinteria Assessment District #5 FY 2013-14

PART C An assessment role of the parcels
of land within the assessment district.

Owner	APN	Total Assessment
Joyce Resnik 888 Calle Del Norte, Camarillo, CA 93010-8442	004-101-003	\$219.45
Robert Schreiner 347 El Tuaca, Camarillo, CA 93010	004-101-004	\$219.45
Dennis/Angela Collier 185 Crestview Avenue, Camarillo, CA 93010-1759	004-101-005	\$219.45
John Lynn/Christina C. / Dewey Dewey Family Trust 2005 P.O. Box 907, Carpinteria, CA 93014	004-101-006	\$219.45
Katheryn A. Mullins, Trustee P. O. Box 156, Solvang, CA 93464-0156	004-101-007	\$219.45
Arthur J. Ecker, Trustee / Ecker Family Trust 14 Brentford Court #8, Camarillo, CA 93010-2013	004-101-008	\$219.45
Michael B. Duncan, Trustee P.O. Box 21235, Bakersfield, CA 93390	004-109-001	\$248.37
Michael B. Duncan, Trustee P.O. Box 21235, Bakersfield, CA 93390	004-109-002	\$248.37
Julio/Shirley M. Soares / Marina Shores 4681 Sierra Madre Rd., Santa Barbara, CA 93110	004-115-001	\$236.37
Julio/Shirley M. Soares / Marina Shores 4681 Sierra Madre Rd., Santa Barbara, CA 93110	004-115-002	\$236.37
Julio/Shirley M. Soares Marina Shores 4681 Sierra Madre Rd., Santa Barbara, CA 93110	004-115-003	\$236.37

PART D
Engineer's Report
Method of Apportionment of Assessment
Carpinteria City Beach Winter Protection Berm
Fiscal Year 2014-2015

The Carpinteria City Beach Winter Protection Berm Project is constructed along the Carpinteria City Beach bordered on the east by Linden Avenue and to the west by Ash Avenue. The berm is constructed each fall in order to provide a measure of protection to the housing front from severe winter storms and wave action. In order to fairly assess the ocean front properties that directly benefit from the berm protection, a formula was developed which reflects the benefit each parcel of land receives.

First, the cost of providing the service is divided into fixed and variable costs. Fixed cost are those that are required regardless of the amount of sand actually moved. These include the permit compliance, biological monitoring and administration of the berm project. The fixed costs are apportioned equally to all properties within the boundaries of the assessment district. The contribution toward the maintenance fund is also included among the fixed costs. Variable costs include the cost of the actual machine time required to move the sand. Since properties in the District receive varying levels of benefit depending upon the property's length of beach frontage, variable cost is assigned to each property based upon its actual length of beach frontage. Condominium or apartment properties' beach frontage was determined by dividing the number of units in each complex by the length of the property's beach frontage. These variable and fixed costs were apportioned to each property and an assessment assigned as part of a Proposition 218 vote and the assessments have not been altered in subsequent years.

A City owned parcel within the District's boundaries is assessed in the same fashion as all other parcels within the District's boundaries in order to comply with Article XIII D of the California Constitution.

City of Carpinteria Assessment District #5 FY 2013-14

PART E An assessment role of the parcels
of land within the assessment district.

	APN
Joan Rock Bailard/ Bambi R. Bashore / Seahorse Trust 1825 State Street #106, , Santa Barbara, CA 93101	003-470-008
Claire Roberts 3330 Foothill Road, , Carpinteria, CA 93013	003-470-010
Tharrell D./ Dinah L. Ming 1113 Tam O Shanter Drive, , Bakersfield, CA 93309	003-490-001
Brittany/Brook/Bridget Barnes BABBB, LLC 210 South Orange Grove Boulevard, , Pasadena, CA 91105	003-760-001
Judith Mulford 4709 Sandyland Road #2, , Carpinteria, CA 93013-2255	003-760-002
Tamara A. Campbell Elsie Atkinson Family Trust 15421 Chemical Lane, , Huntington Beach, CA 92647	003-760-003
James/ Carol Miller 31838 Foxfield Drive, , Westlake Village, CA 91361-4201	003-760-004
William Grayer 14903 Valley Vista Blvd., , Sherman Oaks, CA 91403-4022	003-760-005
Adrienne A. Edmonston 467 Meadowbrook Drive, , Santa Barbara, CA 93108-2687	003-760-006
Greg/Deborah Galletly AMSS LLC 210 South Orange Grove Boulevard, , Pasadena, CA 91105	003-760-007
Ruth Giacopuzzi 22419 Gilmore Street, Canoga Park, CA 91304-3707	003-760-008
Frank/ Margaret Roughan 884 Emerson Street, , Thousand Oaks, CA 91362-2462	003-770-001
Judith Mulford 4709 Sandyland Road #2, , Carpinteria, CA 93013-2255	003-770-002
Rodney A./ Ann B. Resnik 352 Calle Converse, , Camarillo, CA 93010-1836	003-770-003
Rodney A./ Ann B. Resnik 352 Calle Converse, , Camarillo, CA 93010-1836	003-770-004
Lee/ Krystyna Jamieson 3101 State Road, , Bakersfield, CA 93308	003-800-001
Lee/ Krystyna Jamieson 3101 State Road, , Bakersfield, CA 93308	003-800-002
Dennis A. Maul Sales 2729 21st Street, , Bakersfield, CA 93301	003-800-003
C/O Manager 4975 Sandyland Road Association 4975 Sandyland Road #101, , Carpinteria, CA 93013	003-830-001

City of Carpinteria Assessment District #5 FY 2013-14

PART E An assessment role of the parcels
of land within the assessment district.

	APN
Charles R./ Cynthia G. Alleman Robert Perreault 2004 N. Tracy Road, , Simi Valley, CA 93063	003-830-002
Eric Schenker Stanley L. Mayer, Trustee 3887 State Street #206, , Santa Barbara, CA 93105	003-830-003
Mark/ Fleur Chesler 4535 Encino Avenue, , Encino, CA 91316	003-830-004
Vito Coletta 1466 Equistrian Avenue, , Thousand Oaks, CA 91360	003-830-005
Karl Boeckmann 4194 Regal Oak Drive, , Encino, CA 91436	003-830-006
Mark E./ Kathleen D. Schroeder 5075 East Powers Avenue, , Clovis, CA 93611	003-830-007
Luci Rogers 835 Holly Avenue, , Carpinteria, CA 93013	003-830-008
Javier Echeverria 4403 Bear Mountain Boulevard, , Bakersfield, CA 93313	003-830-009
Peter Schiffrin, Trustee The Estate of Leslie Schiffrin 9171 Gazette Avenue, , Chatsworth, CA 91311-5918	003-830-010
Harvey C. Otis 3766 Oleander Drive, , Highland, CA 92346	003-830-011
David/ Curtis Blackburn PO BOX 4, , Corona Del Mar, CA 92625	003-830-012
Kelly Palacios 585 Unique Lane, , Simi Valley, CA 93065	003-830-013
Phyllis Keep/ Brian McKee 4212 E. Los Angeles Avenue #3441, , Simi Valley, CA 93063	003-830-014
Nancy A. Wright 3153 Scottys Terrace, , Simi Valley, CA 93063	003-830-015
Elizabeth Fortner Guyor P.O. Box 1530, , Wrangell, AK 99929	003-830-016
Maury/ Lisa Friedman 29480 Bertrand Drive, , Agoura Hills, CA 91301-4126	003-830-017
Dawn Manolakos 1311 Kenwood Road, , Santa Barbara, CA 93109	003-830-018
Peter C./ Joanne C. Gerlach 1186 Deep Wood Drive, , Westlake Village, CA 91362	003-830-019
Patricia Riner 23222 8th Street, , Newhall, CA 91321	003-830-020
Jo Ann Day Trust 640 Terrace View Place, , Port Hueneme, CA 93041	003-830-021

City of Carpinteria Assessment District #5 FY 2013-14

PART E An assessment role of the parcels
of land within the assessment district.

	APN
Mary Jane Mulholland 203 Quincy Avenue, , Long Beach, CA 90803	003-830-022
Gerald Ryckman Trust 1784 Collingswood Court, , Westlake Village, CA 91362-4730	003-830-023
Mike/ Celine Kozman, Trustees Family Trust 3630 Viewcrest Drive, , Burbank, CA 91504	003-830-024
Robert/ Jacquelyne Davis 12008 Wood Ranch Road, , Granada Hills, CA 91344-2160	003-830-025
Mary P. Russo/ Jose G. Sicari Phillippe Sicari 32314 121 ST E, , Juniper Hills, CA 93553	003-830-026
Laurence Press/ Marcela Ortuzar Press 10726 Esther Avenue, , Los Angeles, CA 90064-3223	003-830-027
Daniel W./ Janet L. Beaton 4611 Windsor Blvd, , Cambria, CA 93428	003-830-028
Clifford/ Patricia Aldrich 707 East Palm #A, , Burbank, CA 91501	003-830-029
Stephen Genstil 798 Preakness Place, , Newbury Park, CA 91320-4927	003-830-030
Francis X./ Marion M. Schillo 91 Dombey Circle, , Thousand Oaks, CA 91360	003-830-031
Karen Gichon-Marder 5321 Orrville Avenue, , Woodland Hills, CA 91367	003-830-032
Linda Blackburn 8 Prado, , Irvine, CA 92612	003-830-033
Hilda Murachanian 2370 Sherwood Road, , San Marino, CA 91108	003-830-034
Marvin C./ Jeanne C. Frey 29743 Arroyo Oak Lane, , Castaic, CA 91384	003-830-035
Kathleen Bridgeland 2821 Westshire Drive, , Los Angeles, CA 90068-1931	003-830-036
Darrell Friedman 31200 Cedar Valley Drive, , Westlake Village, CA 91362-4035	003-840-013
Pat/ Merry Henry 139 Wood Duck Avenue, , Sanger, CA 93657	003-840-014
PANT PROPERTIES c/o Northridge Lumber Company 18537 Parthenia Street, , Northridge, CA 91324-4026	003-840-015
Vincent Mezzio 18432 Oxnard Street, Ste. #202, , Tarzana, CA 91356-1557	003-840-016

City of Carpinteria Assessment District #5 FY 2013-14

PART E An assessment role of the parcels
of land within the assessment district.

	APN
Charles M./ Bette L. Everett Everett Trust 12-02-74 4925 Sandyland Road # E, , Carpinteria, CA 93013-2357	003-840-017
Mary M. Clark, Trustee 4925 Sandyland Road # F, , Carpinteria, CA 93013-2357	003-840-018
John D./ Christina Lund 98 Olive Mill Lane, , Santa Barbara, CA 93108	003-850-013
Jack L./ Mechelle A. 10811 Milstead Lane, , Bentonville, AR 72712	003-850-014
Shelley Jensen 1025 Cheshire Hills Court, , Westlake Village, CA 91361	003-850-015
Joyce Resnik 888 Calle Del Norte, , Camarillo, CA 93010	004-090-001
Louis/ Jacqueline Carnevale 4867 Sandyland # B, , Carpinteria, CA 93013-2359	004-090-002
Brian G. Fitzgerald, Trustee 1935 Calamar Court, , Camarillo, CA 93010-9279	004-090-003
John/ Rosalia Zeolla 5327 Vandalen Avenue, , Tarzana, CA 91356-3106	004-090-004
David/ Lorraine Paich 501 S. Beverly Dr., 3rd Floor, , Beverly Hills, CA 90212-4520	004-091-001
Attn: Russell Blair #66-90-090-8444374 901 Main Street, 16th Floor, Mail Code: TX1-492-16-10, Dallas, TX 75202	004-091-002
Judith Caratan 11611 Harrington Street, , Bakersfield, CA 93311	004-091-003
Susan L. Caratan 3750 Three Bar Street, , Bakersfield, CA 93314	004-091-004
Scott Harrison Harrison Trust P.O. Box 81916, , Bakersfield, CA 93308	004-091-005
Philip/ Carole F. Gilligan 4885 Sandyland Road #6, , Carpinteria, CA 93013	004-091-006
James F./ Jennifer McIntosh 14919 La Cumbre Drive, , Pacific Palisades, CA 90272	004-091-007
Richard De Mornay P.O. Box 4085, , Santa Barbara, CA 93140-4085	004-091-008
Doris L. Ecker, Trustee 412 Oak Hill Drive, , Belleville, ILL 62223-2236	004-101-001
Myron Cook 6612 Palm Tree Circle, , Bakersfield, CA 93308	004-101-002

City of Carpinteria Assessment District #5 FY 2013-14

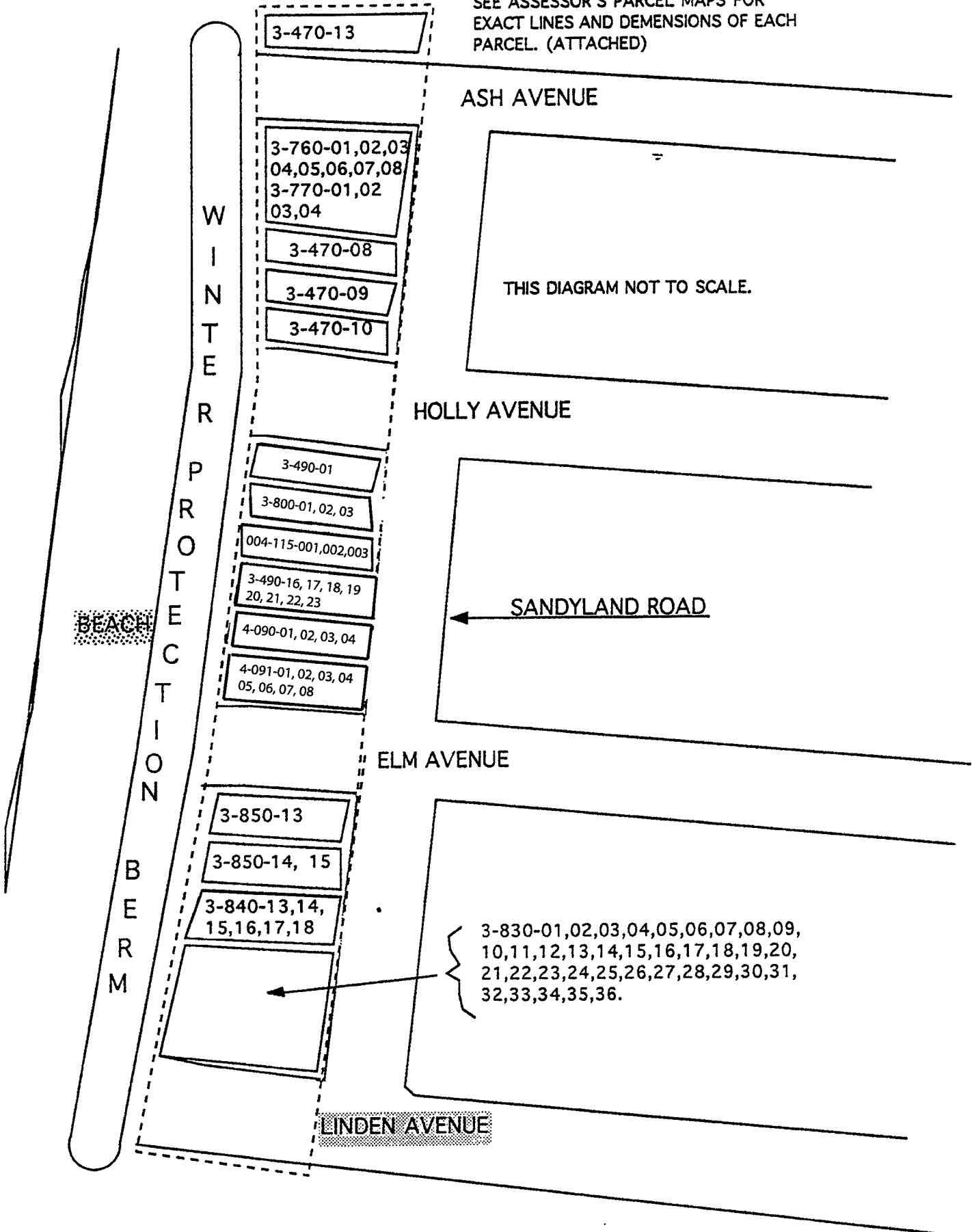
PART E An assessment role of the parcels
of land within the assessment district.

	APN
Joyce Resnik 888 Calle Del Norte, , Camarillo, CA 93010-8442	004-101-003
Robert Schreiner 347 El Tuaca, , Camarillo, CA 93010	004-101-004
Dennis/ Angela Collier 185 Crestview Avenue, , Camarillo, CA 93010-1759	004-101-005
John Lynn/ Christina C. Dewey Dewey Family Trust 2005 P.O. Box 907, , Carpinteria, CA 93014	004-101-006
Katheryn A. Mullins, Trustee P. O. Box 156, , Solvang, CA 93464-0156	004-101-007
Arthur J. Ecker, Trustee Ecker Family Trust 14 Brentford Court #8, , Camarillo, CA 93010-2013	004-101-008
Michael B. Duncan, Trustee P.O. Box 21235, , Bakersfield, CA 93390	004-109-001
Michael B. Duncan, Trustee P.O. Box 21235, , Bakersfield, CA 93390	004-109-002
Julio/ Shirley M. Soares Marina Shores 4681 Sierra Madre Rd., , Santa Barbara, CA 93110-1322	004-115-001
Julio/ Shirley M. Soares Marina Shores 4681 Sierra Madre Rd., , Santa Barbara, CA 93110-1322	004-115-002
Julio/ Shirley M. Soares Marina Shores 4681 Sierra Madre Rd., , Santa Barbara, CA 93110-1322	004-115-003

DIAGRAM SHOWING EACH PARCEL TO BE INCLUDED IN THE ASSESSMENT DISTRICT # 5

PART F

SEE ASSESSOR'S PARCEL MAPS FOR
EXACT LINES AND DEMENSIONS OF EACH
PARCEL. (ATTACHED)



Part G

Collection Statement

The Collection of Assessment District No. 5 funds may take place after the construction, maintenance and / or removal of each season's project. Should in good faith, the City of Carpinteria construct the winter protection berm as described in the Park and Recreation Director's report, and subsequently, the proposed assessments be adopted, the district will reimburse the City of Carpinteria for the current season's project costs to the extent described in the Park and Recreation Director's report.

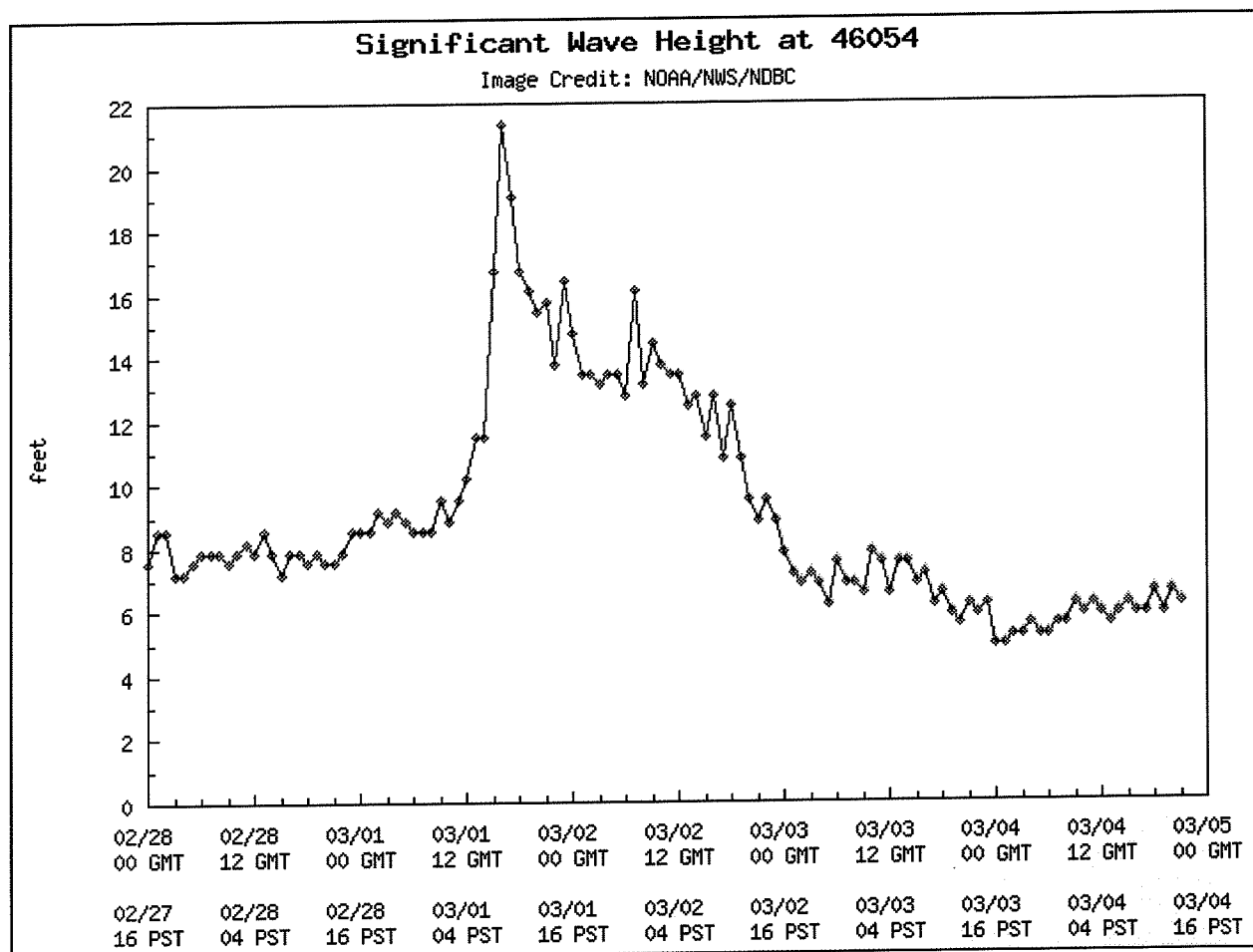
PART H

Unpaid Assessment Statement

The total principal sum of unpaid assessments for all properties within the City of Carpinteria's Assessment District Number Five's boundaries which remain unpaid as computed pursuant to paragraph (1) of Subdivision (b) of §2961 of California's Streets and Highways Code known as the Majority Protest Act of 1931 is \$0.00. The total true value, as near as may be determined, of the parcels of land and improvements which are proposed to be assessed is equal to \$87,800,000.00 computed pursuant to paragraph (2) of subdivision (b) of §2961 of California's Streets and Highways Code known as the Majority Protest Act of 1931.

ATTACHMENT C

MARCH 1, 2014
PHOTOS DEPICTING WAVE EVENT



**City of Carpinteria
City Council Minutes
Regular Meeting
Council Chambers
Monday, April 14, 2014**

CALL TO ORDER

Mayor Stein called the meeting to order at 5:30 p.m.

