

City of Carpinteria
City Council Regular Meeting Agenda
Monday, January 27, 2014
Council Chamber, 5775 Carpinteria Avenue, Carpinteria

5:30 p.m. Regular Session

INFORMATION ABOUT CITY COUNCIL MEETINGS:

- The time at which an item on the agenda is scheduled to be heard is an estimate only. The City Council may consider and act on an agenda item in any order it deems appropriate. In addition, an item may be considered earlier or later than the Estimated Time, depending on the length of time which is devoted to other agenda items. All persons interested in an item listed on the agenda are advised to be present throughout the meeting to ensure that they are present when the item is called.
- The regular meeting will be broadcast live over Government Access Television Channel 21. The City Council meetings will be rebroadcast on Wednesdays at 8:00 p.m. and Saturdays at 5:00 p.m.
- Materials related to an Agenda item submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office at 5775 Carpinteria Avenue, Carpinteria, during normal business hours. Such documents are also available on the City of Carpinteria website at www.carpinteria.ca.us subject to staff's ability to post the documents before the meeting.
- Any written or printed material and/or exhibits presented at a City Council meeting or work session become the property of the City.
- Any information presented to the City Council by the public on any agenda item and during Public Comment using electronic media to be displayed on the overhead projector must be arranged with staff for review of materials in advance of the meeting and no later than close of business Wednesday prior to the Council meeting.
- As a courtesy to others and to avoid interference with the public address system, please refrain from using cell phones in the Council Chambers and please turn off all audible pagers and rings.
- If you wish to speak on a matter scheduled for consideration in a closed session, you will have an opportunity to address the City Council before the Council retires to its closed session deliberations.

**ESTIMATED
TIME:**

4:30 p.m.

CALL TO ORDER

ROLL CALL

PUBLIC COMMENT ON CLOSED SESSION

CLOSED SESSION

Conference with Real Property Negotiator(s) – Government Code Section 54956.8

Property: Assessor's Parcel No. 004-105-015. Property located on Linden at the railroad.

Negotiating Party/Property Owner: Uppo's Garden, LLC (Lessee) / City of Carpinteria (lessor)

Agency Negotiators: Dave Durflinger, City Manager; Matt Roberts, Parks and Recreation Director; Dylan Johnson, Deputy City Attorney and Peter Brown, City Attorney.

Under Negotiation: price and terms of payment.

TEMPORARY ADJOURNMENT TO CLOSED SESSION

5:30 p.m.

CALL BACK TO ORDER

CLOSED SESSION REPORT

5:31 p.m.

ROLL CALL

PLEDGE OF ALLEGIANCE

5:32 p.m.

INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS

5:33 p.m.

PUBLIC INFORMATION REPORTS AND ANNOUNCEMENTS

5:35 p.m.

CITY MANAGER'S REPORT

The City Manager will report to the City Council regarding various City and/or community events and/or matters of interest. There will be no City

Council discussion except to ask questions or refer matters to staff; and no action will be taken unless listed on a subsequent agenda.

5:40 p.m.

PRESENTATIONS BY CITIZENS/PUBLIC COMMENT

This is a time for public comments on matters not otherwise on the agenda but within the subject matter jurisdiction of the City Council. The Public Comment period is limited to 15 minutes and divided among those persons desiring to speak. No person shall speak longer than three minutes; however, the Mayor may establish shorter periods based on the time available and/or the number of persons waiting to speak. Persons wishing to speak on a specific item will be recognized at the time the agenda item is considered.

Please be aware that items on the consent calendar are considered to be routine and are normally enacted by one unanimous vote of the Council. If you wish to speak on a consent calendar item, or on a matter scheduled for consideration in a closed session at the end of the agenda, please do so during the Presentations by Citizens/Public Comment portion of the meeting.

The City and the City Council are not responsible for the content of statements made during the public comment period, or the factual accuracy of any such statements.

AGENDA MODIFICATIONS: The City Council may modify the regular agenda by tabling items, adding urgency items to the agenda, or changing the order in which items are considered. Changes to the Consent Calendar are considered at the time the Consent Calendar is considered.

5:55 p.m.

CONSENT CALENDAR (to be approved by roll call vote on one motion with all resolutions and ordinances as read by title only). Individual items may be removed and considered separately at the request of a Councilmember. Any item, which is removed from the Consent Calendar, will be added to the end of the agenda under Other Business.

1. Minutes of the regular meeting held January 13, 2014.
2. Expenditures for the period ending January 22, 2014.
3. Review of Quarterly Investment Results and Certifications Concerning Compliance with Investment Policy and Cash Flow Needs for the Next Six Months.

4. Ratification of Resolution No. 5499, entitled A Resolution of the City Commending and Congratulating Junior Carpenterian of the Year for 2013.
5. Ratification of Resolution No. 5496, entitled A Resolution of the Carpinteria City Council Commending Carpenterian of the Year for 2013.
6. Participation in a Regional Electric Vehicle Charging Station Grant Application (Central Coast Charge Ahead Project).

ADMINISTRATIVE MATTER:

5:57. P.M.

7. An amendment to the Carpinteria Municipal Code Reassigning the Change of Owner, Operator or Guarantee for Certain Oil and Gas Facilities Regulations, Currently Codified at Chapter 3.45, to Chapter 3.46.

Recommendation: Approve on first reading Ordinance No. 666, as read by title only, an amendment to the Carpinteria Municipal Code reassigning the Change of Owner, Operator or Guarantee for Certain Oil and Gas Facilities regulations, currently codified at Chapter 3.45, to Chapter 3.46 and set the date for second reading on the regular meeting of February 10, 2014.

Staff presenter: Fidela Garcia, City Clerk

- a. Staff Report
- b. Public Comment
- c. City Council Determination

PUBLIC HEARING: NONE

OTHER BUSINESS:

6:05. P.M.

8. 2013 Annual Integrated Pest Management Report

Recommendation: Receive report.

Staff presenter: Matt Roberts, Parks and Recreation Director

- a. Staff Report
- b. Public Comment
- c. City Council Determination

6:25. P.M. 9. Sustainable Community Policy

Recommendation: Adopt Resolution No. 5500, Establishing a sustainable Community Policy, as read by title only.