ROLL CALL

Councilmembers present:

Councilmember Wade Nomura
Councilmember Fred Shaw
Councilmember Al Clark
Vice Mayor Gregg Carty
Mayor J. Bradley Stein

Staff members present:

Dave Durlinger, City Manager
Peter Brown, City Attorney
Fidela Garcia, City Clerk
Shanna Farley-Judkins, Assistant Planner
Jackie Campbell, Community Development Director
Charles Ebeling, Public Works Director
Matt Maechler, Civil Engineer

PLEDGE OF ALLEGIANCE

All present were led in the salute to the flag by Mayor Stein.

INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS: NONE

PUBLIC INFORMATION REPORTS AND ANNOUNCEMENTS

Mayor Stein stated that the City held a very successful Household Hazardous Waste Collection Event at City Hall the past weekend. He acknowledged volunteers from Noon Rotary Club, Santa Barbara Rotaract Group, Carpinteria Beautiful, E.J. Harrison, and City staff. He noted that the baseball game between Carpinteria High School and Bishop Diego High School would be held at Carpinteria High School on April 15 at 3:30 p.m.

Councilmember Shaw announced that HopeNet of Carpinteria, Antioch University, Carp Cares for Youth, and the Plaza Playhouse would hold a bullying workshop at the Plaza Playhouse on April 23 from 7:00 p.m. to 9:00 p.m. He noted that Dr. Albert Munoz Flores, Director of Clinical Training at Antioch University in Santa Barbara, would be the featured speaker.

Mayor Stein noted that the recent Casa de las Flores groundbreaking was a huge success.

CITY MANAGER'S REPORT

- Special Joint City Council/Planning Commission Meeting on April 14 at 5:30 p.m. for conceptual review of Patel hotels project on the west end of Via Real at the former Nazarene Church site.
- Sidewalk project on Santa Ynez is nearing completion. Guardrails still need to be installed and curb ramps still need to be replaced.
- Construction at the park and parking lot next to the Seaside building continues.
- Residents may take used oil at City Hall during working hours. Antifreeze, batteries, oil, paint, etc., are also collected during various times of the month at City Hall. City staff is seeking input from the community whether these collection events meet their needs, and a survey form is provided in the City's newsletter and on the website, or residents may send an email to Erin Maker at City Hall at erinm@ci.carpinteria.ca.us.
- Carpinteria First Committee currently has a few openings on the committee. Residents interested in applying should contact the City Clerk.

PRESENTATIONS BY CITIZENS / PUBLIC COMMENT

Rhonda Gutierrez, Carpinteria Valley Water District, provided an update on the current drought and efforts to decrease customer's water consumption by 20 percent. She noted that recent rains have done very little to increase the water level at Lake Cachuma and everyone still needs to continue water conservation efforts. She stated that the District would increase conservation outreach efforts in the newspaper, Facebook page, Farmers Market, and at local businesses. She also stated that water customers could contact them for free water checkups and to receive ideas on how to conserve water. She thanked City staff for providing space in the City newsletter for their *Simple Water Conservation Actions* article.

Betty Songer spoke regarding the current drought and implications. She noted that many customers are trying to conserve water use by 20 percent. She expressed concern with the proposed 180-room hotel in view of drought, and questioned whether residents would need to conserve more water so that other people may make a profit. She suggested the City implement a moratorium on any new large water usage project.

Councilmember Clark noted that several people had given him this same message, and he stated that he hoped this would be addressed at the upcoming Joint City Council/Planning Commission meeting. City Manager Dave Durflinger responded that staff would address this concern at the joint meeting.

AGENDA MODIFICATIONS: None

CONSENT CALENDAR

Mayor Stein indicated that he would abstain from the minutes of March 24, 2014, as he was absent from that meeting.

Motion by Councilmember Shaw, seconded by Councilmember Nomura, to approve the Consent Calendar and resolutions as read by title only.

Upon voice vote, motion carried unanimously, with the exception of Mayor Stein abstaining from Item 1, Minutes of the regular meeting held March 24, 2014.

1. Minutes of the regular meeting held March 24, 2014.
2. Expenditures for the period ending April 7, 2014.
3. Update of Aquatics Program Coordinator Job Description and Pay Grade and Approval to fill Vacant Aquatics Program Coordinator Position in the Parks and Recreation Department.
4. Nomination and approval of Gaby Edwards to serve as the City Representative on the County Library Advisory Committee.
5. Approve Resolution No. 5516, entitled, A Resolution of the City Council of the City of Carpinteria Approving the Recommendation of the Traffic Safety Committee as set forth at the August 22, 2013 Traffic Safety Committee Meeting for Parking Restrictions on El Carro Lane at Namouna Street.
6. Close-Out of Engineering Bonds and Sureties for Permit 5007 Lagunitas Residential Development Bond Releases.

ADMINISTRATIVE MATTER: NONE

PUBLIC HEARING: NONE

OTHER BUSINESS:

7. Review of the Year 2013 Annual Report to the Governor's Office of Planning and Research on the status of the General Plan and Progress on Implementation of its Goals, Policies, and Objectives

Recommendation: Receive the Annual Report on the status of the General Plan.

Shanna R. Farley-Judkins, Assistant Planner, presented the staff report and PowerPoint presentation.

Councilmember Clark inquired regarding amending the zoning ordinance to include view preservation design standards. Community Development Director Jackie Campbell responded that the City adopted guidelines for some residential neighborhoods. She noted that these guidelines are not mandatory and are not included in the zoning code. She stated that staff could explore these guidelines further in the Zoning Code Update if desired by the City Council in order to make these regulations rather than guidelines. Councilmember Clark inquired where the design guidelines could be located. Ms. Campbell responded that the design guidelines are available on the City's website and at City Hall.

Councilmember Shaw noted that the City had convened the Oil and Gas Plant System Review Group and several residents had inquired where they could access the outcome of their meetings. City Manager Dave Durflinger responded a section is available on the City's webpage and staff would follow-up on whether their annual meeting minutes have been posted.

There was no public comment.

Motion by Councilmember Clark, seconded by Councilmember Nomura, to accept the report and direct the Community Development Director to submit the 2013 General Plan Annual Report to the Governor's Office of Planning and Research.

Upon voice vote, motion carried unanimously.

8. Letters of Concern to the Federal and State Regulators Regarding Cerberus Capital Management's Acquisition of Safeway

Recommendation: Approve for the Mayor's signature letters to federal and state officials concerning the proposed merger of the parent companies owning/operating the Albertsons and Vons grocery stores.

Dave Durflinger, City Manager, presented the staff report.

There was no public comment.

Motion by Councilmember Clark, seconded by Councilmember Shaw, to approve for the Mayor's signature letters to federal and state officials concerning the proposed merger of the parent companies owning/operating the Albertsons and Vons grocery stores.

9. Pavement and Right-of-Way Maintenance Program Update

Recommendations: 1) Receive and file the Pavement Management Program Update; 2) Authorize the Department of Public Works to solicit proposals for updating the City's Right-of-Way Assessment District Engineering Study; and 3) Appropriate \$50,000 from the Available Fund Balance for this work item.

Charles Ebeling, Public Works Director, presented the staff report and PowerPoint presentation.

Joe Reary, representing Pavement Engineering, Inc., presented the Pavement Assessment Study for the City of Carpinteria.

A discussion ensued regarding impacts from large delivery trucks coming from County areas into the City, the regulations for placing weight limits or local truck trips on City streets, inclusion of a developer fee for increased traffic impacts, traffic studies for impacts from freeway interchanges projects, and adoption of a trench cut ordinance.

Councilmember Clark inquired regarding the next steps. Mr. Ebeling responded that next steps would be to look at funding sources, authorize the Right-of-Way Assessment District Engineering Study, and have Public Works maintenance crews focus on roadway maintenance. He noted that authorization to solicit bids for the Carpinteria Avenue Pavement Rehabilitation Project was included on the agenda for the City Council's consideration.

There was no public comment.

Motion by Councilmember Clark, seconded by Councilmember Nomura, to: 1) receive and file the Pavement Management Program Update; 2) authorize the Department of Public Works to solicit proposals for updating the City's Right-of-Way Assessment District Engineering Study; and 3) appropriate \$50,000 from the Available Fund Balance for this work item.

Upon voice vote, motion carried unanimously.

10. Carpinteria Avenue Pavement Rehabilitation Project

Recommendation: Authorize the Director of Public Works to Advertise for Bid City Maintenance Project No. 15068, Carpinteria Avenue Pavement Rehabilitation Project.

Matt Maechler, Civil Engineer, presented the staff report and PowerPoint presentation.

Mayor Stein inquired regarding staging of heavy trucks and equipment during the bridge construction project. Mr. Maechler responded that staff could establish a truck and staging route during construction.

Vice Mayor Carty asked staff to take photographs and keep records of where the street failed and to make note of the reason it failed.

There was no public comment.

Motion by Councilmember Shaw, seconded by Councilmember Nomura, to authorize the Director of Public Works to Advertise for Bid City Maintenance Project No. 15068, Carpinteria Avenue Pavement Rehabilitation Project for contractor bid.

Upon voice vote, motion carried unanimously.

11. Adoption of Resolution No. 5517, Forming and Selecting Members for an Ad Hoc City Council Committee to Study the City's Legal Services Contract and Make Any Recommendations Concerning Changes/Updates

Recommendation: Adopt Resolution No. 5517, as read by title only, thereby forming and selecting members for an Ad Hoc Legal Services Committee.

Motion by Mayor Stein, seconded by Councilmember Nomura, to nominate Councilmembers Clark and Shaw to serve on the Ad Hoc Legal Services Committee.

Upon voice vote, motion carried unanimously.

Motion by Mayor Stein, seconded by Councilmember Shaw, to adopt Resolution No. 5517, as read by title only, thereby forming and selecting members for an Ad Hoc Legal Services Committee.

Upon voice vote, motion carried unanimously.

COMMITTEE REPORTS, INQUIRIES AND OTHER MATTERS PRESENTED BY COUNCILMEMBERS

Councilmember Shaw reported that he and Councilmember Nomura attended a hearing regarding fracking conducted by Assemblymembers Mark Stone and Das Williams and Senator Hannah-Beth Jackson. He noted that also present were representatives from the Department of Conservation, State Lands Commission, Coastal Commission, representatives from the oil and gas industry, and representatives from the environmental community. He also noted that the hearing could be viewed at <http://coastalprotection.assembly.ca.gov/2014hearings>.

ATTENDANCE OF COUNCILMEMBERS FOR FUTURE MEETINGS

All Councilmembers plan on being present at the April 28, 2014 City Council meeting.

ADJOURNMENT

The meeting was adjourned at 7:15 p.m. by Mayor Stein.

J. Bradley Stein, Mayor

ATTEST:

Fidela Garcia, CMC, City Clerk

STAFF REPORT
CITY COUNCIL MEETING
April 28, 2014

ITEMS FOR COUNCIL CONSIDERATION:

**Letters in Support of Grant Applications by the Santa Barbara County
Association of Governments for the Rincon Bike Trail and Santa
Claus Lane Bike Path Projects**

Report prepared by: Charles W. Ebeling, P.E., Director
Department: Public Works

Melissa Angeles for Charles Ebeling
Signature

**Reviewed by
City Manager:**

[Signature]
Signature

ACTION ☒ **NON-ACTION** ☐ **STAFF RECOMMENDATION:**

Recommendation: That the City Council approve letters for the Mayor's signature, to Caltrans in support of SBCAG submitting grant applications for the Rincon Bike Trail and Santa Claus Lane Bike Path Projects.

Sample Motion: I move to approve the subject letters for the Mayor's signature and transmittal.

I. BACKGROUND:

The Linden Avenue and Casitas Pass Road Interchanges Project and the South Coast HOV Project are Phases 3 and 4, respectively, in a series of US 101 improvements aimed at improving the US 101 transportation corridor along the south coast of Santa Barbara County. Both of these projects need Coastal Development Permits from the City of Carpinteria and the County of Santa Barbara. To issue these permits, the Local Coastal Programs must be amended to resolve conflicts with policies within each program. The City and California Coastal Commission will need to approve amendments to the City's Local Coastal Program in order for the project to be permitted. To approve the amendments, the City and Coastal Commission will look at the entire project in order to determine if, on balance, the amendments can be found to be consistent with the Local Coastal Program. In other words, a project may have a negative

impact, in terms of some of the City's Local Coastal Program goals and policies, but have a positive impact with regard to other goals and policies. In the case of the US 101 freeway projects, the Linden Avenue and Casitas Pass Road Interchanges Project includes the Rincon Bike Trail and the Santa Claus Lane Bike Path that are intended, in part, to improve public access to the coast and provide balance to support the Local Coastal Program (LCPA) amendments where project elements cannot meet wetlands and coastal agriculture goals and policies.

To fund the Rincon Bike Trail and Santa Claus Lane Bike Path projects, the Santa Barbara County Association of Governments is pursuing Active Transportation Program grants. The Active Transportation Program is a federal grant program that is managed and administered by the California Department of Transportation (Caltrans) Division of Local Assistance for the Federal Highway Administration.

II. DISCUSSION:

On March 21, 2014 Caltrans announced the call for projects for Cycle 1 of the Active Transportation Program. All applications are due to Caltrans by Wednesday, May 21, 2014. The California Transportation Commission will release their staff recommendations for the statewide and small urban/rural portions of the program on August 8, 2014.

The Active Transportation Program provides three years of funding (FYs 13/14 to 15/16) for Cycle 1 with \$360 million available. The \$120 million in annual funding is divided into three different categories; 50% for a statewide competitive 'any area' pot, 40% apportioned for large urban areas and 10% for a competitive small urban and rural area pot. The table below provides a summary of the available funding by sub-program and year.

Funding available in Cycle 1 of the Active Transportation Program (\$000's)				
	FY 13/14	FY 14/15	FY 15/16	Total
Statewide 'Any Area' - 50%	\$59,850	\$59,850	\$59,850	\$179,550
Small Urban/Rural- 10%	\$11,970	\$11,970	\$11,970	\$35,910
Large Urban - 40%	\$47,880	\$47,880	\$47,880	\$143,640
Total	\$119,700	\$119,700	\$119,700	\$359,100

Applicants in Santa Barbara County are eligible to submit applications for the statewide (50%) and small urban/rural pots (10%) only since our region does not have an urbanized area larger than 200,000 people. Santa Barbara County applicants need to submit applications by the May 21, 2014 deadline. Projects will be scored and recommended for funding for the 50% Any Area pot first. Remaining applications will subsequently be considered for the 10% Small/Rural pot. Caltrans will announce staff recommendations for the 50% Any Area and 10% Small Urban/Rural pots on August 8, 2014.

Per the state legislation, 25% of funds in each funding pot must benefit disadvantaged communities. For a project to contribute toward this requirement, the project must clearly demonstrate a benefit to a community that meets any of the criteria included in the guidelines. Local, regional or state Agencies are eligible to apply including city, county, and Regional Transportation Planning Agencies. Because the majority of funds in the Active Transportation

Program are federal funds, most projects must be federal-aid eligible. Eligible projects must support non-motorized transportation projects such as bike lanes, multi-purpose paths, sidewalks and safe-routes-to-school. Projects should include:

- Capital improvements that will further the goals of this program. This typically includes the planning, design, and construction of facilities
- Education, encouragement, enforcement, and planning activities that further the goals of this program. The Commission intends to focus funding for non-infrastructure projects on pilot and start-up projects that can demonstrate funding for ongoing efforts. The Active Transportation Program funds are not intended to fund ongoing program operations. Non-infrastructure projects are not limited to those benefiting school students
- Non-infrastructure components.

According to the guidelines, projects must include at least 11.47% in matching funds except for projects predominantly benefiting a disadvantaged community, stand-alone non-infrastructure projects and safe routes to schools projects. The source of the matching funds may be any combination of local, private, state or federal funds.

Cycle One Applications

SBCAG staff has identified three projects of regional significance that are recommended for the ATP funding. As discussed above, the three projects have been added to the project descriptions of the Linden Avenue and Casitas Pass Road Interchanges Project and the South Coast HOV Project in order to process the Local Coastal Plan Amendments that enable the issuing of Coastal Development Permits. The three projects are:

Rincon Bike Trail
Santa Claus Lane Bike Path
Cabrillo Pedestrian Improvements

The third project, the Cabrillo Pedestrian Improvements, is a City of Santa Barbara project. Further information regarding the US 101 projects and the Cabrillo Pedestrian Improvements Project can be found in a staff report to the SBCAG Board dated April 17, 2014. A brief description of the Rincon Bike Trail and the Santa Claus Lane Bike Path is provided below:

Rincon Bike Trail

The Rincon Bike Trail project will eliminate a gap in the California Coastal Trail and connect eastern Carpinteria Avenue to Rincon Beach Park. The Rincon Trail will consist of a 10-foot wide, 4,500-foot long shared-use trail from Carpinteria Avenue to Rincon Beach County Park in Santa Barbara County near the Ventura County line. The trail begins in the City of Carpinteria, extends into Caltrans right of way, requires a bridge crossing over the Union Pacific RR tracks and ultimately ends at the Rincon Beach County Park. The trail overcomes the vertical barrier of the bluffs and provides an alternative to bicyclists using the freeway to get to the next segment of the Coastal Trail. This project is estimated to cost up to \$8 million. The City of Carpinteria is the lead agency for preliminary design and environmental studies for the project. The City of Carpinteria obtained Measure A Bicycle, Pedestrian and Safe Routes to School funding for these phases.

Santa Claus Lane Bikepath

The Santa Claus Lane Class I bike path project will eliminate a gap in the California Coastal Trail and connect Santa Claus Lane in the unincorporated area to Carpinteria Avenue in the City of Carpinteria on the southbound side of US 101. Currently, approximately \$300,000 has been programmed to fund the environmental studies and preliminary engineering that is underway. The funding comes from Measure A South Coast Bicycle and Pedestrian grant funds, sponsored by the City of Carpinteria and the County, and unspent Regional Surface Transportation Program funds allocated to the project about 6 years ago. The project is estimated to cost \$5 to \$7 million. SBCAG is currently the lead agency for this project in developing the environmental document and preliminary engineering.

A strong application will require priority support of the project by SBCAG, the City and County. SBCAG has requested a letter of support from both the City of Carpinteria and the County of Santa Barbara provided to Caltrans indicating support for this project and consent to own/operate the future facilities. The California Coastal Commission is also a major proponent of these projects and has agreed to submit a letter of support as well from their local Ventura office. SBACG and City of Carpinteria staff have prepared letters of support for the two projects. The letters of support are included in Attachment A of this report. The City of Carpinteria Department of Public Works requests that the City Council approve, for the Mayor's signature, the letters to Caltrans in support of SBCAG submitting grant applications for the Rincon Bike Trail and Santa Claus Lane Bike Path Projects.

V. ATTACHMENTS:

Attachment A: Letters of Support

ATTACHMENT A

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CITY OF CARPINTERIA, CALIFORNIA



April 28, 2014

Members of the City Council

J. Bradley Stein - **Mayor**
Gregg Carty- **Vice Mayor**
Al Clark
Wade Nomura
Fred Shaw

April Nitsos
Chief, Office of Active Transportation and Special Programs
CALTRANS
Division of Local Assistance, MS 1
P.O. Box 942874
Sacramento, CA 94274-0001

RE: Active Transportation Program Application for Rincon Bike Trail

Dear Ms. Nitsos,

I strongly support the Santa Barbara County Association of Governments' Rincon Bike Trail project near Carpinteria, California. This critical project meets multiple goals established in the State's Active Transportation Program, including, but not limited to:

- Increase the proportion of trips accomplished by biking and walking
- Increase the safety and mobility of non-motorized users
- Advance the active transportation efforts of regional agencies to achieve greenhouse gas reduction goals as established pursuant to Senate Bill 375 and Senate Bill 391
- Provide a broad spectrum of projects to benefit many types of active transportation users

The project is also of regional and state significance as it will eliminate a gap in the California Coastal Trail by constructing a 10-foot wide and 4,500-foot long shared-use trail, including a bridge over UPRR tracks that would provide safe access for bicyclists and pedestrians traveling from Carpinteria Avenue to Rincon Beach County Park in Santa Barbara County near the Ventura County line. The trail will run along the ocean side of Highway 101. A non-motorized link to beaches and surfing destinations would be created by the project.

Sincerely,

J. Bradley Stein
Mayor, City of Carpinteria

Cc: Andre Boutros, California Transportation Commission
Mitchell Weiss, California Transportation Commission
Dave Durlinger, City Manager, City of Carpinteria
Supervisor Steve Lavagnino, Chairman of Board, Santa Barbara County Association of Governments
Jim Kemp, Executive Director, Santa Barbara County Association of Governments

CITY OF CARPINTERIA, CALIFORNIA



April 28, 2014

Members of the City Council

J. Bradley Stein - **Mayor**
Gregg Carty- **Vice Mayor**
Al Clark
Wade Nomura
Fred Shaw

April Nitsos
Chief, Office of Active Transportation and Special Programs
CALTRANS
Division of Local Assistance, MS 1
P.O. Box 942874
Sacramento, CA 94274-0001

RE: Active Transportation Program Application for Santa Claus Lane Bike Path

Dear Ms. Nitsos,

I strongly support the Santa Barbara County Association of Governments' Santa Claus Lane Bike Path project near Carpinteria, California. This critical project meets multiple goals established in the State's Active Transportation Program, including, but not limited to:

- Increase the proportion of trips accomplished by biking and walking
- Increase the safety and mobility of non-motorized users
- Advance the active transportation efforts of regional agencies to achieve greenhouse gas reduction goals as established pursuant to Senate Bill 375 and Senate Bill 391
- Provide a broad spectrum of projects to benefit many types of active transportation users

The Santa Claus Lane Class I bike path will eliminate a gap in the California Coastal Trail and connect Santa Claus Lane in the unincorporated area adjacent to Carpinteria Avenue in the City of Carpinteria on the southbound side of U.S. 101. This will save cyclists and pedestrians significant out-of-direction travel to reconnect with the coastal trail.

Sincerely,

J. Bradley Stein
Mayor, City of Carpinteria

Cc: Andre Boutros, California Transportation Commission
Mitchell Weiss, California Transportation Commission
Dave Durflinger, City Manager, City of Carpinteria
Supervisor Steve Lavagnino, Chairman of Board, Santa Barbara County Association of Governments
Jim Kemp, Executive Director, Santa Barbara County Association of Governments

STAFF REPORT
COUNCIL MEETING DATE:
April 28, 2014

ITEM FOR COUNCIL CONSIDERATION:

Quarterly Review of Investment Results and Certifications Concerning Compliance with Investment Policy and Cash Flow Needs for the next six months

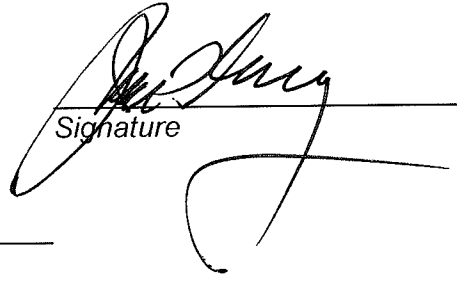
Prepared by: John Thornberry, City Treasurer

Department: Administrative Services

Reviewed by:

City Manager


Signature


Signature

ACTION ITEM ; NON-ACTION ITEM X

STAFF RECOMMENDATION: Receive and file the report

MOTION: None Required

I. BACKGROUND:

California Government Code Section 53600 states that "all governing bodies of local agencies or persons authorized to make investment decisions on behalf of those local agencies are trustees and therefore fiduciaries subject to the "prudent investor standard"

The prudent investor standard states that "a trustee shall act with the care, skill, prudence and diligence that a prudent person acting in a like capacity would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the agency. When investing public funds, the primary objective of a trustee shall be to safeguard the principal of the funds under its control. The secondary objective shall be to meet the liquidity needs of the depositor. The third objective shall be to achieve a return on the funds under its control."

California Government Code Section 53646 mandates that cities annually adopt a written investment policy and that quarterly reports be prepared concerning the City's financial holdings, a statement of compliance with the policy and a statement concerning the City's ability to meet its cash flow needs in the ensuing six months.

This report is no longer mandatory but is provided each quarter because it is informative for the Council and the public, and because the cost of preparation is minimal. However, because the City chooses to prepare the report, copies of the second and fourth calendar quarter reports must be submitted to the California Debt and Investment Advisory Commission within 60 days after the close of the second and fourth calendar quarters.

II. POLICY:

All portfolio investment transactions for the quarter ended March 31, 2014, have been made in full compliance with the Statement of Investment Policy adopted October 28, 2013.

As a part of the City's 2004 work program, the Council directed staff to investigate alternative investment practices that could yield better returns while maintaining security. The first step in this process was the change in the investment policy. On September 27, 2004 the City Council, by adoption of Resolution 4898, modified the City's investment policy, expanding the list of 'Authorized Investments'. Now, in addition to investment in the Local Agency Investment Fund and other FDIC insured accounts, the City can invest in 'Other investments authorized and governed by California Government Code 53601.

On November 22, 2004 the City Council adopted Resolution No. 4910 authorizing investments in the Treasury Direct program whereby Treasury Securities would be purchased directly from the United States Treasury.

III. FINANCIAL CONSIDERATIONS:

Cash Statement: At March 31, 2014, the City held cash investments as shown in the table below.

Table I

	Bank Balance at 03/31/14	Quarterly Earnings	Avg. Annualized Return
Treasury Direct/Schwab	\$11,864,470.54	\$35,995.52	1.26%
LAIF	20,614.69	11.72	0.23%
MB&T Checking	419,744.07	0	0.00%
MB&T Money Market	2,190,925.32	1,525.04	0.30%
Total	\$14,495,754.62	\$37,532.28	1.01%

Investments:

Changes in the market value of Treasury securities are inversely proportional to changes in interest rates demanded in the open market, i.e. when rates rise market values fall, when rates fall market values rise. The sensitivity of a portfolio's market value to these changes in market rates is a function of its days to maturity and its coupon rate. Securities with longer maturities and/or lower coupon rates are more sensitive to changes in market interest rates. As a security matures, changes in its value become less sensitive to market rate changes.

At March 31 the portfolio's average days to maturity was 1,031 days or 2.83 years, the average coupon rate was 1.20%, the average yield to maturity was 1.28% and the par value was \$11,761,600. The portfolio had a net negative cash flow of (\$407,866.99) during the quarter.

Staff estimates the market value of the portfolio at \$11,978,544. This represents a net unrealized gain of \$241,474 or 2.06% of the net investment of \$11,737,070. In other words, if the City were to sell all of its holdings, the proceeds from the sale would be approximately this much more than what was paid for them. The City does not intend to sell nor does it have any foreseeable need to sell any securities. The intention of the City's investment policy is to hold all securities to maturity; and securities so held are redeemed at par value resulting in no net market gain or loss.

Attached for your review are statements from Treasury Direct, Charles Schwab, LAIF, and Montecito Bank & Trust as of March 31, 2014. Also attached is a recap of investment activity during the quarter, a subsidiary ledger listing showing the various yields and maturities of securities in the Treasury Direct/Charles Schwab portfolio, a Treasury Note portfolio segment analysis and a market value estimate calculation.

The City of Carpinteria will meet all expenditure obligations that might reasonably be anticipated for the six-month period ending September 30, 2014.

IV. LEGAL ISSUES:

This report has been prepared in compliance with California Government Code Sections 53600 and 53646.

V. ATTACHMENTS:

- A. Treasury Direct statement
- B. Charles Schwab statement
- C. LAIF statement
- D. Montecito Bank & Trust bank statement
- E. Investment Activity Recap
- F. Treasury Direct/Charles Schwab Subsidiary Ledger Listing
- G. Treasury Direct/Charles Schwab portfolio segment analysis
- H. Market value estimate calculation

Attachment A

Legacy Treasury Direct®

www.treasurydirect.gov
1-800-722-2678
1-304-480-6464 (Outside the U.S.)

CITY OF CARPINTERIA CA
5775 CARPINTERIA AVENUE
CARPINTERIA CA 93013

STATEMENT OF ACCOUNT

Page 1 of 5

Your Site

TREASURY RETAIL SECURITIES SITE
P O BOX 9150
MINNEAPOLIS MN 55480-9150

PHONE: (800) 722-2678

Payment Information

Payments made by direct deposit to:
MONTECITO BANK & TRUST
Routing Number: 122234783
Name on Account: CITY OF CARPINTERIA
CHECKING Account Number: Confidential

Telephone Number: (805) 684-5405

Taxpayer Identification No: Confidential

Tax Withholding Status: No withholding

Legacy Treasury Direct Account Number: **4800-770-3487**

Total Par as of 04/04/2014: **\$2,872,600**

ACCOUNT HOLDINGS

SECURITY		SECURITY SUB-ACCOUNT					SCHED. REINV.		NEXT PAYMENT	
CUSIP	Total Par	No.	Par Amount	Issue Date	Purchase Price/\$100 of Par	Yield/Rate (%)	Disc Rate (%)	No.	Term	Date Type Amount
912828KN9 1 7/8 NOTE L 14 04/30/14	119,000	01	119,000	04/30/09	99.691687	1.94				04/30/14 Par and Interest 120,115.63
912828KV1 2 1/4 NOTE M 14 05/31/14	124,700	01	124,700	06/01/09	99.718283	2.31				05/31/14 Par and Interest 126,102.88
912828KY5 2 5/8 NOTE N 14 06/30/14	119,000	01	119,000	06/30/09	99.651404	2.70				06/30/14 Par and Interest 120,561.88
912828LC2 2 5/8 NOTE P 14 07/31/14	124,600	01	124,600	07/31/09	99.702444	2.689				07/31/14 Par and Interest 126,235.38
912828LK4 2 3/8 NOTE Q 14 08/31/14	119,800	01	119,800	08/31/09	99.443852	2.494				08/31/14 Par and Interest 121,222.63

Attachment B

Schwab One® Account
Account Number: 8975-8685

Statement Period: March 1, 2014 to March 31, 2014
Page 1 of 8

Last Statement: February 28, 2014

*Cut paper clutter.
Switch to eStatements at schwab.com/paperless.
Questions? Call 1-800-435-4000*

CITY OF CARPINTERIA
5775 CARPINTERIA AVE
CARPINTERIA CA 93013-2603

Account Value Summary

Cash & Sweep Money Market Funds	\$ 10.55
Total Investments Long	\$ 8,605,891.30
Total Investments Short	\$ 0.00
Total Account Value	\$ 8,605,901.85

Change in Account Value

Starting Account Value	\$ 8,382,205.52
Transactions & Income	\$ 273,168.23
Income Reinvested	\$ 0.00
Change in Value of Investments	\$ (49,471.90)
Ending Account Value	\$ 8,605,901.85
Year-to-Date Change in Value Since 1/1/14	\$ 1,103,772.01

Rate Summary

Value Adv Money Fd SWVXX	0.01%
Sch Investor Money Fund	0.01%

Please see "Endnotes For Your Account" section for an explanation of the endnote codes and symbols on this statement.

03/31-00000-NRSP0302-045837 *2

Attachment C



JOHN CHIANG

California State Controller

LOCAL AGENCY INVESTMENT FUND
REMITTANCE ADVICE

Agency Name	CARPINTERIA
Account Number	98-42-142

As of 04/15/2014, your Local Agency Investment Fund account has been directly credited with the interest earned on your deposits for the quarter ending 03/31/2014.

Earnings Ratio		.00000631639966506
Interest Rate		0.23%
Dollar Day Total	\$	1,855,136.32
Quarter End Principal Balance	\$	20,614.69
Quarterly Interest Earned	\$	11.72

Attachment D



Montecito
Bank & Trust

P.O. Box 2460
Santa Barbara, CA 93120-2460
(805) 963-7511

000 00005 01
ACCOUNT:

PAGE: 1
195024588 03/31/2014

002026

H

City of Carpinteria

30-0

14

* HOLD AT BRANCH *

203

Even with careful management of your cash, overdrawing your account can happen. To learn more about how you can protect your account from accidental overdrafts, stop by any of our branches or visit montecito.com.

SUMMARY OF ACCOUNTS

ACCOUNT TYPE	ACCOUNT NUMBER	CURRENT BALANCE	MATURITY DATE
Business Money Market ACCOUNT	195020078	2,190,925.32	
Analysis Checking ACCOUNT	195024588	419,744.07	
TOTAL CURRENT BALANCE		2,610,669.39	

Analysis Checking ACCOUNT 195024588

MINIMUM BALANCE	300,716.84	LAST STATEMENT 02/28/14	589,017.80
AVG AVAILABLE BALANCE	488,297.72	51 CREDITS	1,587,300.45
AVERAGE BALANCE	521,448.08	239 DEBITS	1,756,574.18
		THIS STATEMENT 03/31/14	419,744.07

DEPOSITS

REF #	TRACE #	DATE	AMOUNT	REF #	TRACE #	DATE	AMOUNT
	0195833619	03/04	2,428.96		0195473080	03/17	1,696.54
	0195830088	03/04	3,977.57		0195538009	03/18	44,565.45
	0195915646	03/05	2,471.90		0195598572	03/19	1,626.35
	0195137028	03/10	13,234.32		0195638029	03/20	647,903.98
	0195201510	03/11	9,982.93		0195950510	03/27	105,177.19
	0195290534	03/12	79,114.51		0195018112	03/28	37,931.08
	0195413032	03/14	5,133.81		0195126115	03/31	10,837.78

* * * C O N T I N U E D * * *



EQUAL HOUSING
LENDER MEMBER FDIC
Z0030197

NOTICE: SEE REVERSE SIDE FOR IMPORTANT INFORMATION

Attachment E

March 31, 2014

Investment Activity Recap

Month	Average Daily Investment	Return	ROI %	Ending Balance	% Portfolio
Treasury Direct					
1	\$11,292,108.89	\$11,979.91	1.27%	\$11,447,051.22	77.24%
2	11,447,051.22	12,010.91	1.26%	11,590,302.31	82.27%
3	11,590,302.31	12,004.70	1.24%	11,737,070.16	81.69%
Total / Average	\$11,443,024.24	\$35,995.52	1.26%		
LAIF					
1	\$20,602.97	\$4.04	0.23%	\$20,607.01	0.14%
2	20,607.01	3.64	0.23%	20,610.65	0.15%
3	20,610.65	4.04	0.23%	20,614.69	0.14%
Total / Average	\$20,612.63	\$11.72	0.23%	20,614.69	
Montecito Bank & Trust Checking					
1	\$984,421.29			\$667,994.28	4.51%
2	528,576.00			589,017.80	4.18%
3	488,297.72			419,744.07	2.92%
Total / Average	\$671,715.75				
Montecito Bank & Trust Money Market					
1	\$2,228,980.92	\$567.93	0.31%	\$2,684,400.28	18.11%
2	2,118,468.21	487.54	0.28%	1,887,455.75	13.40%
3	1,842,939.62	469.57	0.31%	2,190,925.32	15.25%
Total / Average	\$2,061,629.41	\$1,525.04	0.30%		
Recap					
1	\$14,526,114.07	\$12,551.88	1.04%	\$14,820,052.79	100.00%
2	14,114,702.44	12,502.09	1.06%	14,087,386.51	100.00%
3	13,942,150.30	12,478.31	1.07%	14,368,354.24	100.00%
Total / Average	\$14,196,982.02	\$37,532.28	1.06%		

Attachment F

City of Capitleria
Direct Subsidiary Ledger Listing
Portfolio

March 31, 2014

Line	Type	Issue Date	CUSIP	Maturity Date	Net	Discount	Par Value	Coupon \$	Coupon %	Yield %	Days to Maturity	Accrued Interest \$/1,000	Price per \$100	Modified Duration	Next Coupon Date	Line
1	2	03/31/2012	912828SL5	03/31/2014	998.21	1.79	1,000.00	1.25	0.2500%	0.3398%	*	0.01366	99.821248	*	03/31/2014	1
2	5	03/31/2009	912828KL8	03/31/2014	126,402.17	597.83	127,000.00	1,111.25	1.7500%	1.8490%	*	*	99.529266	*	03/31/2014	2
3	2	04/30/2012	912828SR2	04/30/2014	999.60	0.40	1,000.00	1.25	0.2500%	0.2700%	30	*	99.960135	0.0833	04/30/2014	3
4	5	04/30/2009	912828KN9	04/30/2014	118,633.11	366.89	119,000.00	1,115.63	1.8750%	1.9400%	30	*	99.691687	0.0833	04/30/2014	4
5	2	05/31/2012	912828SW1	05/31/2014	999.00	1.00	1,000.00	1.25	0.2500%	0.3000%	61	*	99.900374	0.1667	05/31/2014	5
6	5	05/31/2009	912828KV1	05/31/2014	124,348.70	351.30	124,700.00	1,402.88	2.2500%	2.3100%	61	0.06148	99.718283	0.1667	05/31/2014	6
7	2	06/30/2012	912828TA8	06/30/2014	998.75	1.25	1,000.00	1.25	0.2500%	0.3128%	91	0.01359	99.874829	0.2500	06/30/2014	7
8	5	06/30/2009	912828KY5	06/30/2014	118,585.17	414.83	119,000.00	1,561.88	2.6250%	2.7000%	91	*	99.651404	0.2500	06/30/2014	8
9	2	07/31/2012	912828FE7	07/31/2014	998.11	1.89	1,000.00	0.63	0.1250%	0.2200%	122	*	99.810521	0.3333	07/31/2014	9
10	5	07/31/2009	912828LC2	07/31/2014	124,229.25	370.75	124,600.00	1,635.38	2.6250%	2.6890%	122	*	99.702444	0.3333	07/31/2014	10
11	2	08/31/2012	912828TL4	08/31/2014	999.54	0.46	1,000.00	1.25	0.2500%	0.2730%	153	*	99.944157	0.4167	08/31/2014	11
12	5	08/31/2009	912828LK4	08/31/2014	119,133.73	666.27	119,800.00	1,422.63	2.3750%	2.4940%	153	*	99.443852	0.4167	08/31/2014	12
13	2	09/30/2012	912828TQ3	09/30/2014	999.54	0.46	1,000.00	1.25	0.2500%	0.2730%	183	0.00687	99.954218	0.5000	09/30/2014	13
14	5	09/30/2009	912828LQ1	09/30/2014	118,073.10	526.90	118,600.00	1,408.38	2.3750%	2.4700%	183	*	99.555732	0.5000	09/30/2014	14
15	2	10/31/2012	912828TU4	10/31/2014	115,629.40	70.60	115,700.00	1,373.94	2.3750%	0.2500%	214	*	99.910331	0.5827	09/30/2014	15
16	5	10/31/2009	912828LS7	10/31/2014	114,329.92	270.08	114,600.00	1,217.63	2.1250%	2.3880%	214	0.13122	99.938982	0.5775	04/30/2014	16
17	2	11/30/2012	912828TZ3	11/30/2014	999.60	0.40	1,000.00	0.63	0.1250%	0.2700%	244	*	99.960135	0.6660	05/31/2014	17
18	5	11/30/2009	912828LZ1	11/30/2014	113,488.41	211.59	113,700.00	1,492.31	2.6250%	2.6500%	275	*	99.760733	0.7497	06/30/2014	18
19	2	12/31/2012	912828UD0	12/31/2014	997.61	0.76	1,000.00	1.25	0.2500%	0.2700%	244	*	99.813909	0.7435	06/30/2014	19
20	5	12/31/2009	912828ME7	12/31/2014	113,488.41	211.59	113,700.00	1,492.31	2.6250%	2.6500%	275	*	99.760733	0.7497	06/30/2014	20
21	2	01/31/2013	912828UH4	01/31/2015	999.24	0.76	1,000.00	1.25	0.2500%	0.2880%	306	*	99.924273	0.8327	07/31/2014	21
22	5	01/31/2010	912828WH0	01/31/2015	115,546.42	653.58	116,200.00	1,307.25	2.2500%	2.3700%	306	0.06215	99.437540	0.8278	07/31/2014	22
23	2	02/28/2013	912828UP3	02/28/2015	999.86	0.14	1,000.00	1.25	0.2500%	0.2570%	334	*	99.986045	0.9160	08/31/2014	23
24	5	02/28/2010	912828ME8	02/28/2015	119,268.07	111.93	119,400.00	1,417.88	2.3750%	2.3960%	334	0.06454	99.96254	0.9108	08/31/2014	24
25	2	03/31/2013	912828UT5	03/31/2015	999.90	0.10	1,000.00	0.63	0.1250%	0.2500%	365	0.00683	99.90045	0.9894	09/30/2014	25
26	5	03/31/2010	912828NV7	03/31/2015	101,699.97	500.03	102,200.00	1,277.50	2.5000%	0.2300%	395	*	99.510730	0.9938	09/30/2014	26
27	2	04/30/2013	912828JY4	04/30/2015	997.85	2.15	1,000.00	0.63	0.1250%	0.2500%	365	*	99.84628	1.0824	04/30/2014	27
28	5	04/30/2010	912828M20	04/30/2015	95,621.13	178.87	95,800.00	1,197.50	2.5000%	2.5400%	395	*	99.813289	1.0649	04/30/2014	28
29	2	05/31/2013	912828VD9	05/31/2015	999.34	0.66	1,000.00	1.25	0.2500%	0.2830%	426	*	99.934233	1.1648	05/31/2014	29
30	5	05/31/2010	912828NF3	05/31/2015	107,074.68	25.32	107,100.00	1,137.94	2.1250%	2.1300%	426	0.05806	99.976355	1.1510	05/31/2014	30
31	2	06/30/2013	912828VH0	06/30/2015	999.60	0.40	1,000.00	1.88	0.3750%	0.3950%	456	0.01019	99.960135	1.2472	06/30/2014	31
32	5	06/30/2010	912828NL0	06/30/2015	106,491.29	608.71	107,100.00	1,004.06	1.8750%	1.9500%	456	*	99.431646	1.2361	06/30/2014	32
33	2	07/31/2013	912828VN7	07/31/2015	998.29	1.71	1,000.00	1.25	0.2500%	0.3360%	487	*	99.828720	1.3315	07/31/2014	33
34	5	07/31/2010	912828NP1	07/31/2015	98,783.29	216.71	99,000.00	866.25	1.7500%	1.7960%	487	0.09511	99.781106	1.3204	07/31/2014	34
35	2	08/31/2013	912828VU1	08/31/2015	999.78	0.22	1,000.00	1.88	0.3750%	0.3860%	518	0.03708	99.978190	1.4139	08/31/2014	35
36	5	08/31/2010	912828NV8	08/31/2015	104,372.94	627.06	105,000.00	656.25	1.2500%	1.3740%	518	*	99.402797	1.4074	08/31/2014	36
37	2	09/30/2013	912828VY3	09/30/2015	998.05	1.95	1,000.00	1.25	0.2500%	0.3480%	548	*	99.804850	1.4981	09/30/2014	37
38	5	09/30/2010	912828NZ9	09/30/2015	179,713.14	86.86	179,800.00	1,123.75	1.2500%	1.2600%	548	*	99.951690	1.4907	09/30/2014	38
39	2	10/31/2013	912828WB2	10/31/2015	146,786.24	213.76	147,000.00	183.75	0.2500%	0.3230%	579	*	99.854588	1.5796	04/30/2014	39
40	5	10/31/2010	912828PE4	10/31/2015	142,946.71	553.29	143,500.00	896.88	1.2500%	1.3300%	579	0.03453	99.614432	1.5648	04/30/2014	40
41	2	11/30/2013	912828A26	11/30/2015	137,862.89	137.11	138,000.00	172.50	0.7500%	0.2998%	609	0.01374	99.900644	1.6629	05/31/2014	41
42	5	11/30/2010	912828P13	11/30/2015	140,256.64	243.36	140,500.00	965.94	1.3750%	1.4110%	609	*	99.826792	1.6463	05/31/2014	42
43	2	12/31/2013	912828A67	12/31/2015	136,740.82	259.18	137,000.00	171.25	0.2500%	0.3450%	640	*	99.810817	1.7463	06/30/2014	43
44	5	12/31/2010	912828PM6	12/31/2015	95,591.66	108.34	95,700.00	1,016.81	2.1250%	2.1490%	640	*	99.886797	1.7187	06/30/2014	44
45	2	01/31/2014	912828BA1	01/31/2016	147,985.26	14.74	148,000.00	277.50	0.3750%	0.3800%	671	*	99.900041	1.8277	07/31/2014	45
46	5	01/31/2011	912828BE3	01/31/2016	94,216.91	183.09	94,400.00	944.00	2.0000%	2.0410%	671	*	99.806052	1.8038	07/31/2014	46
47	2	02/28/2014	912828BE2	02/28/2016	145,738.31	261.69	146,000.00	182.50	0.2500%	0.2500%	699	*	99.820762	1.9129	08/28/2014	47
48	5	02/28/2011	912828QJ2	02/28/2016	108,267.41	332.59	108,600.00	1,153.88	2.1250%	2.1900%	699	*	99.86052	1.9944	08/28/2014	48
49	2	03/31/2014	912828C40	03/31/2016	143,730.86	269.14	144,000.00	270.00	0.3750%	0.4680%	731	*	99.813097	1.8853	08/28/2014	49
50	5	03/31/2011	912828QA1	03/31/2016	96,254.71	45.29	96,300.00	1,093.38	2.2500%	2.1239%	761	*	99.952972	1.9669	09/30/2014	50
51	5	04/30/2011	912828QF0	04/30/2016	91,760.24	539.76	92,300.00	923.00	2.0000%	2.1330%	792	0.10870	99.415214	2.0342	04/30/2014	51
52	5	05/31/2011	912828QD8	05/31/2016	100,988.95	301.05	100,400.00	878.50	1.7500%	1.8130%	792	*	99.700152	2.1236	05/31/2014	52
53	5	06/30/2011	912828QRA	06/30/2016	104,024.42	575.58	104,600.00	784.50	1.5000%	1.6150%	822	*	99.49734	2.2130	06/30/2014	53
54	5	07/31/2011	912828QX1	07/31/2016	96,218.76	(3,218.76)	93,000.00	697.50	1.5000%	0.7926%	853	2.32333	103.461030	2.2968	07/31/2014	54