Staff presenter: Shanna Farley-Judkins, Assistant Planner and Jackie Campbell, Community Development Director

- a. Staff Report
- b. Public Comment
- c. City Council Determination

6:50. P.M. 10. Review of Fiscal Year 2013-14 Mid-year Financial Results and Adjustment of Appropriations

Recommendation: Approve mid-year adjustments to the budget as summarized in the Staff Report

Staff presenter: John Thornberry, Finance Director

- a. Staff Report
- b. Public Comment
- c. City Council Determination

7:15 p.m. **COMMITTEE REPORTS, INQUIRIES AND OTHER MATTERS PRESENTED BY COUNCILMEMBERS**

Informational reports from Councilmember representatives on the committees, commissions and organizations listed below. This is also an opportunity for Councilmembers to request information from staff or seek support from fellow Councilmembers for future agenda items.

1. Representatives to Regional Agencies and Committees

- a. Beach Erosion Authority for Clean Oceans and Nourishment (BEACON) (Shaw/Alt. Carty)
- b. California Joint Powers Insurance Authority (CJPIA) (Clark/Alt. Shaw)
- c. Channel Counties Division, League of California Cities (Nomura/Alt. Shaw)
- d. Santa Barbara Association of Governments (SBCAG)(Clark/Alt. Nomura)
- e. Santa Barbara County Air Pollution Control District (APCD)(Clark/Alt. Nomura)
- f. Santa Barbara Joint Housing Task Group (Carty & Shaw)
- g. Central Coast Collaborative on Homelessness (C3H) (Carty)
- h. South Coast Task Force on Youth Gangs Leadership and Executive Council (Carty & Clark)

2. Joint and Special Committees

- a. City Council/Chamber of Commerce Board of Directors Committee (Carty & Nomura)
- b. City Council/Public Safety Committee (Clark & Shaw)
- c. City Council/School Board Committee (Stein & Carty)
- d. City Council/Utilities Committee (Stein & Nomura)
- e. City Council/First District Supervisor Committee (Stein & Carty)

3. Ad Hoc Committees

- a. Budget Committee (Stein & Clark)
- b. Public Facility Site Acquisition/Development Committee (Carty & Nomura)
- c. Transportation Committee (Stein & Shaw)

4. City Representatives on other Committees

- a. Community Media Access Center (Gary Dobbins)
- b. Santa Barbara Coastal Vector Control District (Ronald Hurd)
- c. Santa Barbara Metropolitan Transit District (Chuck McQuary)

7: 20 p.m.

ADJOURNMENT

The next regular meeting to be held on **Monday, February 10, 2014**, at **5:30 P.M.**, in the Council Chamber, City Hall, 5775 Carpinteria Avenue, Carpinteria, California.

In compliance with the Americans with Disabilities Act, if you need assistance to participate in this meeting, please contact the City Clerk's Office at 684-5405, extension 403 or the California Relay Service at (866) 735-2929. Notification 72 hours prior to the meeting will enable the City to make reasonable arrangements for accessibility to this meeting.

This agenda was posted on Wednesday, January 22, 2014, in the City Clerk's Office, on the City Hall Public Notices Board, and on the Internet.

**City of Carpinteria
City Council Minutes
Regular Meeting
Council Chambers
Monday, January 13, 2014**

CALL TO ORDER

The meeting was called to order by Mayor Stein at 5:30 p.m.

ROLL CALL

Councilmembers present:

Councilmember Wade Nomura
Councilmember Fred Shaw
Councilmember Al Clark
Vice Mayor Gregg Carty
Mayor J. Bradley Stein

Staff members present:

Dave Durflinger, City Manager
Peter Brown, City Attorney
Fidela Garcia, City Clerk
Julie Jeakle, Volunteer Services Coordinator
Matt Roberts, Parks and Recreation Director
John Thornberry Finance Director
Arlene Balmadrid, Human Resources Administrator

PLEDGE OF ALLEGIANCE

All present were led in the salute to the flag by Mayor Stein.

INTRODUCTIONS, PROCLAMATIONS AND PRESENTATIONS

1. Presentation of Resolution No. 5498 Recognizing and Commending Donna Jordan for Outstanding Voluntary Service to the Carpinteria Community.
(Motion to approve resolution followed by presentation)

Julie Jeakle, Volunteer Services Coordinator, presented the staff report. She noted that the City elected to recognize Donna Jordan's volunteer activities as part of the Carpinteria Valley Chamber of Commerce's Community Awards Banquet.

Linda Lange, Carpinteria Valley Chamber of Commerce, congratulated and acknowledged Ms. Jordan for her volunteer services.

Mayor Stein and Councilmember Clark commended Ms. Jordan for her volunteer services.

Motion by Councilmember Clark, seconded by Councilmember Nomura, to adopt Resolution No. 5498, as read by title only, recognizing and commending Donna Jordan for outstanding volunteer service to the Carpinteria community.

Upon voice vote, motion carried unanimously.

Mayor Stein presented the proclamation to Donna Jordan and acknowledged her for her volunteer services.

Donna Jordan stated it was an honor to receive this recognition and acknowledged all the community volunteers.

Richard Weinberg recognized Ms. Jordan for all she has done in the community and for her leadership.

Councilmember Shaw stated that future generations will recognize the efforts Ms. Jordan initiated in the community.

2. Presentation of a Proclamation Designating February 2014 as Carpinteria Business Month.

Mayor Stein read and presented a proclamation to Linda Lange and Karen Graff, Chair of the 56th Annual Carpinteria Valley Chamber of Commerce Awards Banquet, designating February 2014 as Carpinteria Business Month.

Ms. Graff thanked the City Council for their recognition and commended all the community volunteers. She invited everyone to attend the awards banquet

Ms. Lange thanked the City Council and invited everyone to attend the awards banquet on January 25, 2014, at the Boys and Girls Club. She displayed a wall hanging made by Roxanne Barbieri that would be raffled at the banquet, with proceeds going to the Junior Carpinterian Scholarship Fund.

PUBLIC INFORMATION REPORTS AND ANNOUNCEMENTS

Mayor Stein announced that the railroad would have a rail grinder coming up the coast running through Carpinteria a few nights beginning at 10:00 p.m. He noted that the grinding operation would be somewhat noisy.

CITY MANAGER'S REPORT

- Empower Santa Barbara County Workshop on January 15, 2014, in the Council Chambers from 5:30 p.m. to 7:00 p.m. Empower Santa Barbara County helps bring energy saving improvements to the homes of Santa Barbara County residents with incentives and financing for home upgrades.
- Special City Council Meeting for the City's Annual Strategic Work Plan Workshop on January 25, 8:00 a.m. to 1:30 p.m., in the Council Chambers.

PRESENTATIONS BY CITIZENS/PUBLIC COMMENT

Charles Hamilton, Carpinteria Valley Water District Director, reported that California was going into a drought and everyone should begin to think about water conservation measures. He stated that the Water District would begin an outreach effort to promote water conservation.

AGENDA MODIFICATIONS: NONE

CONSENT CALENDAR

Motion by Councilmember Shaw, seconded by Councilmember Nomura, to approve the Consent Calendar with all resolutions and ordinance as read by title only and with the corrections to the December 9, 2013 regular meeting minutes.

Upon voice vote, motion carried unanimously.

3. Minutes of the regular meeting held December 9, 2013.
4. Expenditures for the period ending December January 7, 2014.

ADMINISTRATIVE MATTERS: NONE

PUBLIC HEARING: NONE

OTHER BUSINESS:

5. Initiate a Feasibility Study to Investigate the Parameters, Location, Construction, Operation and Maintenance of a Skate Park in Carpinteria

Recommendation: Authorize Staff to research and authorize a Feasibility Study of a Skate Park in Carpinteria for presentation to the City Council at a public workshop in the late spring.

Matt Roberts, Parks and Recreation Director, presented the staff report.

No public comment.

Motion by Councilmember Clark, seconded by Councilmember Nomura, to authorize staff to prepare a Skate Park Feasibility Study that can be presented at a public workshop when completed in the late spring.

Upon voice vote, motion carried unanimously.

6. Independent Auditor Report Fiscal Year 2012-13.

Recommendation: Receive and file the Independent Auditors Report for fiscal year 2012-13.

Dave Durlinger, City Manager, presented the staff report.

John Thornberry, Finance Director, reviewed the Comprehensive Annual Finance Report for Fiscal Year 2012-13.

No public comment.

The Independent Auditors Report for Fiscal Year 2012-13 was received and filed.

7. Labor Relations Ad Hoc Committee of the City Council

Recommendation: Approve Resolution No. 5497, as read by title only, Creating an Ad Hoc Labor Relations Committee.

Arlene Balmadrid, Human Resources Administrator, presented the staff report.

No public comment.

Motion by Mayor Stein, seconded by Councilmember Clark to appoint Councilmembers Nomura and Shaw to the Ad Hoc Labor Relations Committee.

Upon voice vote, motion carried unanimously.

Motion by Councilmember Shaw, seconded by Councilmember Clark, to adopt Resolution No. 5497, as read by title only, creating an Ad Hoc Labor Relations Committee.

Upon voice vote, motion carried unanimously.

8. Voluntary Litigation Surcharge Cost Associated with the City's Membership in the League of California Cities

Recommendation: Provide direction as to whether or not the Voluntary Litigation Surcharge should be included with the City's annual dues payment to the League of California Cities.

Dave Durflinger, City Manager, presented the staff report.

Peter Brown, City Attorney, stated that the League of California does a very good job in selectively using its litigation capabilities to advance the interests of cities. He noted that the League's base dues are optional and it would be at the City Council's discretion whether to pay the additional surcharge.

Motion by Mayor Stein, seconded by Councilmember Clark, to include the Voluntary Litigation Surcharge in the City's annual membership dues payment to the League of California Cities.

Upon voice vote, motion carried unanimously.

COMMITTEE REPORTS, INQUIRIES AND OTHER MATTERS PRESENTED BY COUNCILMEMBERS

Councilmember Nomura reported that he attended the Santa Barbara County Air Pollution Control District's meeting on December 19, 2013, where an expert spoke regarding global warming.

Vice Mayor Carty reported that he and Councilmember Nomura attended a ribbon-cutting ceremony last week for a new construction management software company.

ADJOURNMENT

The meeting was adjourned at 6:45 p.m. by Mayor Stein.

J. Bradley Stein, Mayor

ATTEST:

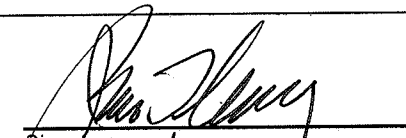
Fidela Garcia, CMC, City Clerk

STAFF REPORT
COUNCIL MEETING DATE
January 27, 2014

ITEM FOR COUNCIL CONSIDERATION

EXPENDITURES FOR THE PERIOD ENDING JANUARY 22, 2014

Report prepared by: John Thornberry,
Administrative Services Director


Signature


Signature

Reviewed by: Dave Durflinger, City Manager

STAFF RECOMMENDATION

Action Item ☐; Non-Action Item ☒

That the City Council accept this report concerning the current list of warrants pursuant to Carpinteria Municipal Code 2.08.150(F).

I. DISCUSSION

As part of each regular City Council agenda, staff prepares the attached listing of checks dispersed with staff certification that the expenditure transactions listed in the warrant register have been reviewed and are within the budgeted appropriations. Staff recommends that the City Council ratify the schedule of demands attached.

II. FINANCIAL CONSIDERATIONS

The warrant register included as an attachment is designed to communicate fiscal activity since the previous regular City Council agenda. No further action of a fiscal nature is requested as part of this report.