City of Carpinteria
Direct Subsidiary Ledger Listing
Portfolio

March 31, 2014

Line	Type	Issue Date	CUSIP	Maturity Date	Net	Discount	Par Value	Coupon \$	Coupon %	Yield %	Days to Maturity	Accrued Interest \$/\$1,000	Price per \$100	Modified Duration	Next Coupon Date	Line
55	5	08/31/2011	912828RF9	08/31/2016	93,617.58	(617.58)	93,000.00	465.00	1.0000%	0.8640%	884	0.71430	100.664060	2.3920	08/31/2014	55
56	5	09/30/2011	912828RJ1	09/30/2016	92,932.16	67.84	93,000.00	465.00	1.0000%	1.0150%	914	*	99.927052	2.4752	09/30/2014	56
57	5	10/31/2011	912828RM4	10/31/2016	239,358.75	641.25	240,000.00	1,200.00	1.0000%	1.0550%	945	*	99.732813	2.5462	04/30/2014	57
58	5	11/30/2011	912828RU6	11/30/2016	241,268.77	731.23	242,000.00	1,058.75	0.8750%	0.9370%	975	*	99.697840	2.6342	05/31/2014	58
59	5	12/31/2011	912828RX0	12/31/2016	241,940.96	59.04	242,000.00	1,058.75	0.8750%	0.8900%	1006	0.07212	99.975602	2.7175	06/30/2014	59
60	5	01/31/2012	912828SC5	01/31/2017	262,692.06	307.94	263,000.00	1,150.63	0.8750%	0.8900%	1037	*	99.882914	2.8008	07/31/2014	60
61	5	02/28/2012	912828SD	02/28/2017	279,658.51	341.49	280,000.00	1,225.00	0.8750%	0.9000%	1065	*	99.878039	2.8842	08/31/2014	61
62	5	03/31/2012	912828SM3	03/31/2017	270,473.67	526.33	271,000.00	1,355.00	1.0000%	1.0400%	1096	0.05464	99.805782	2.9629	09/30/2014	62
63	5	04/30/2012	912828SM3	04/30/2017	270,841.30	158.70	271,000.00	1,185.63	0.8750%	0.8900%	1126	*	99.941438	3.0379	04/30/2014	63
64	5	05/31/2012	912828SJ7	05/31/2017	285,270.72	1,729.28	287,000.00	896.88	0.8250%	0.7480%	1157	*	99.397484	3.1340	05/31/2014	64
65	5	06/30/2012	912828TB6	06/30/2017	297,970.79	29.21	298,000.00	1,117.50	0.7500%	0.7520%	1187	0.04076	99.990200	3.2110	06/30/2014	65
66	5	07/31/2012	912828TG5	07/31/2017	296,768.27	1,231.73	298,000.00	745.00	0.5000%	0.5940%	1218	*	99.586667	3.3072	07/31/2014	66
67	5	08/31/2012	912828TM2	08/31/2017	293,799.25	1,200.75	295,000.00	921.88	0.8250%	0.7080%	1249	*	99.592967	3.3841	08/31/2014	67
68	5	09/30/2012	912828TS9	09/30/2017	284,692.16	307.84	285,000.00	890.63	0.8250%	0.6470%	1279	0.01717	99.891985	3.4674	09/30/2014	68
69	5	10/31/2012	912828TW0	10/31/2017	274,676.92	323.08	275,000.00	1,031.25	0.7500%	0.7740%	1310	*	99.882515	3.5314	04/30/2014	69
70	5	11/30/2012	912828UA6	11/30/2017	282,777.54	222.46	283,000.00	864.38	0.8250%	0.6410%	1340	*	99.921392	3.6233	05/31/2014	70
71	5	12/31/2012	912828UF8	12/31/2017	280,738.61	261.39	281,000.00	1,053.75	0.7500%	0.7690%	1371	*	99.906978	3.6981	06/30/2014	71
72	5	01/31/2013	912828UJ7	01/31/2018	291,800.51	199.49	292,000.00	1,277.50	0.8750%	0.8890%	1402	*	99.931681	3.7729	07/31/2014	72
73	5	02/28/2013	912828UR9	02/28/2018	301,600.88	399.12	302,000.00	1,132.50	0.7500%	0.7700%	1430	*	99.8667840	3.8647	08/31/2014	73
74	5	03/31/2013	912828UL2	03/31/2018	281,851.96	138.04	282,000.00	1,057.50	0.7500%	0.7600%	1461	0.02049	99.951048	3.9481	09/30/2014	74
75	5	04/30/2013	912828UZ1	04/30/2018	280,824.57	1,175.43	282,000.00	881.25	0.8250%	0.7100%	1491	*	99.583182	4.0275	04/30/2014	75
76	5	05/31/2013	912828VF7	05/31/2018	295,352.75	647.25	296,000.00	1,480.00	1.0000%	1.0450%	1522	*	99.781333	4.1287	05/31/2014	76
77	5	06/30/2013	912828VK3	06/30/2018	303,404.37	1,595.63	305,000.00	2,096.88	1.3750%	1.4839%	1552	0.03736	99.476843	4.2123	07/31/2014	77
78	5	07/31/2013	912828VQ0	07/31/2018	292,506.59	493.41	293,000.00	2,014.38	1.3750%	1.4100%	1583	*	99.831599	4.2845	08/31/2014	78
79	5	08/31/2013	912828RE2	08/31/2018	291,264.43	1,735.57	293,000.00	1,973.13	1.3750%	1.6238%	1614	0.12431	99.407655	4.3788	09/30/2014	79
80	5	09/30/2013	912828RH5	09/30/2018	286,158.25	841.75	287,000.00	1,973.13	1.3750%	1.4360%	1644	*	99.706706	4.4457	04/30/2014	80
81	5	10/31/2013	912828WD8	10/31/2018	133,676.67	323.33	134,000.00	837.50	1.2500%	1.3000%	1675	*	99.758710	4.5288	05/31/2014	81
82	5	11/30/2013	912828A34	11/30/2018	125,453.87	546.13	126,000.00	787.50	1.2500%	1.3399%	1705	0.06868	99.566562	4.5853	06/30/2014	82
83	5	12/31/2013	912828AF5	12/31/2018	124,401.64	598.36	125,000.00	937.50	1.5000%	1.6000%	1736	*	99.521314	4.6688	07/31/2014	83
84	5	01/31/2014	912828B33	01/31/2019	134,534.37	465.63	135,000.00	1,012.50	1.5000%	1.5720%	1767	*	99.655089	4.7523	08/31/2014	84
85	5	02/28/2014	912828C24	02/28/2019	132,808.64	191.36	133,000.00	997.50	1.5000%	1.5300%	1795	*	99.856123	4.8220	09/30/2014	85
86	5	03/31/2014	912828C65	03/31/2019	130,437.37	562.63	131,000.00	1,064.38	1.6250%	1.7150%	1826	*	99.570515	2.7721	25-May-06	86

01/25/2017					11,864,470.54	\$25,129.46	\$11,889,600.00	*	1.2041%	1.2805%	1031	0.03906	\$99.788643	2.7721	25-May-06	*****

less Maturations	127,400.38	599.62	
	\$11,737,070.16	\$24,529.84	\$11,761,600.00
	\$2,990,738.17	TD 1	\$2,999,600.00
	204,123.37	TD 2	205,000.00
	8,669,609.00	Schwab	8,685,000.00
	\$11,864,470.54		\$11,889,600.00

Attachment G

March 31, 2014

Portfolio Segment Analysis

Month	Average Daily Investment	Return	ROI %	Net Cash Flow
1	\$11,292,108.89	\$11,979.91	1.2731%	(\$142,766.11)
2	11,447,051.22	12,010.91	1.2591%	(130,683.17)
3	11,590,302.31	12,004.70	1.2429%	(134,417.71)
Total / Average	\$11,443,024.24	\$35,995.52	1.2583%	(\$407,866.99)

ALL NOTES / BILLS	
Net Investment	\$11,737,070.16
Discount	24,529.84
Par Value	\$11,761,600.00
Coupon %	1.2041%
Yield to Maturity	1.2805%
Annual Yield \$	\$150,610.73
Days to Maturity	1031
Years to Maturity	2.8255
Modified Duration	2.7544

	5 YEAR NOTES			
	All	Most Recent	Maximum	Minimum
Net	\$10,860,243.02	\$130,437.37	\$303,404.37	\$91,760.24
Discount	23,356.98	562.63	1,735.57	(3,218.76)
Par	10,883,600.00	131,000.00	305,000.00	92,300.00
Coupon \$	69,527.23	1,064.38	2,197.50	465.00
Coupon %	1.2777%	1.6250%	2.6250%	0.5000%
Yield %	1.5666%	1.7150%	2.7000%	0.5840%
Years to Maturity	2.94	5.00	5.00	

	2 YEAR NOTES			
	All	Most Recent	Maximum	Minimum
Net	\$876,827.14	\$143,730.86	\$147,985.26	\$997.61
Discount	1,172.86	269.14	269.14	0.10
Par	878,000.00	144,000.00	148,000.00	1,000.00
Coupon \$	1,280.65	270.00	277.50	0.63
Coupon %	0.2917%	0.3750%	0.3750%	0.1250%
Yield %	0.3638%	0.4690%	0.4690%	0.2200%
Years to Maturity	1.77	2.00	2.00	

Attachment H

March 31, 2014

Market Value Estimate Calculation

Portfolio
Weighted
Average

Years/Days	Duration Date	CUSIP	Issue Date	Maturity Date	Coupon %	Market Price per \$100	Market Yield
5	02/10/2017	912828SC5	01/31/2012	01/31/2017	0.8750%	100.0070	0.8724%
2	01/02/2016	912828A67	12/31/2013	12/31/2015	0.2500%	99.8240	0.3510%

Years/Days	A Actual Yield	B Market Yield	C Duration in years	D Estimated Market Value	E Par Value	F Net Value	G Unrealized Gain / (Loss)
5	1.5666%	0.8724%	2.8690	\$11,100,346	\$10,883,600	\$10,860,243	\$240,103
2	0.3638%	0.3510%	1.7591	878,198	878,000	876,827	1,371
All			2.7721	\$11,978,544	\$11,761,600	\$11,737,070	\$241,474

Percent of Par 2.06%

$$D=(1+(A-B)*C)*E \quad G=D-F$$

STAFF REPORT
CITY COUNCIL MEETING:
April 28, 2014

ITEMS FOR COUNCIL CONSIDERATION:

Authorization and Award for the Ozone System Project at Carpinteria Community Pool

Report prepared by: Erin Maker, Environmental Coordinator
Department: Public Works



Signature

Reviewed by
Parks
Director:



Signature

Reviewed by
City Manager:

Signature

ACTION ☒ **NON-ACTION** ☐ **STAFF RECOMMENDATION:**

Recommendation: That the City Council:

1. Adopt Resolution No. 5525, appropriating funds for the Ozone System Project; and
2. Authorize the City Manager to execute a construction services contract with Sea Clear Pools Inc., in the amount of \$64,625 for purchase and installation of an ozone system for the Carpinteria Community Pool.

Sample Motion: I move to adopt Resolution No. 5525, as read by title only, and authorize the City Manager to execute a construction services contract with Sea Clear Pools, Inc., in the amount of \$64,625, for purchase and installation of an ozone system for the Carpinteria Community Pool.

I. BACKGROUND:

The Carpinteria Community Pool is quickly approaching its 25th Year Anniversary. Over the course of 25 years, the pool has been maintained and upgraded to improve operational efficiencies to improve the facilities appeal to the community. With a goal to reduce operational costs, the City has upgraded the pool's filtration system, pumping system, water heating system, and electrical system.

The Parks and recreation Department is now proposing to install a new pool water disinfection system that utilizes ozone as the principal sanitizer. Ozone systems have been used extensively in pools overseas, and are gaining in popularity here in the United States because they are one of the strongest oxidizing and sanitizing methods available. In addition and unlike chlorine, ozone leaves no residual chemicals in the water as it works. This improves water quality, reduces the need to exchange pool water and helps to by removing more particulates than chlorine based systems. Due to reduced chlorine use, common side effects such as irritation of eyes and nasal passages, and dry skin and hair, will not be significantly reduced.

II. DISCUSSION:

The Carpinteria Community Pool water is currently disinfected with calcium chloride. This is an excellent oxidizing chemical and an efficient disinfectant. However, chlorine reacts with nitrogen based compounds to form chloramines, which accumulate in the water and result in poor water quality over time.

The Parks Department has implemented several projects to improve operations and efficiency at the Community Pool. The projects, including replacing an aging boiler, have been successful in reducing electric and gas demands. Water sanitation is a critical and expensive requirement of operating a public pool. The Parks and Recreation Department is proposing to install an Ozone disinfection system as the next step toward becoming the most sustainable public pool in the region. An ozone system will reduce chemical costs, improve pool water quality, improve customer satisfaction and position the pool to be more competitive, attracting more clients. Reducing chemical use will also allow the City to avoid future rises in chemical prices.

Ozone is the triatomic state of oxygen which simply means that the ozone molecule contains three oxygen atoms, having the chemical symbol O₃. Ozone is said to be 50 times more powerful and over 3000 times faster acting than chlorine bleach yet because Ozone is not stored onsite, no risk of a chemical spill is possible with an ozone system. After oxidation, ozone primarily reverts back to oxygen and is therefore considered to be a clean or environmentally friendly oxidant.

Ozone systems reduce the total dissolved solids by flocculating particles, which makes them more readily captured in the pools filtration system. Consequently, pools have clearer less contaminated water improving the swimmer's experience. When designed and operated correctly ozonation will achieve a water quality not obtainable using other pool treatments.

The use of Ozone will also reduce the need to exchange pool water. As traditional chlorine is added salts accumulate in the water. When the total accumulation reaches certain thresholds, City staff must drain off old water and blend in new water to remain within the operational range defined by the pool water boiler manufacturer. As ozone leaves no residual chemicals as it works, pool water will need to be exchanged less frequently.

Another improvement over chlorine is ozone's ability to sanitize a broader spectrum of undesirable organisms that could be introduced into the pool water.

The proposed project includes both the purchase and install of an ozone system. The bid also includes training for City staff on operations and maintenance of the equipment.

Bids from qualified contractors were solicited using the bid process required by California Public Contract Code, and the Uniform Construction Cost Accounting Commission Manual, as adopted by the City of Carpinteria. There was one bid for the project, from Sea Clear Pools, Inc.

III. POLICY:

The City's General Plan states in Objective OSC-14 that the City shall 'provide for adequate park and recreation facilities to meet the needs of the community and visitors'. Maintaining and improving the pool facility is consistent with this measure.

IV. FINANCIAL CONSIDERATIONS:

The bid for purchase and install of an ozone system of adequate capacity to meet design objectives at the pool totals \$64,625. The Parks Department proposes to fund this project with donations already received through fundraising efforts and an available balance of the General Fund Reserve. Between these accounts, there is adequate funding available to cover project costs.

Approximately \$23,000 has been received in grass roots community donations. However, fund raising expenses and the purchase of replacement energy conservation pool blankets in 2013 have reduced the balance to \$10,000.00. These voluntary donations are recognized on the newest pool facility tile wall. 14 tiles are still available for sponsorship. The General Fund Reserve has generated an interest earning balance of \$54,000.00. The City Council has traditionally used these earnings to fund parks improvement projects.

Operations and maintenance costs will see an overall reduction through installation of an ozone system. Chemical costs will be reduced by about \$6,500. Operation of the system will cost approximately \$2,500 in electricity annually, a cost which could be eliminated through installation of a proposed solar power system in the next fiscal year.

V. ATTACHMENTS:

Attachment A: Contractors Bid
Attachment B: Resolution No 5525

ATTACHMENT A



Sea Clear Pools, Inc.

23316 So. Normandie Ave. Torrance CA

T-(310) 891-3073 F- (310) 891-3079

April 9, 2014

City of Carpinteria
Mr. Matt Roberts
5775 Carpinteria Avenue
Carpinteria, CA 93013

Dear Mr. Roberts

Thank you for this opportunity to serve the City Carpinteria.

This proposal will describe both the supply of a new Intellizone commercial ozone generator and its installation at the Carpinteria Community swimming pool.

Per City of Carpinteria bid specification closing date April 10, 2014. for supply and installation of Carpinteria Community Pool Ozone system

- **Total project per bid spec..... \$64,625.00**

Sea Clear Pools, Inc. is a licensed C-53 contractor, and is expert in the correct method of installation of this equipment.

Please call us at 310-505-5378 or write to this e-mail address with any questions or comments.

Thank you again for the opportunity to offer this proposal.

Bill Clevenger
310-505-5378
wkc@seaclearpools.com
Sea Clear Pools, Inc.

ATTACHMENT B

RESOLUTION NO. 5525

A RESOLUTION OF THE CARPINTERIA CITY COUNCIL APPROPRIATING \$64,625.00 INTO ACCOUNT No. 48-79-41-7 TO FUND THE PURCHASE AND INSTALLATION OF AN OZONE SYSTEM AT THE CARPINTERIA COMMUNITY POOL.

WHEREAS, the Carpinteria Community Pool is an important public asset in the community; and

WHEREAS, the City desires to lower operational costs and improve the efficiency of the pool with the goal of having one of the most sustainable community pools in the region; and

WHEREAS, City Staff has determined an ozone system will improve pool water quality, reduce water and chemical costs, and improve the public's use and enjoyment of the pool; and

WHEREAS, the cost of this installation was not known at the time the City adopted its annual budget; and

WHEREAS, the Parks and Recreation Department has placed the project out to bid and has received of responsible and responsive bid in the amount of \$64,625.00 from a qualified contractor; and

WHEREAS, The Pool Tile Wall Donation fund has an available balance of \$10,625.00 (account 20-00-26-1) and the General Fund Reserve has an available balance of \$54,000.00 (account 13-00-29-8) that can be used to complete the ozone project,

Now therefore The City Council of the City of Carpinteria hereby resolves:

TO AUTHORIZE AN APPROPRIATION IN THE AMOUNT OF \$64,625.00 into account 48-79-41-7 to be used for the purchase and installation of an ozone system at the Carpinteria Community Pool.

PASSED, APPROVED AND ADOPTED this 28th day of April, 2014 by the following called vote:

AYES: COUNCIL MEMBER:

NOES: COUNCIL MEMBER:

ABSENT: COUNCIL MEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 28th day of April, 2014.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

Peter Brown
City Attorney

STAFF REPORT
CITY COUNCIL MEETING
April 28, 2014

ITEMS FOR COUNCIL CONSIDERATION:

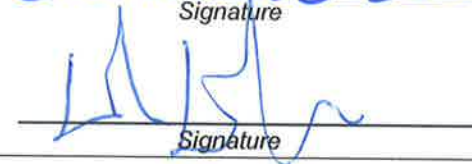
Status Report on the Linden Avenue and Casitas Pass Road Interchanges Project

Report prepared by: Charles W. Ebeling, P.E., Director

Department: Public Works


Signature

**Reviewed by
City Manager:**


Signature

ACTION ☐ **NON-ACTION** ☒ **STAFF RECOMMENDATION:**

Recommendation: That the City Council receive and file this project status report for the Linden Avenue and Casitas Pass Road Interchanges Project

Sample Motion: I move to receive and file the project status report for the Linden Avenue and Casitas Pass Road Interchanges Project.

I. PROJECT DESCRIPTION:

The Linden Avenue and Casitas Pass Road Interchanges Project consists of replacing both the Linden Avenue and Casitas Pass Road US 101 overcrossings, reconfiguring the northbound on- and off-ramps at Casitas Pass Road, extending the northbound Linden Avenue on-ramp, replacing the US 101 freeway bridges over Carpinteria Creek and extending Via Real to Casitas Pass Road and to Linden Avenue. The project will also include new retaining walls, sound walls, traffic signals and drainage structures. The California Department of Transportation (Caltrans) is the lead agency in proposing a joint City/SBCAG/Caltrans project to reconstruct the Casitas Pass Road and Linden Avenue Interchanges and to construct an extension of Via Real as a continuous frontage road on the north side of US Highway 101.

Project Purpose

The purpose of the Linden Avenue and Casitas Pass Road Interchanges Project is to:

- Improve access to and operations at the Linden Avenue and Casitas Pass Road interchanges with the US 101 freeway
- Improve operations on US 101 in the project vicinity
- Reduce use of US 101 for local trips
- Improve local circulation, including connectivity on Via Real between Bailard Avenue and Linden Avenue
- Improve local bicycle and pedestrian connectivity

Project Objectives

The objective of the Linden Avenue and Casitas Pass Road Interchanges Project is to address a number of deficiencies, including:

- Nonstandard interchange spacing that does not meet the minimum spacing for consecutive on-ramps
- Nonstandard vertical clearance between the overcrossings and the US 101 freeway roadbed
- Narrow overcrossings (Linden Avenue and Casitas Pass Road) that have two 13-foot lanes, 5-foot sidewalk on one side only and no shoulders or bike lanes
- A discontinuous frontage road (Via Real) that runs parallel to the north side of US 101

The project includes replacing the US 101 “main line” freeway bridges over Carpinteria Creek. The replacement of these two bridges was included in the project to enable the reconfiguration of the Casitas Pass Road northbound on and off-ramps. Caltrans considers these freeway main line bridges as structurally deficient. Replacement of the bridges are key parts of both the Linden Avenue and Casitas Pass Road Interchanges Project and the South Coast HOV Project, which will widen the freeway from two lanes in each direction to three lanes in each direction.

The replacement US 101 bridges over Carpinteria Creek will be required to meet current engineering design standards including passing a 1% chance flood (commonly referred to as a “100-year” flood). Today, the predicted 100-year flood in Carpinteria Creek is partially blocked by the existing freeway bridges. The portion of floodwaters that cannot get past the bridges travels onto the freeway and flows westerly to Franklin Creek. The additional Carpinteria Creek floodwaters in Franklin Creek cause flooding in the City in the vicinity of the US 101/Franklin Creek crossing. When the US 101 freeway bridges over Carpinteria Creek are replaced, flooding in the US 101/Franklin Creek area of the City will be greatly reduced or eliminated. However, allowing flood flows to return to their historic path down Carpinteria Creek to the ocean may impact other homes and properties downstream of the freeway.