III. ATTACHMENT

A. Warrant Register for the period ending January 22, 2014

CITY OF CARPINTERIA
Warrant Register
January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
102559	01/15/14 12:28:43	1/8/14	82158	A T & T MOBILITY	A T & T MOBILITY		1000101 ...	
102489	01/07/14 10:46:48	12/21/13	832685259			CODE ENFORCEMENT	1047213 ...	-124.85
						CODE ENFORCEMENT	1047213 ...	-56.18
						CODE ENFORCEMENT	1047213 ...	-81.18
102490	01/07/14 10:47:57	12/23/13	337015224648			PW SUPERVISOR	2531301 ...	-115.22
						PW CREW	1013308 ...	-13.83
						PW CREW	3332308 ...	-13.83
						PW CREW	2531308 ...	-84.62
TOTAL								-489.71
102560	01/10/14 10:37:05	1/8/14	82159	AERIOCONNECT	AERIOCONNECT	VOID: 701369	1000101 ...	
TOTAL								0.00
102561	01/15/14 12:28:52	1/8/14	82160	ALLEN, DAVID	ALLEN, DAVID	PC JAN - MAR 2014	1000101 ...	
102484	01/07/14 10:43:12	12/17/13	PC JAN - MA...		ALLEN, DAVID	PC JAN - MAR 2014	1051160 ...	-180.00
TOTAL								-180.00
102562	01/15/14 12:28:59	1/8/14	82161	ARALUCE, WM A.I.A.	ARALUCE, WM A.I.A.	ARB JAN - MAR 2014	1000101 ...	
102476	01/07/14 10:34:48	1/7/14	ARB JAN - M...		ARALUCE, WM A.I.A.	ARB JAN - MAR 2014	1051160 ...	-100.00
TOTAL								-100.00
102563	01/15/14 12:29:07	1/8/14	82162	ATT	ATT	ACCOUNT 030-149-5366-001	1000101 ...	
102488	01/07/14 10:45:46	12/19/13	030-149-5366...		ATT	CIVIC CENTER	1013301 ...	-589.11
					ATT	PW	2531301 ...	-26.27
					ATT	BEACHES	2862301 ...	-95.68
					ATT	POOL	4866301 ...	-105.06
TOTAL								-816.12
102564	01/15/14 12:29:16	1/8/14	82163	BALMADRID, ARLENE	BALMADRID, ARL...	CELL PHONE - JAN 2014	1000101 ...	
102543	01/08/14 11:29:37	12/24/13	JAN 2014 CE...		BALMADRID, ARL...	CELL PHONE REIMBURSEMENT - JAN 2...	1016308 ...	-65.00
TOTAL								-65.00
102565	01/15/14 12:29:21	1/8/14	82164	BENEFIELD, JANE L	BENEFIELD, JANE L	PC JAN - MAR 2014	1000101 ...	
102482	01/07/14 10:42:48	12/17/13	PC JAN - MA...		BENEFIELD, JANE L	PC JAN - MAR 2014	1051160 ...	-120.00
TOTAL								-120.00
102566	01/15/14 12:36:52	1/8/14	82165	BROWNSTEIN HYATT FAR...	BROWNSTEIN HYA...	INVOICE 550872	1000101 ...	
102491	01/07/14 10:48:31	12/9/13	550872		BROWNSTEIN HYA...	PROJ 1175 VENOCO	1000251 ...	-85.50

8:40 AM
01/22/14

CITY OF CARPINTERIA
Warrant Register
January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
TOTAL								
102567	01/08/14 12:06:16	1/8/14	82166	CA DEPT BOARD OF EQU...	CA DEPT BOARD ...	2013 SALES TAX	1000101 ...	-85.50
102492	01/07/14 10:50:05	12/31/13	2013 SALES ...		CA DEPT BOARD ...	JAN - DEC 2013 SALES TAX	1000225 ...	-1,885.00
TOTAL								-1,885.00
102568	01/08/14 12:06:17	1/8/14	82167	CALLENDER, JOHN	CALLENDER, JOHN	PC JAN - MAR 2014	1000101 ...	
102483	01/07/14 10:42:58	12/17/13	PC JAN - MA...		CALLENDER, JOHN	PC JAN - MAR 2014	1051160 ...	-180.00
TOTAL								-180.00
102569	01/08/14 12:06:18	1/8/14	82168	CaIPERS	CaIPERS	JANUARY 2014 HEALTH	1000101 ...	
102479	01/07/14 10:41:28	12/17/13	JAN 2014 HE...		CaIPERS	JANUARY 2014 HEALTH	1051194 ...	-4,558.35
					CaIPERS	JANUARY 2014 HEALTH	1011194 ...	-1,011.70
					CaIPERS	JANUARY 2014 HEALTH	1012194 ...	-1,834.02
					CaIPERS	JANUARY 2014 HEALTH	1013194 ...	-1,221.77
					CaIPERS	JANUARY 2014 HEALTH	1014194 ...	-4,547.21
					CaIPERS	JANUARY 2014 HEALTH	1016194 ...	-1,198.38
					CaIPERS	JANUARY 2014 HEALTH	1030194 ...	-4,305.11
					CaIPERS	JANUARY 2014 HEALTH	2531194 ...	-3,665.31
					CaIPERS	JANUARY 2014 HEALTH	1041194 ...	-4,190.45
					CaIPERS	JANUARY 2014 HEALTH	1042194 ...	-1,408.65
					CaIPERS	JANUARY 2014 HEALTH	1069194 ...	-2,157.08
					CaIPERS	JANUARY 2014 HEALTH	1022194 ...	-2,161.59
					CaIPERS	JANUARY 2014 HEALTH	2361194 ...	-1,221.77
					CaIPERS	JANUARY 2014 HEALTH	3332194 ...	-2,161.59
					CaIPERS	JANUARY 2014 HEALTH	1044194 ...	-1,221.77
					CaIPERS	JANUARY 2014 HEALTH	1016196 ...	-139.94
					CaIPERS	JANUARY 2014 HEALTH	4868194 ...	-469.91
TOTAL								-37,474.60
102570	01/08/14 12:06:18	1/8/14	82169	CAMPBELL, JACQUELINE	CAMPBELL, JACQ...	CELL PHONE REIMBURSEMENT - JAN 2...	1000101 ...	
102548	01/08/14 11:32:04	12/24/13	JAN 2014 CE...		CAMPBELL, JACQ...	CELL PHONE REIMBURSEMENT - JAN 2...	1041308 ...	-65.00
TOTAL								-65.00
102571	01/08/14 12:06:19	1/8/14	82170	CENTRAL COAST IMAGIN...	CENTRAL COAST I...	INVOICE #AR26109	1000101 ...	
102551	01/08/14 11:37:06	12/31/13	AR26109		CENTRAL COAST I...	COPIER MAINTENANCE	1018222 ...	-145.45
TOTAL								-145.45
102572	01/08/14 12:06:20	1/8/14	82171	COAST AUTO PARTS, INC.	COAST AUTO PAR...	ACCT NO 1165	1000101 ...	
102501	01/08/14 09:12:27	10/31/13	OCT 2013 BI...		COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 ...	-29.14
					COAST AUTO PAR...	VEHICLE MAINTENANCE	2531307 ...	-5.55
					COAST AUTO PAR...	VEHICLE MAINTENANCE	1013307 ...	-369.34
					COAST AUTO PAR...	PW SHOP	2531308 ...	-537.84