The City of Carpinteria, in partnership with the Santa Barbara County Association of Governments and Caltrans, is leading a detailed hydrology and hydraulic engineering study that is in the process of determining the 100-year creek flows in Carpinteria Creek. The purpose of the study is to update the 1970s era hydrology and hydraulic engineering study for Carpinteria Creek and determine downstream impacts when the new freeway bridges are constructed. Ultimately, the project will need to meet federal regulations with regard to managing the

changes in the floodplain. Additional information on this issue is presented in the Discussion Section of this Staff Report.

The Linden Avenue and Casitas Pass Road Interchanges Project also provides opportunity to improve emergency response routes and transit routes. Currently, residents in the vicinity of Carpinteria Creek Park must first travel easterly to Bailard Avenue to access business and shopping districts within the City. The addition of vehicular, pedestrian and bicycle capacity to the overcrossings and frontage road improves public safety and addresses long-standing local travel and safety concerns.

The construction cost of this project is estimated to be approximately \$75 million. The project is now fully funded by the State Transportation Improvement Plan. The project permitting is expected to be completed by the fall of 2014, right-of-way acquisitions are expected to be completed by the summer of 2014 and construction is expected to begin in 2016 and be completed by December of 2019.

II. BACKGROUND:

The purpose of this staff report is to give a brief summary of the history of the Linden Avenue and Casitas Pass Road Interchanges Project, to review the current status of the project including recent progress, and to provide information on upcoming steps and future phases.

US 101 Corridor

The City of Carpinteria has worked with SBCAG, the area's regional transportation planning and funding agency, addressing congestion on US 101 since the 1970s. In 1975 the City submitted a request for Federal-Aid Urban Funding for the widening of Linden Avenue and Casitas Pass Road overcrossings. The funding for the request was ultimately allocated elsewhere in the County. From the 1980s to the 2000s, the City has participated in developing US 101 improvements under various programs supported by Measure D, the Operational Improvements Program and the 101 In Motion Study. After a wide-open analysis of nearly every conceivable congestion solution for the US 101 corridor in southern Santa Barbara County, the 101 In Motion Study proposed the "Lane and a Train" solution. The Lane and a Train Program actually included Transit, Carpool, Demand Management, Intelligent Transportation Systems, increase rail service and adding high occupancy vehicle (HOV) carpool lanes on US 101 from Ventura County to the City of Santa Barbara.

Linden Avenue and Casitas Pass Road Interchanges Project

On its own, the Linden Avenue and Casitas Pass Road interchanges project has been the subject of many City meetings and discussions since the 1970s. Throughout the 1980s and in to the early 1990s both the Planning Commission and the City Council periodically received reports and discussed various potential improvements to the Linden Avenue and Casitas Pass Road freeway interchanges. From 1992 to 1998 the City Council carried out the following:

- Met to discuss the various studies and study methodologies associated with potential freeway and interchanges improvement projects
- Held public hearings to discuss potential interchange projects
- Included the Linden Avenue and Casitas Pass Road Interchanges Project in City's 1993 Master Facilities Plan (now called the Capital Improvement Plan)

- Discussed transportation demand management and other operational improvements to US 101 when the 1990's Caltrans US 101 Widening Project was discontinued
- Supported additional alternative transportation and operational improvement initiatives
- Requested that SBCAG program State Transportation Improvement Funds (STIP) funding for the Linden Avenue and Casitas Pass Road Interchanges Project in 1995 to initiate the project
- Combined the Linden Avenue and Casitas Pass Road Interchanges Project and the Via Real Extension funding requests into one project in 1996

The Linden Avenue and Casitas Pass Road Interchanges Project, including the Via Real Extension, was partially funded by the California Transportation Commission in the 1996 State Transportation Improvement Plan. The project got substantially underway in 1999 with the City reviewing preliminary Caltrans design alternatives. In 2002 the City included the project in the City's Capital Improvement Plan and in 2003 descriptions of rebuilding several of the US 101 interchanges within the City, including Linden Avenue and Casitas Pass Road, were added to the Circulation Element of the City's General Plan/Local Coastal Plan. In 2003, the City and SBCAG again sought federal funding when the federal transportation bill was being considered. The project was again not included in the federal funding system. Throughout the rest of the 2000s, the City and Caltrans worked together to examine 17 project alternatives. The alternatives included many different interchange configurations. Eventually, four viable alternatives were chosen by the City and Caltrans to be studied in an environmental document.

To address California Environmental Quality Act (CEQA) and National Environmental Policy Act (NEPA) requirements, Caltrans published the Linden Avenue and Casitas Pass Road Interchanges Project Draft Environmental Impact Report (EIR)/Finding of No Significant Impact (FONSI) for public review. Since 2008, several significant project milestones have been met:

- May 8, 2009 - City Council Approved the Preferred Alternative
- 2009 to present - Caltrans began preparing the engineering design plans for construction
- June 29, 2009 – The City Council held a Traffic Workshop for the Project. The City Council gave Caltrans overcrossing size (number of lanes) and roadway design direction
- November 2009 – Caltrans conducted a Value Engineering Analysis of the project. The analysis supported the overcrossing size direction from the City Council
- July 2010 – The Final Environmental Impact Report/Finding of No Significant Impact for the project was approved by Caltrans
- September 30, 2010 – Caltrans presented the project to the Architectural Review Board for an initial conceptual review
- October 2010 – City Public Works and Caltrans develop an alternative alignment for the Via Real extension that reduces impacts
- December 16, 2010 – Caltrans returned to the Architectural Review Board for continued conceptual review. Caltrans responded to previous comments by lowering the overall profile of the overcrossings to reduce impacts
- March 2011 – Caltrans begins process to amend the City's General Plan/Local Coastal Plan. The amendments are necessary to accommodate the project because the project, as proposed, conflicts with agriculture and wetland protection policies.
- October 24, 2011 – Caltrans presented an update on the project to a joint City Council/Planning Commission/Architectural Review Board meeting. An outcome of this meeting was to establish a Design Review Team (DRT). The DRT consisted of City

Council, Planning Commission, Architectural Review Board members as well as two members from the public.

- January to August 2012 – The DRT held 10 public noticed meetings to discuss the various aesthetic aspects of the project. Ultimately, the DRT's aesthetic design recommendations were presented to the City Council on January 28, 2013

As mentioned above, the City of Carpinteria Department of Public Works, SBCAG and Caltrans presented the DRT recommendation to the City Council on January 28, 2013. An updated traffic and circulation report and a proposal for a landscaped median on the Casitas Pass Road overcrossing were also presented. The City Council directed the team members to continue to work on the construction plans for the project with a four vehicular lane overcrossing with a landscaped median for the Casitas Pass Road overcrossing. The City Council also directed that the recommendations of the DRT should be incorporated into the project.

III. DISCUSSION:

The City of Carpinteria plays two roles in the delivery of the Linden Avenue and Casitas Pass Road Interchanges Project. As project partner, City staff works closely with SBCAG and Caltrans to develop the project and, ultimately, prepare the project for construction. The City is also the permitting agency for the project. To obtain Conditional Use and Coastal Development Permits, the project has been submitted to the City of Carpinteria's Development Review Process. During 2013, progress was made on several aspects of the project:

Coastal Development Permit

The Linden Avenue and Casitas Pass Road Interchanges Project was envisioned in the Circulation Element policies adopted in the 2003 (current) City of Carpinteria General Plan. The Circulation Element incorporated descriptions for rebuilding several of the US 101 interchanges within the City including the Linden Avenue and Casitas Pass Road Interchanges Project. However, the project is inconsistent with the City's Local Coastal Program policies regarding agricultural and wetland resources. Local Coastal Program must be amended to address these two policy area before a Coastal Development Permit can be issued to the project by the City.

City planning staff is continuing to work on preparing an amendment to the Local Coastal Program to modify the language regarding agriculture resources and wetland resources to permit necessary encroachments of project improvements in a limited manner into areas containing these sensitive resources. Since the project is inconsistent with existing protection policies for these resources, mitigation for the impacts is required (such as creation or restoration of degraded wetlands to offset those lost) and a finding will need to be made that the overall Local Coastal Program Amendment will "on balance" be more protective of coastal resources.

In order to support a finding that the project would result in a net benefit to coastal resources, bikeway/multi-use trails have been included in the project to close gaps in the regional trails system that provides direct access to beaches and other coastal recreation opportunities. Furthermore, to address the coastal agriculture impacts, the City is developing a community garden program.

The amendments to the Local Coastal Program are not only needed for the Linden Avenue and Casitas Pass Road Interchanges Project but also the South Coast HOV Project. The South

Coast HOV Project will complete the widening of US 101 to 6 lanes from Carpinteria to Santa Barbara. The following two trail system improvements will be included in the Linden-Casitas Pass Interchanges Improvement Project and the South Coast HOV project, respectively.

- Rincon Trail – Proposed bike path extending from the eastern terminus of Carpinteria Avenue to Rincon Point Park. This path would link the bikeway along Carpinteria Avenue with the new Class I Bike Path being constructed with the Ventura/Santa Barbara HOV Lane project, eliminating the need for cyclists to use the shoulder of US 101 in this segment.
- Extension of Santa Claus Lane – Proposed bike path extending from the eastern terminus of Santa Claus Lane and connecting to the western terminus of Carpinteria Avenue. This segment would link to Santa Claus Lane, closing a substantial gap in the California Coastal Trail system.

As previously mentioned, City staff and the project partners have made significant progress in developing the Local Coastal Program Amendment (LCPA). To complete the Amendment and issue the project a Coastal Development Permit (CDP), the following steps are planned:

Planning Commission Review – June 2014

Once staff level planning review and environmental review have been concluded, the project will be presented to the Planning Commission for discretionary review of the project's Conditional Use Permit (CUP), CDP, and the LCPA. The CUP and CDP decisions by Planning Commission are appealable to the City Council.

City Council Review – July 2014

The City Council will then hold the local approval authority for the LCPA. Consequently, the City Council must conditionally approve the LCPA, subject to final approval by the Coastal Commission. The Council will also hold a public hearing on the CUP and CDP, if these Planning Commission actions are appealed.

Coastal Commission Review – Winter 2015

Once the City approves the CUP and CDP, and conditionally approves the LCPA, the LCPA and CDP are submitted to the Coastal Commission for review. The Coastal Commission will hold their own public hearing on the LCPA and CDP, and either approve, deny, or conditionally approve them. If the LCPA and CDP are approved with additional conditions added by the Coastal Commission, the City Council must decide whether to accept the conditions and ratify the approval, or to challenge the conditions through additional proceedings with the Commission.

After the CUP, LCPA and CDP approvals are completed, but before the permits are issued to Caltrans, the Architectural Board of Review will review the final design plans to ensure conformance with the preliminary design approvals granted for the project.

Construction Document Preparation

As the lead agency, Caltrans has been responsible for the preparation of the Construction Documents for the project. The construction documents include Plans, Specifications and an Engineer's Estimate. The construction plans include, but are not limited to:

- Roadway Layouts, Cross-Sections and Details
- Grading and Drainage
- Utility Relocations
- Traffic Management and Information
- Traffic Handling
- Stage Construction
- Pavement Delineation
- Quantity Calculations
- Landscaping
- Traffic Signal and Lighting
- Retaining Walls
- Sound Walls
- Structure Plans

As a project partner, City staff has been working closely with Caltrans and SBCAG during the development of the Construction Documents. Caltrans has now produced plans that are considered to be 95% complete and they have submitted the construction documents to their internal review system. Caltrans expects to complete the review and produce the final construction documents by August of 2014.

Caltrans has also been working on obtaining right-of-way for the project. 25 of 27 right-of-way purchases from adjacent property owners have been completed. The final two are expected to be completed by the end of summer of 2014.

Floodplain Mapping

The existing US 101 freeway mainline bridges over Carpinteria Creek were constructed with the freeway in 1955. As previously mentioned, the bridges must be replaced to enable the Linden Avenue and Casitas Pass Road Interchanges Project and the future South Coast HOV Project. The existing bridges currently are projected to cause a significant portion of the 100-year flood flow to travel west, out of the creek, running along the freeway lanes and flooding the Franklin Creek area of the City. A stated benefit of the Linden Avenue and Casitas Pass Road Interchanges Project has been that replacing the US 101 bridges over Carpinteria Creek will eliminate the diversion and reduce or eliminate the 100-year flooding in the central Franklin Creek portion of the City. Eliminating the diversion would return floodwaters to the historic Carpinteria Creek area and remove several hundred homes from the floodplain. When the floodplain is reduced or eliminated, homeowners would then have their flood insurance premiums reduced or eliminated. However, when the floodwater were returned to their natural course several homes downstream in the Carpinteria Creek area would be added to or have increased floodwaters.

The Federal Emergency Management Agency (FEMA) is responsible for managing the National Flood Insurance Program (NFIP). Under the NFIP, FEMA produces floodplain maps, underwrites flood insurance, and enforces federal floodplain development regulations. Approximately 5 years ago, Caltrans consulted with FEMA regarding the plan to return the creek to its natural flow. At the time, Caltrans was given direction by FEMA staff to proceed with the plan and then process of Letter of Map Revision through FEMA.

Ultimately, the City of Carpinteria is the responsible Floodplain Manager within the City. FEMA periodically performs Community Assistance Visits to local jurisdiction to assist with

implementing federal floodplain regulations and to verify that the local jurisdiction is meeting federal requirements. The City must meet the federal requirement so that property owners can purchase flood insurance. During the summer of 2013, FEMA performed a Community Assistance Visit for the City of Carpinteria. Processing the Letter of Map Revision for the Linden Avenue and Casitas Pass Road Interchanges Project was discussed.

During discussion regarding the project, City of Carpinteria staff found that FEMA staff was now stating that the plan to return Carpinteria Creek to its historic flow pattern was unacceptable and does not meet federal regulations. As planned, FEMA, therefore, would not be expected to process a Letter of Map Revision for the project. If the project were to proceed as planned, the City would be in violation of federal regulations. Being in violation would put the City's participation in the National Flood Insurance Program at risk and therefore the Public's the ability to purchase flood insurance within the City would be at risk. The project partners have been working with FEMA since last summer to review the federal regulations. With funding from Caltrans, the City contracted with a hydrology and hydraulics engineering consulting firm to provide the following information:

- Topographic Information for Floodplain Mapping - *Completed*
- Development of Existing Conditions 100-year Flood Model - *Completed*
- Development of Hydraulic Models for the Proposed Project - *Completed*
- Preliminary Determination of Impacts of Proposed Project on the Floodplain – *In Progress*
- Development of Models for Various Proposed changes to the project to meet FEMA requirements – *In Progress*

In order to meet FEMA's federal regulations, the Engineering Consultant is using computer floodplain modeling to determine the extent of a 100-year flood in Carpinteria Creek from approximately 1,000 feet up stream of the Freeway to the Pacific Ocean. The information will then be used to develop several alternatives that will likely require that improvements be added to or changed in the Linden Avenue and Casitas Pass Road Interchanges Project. To eliminate the diverted flow to the west, as previously discussed, and not have any impact on downstream homes and structures, the consultant is analyzing channel improvements and detention basins. Caltrans is proceeding with all other aspects of this project at this time but any change to the project's design plans, environmental review documents, or the permitting process to address the floodplain issues may cause significant delays in the project.

Project Schedule/Next Steps

The project schedule for the Linden Avenue and Casitas Pass Road Interchanges Project is:

- Completion of the Hydraulic Floodplain Analysis – Spring 2014
- Local Coastal Program Amendment Planning Commission Hearing – June 2014
- Local Coastal Program Amendment City Council Hearing – July 2014
- Complete Construction Documents – August 2014
- Complete Right-of-Way Purchases – August 2014
- Coastal Commission Approval – Winter of 2015
- Planning Commission Approval of CUP/CDP Spring of 2015
- Architectural Review Board Approval – Spring of 2015
- Begin Construction 2015

IV. POLICY:

As previously mentioned, the project was envisioned through the policies and objectives adopted in the 2003 (current) City of Carpinteria General Plan. These project objectives are consistent with the City's Objective C-1 and Policy C-3f in the Circulation Element which state:

Objective C-1: *To improve the community's ability to access US 101 and areas north of the freeway through improvement of interchanges.*

Policy C-1a: *Continue coordination and collaboration with the County of Santa Barbara and Caltrans through SBCAG to improve freeway accessibility and to resolve circulation problems in inland areas.*

Policy C-1b: *The City shall strive to improve vehicular and pedestrian over crossings of the freeway and the various creeks while respecting their habitat value and sensitivity.*

Policy C-1c: *The City will endeavor to work with Caltrans to resolve freeway access, interchange development and noise attenuation problems as they affect the community.*

Policy C-1d: *The City shall work closely with Caltrans to assure improvements to freeway interchanges and overpasses compliment the small town quality and charm of the City. Conventional methods for improving level of service such as widening of overpasses for independent turning lanes and signalization of intersections should be avoided if possible in favor of improvements consistent with the existing small town character and charm. Improvements required as a result of a development project shall also be consistent with this policy.*

The General Plan, in consideration of the above policies for all of the US 101 interchanges within the City, proposes the following for the Linden Avenue and Casitas Pass Road Interchanges:

- *Reconfiguration and reconstruction of the Linden Avenue/US 101 Interchanges, including the addition of southbound on-ramps and northbound off-ramps, installation of traffic signals and widening of the overpass.*
- *Reconfiguration and reconstruction of the Casitas Pass Road/US 101 Interchange, construction of turn lanes, installation of traffic signals and upgrades of the on- and off-ramps.*

In addition to the General Plan objectives and policies regarding freeway corridor improvements, Policy 3-Cf discusses improvements to travel characteristics of the City's circulation plan by directing the consideration of a continuous and direct east/west surface street route north of and parallel to US 101 to improve the efficiency of local traffic circulation. The Plan goes on to state that a westerly extension of Via Real to Casitas Pass Road and from Vallecito Road to Linden Avenue should also be considered.

In fact, using the above policies, the City has been able to work with Caltrans to evolve the project design in a manner that ensures greater consistency with the goals and objectives of the General Plan.

VI. PARTIES EXPECTED:

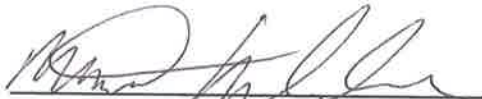
The City of Carpinteria Department of Public Works and staff from the Santa Barbara County Association of Governments will present this item to the City Council. Caltrans, including the Project Manager, will be on hand to answer any questions the Council may have.

STAFF REPORT
CITY COUNCIL MEETING
April 28, 2014

ITEMS FOR COUNCIL CONSIDERATION:

Ninth Street Pedestrian Bridge Replacement Project Secondary Use Agreement and Authorization to Advertise City Maintenance Project No. 15018 – Ninth St. Pedestrian Bridge Replacement Project, for Formal Bid.

Report prepared by: Matt Maechler, Civil Engineer
Department: Public Works


Signature

Reviewed by
Director of
Public Works:


Signature

Reviewed by
City Manager:


Signature

ACTION X **NON-ACTION** ____

Staff Recommendation: (1) That the City Council authorize the Mayor to sign the Santa Barbara County Flood Control Secondary Use Agreement, and (2) Authorize the Public Works Director to advertise City Maintenance Project No. 15018 – Ninth Street Pedestrian Bridge Replacement Project, for formal bid.

Sample Motion: I move to authorize the Mayor to sign the Santa Barbara County Flood Control Secondary Use Agreement, and to authorize the Public Works Director to advertise City Maintenance Project No. 15018 - Ninth Street Pedestrian Bridge Replacement Project, for formal bid.

I. BACKGROUND:

The Ninth Street Pedestrian Bridge improvement project is one of five City of Carpinteria grant applications funded in 2011 through the Measure A Safe Route to School Program funds. The project was allocated \$105,000.

The existing bridge was found to be much older than originally estimated and is nearing the end of its useful life. The original rehabilitation project (estimated at \$210,000) would have extended the life of the bridge by only another 5-10 years before needing a complete replacement. In an effort to utilize City and Grant funds more efficiently, the Department of Public Works recommended that the scope of the project be changed to a bridge replacement with a preliminary engineer's estimate of \$240,000-290,000 and a lifespan of 40-50 years.

During the summer of 2013, the Department of Public Works found that the existing bridge deck had rotted out to the point that the bridge was not sound. Public Works closed the bridge on July 2, 2013 and sought temporary repairs to keep the bridge open until the full replacement could be constructed. On July 31, 2013 the bridge was reopened with a replacement bridge deck. A bridge replacement is required due to the overall age of the bridge, new ADA and bridge design requirements, and overall aesthetics.

II. DISCUSSION:



Figure 1
Mission Terrace Pedestrian Bridge over Franklin Channel

On July 11, 2013, the project went to the Architectural Review Board (ARB). The Department of Public Works proposed a similar bridge structure to that which was approved and installed by the Mission Terrace Project upstream along Franklin Channel (Figure 1). To have the Ninth Street bridge maintain similarities with the Mission Terrace bridge, an 8-foot wide weathered steel bridge with low level pedestrian lighting was proposed to the ARB. The ARB generally made positive comments, made some minor suggestions and provided direction so that the project design could continue.

The City's engineering design consultant for the bridge replacement, Wallace Group, has provided the City with 95% design plans. The Department purchased the bridge structure from Excel Bridge Manufacturers after receiving City Council approval in December 2013.

The Ninth Street Pedestrian Bridge Replacement project is progressing through the Environmental Permitting and Architectural Review process. The Community Development Department has obtained the Coastal Development Permit for the project, while the Department of Public Works has obtained approval from the Department of Fish and Wildlife and the National Resources Conservation Service. Public Works has also completed utility coordination with Carpinteria Valley Water District and is currently coordinating with Santa Barbara County Flood Control permitting requirements through a Secondary Use Agreement. The Department's goal is to have construction begin in May and completed in June 2014.

The portion of Franklin Creek that the proposed bridge spans is owned and controlled by Santa Barbara County Flood Control. For approval to construct a bridge on their property, the City must adhere to a Secondary Use Agreement (**Attachment A**). Public Works has reviewed the agreement along with the City Attorney's office. The agreement requires signatures from the City Clerk, City Attorney and Mayor. The purpose of this staff report is to seek approval to authorize the Mayor to sign the Secondary Use Agreement and to authorize seeking bids for construction.

III. Policy:

The proposed project is included in the current City of Carpinteria Capital Improvement Program. At the Annual Work Plan meeting held on January 28, 2012, the Council directed the Department to make the Ninth Street Pedestrian Bridge Replacement project a priority for Public Works capital projects.