CITY OF CARPINTERIA
Warrant Register
January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
					COAST AUTO PAR...	PW SHOP	2531308 ...	-26.99
					COAST AUTO PAR...	PARKS	2361307 ...	-142.19
					COAST AUTO PAR...	TRACTOR	2531307 ...	-28.90
					COAST AUTO PAR...	PW SHOP	2531307 ...	-151.38
					COAST AUTO PAR...	PW SHOP	2531307 ...	-15.14
TOTAL								-1,306.47
102573	01/15/14 12:37:00	1/8/14	82172	COASTAL VIEW NEWS	COASTAL VIEW N...	INVOICE 42424	1000101 ...	
102554	01/08/14 11:40:52	1/2/14	42424		COASTAL VIEW N...	SNOW DAY AD	1044302 ...	-900.00
TOTAL								-900.00
102574	01/08/14 12:06:21	1/8/14	82173		COCA-COLA BOTTL...	8647365	1000101 ...	
102553	01/08/14 11:39:08	12/21/13	3016130317		COCA-COLA BOTTL...	SODA PURCHASES FOR RESALE @ PO...	4866350 ...	-222.24
TOTAL								-222.24
102575	01/08/14 12:06:22	1/8/14	82174	COLSON, MARY ANN	COLSON, MARY A...	WELLNESS REIMBURSEMENT - JAN 2014	1000101 ...	
102502	01/08/14 16:41:02	1/1/14	JAN 2014 W...		COLSON, MARY A...	WELLNESS REIMBURSEMENT - JAN 2014	1014194 ...	-350.91
TOTAL								-350.91
102576	01/08/14 12:06:23	1/8/14	82175		DIAZ, JAYNE	WELLNESS REIMBURSEMENT - JAN 2014	1000101 ...	
102504	01/08/14 09:16:23	1/1/14	JAN 2014 W...		DIAZ, JAYNE	WELLNESS REIMBURSEMENT JAN 2014	1014194 ...	-350.91
TOTAL								-350.91
102577	01/08/14 12:06:23	1/8/14	82176	DIVISION OF THE STATE ...	DIVISION OF THE ...	OCT - DEC 2013 REPORT FEES	1000101 ...	
102505	01/08/14 09:17:44	1/2/14	OCT-DEC 20...		DIVISION OF THE ...	OCT - DEC 2013 FEES	1000262 ...	-17.40
TOTAL								-17.40
102578	01/08/14 12:06:24	1/8/14	82177	DRIGGERS, LARRY	DRIGGERS, LARRY	WELLNESS REIMBURSEMENT - JAN 2014	1000101 ...	
102506	01/08/14 09:18:27	1/1/14	JAN 2014 W...		DRIGGERS, LARRY	WELLNESS REIMBURSEMENT - JAN 2014	1014194 ...	-350.91
TOTAL								-350.91
102579	01/08/14 12:06:25	1/8/14	82178	DURFLINGER, DAVID	DURFLINGER, DAV...	CELL PHONE REIMBURSEMENT - JAN 2...	1000101 ...	
102547	01/08/14 11:31:33	12/24/13	JAN 2014 CE...		DURFLINGER, DAV...	CELL PHONE REIMBURSEMENT - JAN 2...	1012308 ...	-65.00
TOTAL								-65.00
102580	01/08/14 12:06:26	1/8/14	82179	EBELING, CHARLES	EBELING, CHARLES	CELL PHONE REIMBURSEMENT - JAN 2...	1000101 ...	
102545	01/08/14 11:30:25	12/24/13	JAN 2014 CE...		EBELING, CHARLES	CELL PHONE REIMBURSEMENT - JAN 2...	1030308 ...	-65.00
TOTAL								-65.00

8:40 AM
01/22/14

CITY OF CARPINTERIA
Warrant Register
January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
102581	01/08/14 12:06:26	1/8/14	82180	EDD-SDI	EDD-SDI	ACCT #776-5147-9	1000101 ...	
102495	01/08/14 09:00:57	1/8/14	PAYROLL 01...		EDD-SDI	PAYROLL 01-09-14	1000223 ...	-1,055.46
TOTAL								-1,055.46
102582	01/08/14 12:06:27	1/8/14	82181	EDD-SIT	EDD-SIT	ACCT #932-0283-6	1000101 ...	
102496	01/08/14 09:01:24	1/8/14	PAYROLL 01...		EDD-SIT	PAYROLL 01-09-14	1000221 ...	-3,956.06
TOTAL								-3,956.06
102583	01/08/14 12:06:28	1/8/14	82182	ELLINWOOD, SCOTT	ELLINWOOD, SCOTT	ARB JAN - MAR 2014	1000101 ...	
102473	01/07/14 10:34:06	1/7/14	ARB JAN - M...		ELLINWOOD, SCOTT	ARB JAN - MAR 2014	1051160 ...	-100.00
TOTAL								-100.00
102584	01/08/14 12:06:28	1/8/14	82183	FIDELITY SECURITY LIFE I...	FIDELITY SECURIT...	INVOICE 6844766	1000101 ...	
102507	01/08/14 09:27:35	1/1/14	6844766		FIDELITY SECURIT...	WELLNESS JAN 2014	1016194 ...	-17.56
					FIDELITY SECURIT...	WELLNESS JAN 2014	1041194 ...	-8.78
					FIDELITY SECURIT...	WELLNESS JAN 2014	1030194 ...	-8.78
					FIDELITY SECURIT...	WELLNESS JAN 2014	1030194 ...	-25.81
					FIDELITY SECURIT...	WELLNESS JAN 2014	1022194 ...	-17.56
					FIDELITY SECURIT...	WELLNESS JAN 2014	2531194 ...	-25.81
					FIDELITY SECURIT...	WELLNESS JAN 2014	1069194 ...	-8.78
					FIDELITY SECURIT...	WELLNESS JAN 2014	1051194 ...	-16.68
					FIDELITY SECURIT...	WELLNESS JAN 2014	1011194 ...	-8.78
					FIDELITY SECURIT...	WELLNESS JAN 2014	1069194 ...	-25.81
					FIDELITY SECURIT...	WELLNESS JAN 2014	1044194 ...	-16.68
					FIDELITY SECURIT...	WELLNESS JAN 2014	2531194 ...	-8.78
TOTAL								-189.81
102585	01/08/14 12:06:29	1/8/14	82184	FRONTADO, JOHN	FRONTADO, JOHN	WELLNESS PAYMENT- JAN 2014	1000101 ...	
102508	01/08/14 09:28:10	1/1/14	JAN 2014 W...		FRONTADO, JOHN	WELLNESS - JAN 2014	1014194 ...	-350.91
TOTAL								-350.91
102586	01/08/14 12:06:30	1/8/14	82185	GAHAN, RAHCELLE	GAHAN, RAHCELLE	ARB JAN - MAR 2014	1000101 ...	
102477	01/07/14 10:35:04	1/7/14	ARB JAN - M...		GAHAN, RAHCELLE	ARB JAN - MAR 2014	1051160 ...	-100.00
TOTAL								-100.00
102587	01/08/14 12:06:31	1/8/14	82186	GARCIA, FIDELA	GARCIA, FIDELA	CELL PHONE - JAN 2014	1000101 ...	
102546	01/08/14 11:31:09	12/24/13	JAN 2014 CE...		GARCIA, FIDELA	CELL PHONE REIMBURSEMENT - JAN 2...	1011308 ...	-65.00
TOTAL								-65.00
102588	01/08/14 12:06:31	1/8/14	82187	HIDALGO, BENNY	HIDALGO, BENNY	WELLNESS REIMBURSEMENT - JAN 2014	1000101 ...	

8:40 AM
01/22/14

CITY OF CARPINTERIA Warrant Register January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
102509	01/08/14 09:28:47	1/1/14	JAN 2014 W...		HIDALGO, BENNY	WELLNESS REIMBURSEMENT - JAN 2014	1014194 ...	-350.91
TOTAL								-350.91
102589	01/08/14 12:06:32	1/8/14	82188	HILLYARD	HILLYARD	INVOICE 600965378	1000101 ...	
102329	12/26/13 10:45:11	12/13/13	600965378		HILLYARD	RESTROOM SUPPLIES	1013224 ...	-112.98
TOTAL								-112.98
102590	01/08/14 12:06:33	1/8/14	82189	JIMENEZ, ERNEST	JIMENEZ, ERNEST	WELLNESS REIMBURSEMENT - JAN 2014	1000101 ...	
102510	01/08/14 09:29:15	1/1/14	JAN 2014 W...		JIMENEZ, ERNEST	WELLNESS REIMBURSEMENT - JAN 2014	1014194 ...	-350.91
TOTAL								-350.91
102591	01/08/14 12:06:33	1/8/14	82190	JOHNSON, RICHARD E	JOHNSON, RICHARD E	ARB JAN - MAR 2014	1000101 ...	
102475	01/07/14 10:34:36	1/7/14	ARB JAN - M...		JOHNSON, RICHARD E	ARB JAN - MAR 2014	1051160 ...	-75.00
TOTAL								-75.00
102592	01/08/14 12:06:34	1/8/14	82191	KEOUGH, JEFF	KEOUGH, JEFF	OUTSIDE COVERAGE BENEFIT JUL - DE...	1000101 ...	
102478	01/07/14 10:38:41	1/7/14	INSURANCE...		KEOUGH, JEFF	OUTSIDE COVERAGE PAYMENT JUL - D...	1042194 ...	-1,629.63
TOTAL								-1,629.63
102593	01/08/14 13:36:14	1/8/14	82192	KIMBALL MIDWEST	KIMBALL MIDWEST	VOID: INVOICE 3311975	1000101 ...	
TOTAL								0.00
102594	01/08/14 12:06:35	1/8/14	82193	LA FEVERS, GLENN D	LA FEVERS, GLENN...	PC JAN - MAR 2014	1000101 ...	
102480	01/07/14 10:42:25	12/17/13	PC JAN - MA...		LA FEVERS, GLENN...	PC JAN - MAR 2014	1051160 ...	-120.00
TOTAL								-120.00
102595	01/08/14 12:06:36	1/8/14	82194	LEMERE, PATRICIA	LEMERE, PATRICIA	WELLNESS REIMBURSEMENT - JAN 2014	1000101 ...	
102511	01/08/14 09:29:44	1/1/14	JAN 2014 W...		LEMERE, PATRICIA	WELLNESS REIMBURSEMENT JAN 2014	1014194 ...	-350.91
TOTAL								-350.91
102596	01/08/14 12:06:37	1/8/14	82195	MCCORMIX CORP	MCCORMIX CORP	INVOICE 882478	1000101 ...	
102512	01/08/14 09:30:08	12/15/13	882478		MCCORMIX CORP	PUBLIC WORKS FUEL	3332307 ...	-117.38
TOTAL								-117.38
102597	01/08/14 12:06:37	1/8/14	82196	MISSION UNIFORM SERVI...	MISSION UNIFORM...	DECEMBER 2013 BILLING	1000101 ...	
102513	01/08/14 09:31:23	12/31/13	DEC 2013 BI...		MISSION UNIFORM...	DEC 2013 UNIFORM	2531198 ...	-90.82