General Plan/Local Coastal Plan

Circulation Element

Pedestrian Facilities: Walking to shopping and recreational sites promotes direct interface with the physical environment as well as ecologically benefitting the community. Safe well-maintained sidewalks, trails and other street improvements, as well as pedestrian-oriented design standards, encourage pedestrian transportation.

The Ninth Street Pedestrian Bridge Replacement Project not only maintains part of the City's non-motorized transportation facility for an additional 40-50 years, but also improves the aesthetics of the area at the project location.

IV. FINANCIAL CONSIDERATIONS:

The total project design costs contract amount is \$96,850. The awarded Measure A Safe Routes to School grant will be used for the design and construction phases of this project. Additional funding will come from the City's legacy Measure D allocations as budgeted in the 2013-2014 City of Carpinteria Budget.

<u>Project Costs:</u>	
Design Engineering	\$ 96,850
Bridge Cost	\$ 53,500
Bridge Delivery (Estimated ¹)	\$ 19,100
Construction (Estimated ¹)	\$200,000
Inspection (Estimated ¹)	\$ 30,000
Staff Costs	\$ 20,000
Total:	\$419,450

<u>Project Funding:</u>	
Measure A – SR2S Cycle 1:	\$105,000
Measure D – Legacy Funds:	\$314,450
Total:	\$419,450

Based on recent project experience and anticipated level of effort to award this project to a construction contractor by May 2014, the proposed scope of work and contract fee/bridge cost amount is reasonable.

V. Attachments:

Attachment A: DRAFT Real Property Agreement; Secondary Use of Flood Control Owned Land

¹ Preliminary Estimated Cost – The actual construction and bridge costs will be provided by the engineer based on the final project design prior to the authorization to list the project for bidding.

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ATTACHMENT A

Project: 9th Street Pedestrian Bridge
Replacement Project
APN: 003-243-032 and
003-242-036

REAL PROPERTY AGREEMENT; SECONDARY
USE OF FLOOD CONTROL OWNED LAND

THIS REAL PROPERTY AGREEMENT ("Agreement") is made by and between the SANTA BARBARA COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT, a dependent special district of the County of Santa Barbara, their successors or assigns (herein the "DISTRICT"); and the CITY of Carpinteria (herein the "CITY") with reference to the following:

WHEREAS, DISTRICT holds fee ownership of those certain parcels of land in the County of Santa Barbara, City of Carpinteria, State of California described as APNs 003-243-032 and 003-242-036 (herein collectively the "Property"); and,

WHEREAS, the DISTRICT operates and manages flood control facilities on the Property known as the Franklin Channel; and,

WHEREAS, on July 7, 1998, the Board of Directors of the Santa Barbara County Flood Control and Water Conservation District adopted Resolution No. 98-266 establishing a policy entitled, "A Policy for Secondary Uses of Flood Control and Water Conservation Facilities for Bikeway and Recreational Purposes;" and,

WHEREAS, the CITY has requested the privilege of replacing and maintaining a pedestrian bridge over Franklin Creek Channel on a portion of the Property, and CITY desires to comply with the DISTRICT'S policy on secondary use of District owned property; and,

NOW, THEREFORE, subject to the criteria and conditions set forth in Resolution No. 98-266, and the other considerations herein set forth, it is mutually agreed and understood as follows:

1. PROPERTY and PROJECT LOCATION: DISTRICT holds fee ownership of the Property and operates a portion of the Franklin Channel thereon. The DISTRICT acquired portions of the Property for flood control purposes via Grand Deeds recorded on 11/03/1968 as Instrument number 35049, Book 2251, Page 1091, and on 03/13/1975 as Instrument number 7730, Book 2556, Page 156 in the office of the Santa Barbara County Recorder. The Property and the approximate location of the proposed pedestrian bridge are shown on Exhibit "A" attached hereto and incorporated herein by reference.

2. INDEMNIFICATION: The CITY shall defend, indemnify and save harmless the DISTRICT, (including the County of Santa Barbara) its officers, agents and employees from any and all claims, demands, damages, costs, expenses (including attorney's fees), judgments or

liabilities arising out of this Agreement or occasioned by the performance or attempted performance of the provisions hereof; including, but not limited to, any act or omission to act on the part of the CITY or its agents or employees or other independent contractors directly responsible to the CITY; except those claims, demands, damages, costs, expenses (including attorney's fees), judgments or liabilities resulting from the sole negligence or willful misconduct of the DISTRICT.

CITY shall notify the DISTRICT immediately in the event of any accident or injury arising out of, or in connection to this Agreement.

3. CITY'S OBLIGATIONS:

- a. The CITY shall be obligated and agrees to be bound by the above referenced Resolution number 98-266 which is attached hereto in its entirety and incorporated herein as Exhibit "B."
- b. CITY shall be responsible for all construction, inspection, and maintenance costs associated with the pedestrian bridge and related facilities on the Property.
- c. CITY shall install appropriate information and warning signs related to its use of the Property.
- d. City shall provide proof of insurance in accordance with the provisions contained in Exhibit "C" attached hereto and incorporated herein.

4. DISTRICT'S CONSENT: DISTRICT hereby consents to CITY'S secondary use of DISTRICT'S Property for replacement of a pedestrian bridge over the Franklin Creek Channel, as provided herein. CITY and DISTRICT further agree that any improvements made on the Property by or for the CITY shall not conflict or interfere with the use and maintenance needs of the DISTRICT and shall adhere to the following restrictions:

- a) The pedestrian bridge improvements referenced in this Agreement shall be constructed and maintained per the approved plans for the CITY of Carpinteria 9th Street Pedestrian Bridge Replacement Project as prepared by Wallace Group and as approved by the DISTRICT, on file with the CITY of Carpinteria, Department of Public Works. If the pedestrian bridge is not constructed in accordance with those plans, the DISTRICT reserves the right to halt construction and require changes as needed; and
- b) DISTRICT is not responsible for ANY damages to the pedestrian bridge and associated facilities even if such damage is associated with DISTRICT'S access to the Property and/ or work in the Franklin Channel; and
- c) Construction for the replacement of the pedestrian bridge shall not commence until the location and design of the pedestrian bridge have been approved by the National Resource Conservation Service (NRCS); and
- d) The DISTRICT shall have the right to suspend or rescind this Agreement if, in the DISTRICT'S sole opinion, the permitted activities become incompatible with DISTRICT activities, or if the interests of the DISTRICT should so require; and
- e) Except for the DISTRICT'S right to terminate, this Agreement may only be amended or

modified by the written, mutual consent of both parties.

5. ADMINISTRATION: The provisions of this Agreement shall be administered and enforced by the Santa Barbara County Director of Public Works or assignee.

6. NOTICES: Any notice to be given to the parties, by another, shall be in writing and shall be served, either personally or by prepaid mail or courier to the following:

DISTRICT: County of Santa Barbara, Public Works
Flood Control
130 East Victoria Street
Santa Barbara, CA 93101

CITY: City of Carpinteria
Attn: Executive Director
P.O. Box 30662
Santa Barbara, CA 93130
Phone (805) 964-1519

or to the parties at such other place as may be designated in writing. The date of mailing, or in the event of personal delivery, the date of delivery shall constitute the date of service.

7. TERM: Unless terminated as stated herein, this Agreement to construct and maintain a pedestrian bridge and related facilities on the Property shall continue for an indefinite term.

8. ENTIRE AGREEMENT: This Agreement contains the entire understanding and agreement between the parties and there have been no promises, representations, agreements, warranties, or undertakings by any of the parties, either oral or written, of any character or nature hereafter binding except as set forth herein.

IN WITNESS WHEREOF, DISTRICT and CITY have executed this Secondary Use Agreement by the respective authorized officers as set forth below to be effective as of the date executed by the DISTRICT.

SANTA BARBARA COUNTY
FLOOD CONTROL AND WATER
CONSERVATION DISTRICT

ATTEST:

MONA MIYASATO

Ex Officio Clerk of the Board of Directors of
The Santa Barbara County Flood Control and
Water Conservation District.

By: _____
STEVE LAVAGNINO
CHAIR, BOARD OF DIRECTORS

Date: _____

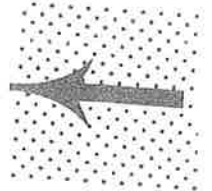
By: _____
Deputy

APPROVED AS TO FORM:
MICHAEL C. GHIZZONI
COUNTY COUNSEL

By: Johannah L. Hartley
Johannah Hartley

APPROVED AS TO FORM:
ROBERT W. GEIS, CPA
AUDITOR CONTROLLER

By: _____



APPROVED:

By: _____
Ray Aromatorio, ARM, AIC
Risk Program Administrator

By: _____
Scott McGolpin
Public Works Director

+++++

“CITY”

ATTEST

CITY OF CARPINTERIA

By: _____
City Clerk: Fidela Garcia

By: _____
Mayor: Bradley Stein

Approved as to Form:

By: _____
Peter Brown
City Attorney

EXHIBIT A

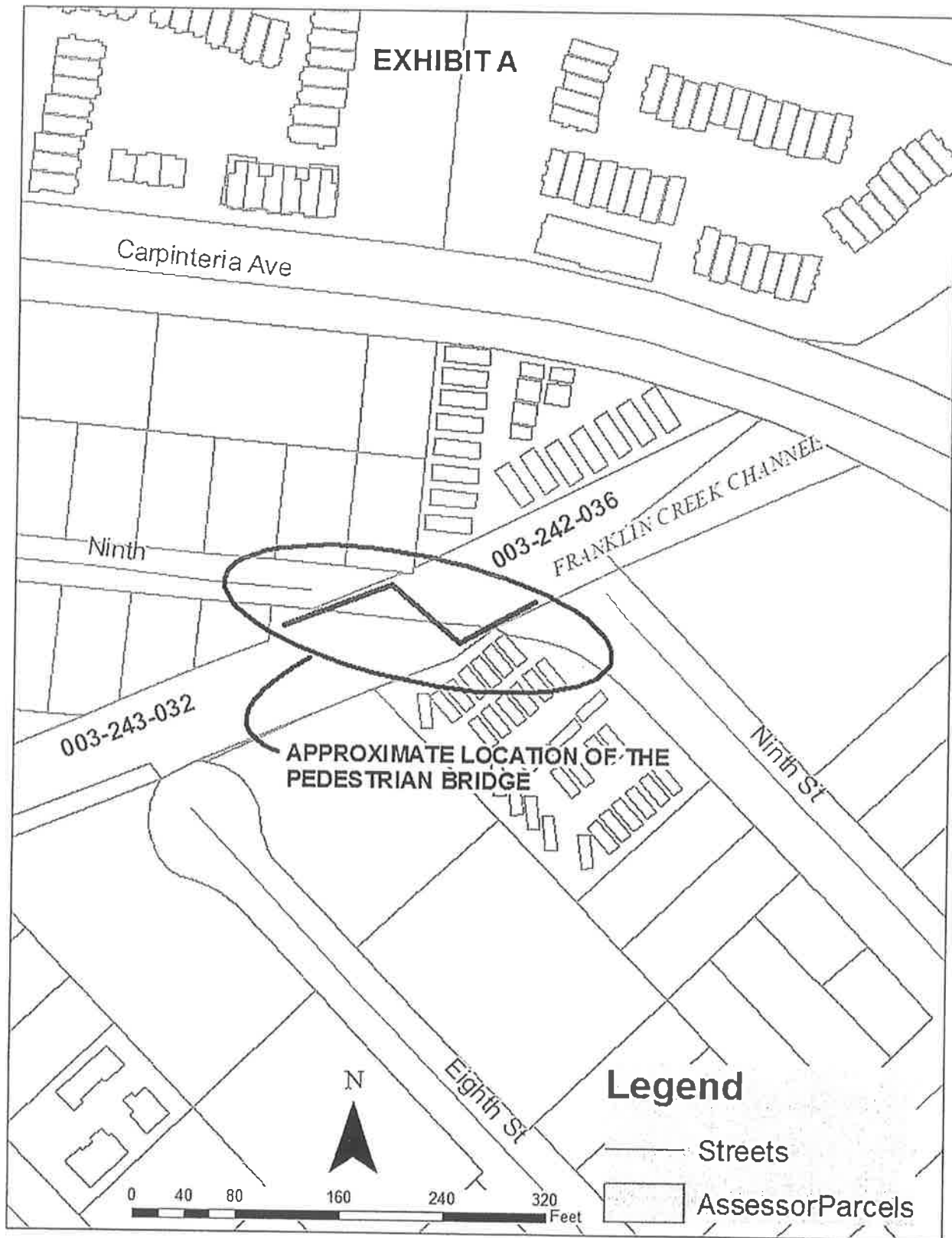


EXHIBIT B

"A POLICY FOR SECONDARY USES OF FLOOD CONTROL AND WATER CONSERVATION FACILITIES FOR BIKEWAY AND RECREATION PURPOSES" BY THE BOARD OF DIRECTORS OF THE SANTA BARBARA COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT

In order to maximize the public benefit and public convenience, it is the policy of the Santa Barbara County Flood Control District that the secondary use of Flood Control property for recreational and trail purposes shall be encouraged. To this end, the District may license or permit the use of a right-of-way for development and use for bicycle, hiking and riding trails, and other park and recreation purposes, under the following criteria and conditions:

1. A public agency empowered to engage in and finance the proposed park and/or recreation use shall enter into a formal written agreement with the District regarding such use. The District reserves the right to deny such use at its sole discretion. The public agency shall pay all District costs for developing such agreement.
2. The public agency's use shall not conflict with the District's use of its rights-of-way, which is primarily for flood control purposes. All other uses shall be subordinate to flood control purposes. The public agency shall compensate the District for any increased costs and/or decreases in revenues associated with any granted secondary uses.
3. Joint use of District fee property and/or right-of-way are limited to those uses and locations that are compatible with District operation and maintenance activities.
4. The cost of any improvements and associated maintenance necessary to accommodate the public agency's use shall be borne by that agency. Such improvements and maintenance activities shall be designed and carried out in accordance with District standards subject to District approval. Such maintenance activities shall include any litter and graffiti abatement.
5. The public agency shall establish rules and regulations for public use of the District's rights-of-way. Such rules and regulations shall be subject to District's approval.
6. Where the District's interest in its right-of-way is less than fee ownership, the public agency shall secure written permission from the fee owner for its intended use.
7. The public agency shall secure all other required permits for the intended use from any other agencies having jurisdiction. Any and all conditions of such permits which may affect the District's property shall be approved by the District.
8. Any changes to District facilities necessary to accommodate the public agency's use shall be made, and any damage to District facilities arising from the public agency's

EXHIBIT B

use shall be repaired forthwith, at the public agency's sole expense.

9. The District shall not be liable for any damage to improvements made by the public agency which arise from the District's use of its rights-of-way for flood control purposes, including changes which the District may make to its facilities.

10. The District shall not be liable for any injury or damage to persons or property arising out of the use of its rights-of-way for park and recreation purposes.

11. The public agency shall defend, indemnify and hold harmless the District against any and all claims or lawsuits arising out of or connected with the use of the District's rights-of-way for park, recreation, or other uses. Such indemnification language in the agreement shall be in a form acceptable to County Counsel and Risk Management.

12. All proposed uses are subject to approval by the District and must be compatible with the use and maintenance needs of the District.

13. Recreational facilities planned along a flood control facility either constructed by, or in cooperation with a federal agency, must receive approval from the federal agency in addition to the District. Federal requirements may specify whether a license or permit will be issued.

14. Only approved uses will be granted a license or permit for the use. The District reserves the right to suspend or revoke a license or permit if, in the District's sole opinion, the permitted activities become incompatible with District activities or if the interests of the District should so require.

Exhibit C

STANDARD INDEMNIFICATION AND INSURANCE PROVISIONS for contracts REQUIRING professional liability insurance

INDEMNIFICATION

Indemnification pertaining to other than Design Professional Services:

CONTRACTOR shall defend, indemnify and save harmless the COUNTY, its officers, agents and employees from any and all claims, demands, damages, costs, expenses (including attorney's fees), judgments or liabilities arising out of this Agreement or occasioned by the performance or attempted performance of the provisions hereof; including, but not limited to, any act or omission to act on the part of the CONTRACTOR or his agents or employees or other independent contractors directly responsible to him; except those claims, demands, damages, costs, expenses (including attorney's fees), judgments or liabilities resulting from the sole negligence or willful misconduct of the COUNTY.

CONTRACTOR shall notify the COUNTY immediately in the event of any accident or injury arising out of or in connection with this Agreement.

Indemnification pertaining to Design Professional Services:

CONTRACTOR shall defend, indemnify, and hold COUNTY, its officers, employees, and agents harmless from and against any and all claims, demands, damages, costs, expenses (including attorney's fees), judgments or liabilities that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct on the part of the CONTRACTOR or his agents or employees or other independent contractors directly responsible to him to the fullest extent allowable by law.

CONTRACTOR shall notify the COUNTY immediately in the event of any accident or injury arising out of or in connection with this Agreement.

INSURANCE

Without limiting the CONTRACTOR's indemnification of the COUNTY, CONTRACTOR shall procure the following required insurance coverages at its sole cost and expense. All insurance coverages are to be placed with insurers which (1) have a Best's rating of no less than A: VII, and (2) are admitted insurance companies in the State of California. All other insurers require the prior approval of the COUNTY. Such insurance coverage shall be maintained during the term of this Agreement. Failure to comply with the insurance requirements shall place CONTRACTOR in default. Upon request by the COUNTY, CONTRACTOR shall provide a certified copy of any insurance policy to the COUNTY within ten (10) working days.

1. Workers' Compensation Insurance: Statutory Workers' Compensation and Employers Liability Insurance shall cover all CONTRACTOR's staff while performing any work incidental to the performance of this Agreement. The policy shall provide that no cancellation, or expiration or reduction of coverage shall be effective or occur until at least thirty (30) days after receipt of such notice by the COUNTY. In the event CONTRACTOR is self-insured, it shall furnish a copy of Certificate of Consent to Self-Insure issued by the Department of Industrial Relations for the State of California. This provision does not apply if CONTRACTOR has no employees as defined in Labor Code Section 3350 et seq. during the entire period of this Agreement and CONTRACTOR submits a written statement to the COUNTY stating that fact.
2. General and Automobile Liability Insurance: The general liability insurance shall include bodily injury, property damage and personal injury liability coverage, shall afford coverage for all premises, operations, products and completed operations of CONTRACTOR and shall include contractual liability coverage sufficiently broad so as to include the insurable liability assumed by the CONTRACTOR in the indemnity and hold harmless provisions [above] of the Indemnification Section of this Agreement between COUNTY and CONTRACTOR. The automobile liability insurance shall cover all owned, non-

Exhibit C

STANDARD INDEMNIFICATION AND INSURANCE PROVISIONS for contracts REQUIRING professional liability insurance

owned and hired motor vehicles that are operated on behalf of CONTRACTOR pursuant to CONTRACTOR's activities hereunder. CONTRACTORS shall require all subcontractors to be included under its policies or furnish separate certificates and endorsements to meet the standards of these provisions by each subcontractor. COUNTY, its officers, agents, and employees shall be Additional Insured status on any policy. A cross liability clause, or equivalent wording, stating that coverage will apply separately to each named or additional insured as if separate policies had been issued to each shall be included in the policies. A copy of the endorsement evidencing that the policy has been changed to reflect the Additional Insured status must be attached to the certificate of insurance. The limit of liability of said policy or policies for general and automobile liability insurance shall not be less than \$1,000,000 per occurrence and \$2,000,000 in the aggregate. Any deductible or Self-Insured Retention (SIR) over \$10,000 requires approval by the COUNTY.

Said policy or policies shall include a severability of interest or cross liability clause or equivalent wording. Said policy or policies shall contain a provision of the following form:

"Such insurance as is afforded by this policy shall be primary and if the COUNTY has other valid and collectible insurance, that other insurance shall be excess and non-contributory."

If the policy providing liability coverage is on a 'claims-made' form, the CONTRACTOR is required to maintain such coverage for a minimum of three years following completion of the performance or attempted performance of the provisions of this agreement. Said policy or policies shall provide that the COUNTY shall be given thirty (30) days written notice prior to cancellation or expiration of the policy or reduction in coverage.

3. Professional Liability Insurance. Professional liability insurance shall include coverage for the activities of CONTRACTOR's professional staff with a combined single limit of not less than \$1,000,000 per occurrence or claim and \$2,000,000 in the aggregate. Said policy or policies shall provide that COUNTY shall be given thirty (30) days written notice prior to cancellation, expiration of the policy, or reduction in coverage. If the policy providing professional liability coverage is a on 'claims-made' form, the CONTRACTOR is required to maintain such coverage for a minimum of three (3) years (ten years [10] for Construction Defect Claims) following completion of the performance or attempted performance of the provisions of this agreement.

CONTRACTOR shall submit to the office of the designated COUNTY representative certificate(s) of insurance documenting the required insurance as specified above prior to this Agreement becoming effective. COUNTY shall maintain current certificate(s) of insurance at all times in the office of the designated County representative as a condition precedent to any payment under this Agreement. Approval of insurance by COUNTY or acceptance of the certificate of insurance by COUNTY shall not relieve or decrease the extent to which the CONTRACTOR may be held responsible for payment of damages resulting from CONTRACTOR'S services of operation pursuant to the contract, nor shall it be deemed a waiver of COUNTY'S rights to insurance coverage hereunder.

In the event the CONTRACTOR is not able to comply with the COUNTY'S insurance requirements, COUNTY may, at their sole discretion and at the CONTRACTOR'S expense, provide compliant coverage.

The above insurance requirements are subject to periodic review by the COUNTY. The COUNTY's Risk Program Administrator is authorized to change the above insurance requirements, with the concurrence of County Counsel, to include additional types of insurance coverage or higher coverage limits, provided that such change is reasonable based on changed risk of loss or in light of past claims against the COUNTY or inflation. This option may be exercised during any amendment of this Agreement that results in an increase in the nature of COUNTY's risk and such change of provisions will be in effect for the term of the amended Agreement. Such change pertaining to types of insurance coverage or higher coverage limits must be made by written amendment to this Agreement. CONTRACTOR agrees to execute any such amendment within thirty (30) days of acceptance of the amendment or modification.

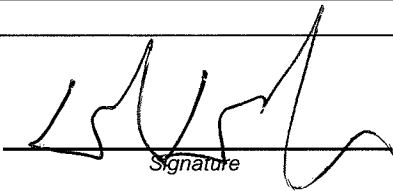


STAFF REPORT
CITY COUNCIL MEETING
April 28, 2014

ITEM FOR COUNCIL CONSIDERATION:

Response to the 2013-14 Santa Barbara County Grand Jury report, "What is 2-1-1? Will It Survive in Santa Barbara County?"

City Manager


Signature

ACTION ITEM X ; NON-ACTION ITEM ____

STAFF RECOMMENDATION: Approve a letter for the Mayor's signature that responds to the subject Santa Barbara Grand Jury report.