CITY OF CARPINTERIA

Warrant Register

January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
102598	01/08/14 12:06:39	1/8/14	82197	MONTECITO BANK & TRU...	MONTECITO BANK...	DEC 2013 UNIFORM	3332198 ...	-90.82
102514	01/08/14 09:41:00	12/24/13	4270		MONTECITO BANK...	DEC 2013 UNIFORM	1013198 ...	-45.41
					MONTECITO BANK...	DEC 2013 UNIFORM	2361198 ...	-45.40
TOTAL								-272.45
102515	01/08/14 10:15:27	12/24/13	4120		MONTECITO BANK...	ASH AVE	1000101 ...	-200.07
102516	01/08/14 10:20:20	12/24/13	4138		MONTECITO BANK...	VETS HALL	4863308 ...	-81.72
					MONTECITO BANK...	POOL	4866308 ...	-175.88
					MONTECITO BANK...	POOL	4866308 ...	-29.03
					MONTECITO BANK...	VETS HALL	4865309 ...	-1,063.13
					MONTECITO BANK...	VETS HALL	4865309 ...	-86.14
					MONTECITO BANK...	POOL WORK PARTY	4866308 ...	-50.64
					MONTECITO BANK...	PARKS SUPPLIES	2361221 ...	-88.66
					MONTECITO BANK...	CARPINTERIA BEAUTIFUL	4863308 ...	-32.95
					MONTECITO BANK...	LIFEGUARD TRAINING	4866308 ...	-54.00
					MONTECITO BANK...	POOL	4866338 ...	-180.65
					MONTECITO BANK...	PARKS	2361221 ...	-142.56
					MONTECITO BANK...	VETS HALL	4865309 ...	-64.39
					MONTECITO BANK...	VOLUNTEER SERVICES EXPENSE	1024215 ...	-674.68
					MONTECITO BANK...	HOLIDAY PARTY SUPPLIES	1016310 ...	-128.81
					MONTECITO BANK...	HOLIDAY PARTY SUPPLIES	1016310 ...	-19.85
					MONTECITO BANK...	HOLIDAY PARTY SUPPLIES	1016310 ...	-2,795.77
					MONTECITO BANK...	HOLIDAY PARTY SUPPLIES	1016310 ...	-21.24
					MONTECITO BANK...	HOLIDAY PARTY SUPPLIES	1016310 ...	-85.75
					MONTECITO BANK...	HOLIDAY PARTY SUPPLIES	1016310 ...	-60.87
					MONTECITO BANK...	PROJ 4864 - PW BREAKFAST	1000251 ...	-209.27
					MONTECITO BANK...	CITY TREES	3332220 ...	-945.00
TOTAL								-7,191.06
102599	01/08/14 12:06:40	1/8/14	82198	MOYER, JOHN	MOYER, JOHN	PC JAN - MAR 2014	1000101 ...	
102481	01/07/14 10:42:37	12/17/13	PC JAN - MA...	MOYER, JOHN	MOYER, JOHN	PC JAN - MAR 2014	1051160 ...	-180.00
TOTAL								-180.00
102600	01/08/14 12:06:40	1/8/14	82199	MUNICIPAL CODE CORPO...	MUNICIPAL CODE ...	INVOICE #00236992	1000101 ...	
102186	12/18/13 11:55:25	12/13/13	00236992		MUNICIPAL CODE ...	SUPPLEMENT PAGES	1011221 ...	-526.85
TOTAL								-526.85
102601	01/08/14 12:06:41	1/8/14	82200	REGINATO, JIM	REGINATO, JIM	ARB JAN - MAR 2014	1000101 ...	
102474	01/07/14 10:34:24	1/7/14	ARB JAN - M...	REGINATO, JIM	REGINATO, JIM	ARB JAN - MAR 2014	1051160 ...	-75.00
TOTAL								-75.00
102602	01/08/14 12:06:42	1/8/14	82201	ROBERTS, MATTHEW	ROBERTS, MATTH...	CELL PHONE REIMBURSEMENT - JAN 2...	1000101 ...	
102550	01/08/14 11:32:56	12/24/13	JAN 2014 CE...	ROBERTS, MATTH...	ROBERTS, MATTH...	CELL PHONE REIMBURSEMENT - JAN 2...	1069308 ...	-65.00

8:40 AM
01/22/14

CITY OF CARPINTERIA Warrant Register January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
TOTAL								
102603	01/08/14 12:06:42	1/8/14	82202	SEUI LOCAL 620	SEUI LOCAL 620	UNION DUES	1000101 ...	-65.00
102497	01/08/14 09:01:39	1/8/14	PAYROLL 01...		SEUI LOCAL 620	PAYROLL 01-09-13	1000229 ...	-146.19
TOTAL								-146.19
102604	01/08/14 12:06:43	1/8/14	82203	SHAW, FRED	SHAW, FRED	2014 GYM REIMBURSEMENT	1000101 ...	
102552	01/08/14 11:38:19	1/1/14	2014 GYM R...		SHAW, FRED	GYM MEMBERSHIP REIMBURSEMENT	1016221 ...	-374.40
TOTAL								-374.40
102605	01/08/14 12:06:44	1/8/14	82204	SILK, KEVIN	SILK, KEVIN	CELL PHONE REIMBURSEMENT - JAN 2...	1000101 ...	
102544	01/10/14 11:24:14	12/24/13	CELL PHONE		SILK, KEVIN	CELL PHONE REIMBURSEMENT	1044308 ...	-35.00
TOTAL								-35.00
102606	01/08/14 12:06:44	1/8/14	82205	SO CA EDISON	SO CA EDISON		1000101 ...	
102418	12/31/13 09:19:56	12/27/13	2-19-146-8248		SO CA EDISON	9TH STREET BATHROOM	2923220 ...	-34.31
102419	12/31/13 09:20:35	12/27/13	2-17-070-7434		SO CA EDISON	1 CARP	2923220 ...	-60.78
102420	12/31/13 09:21:26	12/27/13	2-14-791-5870		SO CA EDISON	101 ASH AVE	2923220 ...	-97.76
102421	12/31/13 09:22:05	12/27/13	2-22-684-6459		SO CA EDISON	100 LINDEN	2923220 ...	-30.52
TOTAL								-223.37
102607	01/08/14 12:06:45	1/8/14	82206	SOLID WASTE SOLUTION...	SOLID WASTE SO...	INVOICE NO 100	1000101 ...	
102523	01/08/14 10:25:58	1/2/14	100		SOLID WASTE SO...	AB 939 COORDINATION	3937221 ...	-837.50
TOTAL								-837.50
102608	01/08/14 12:06:46	1/8/14	82207	STEWART'S DE-ROOTING...	STEWART'S DE-R...	INVOICE 37535	1000101 ...	
102528	01/08/14 10:29:15	11/25/13	37535		STEWART'S DE-R...	ROW DRAIN CLEANING	2531223 ...	-2,250.00
TOTAL								-2,250.00
102609	01/08/14 12:06:47	1/8/14	82208	SUN COAST RENTALS	SUN COAST RENT...	INVOICE 23676	1000101 ...	
102531	01/08/14 10:31:48	12/19/13	23676		SUN COAST RENT...	EQUIPMENT RENTAL	2531223 ...	-216.00
TOTAL								-216.00
102610	01/08/14 12:06:47	1/8/14	82209	T. ROWE PRICE	T. ROWE PRICE	ACCT #99020554000206142	1000101 ...	
102500	01/08/14 09:06:43	1/8/14	PAYROLL 01...		T. ROWE PRICE	PAYROLL 01-09-14 DEPOSIT - ANTHONY...	1000105 ...	-50.00
TOTAL								-50.00
102611	01/08/14 12:06:48	1/8/14	82210	THORNBERRY, JOHN	THORNBERRY, JO...	CELL PHONE REIMBURSEMENT - JAN 2...	1000101 ...	