MOTION: I move to approve the subject letter for the Mayor's signature and transmittal.

I. BACKGROUND:

The 2013-14 Grand Jury of Santa Barbara County has issued a report titled, "What is 2-1-1? Will It Survive in Santa Barbara County?" The Report (attached) includes findings and a recommendation for which the Grand Jury has required a response from the County of Santa Barbara, which operates the 211 system under contract, and each city in the County.

Similar to the 411 and 911 telephone numbers, the 211 system is a telephone number made available nationwide by the Federal Communications Commission for health and human services information and referrals. The 211 system was launched in Santa Barbara County in 2005 and was operated by the Family Services Agency until 2013, when the call center was closed due to a lack of funding.¹ The County of Santa Barbara has since taken over the operation of the system on an interim basis and has approached city officials informally in order to inquire about the possibility of cost sharing among the county's local government agencies. Staff understands that the County Board of Supervisors, at its meeting of April 1, 2014, approved making a formal letter request for cities in the County to contribute to the operational

¹ It appears from the Grand Jury Report and information in the County's April 1, 2013 report to the BOS that the primary funders of the 211 system were the county and city of Santa Barbara and several non-profit agencies; however, it is unclear how funding became insufficient to continue the service.

cost of the 211 system. Once that request is received, the matter can be scheduled for consideration on a subsequent City Council agenda.

Staff is requesting at this time that the City Council approve the proposed written response to the Grand Jury Report.

II. ANALYSIS:

The Grand Jury report states that "The 2-1-1 Helpline Program serves the residents of Santa Barbara County by providing referrals to necessary human services and resources". Small cities in California, such as Carpinteria, generally do not provide health and human services but, rather, rely on their respective counties to provide them. The Grand Jury report concludes that because the 211 system serves constituents within cities that cities should participate in funding the program. This logic could apply to almost any service provided by the county and does not seem to be a good basis, by itself, for determining whether or not the City should provide funding to support this particular county service.

In general county services, such as those provided by the Alcohol, Drug and Mental Health Department, are available to all county residents including those within cities. Cities may elect to supplement county services in certain instances. For example, the City of Carpinteria supports a variety of local services for youth and socio-economically disadvantaged persons through its Community Services Support program.

As mentioned in the Background section of this report, it is expected that the Carpinteria City Council, along with other cities in the county will receive a request from the Board of Supervisors to financially support the 2-1-1 system. A table (attached) prepared by county staff and presented to the Board, illustrates how costs could be allocated to cities electing to participate. With regard to a response to the Grand Jury recommendation that the City of Carpinteria participate in cost sharing for the 211 service, staff finds that it is premature to make any such commitment and suggests that the matter be considered in response to a specific request by the county and when sufficient information is available.

According to standard Grand Jury response procedures; as to each finding the City of Carpinteria must indicate whether or not it agrees with the finding and if it does not agree, does it disagree in whole or in part and the explanation of the reasons for disagreement. As to each recommendation, the City of Carpinteria must report whether or not the recommendation has been implemented and if not, the time frame for implementation or the explanation as to the reason why it will not be implemented.

The following is the proposed response to each Finding and Recommendation included in the attached draft letter.

Finding 1: The Santa Barbara County 2-1-1 Helpline is a program that provides valuable service to the residents of the county.

Response: *The City of Carpinteria agrees with the finding.*

Finding 3: There is insufficient funding to continue operating the Santa Barbara County 2-1-1 Helpline.

Response: *The City of Carpinteria cannot agree with the finding since it does not have information necessary to determine whether or not funding is sufficient.*

Finding 4: The cities of Santa Maria, Solvang, Buellton, Goleta, Carpinteria, and Guadalupe do not contribute financially to the Santa Barbara County 2-1-1 Helpline Program.

Response: *With respect to the City of Carpinteria, the City agrees with this finding.*

Recommendation 3: That the county, the cities, and other entities benefitting from the services provided by the Santa Barbara County 2-1-1 Helpline Program contribute funding in proportionate amounts as proposed by county staff in its December 2013 recommendations to the Board of Supervisors.

Response: *The City has not implemented this recommendation. The City of Carpinteria understands that the County of Santa Barbara will be requesting that Carpinteria along with other cities in the county provide financial support for the 2-1-1- Helpline system and will consider such a request at the appropriate time.*

III. FINANCIAL CONSIDERATIONS:

This response to the Grand Jury report does not directly involve any financial considerations.

IV. LEGAL ISSUES:

The City is required by law, California Penal Code §933(c), to provide comments in response to the Grand Jury Report within 90 days of the date of receipt, i.e., prior to May 12, 2014.

V. ALTERNATIVE:

Direct staff to amend the draft response.

VI. PRINCIPAL PARTIES EXPECTED AT MEETING:

None

VII. ATTACHMENTS:

- A. Draft Response Letter
- B. Grand Jury Report, February 10, 2014
- C. County of Santa Barbara Board Presentation, April 1, 2014

ATTACHMENT A

CITY of CARPINTERIA, CALIFORNIA



April 29, 2014

Members of the City Council

Honorable Arthur Garcia
Santa Maria Juvenile Court
4263 California Blvd
Santa Maria, CA 93455

J. Bradley Stein - Mayor
Gregg Carty - Vice Mayor
Al Clark
Wade Nomura
Fred Shaw

Santa Barbara County Grand Jury
1100 Anacapa Street
Santa Barbara, CA 93101

Dear Judge Garcia/Santa Barbara County Grand Jury:

I am writing in response to the Grand Jury report entitled, "*What is 2-1-1? Will it Survive in Santa Barbara County?*" The City of Carpinteria City Council considered the Report at its regular meeting of April 28, 2014, and the proceedings can be viewed through the City's website at www.carpinteria.ca.us.

The City Council authorized the following responses to the request from the Grand Jury for responses to certain findings and one recommendation of the Report:

Finding 1: The Santa Barbara County 2-1-1 Helpline is a program that provides valuable service to the residents of the county.

Response: *The City of Carpinteria agrees with the finding.*

Finding 3: There is insufficient funding to continue operating the Santa Barbara County 2-1-1 Helpline.

Response: *The City of Carpinteria cannot agree with the finding since it does not have information necessary to determine whether or not funding is sufficient.*

Finding 4: The cities of Santa Maria, Solvang, Buellton, Goleta, Carpinteria, and Guadalupe do not contribute financially to the Santa Barbara County 2-1-1 Helpline Program.

Honorable Arthur Garcia/Santa Barbara County Grand Jury
April 29, 2014
Page two

Response: *With respect to the City of Carpinteria, the City of Carpinteria agrees with this finding.*

Recommendation 3: That the county, the cities, and other entities benefitting from the services provided by the Santa Barbara County 2-1-1 Helpline Program contribute funding in proportionate amounts as proposed by county staff in its December 2013 recommendations to the Board of Supervisors.

Response: *The City has not implemented this recommendation. The City of Carpinteria understands that the County of Santa Barbara will be requesting that Carpinteria, along with other cities in the county, provide financial support for the 2-1-1- Helpline system and will consider such a request at the appropriate time.*

Should you or the Grand Jury wish to discuss this matter further, please feel free to contact me or City Manager Dave Durflinger.

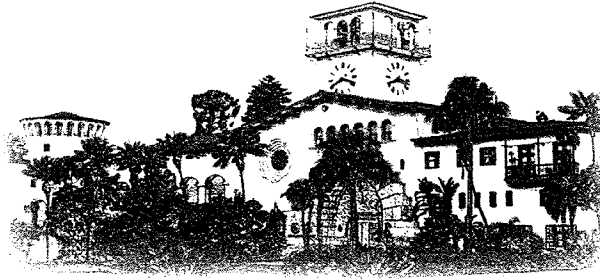
Sincerely,

J. Bradley Stein
Mayor

ATTACHMENT B

MAILING ADDRESS:

COUNTY COURTHOUSE
1100 ANACAPA STREET
SANTA BARBARA, CA 93101



PHONE: (805) 568-2291

FAX: (805) 568-3301

EMAIL: SBCGJ@SBCGJ.ORG

HTTP://WWW.SBCGJ.ORG

GRAND JURY
SANTA BARBARA COUNTY

RECEIVED
CITY OF CARPINTERIA

February 10, 2014

FEB 10 2014

FIDELA GARCIA
CITY CLERK

City Council
City of Carpinteria
5775 Carpinteria Ave.
Carpinteria, CA 93013

Dear Council Members:

On behalf of the 2013-14 Santa Barbara County Grand Jury, I am enclosing a copy of our report, entitled, *What is 2-1-1? Will It Survive in Santa Barbara County?* for your review and response.

The full Grand Jury, County Counsel and the Presiding Judge, Arthur Garcia, have approved this report. The pertinent sections of the Standard Penal Code for the State of California require the following:

- You are receiving this report two working days prior to its release to the public, You shall not disclose this report prior to its public release (California Penal Code Section 933.05(f).
- You must respond to each recommendation in this report.
- You must submit your original response to Judge Arthur Garcia.
- You must also submit a printed copy, as well as a copy on a CD-ROM disk in MS Word or PDF format, of your response to the current impaneled Grand Jury.
- If you are an elected county officer, agency head, or city mayor, the response time is not later than 60 days from the date of receipt of our report.

Your response will be posted on the Grand Jury website and may be included in our official published response report. Please send your response to:

The Honorable Arthur Garcia
Santa Maria Juvenile Court
4263 California Blvd
Santa Maria, CA 93455

and

Santa Barbara County Grand Jury
1100 Anacapa Street
Santa Barbara, CA 93101

Respectfully yours,

A handwritten signature in black ink, appearing to read "Ted Sten". The signature is fluid and cursive, with the first name "Ted" and last name "Sten" clearly distinguishable.

Ted Sten
Foreman
2013-14 Santa Barbara County Grand Jury

WHAT IS 2-1-1?

WILL IT SURVIVE IN SANTA BARBARA COUNTY?

SUMMARY

In spite of funding and management obstacles, the 2-1-1 Helpline Program has proven itself to be a vital community resource for Santa Barbara County. 2-1-1 is an easy telephone number to remember that connects people with important services and volunteer opportunities.

The future of the Santa Barbara County 2-1-1 Helpline is now in question. To continue operating, the program requires a lead organization to assume the responsibilities of administration, management, and development of additional funding. The program database is not current and must be updated. The County of Santa Barbara, several non-profit organizations, and two of eight cities in the county have contributed money to the 2-1-1 program to help keep it running. The Santa Barbara County Board of Supervisors will consider a proposal to provide one-time funding to complete the database update. The 2013-14 Santa Barbara County Grand Jury recommends that the Board of Supervisors approve the funding to update this critical database.

BACKGROUND

The 2-1-1 Program originated in Atlanta, Georgia in 1997 and it has become a significant referral program in communities across the United States and Canada. In 2012, 2-1-1 services in the United States answered more than 15.8 million calls. Currently, ninety-two percent of California's population has access to 2-1-1.¹

Every hour of every day, hundreds of people need essential human services - they are looking for training, employment, food pantries, help for an aging parent, addiction prevention programs for their teenage children, affordable housing options, support groups and ways of becoming part of their community. 2-1-1 allows people to give help and to get help.²

From early 2005 until March 31, 2013 the 2-1-1 Helpline Program in Santa Barbara County was managed by the Family Service Agency (FSA) of Santa Barbara. According to FSA statistics in 2011, there were 15,000 telephone calls to 2-1-1 and 45,000 hits to the 2-1-1 website.

Due to a lack of funding, FSA ceased the administration of 2-1-1 on March 31, 2013. The Santa Barbara County Executive Office then assumed management of the program on an interim basis.

Santa Barbara County's 2-1-1 calls have been continuously handled, under a contract, by a call center operated by Interface Children and Family Services (Interface), a non-profit organization

¹ <http://www.211us.org/> (last visited February 6, 2014)

² *Ibid*

WHAT IS 2-1-1?

located in Ventura County. Interface administers and operates the 2-1-1 for Ventura County and contracts with several counties throughout California to provide the 2-1-1 call center service. Interface is willing to continue to operate the call center as a contractor of services; however, they will not take on the full operation for Santa Barbara County.

One of the most significant problems facing the Santa Barbara County program is that the database, which needs to provide current resources for callers, is several years out-of-date.

METHODOLOGY

The Grand Jury obtained information through interviews, written and digital communications with the FSA, Interface, the Santa Barbara County Executive Office, Board of Supervisors staff, and the internet.

OBSERVATIONS AND ANALYSIS

The 2-1-1 Helpline Program serves the residents of Santa Barbara County by providing referrals to necessary human services and resources. The majority of calls to 2-1-1 from July 2012 to February 2013 were from the cities of Santa Barbara, Santa Maria, Lompoc, and Goleta. Services requested were primarily for food, shelter, and mental health. Additional calls concerned income support, family life, health care, and criminal justice needs.

The 2-1-1 program must have a local organization to assume administration and management in order to be effective. The program needs not only a local lead agency, but also a full-time program director to manage the database, promote program awareness through public outreach, and conduct fund raising. The total budget for operating the service is \$189,714 for the current fiscal year.³

Funds for the 2-1-1 Helpline Program are provided by Santa Barbara County Human Services, City of Santa Barbara, Santa Barbara County Alcohol and Drug Program, Info Line of San Diego County, United Way of Santa Barbara, First 5 of Santa Barbara County, a CalFresh grant, and the City of Lompoc.

One of the most significant problems facing the Santa Barbara County program is the out-of-date database. On March 4, 2014, the Santa Barbara County Board of Supervisors is scheduled to consider one-time additional funding of \$30,000 for the database upgrade in order to maintain the viability of this critical program.

The 2-1-1 Helpline Program also works with the Santa Barbara County Emergency Operations Center (EOC) during emergencies and disasters. The EOC coordinates with 2-1-1 to provide information to the public regarding exit routes, shelter areas, and other useful information. For

³ Santa Barbara County Board of Supervisors agenda item 13-00883 December 3, 2013
<https://santabarbara.legistar.com/View.ashx?M=F&ID=2898807&GUID=82C4BC09-BAEF-4730-BB65-34DD3FED3016> (last visited February 6, 2014)

WHAT IS 2-1-1?

example, the EOC and 2-1-1 worked together during the Tea Fire and the Jesusita Fire to assist in providing information to the public.

CONCLUSION

Maintaining the 2-1-1 Helpline Program will preserve a valuable resource for all residents of Santa Barbara County. The beneficiaries of the services from the 2-1-1 Helpline Program are the residents of every city and every area of the county. The 2013-14 Santa Barbara County Grand Jury urges the six cities in the county that do not contribute to join with the county, and the Cities of Santa Barbara and Lompoc in contributing financially to make the 2-1-1 program work. Furthermore, the Grand Jury encourages the Board of Supervisors to fund the database update so that the citizens of Santa Barbara County will not be deprived of this vital resource.

FINDINGS AND RECOMMENDATIONS

Finding 1

The Santa Barbara County 2-1-1 Helpline is a program that provides a valuable service to the residents of the county.

Finding 2

The Santa Barbara County 2-1-1 Helpline Program database of community resources is out-of-date and in critical need of updating.

Finding 3

There is insufficient funding to continue operating the Santa Barbara County 2-1-1 Helpline Program.

Finding 4

The cities of Santa Maria, Solvang, Buellton, Goleta, Carpinteria, and Guadalupe do not contribute financially to the Santa Barbara County 2-1-1 Helpline Program.

Finding 5

The County of Santa Barbara is only temporarily administering the Santa Barbara County 2-1-1 Program.

Recommendation 1

That the Santa Barbara County Board of Supervisors allocate the requested funds to update the Santa Barbara County 2-1-1 Helpline Program resource database.

Recommendation 2

That the Santa Barbara County Board of Supervisors identifies a local agency or organization qualified to assume the administrative and managerial responsibilities of the Santa Barbara County 2-1-1 Helpline Program.

Recommendation 3

That the county, the cities, and other entities benefitting from the services provided by the Santa Barbara County 2-1-1 Helpline Program contribute funding in proportionate amounts as proposed by county staff in its December 2013 recommendations to the Board of Supervisors.

Recommendation 4

That the County of Santa Barbara Board of Supervisors annually review the performance of the 2-1-1 Helpline Program to justify its continued funding.

REQUEST FOR RESPONSE

Pursuant to California Penal Code Section 933 and 933.05, the Jury requests each entity or individual named below to respond to the enumerated findings and recommendations within the specified statutory time limit:

Santa Barbara County Board of Supervisors – 90 Days

Findings 1, 2, 3, and 5

Recommendations 1, 2, 3, and 4

City of Santa Maria – 90 Days

Findings 1, 3, and 4

Recommendation 3

City of Solvang – 90 Days

Findings 1, 3, and 4

Recommendation 3

City of Buellton – 90 Days

Findings 1, 3, and 4

Recommendation 3

City of Goleta – 90 Days

Findings 1, 3, and 4

Recommendation 3

City of Carpinteria - 90 Days

Findings 1, 3, and 4

Recommendation 3

City of Santa Barbara – 90 Days

Findings 1, 3, and 4

Recommendation 3

City of Lompoc – 90 Days

Findings 1, 3, and 4

Recommendation 3

City of Guadalupe – 90 Days

Findings 1, 3, and 4

Recommendation 3

ATTACHMENT C



211 Helpline Service in Santa Barbara County

Presentation to the Board of Supervisors

April 1, 2014

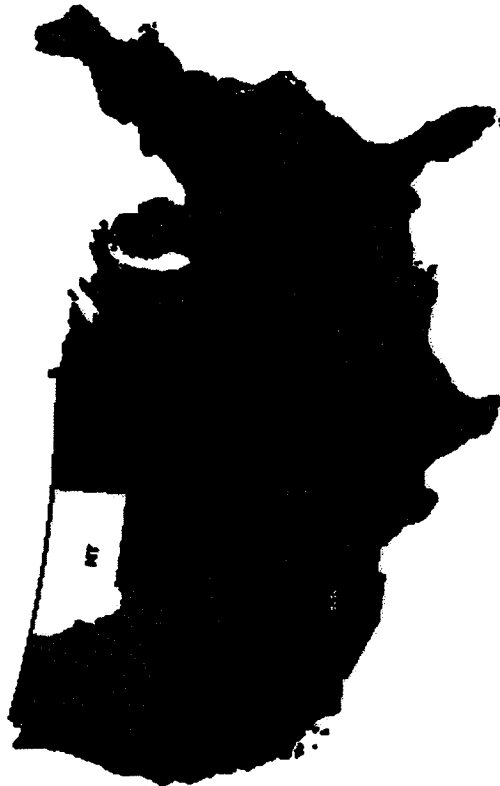
211 United States - History

Year	Events
1997-	First 2-1-1 established in Atlanta, GA
1998	Discussions about establishing 2-1-1 in California begin
2000	- Federal Communications Commission assigns 211 for Health and Human Services "We find that the Information and Referral Petitioners have demonstrated sufficient public benefits to justify use of a scarce public resource and therefore assign 211 to be used for access to community information and referral services."
2001-	2-1-1 CA Steering Committee and stakeholder meetings
2003:	2003 CPUC adopts standards for 2-1-1 implementation - CPUC Ruling: "The use of the 211 dialing code has the potential to provide Californians with easy access to information concerning child care services, housing assistance, physical and mental health resources, aging and hospice services, educational and other programs. Such information is not currently available through the 9-1-1 emergency code or a 311 police non-emergency code."
2005:	- Population Covered was 2% on February 11 & Ventura County launches 2-1-1 - Population Covered was 53% on July 1 - Five more counties launch 2-1-1 (Los Angeles, San Diego, Orange, Riverside and Santa Barbara), reaching more than 18 million people
2012	- Population Covered 90% - Additional counties now provide 2-1-1 service - In addition to the above, counties with 2-1-1 service include: Alameda, Contra Costa, Fresno, Kern, Marin, Napa, Sacramento, San Bernardino, San Francisco, San Luis

211 is a National Movement

211 is now accessible to 78% of the US population

% of Population Covered*
by 2-1-1 in each State

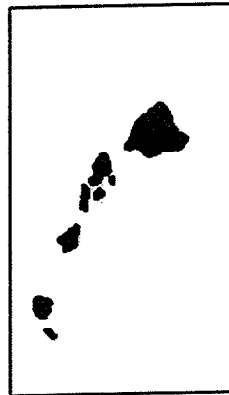


78% Overall Coverage

% 2-1-1 Coverage By State Group



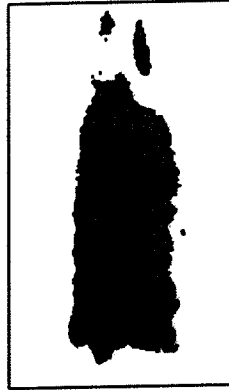
* Includes DC & Puerto Rico



Hawaii



Alaska



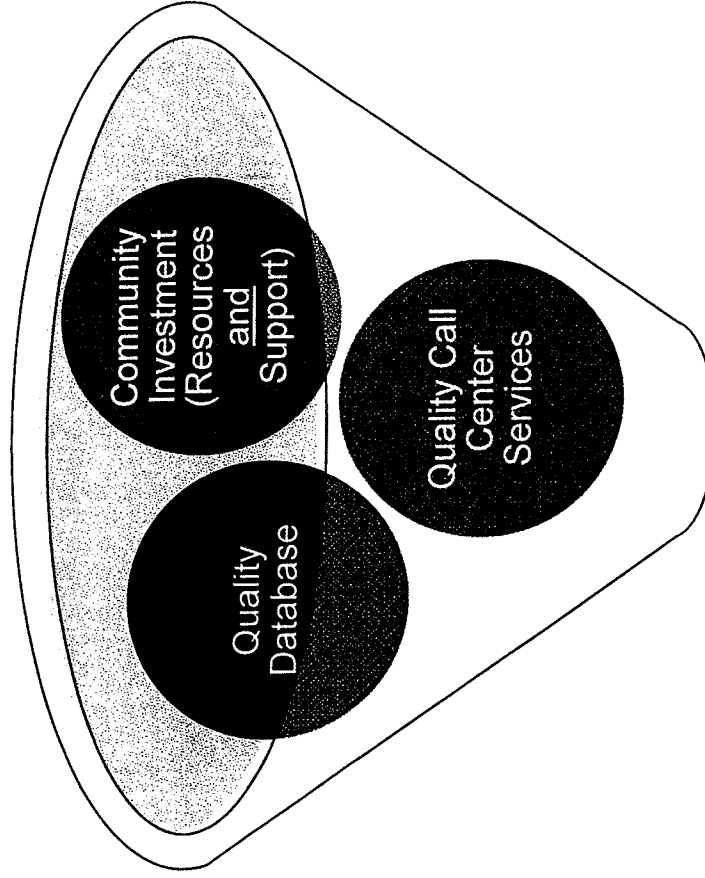
Puerto Rico

211 California

VISION

All Californians will have access to current community, health, and disaster information 24 hours a day through a free, easy-to-remember, telephone number.

Elements of 2-1-1 Program



Positioned As
Critical Entry Point
into Community
System

During Personal
Emergency &
Natural Disasters

211 Broad Benefits

Direct Service Programs and Providers

Have an outlet for providing information about changes in programs/eligibility and service availability

Service Agencies and Professionals

No longer pressured to search for information outside their service scope or to help clients who belong elsewhere

Specialized I&R's

Know calls requiring their expertise will get referred to them

Disaster Officials

Have a dissemination mechanism for critical up-to-the-minute public information

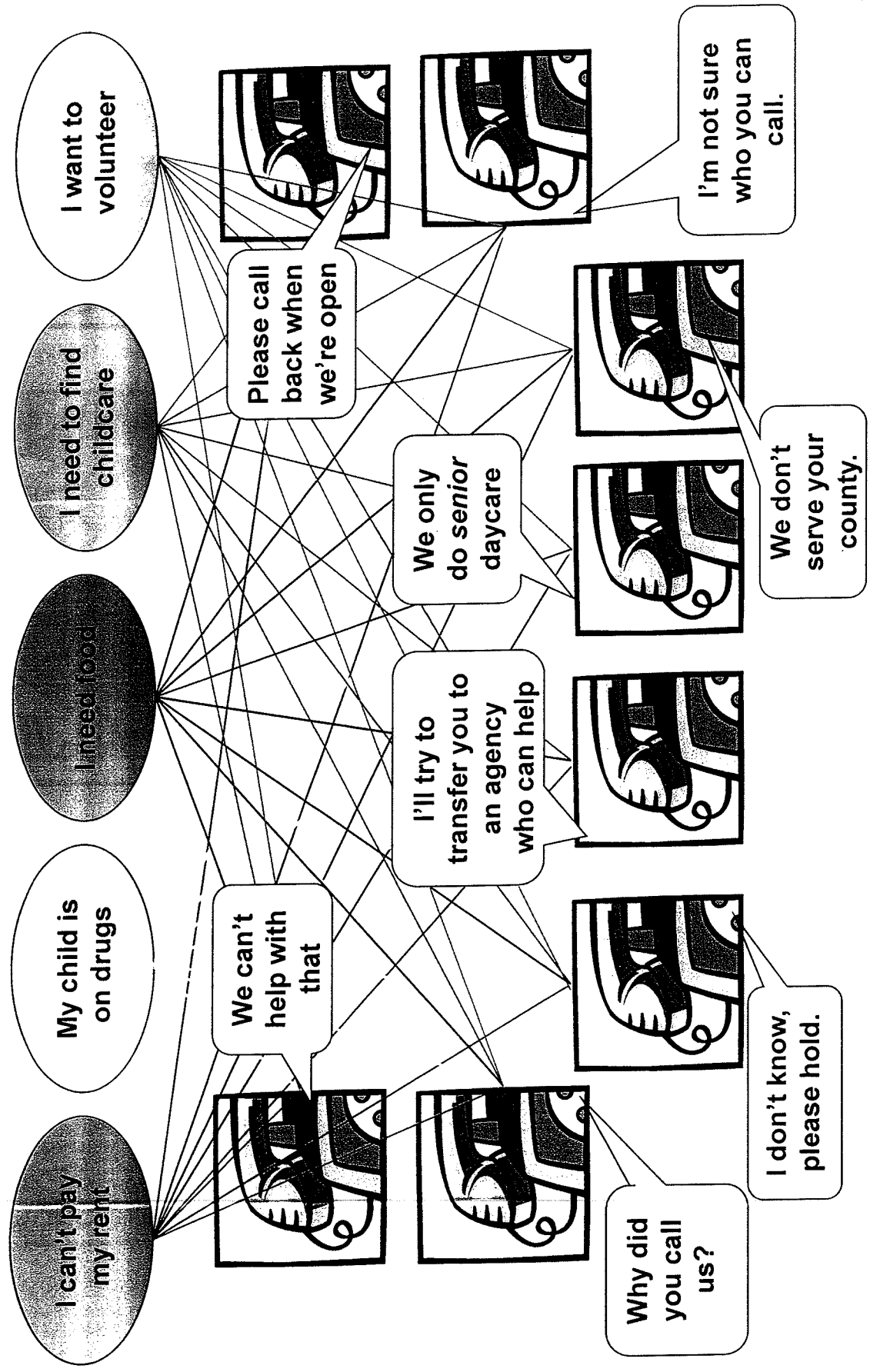
Law Enforcement

Decrease non-emergency calls

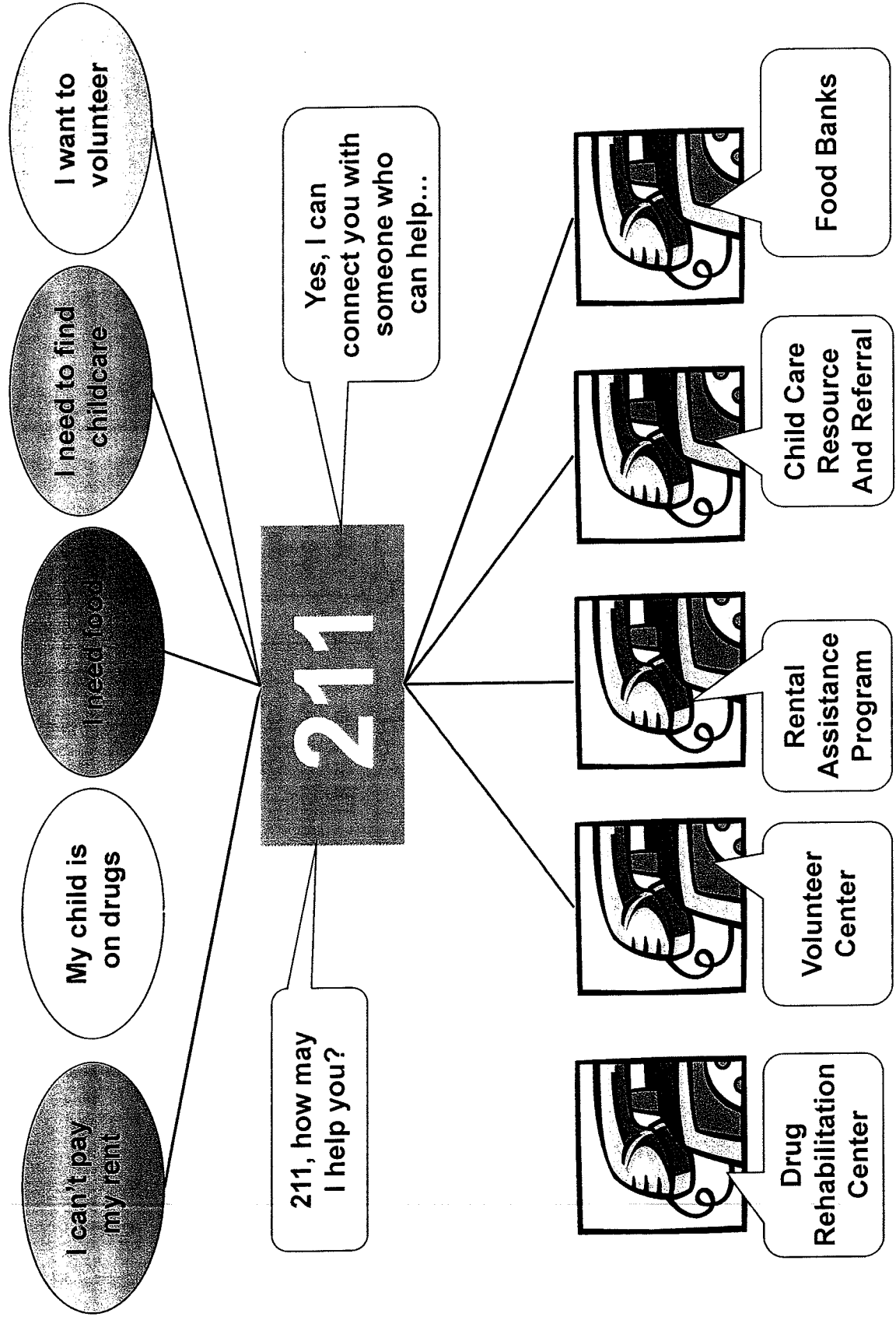
Public Officials

Have a place to refer constituents in need of help

Before 211, thousands of times a day...



After Implementation of 211



211 Role in Disasters

What 211 brings

How public agencies benefit

Easy to remember number

No need for multiple community information lines

Efficient and trusted referral resource

Research, verification and compilation of disaster related resources; information available to community partners

Support for first responders during emergencies and in post-disaster activities

No lost time for ramp up to set up special hotlines for disaster information

Public safety personnel are able to focus on emergency response duties

Public safety personnel can "hand off" non-emergency calls to 2-1-1

Data collection and analysis

Vital data collected and reported to assist in assessing community needs during and after events

211 Assists in Disaster Response & Recovery

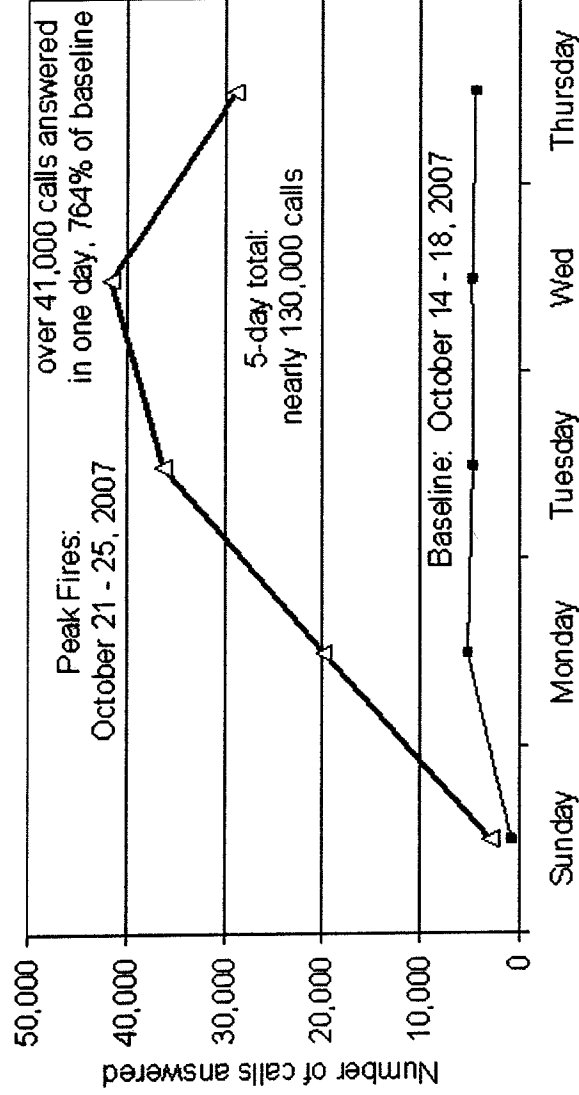
- Central non-emergency number for the public to call during and after disasters
- Up-to-date information for the public
- Communication link among community-based organizations (CBOs) responding to disasters
- Liaison between CBOs and public agencies charged with disaster response and recovery

211 and the 2007 California Wildfires

"The 2-1-1 services was an invaluable resource during the firestorm in that it freed up calls from 9-1-1. We could give information on repopulated neighborhoods to the 2-1-1 operators. We saw 911 calls diminish over time because of that."

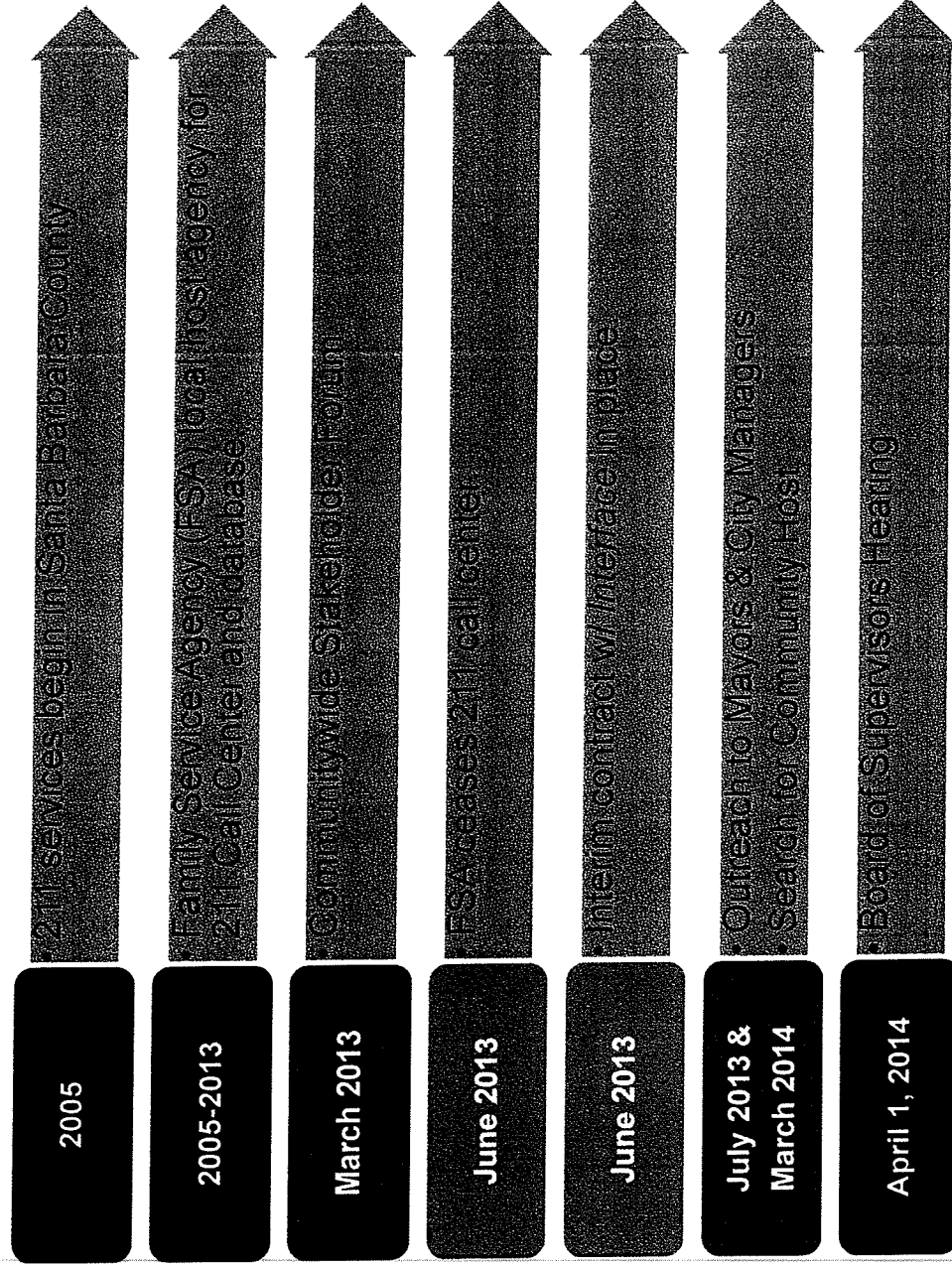
Bill Gore
Undersheriff, San Diego County

**California Wildfire 2-1-1 Response
Total # Calls Answered***



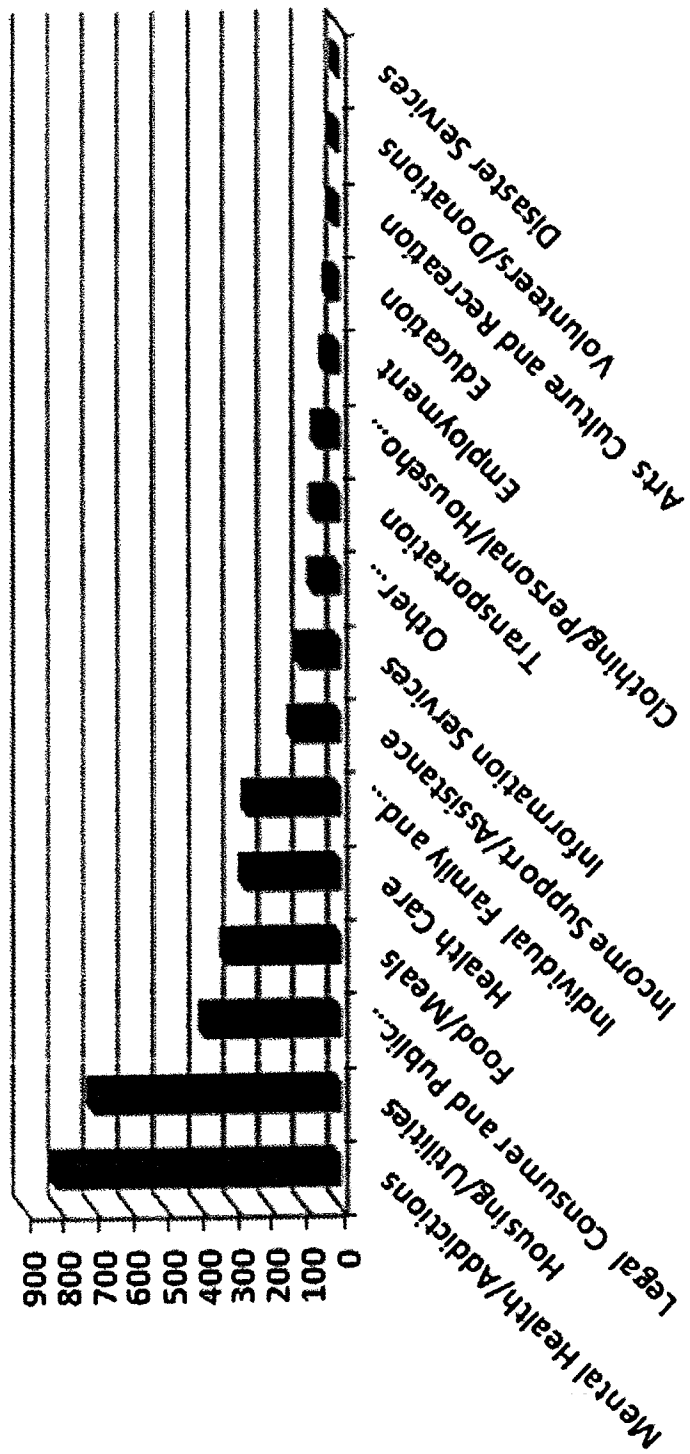
* Includes Los Angeles, Riverside, Orange, San Bernardino, San Diego, Ventura

Background: 211 Santa Barbara County



Caller Needs for 211 Program

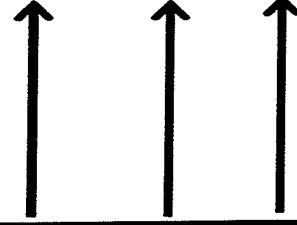
Service Area Need



Key Needs & Strategy/Opportunity

Key Needs

- Database
- Community Host
- Community Investment



Strategy & Opportunity

- One-time allocation from Board Of Supervisors
- Community Action Commission
- Partnership with Cities, Non-Profits & Providers

Recommended Budget for 211 Program

Revenue	
SB County Human Services	31,900
City of Santa Barbara Human Services	20,000
SB County Alcohol & Drug Program	13,400
Info Line of San Diego County	10,000
United Way of Santa Barbara	4,600
First 5 of SB County	30,000
Calfresh Grant (SB County Social Services)	10,800
City of Lompoc	2,000
SB County Social Services Funding	20,000
TOTAL REVENUE	\$142,700*

- Key Needs:
- Database Clean-up
 - Community Investment-Ongoing Funding
 - Community Host

\$30,000
Database Clean-up
(one-time expense)

Expenses	
TOTAL PERSONNEL	\$77,000
AIRS & 211 CA dues	3,000
Program Supplies	2,000
Telephone -- Five 9	12,700
Carol database updates	4,100
Mileage	500
advertising	3,000
Interface Call Center**	82,400
Database updates	5,000
TOTAL PROGRAM EXPENSES	\$189,700

(DEFICIT)	\$ (47,000)
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Funding Strategy

SB211 Calls by City & Population Funding Options

Regional Call Data	Current Contribution	Calls Jan - Mar	Calls Apr - Sep	Total Calls	% of Total Calls	Proposed %	Amount 53%CNTY/47%City Proposed	Population (427,267)	% of pop	Proposed \$/ % pop
Buellton	\$0	11	11	22	1%	1%	\$633	4,858	1%	\$1,532
Carpinteria	\$0	70	50	120	2%	2%	\$1,266	13,076	3%	\$4,122
Goleta	\$0	101	92	193	3%	3%	\$1,899	29,930	7%	\$9,436
Guadalupe	\$0	23	28	51	1%	1%	\$633	7,097	2%	\$2,237
Lompoc	\$2,000	329	348	677	11%	11%	\$6,964	42,854	10%	\$13,510
Santa Barbara	\$20,000	777	2358	3135	53%	53%	\$33,554	89,082	21%	\$28,084
Santa Maria	\$0	695	739	1434	24%	24%	\$15,194	100,199	23%	\$31,589
Solvang	\$0	16	12	28	1%	1%	\$633	5,281	1%	\$1,665
Cities Subtotal	\$22,000						\$63,309			\$92,175
SB County **	\$65,000	136	39	175	3%	--	\$71,391	134,890	32%	\$42,525
Other	\$55,000	0	90	90	0%	--	\$55,000			\$55,000
Total	\$142,000	2158	3767	5925	100%		\$189,700	427,267	100%	\$189,700
-										
-										
\$30,000 Database funding										
\$30,000										

Next Steps & Recommendations

- A. Receive report regarding the current status of the 211 Helpline Service in Santa Barbara County and;
- B. Provide direction to staff regarding the following options regarding 211 Helpline services including but not limited to appropriating \$30,000 from Contingency (9898) to General County Programs, General Administration Program (7500), for the purposes of 211 data base clean up, and;
 - 1) Direct staff to pursue purchase agreement with *Interface*, without returning to the Board of Supervisors, for database clean up services with in the amount of \$30,000, and;
 - 2) Direct staff to continue to work with cities to provide funding for 211 program based on per capita call data, and;
 - 3) Direct staff to work in partnership with the Community Action Commission of Santa Barbara County (CAC) to secure ongoing role as 211 local community host, and;
 - 4) Direct staff work in partnership with CAC to pursue additional grant and community funding for the 211 Program, or;
- C. Direct staff to suspend efforts regarding 211 Helpline Services and return to the Board of Supervisors on May 6, 2014 with plan to disband efforts and plan to return monies to funding agencies and;
- D. Determine that these activities are exempt from the California Environmental Quality Act review per CEQA Guideline Section 15061 (b) (3).

Thank you for your consideration.