CITY OF CARPINTERIA
Warrant Register
January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
102549	01/08/14 11:32:33	12/24/13	JAN 2014 CE...		THORNBERRY, JO...	CEL PHONE REIMBURSEMENT JAN 2014	1014308 ...	-65.00
TOTAL								-65.00
102612	01/08/14 12:06:49	1/8/14	82211	TOM WATTS AUTOMOTIVE	TOM WATTS AUTO...		1000101 ...	
102532	01/08/14 10:32:12	12/2/13	030448		TOM WATTS AUTO...	VEHICLE REPAIR	2531307 ...	-51.75
102533	01/08/14 10:32:32	12/3/13	030456		TOM WATTS AUTO...	VEHICLE REPAIR	2531307 ...	-51.75
102534	01/08/14 10:32:51	12/3/13	030459		TOM WATTS AUTO...	VEHICLE REPAIR	2531307 ...	-51.75
102535	01/08/14 10:33:23	12/3/13	030467		TOM WATTS AUTO...	VEHICLE REPAIR	2531307 ...	-96.75
102537	01/08/14 10:34:24	12/6/13	030478		TOM WATTS AUTO...	VEHICLE REPAIR	2361307 ...	-282.70
102536	01/08/14 10:33:48	12/20/13	030568		TOM WATTS AUTO...	VEHICLE REPAIR	2361307 ...	-51.75
TOTAL								-586.45
102613	01/08/14 12:06:50	1/8/14	82212	TRIBUNE MEDIA SERVICE...	TRIBUNE MEDIA S...	INVOICE 0616852	1000101 ...	
102558	01/08/14 11:43:20	12/30/13	0616852		TRIBUNE MEDIA S...	PROJ 4863 - GATV	1000251 ...	-56.28
TOTAL								-56.28
102614	01/08/14 12:06:51	1/8/14	82213	UNITED WAY OF SANTA B...	UNITED WAY OF S...	PAYROLL CONTRIBUTION	1000101 ...	
102498	01/08/14 09:06:02	1/8/14	PAYROLL 01...		UNITED WAY OF S...	PAYROLL 01-09-14	1000224 ...	-20.00
TOTAL								-20.00
102615	01/08/14 12:06:51	1/8/14	82214	VANTAGEPOINT TRANSF...	VANTAGEPOINT T...	PAYROLL DEPOSIT PLAN #303555	1000101 ...	
102499	01/08/14 09:06:22	1/8/14	PAYROLL 01...		VANTAGEPOINT T...	PAYROLL 01-09-14	1000105 ...	-814.86
TOTAL								-814.86
102616	01/08/14 12:06:52	1/8/14	82215	VERIZON CALIFORNIA	VERIZON CALIFOR...	01171126512506601	1000101 ...	
102540	01/08/14 10:38:06	12/22/13	0117112651...		VERIZON CALIFOR...	OUTSIDE PD	1013301 ...	-87.12
TOTAL								-87.12
102617	01/08/14 12:06:53	1/8/14	82216	WEST COAST ARBORIST I...	WEST COAST ARB...	INVOICE 93348	1000101 ...	
102541	01/08/14 10:38:32	12/15/13	93348		WEST COAST ARB...	TREE MAINTENANCE	2732220 ...	-333.32
TOTAL								-333.32
102618	01/08/14 12:09:42	1/8/14	82217	COX COMMUNICATIONS	COX COMMUNICA...	ACCT #001-3011-22093901	1000101 ...	
102503	01/08/14 09:15:52	1/1/14	00130110220...		COX COMMUNICA...	INTERNET SERVICES	1018222 ...	-132.00
TOTAL								-132.00
102619	01/08/14 12:09:43	1/8/14	82218	MAILFINANCE	MAILFINANCE	INVOICE #H4387674	1000101 ...	
102431	12/31/13 09:47:35	12/19/13	H4387674		MAILFINANCE	LEASE PAYMENT FEB TO APR 2014	1018308 ...	-630.18

CITY OF CARPINTERIA
Warrant Register
January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
TOTAL								-630.18
102620	01/08/14 12:09:43	1/8/14	82219	PETER LAPIDUS CONSTR...	PETER LAPIDUS C...	INVOICE 2860	1000101 ...	
102557	01/08/14 11:42:41	1/6/14	2860		PETER LAPIDUS C...	BERM CONSTRUCTION	2862221 ...	-10,000.00
TOTAL								-10,000.00
102621	01/08/14 12:09:44	1/8/14	82220	SANTA BARBARA COUNT...	SANTA BARBARA ...	14-151	1000101 ...	
102410	12/30/13 13:09:54	12/18/13	14-151		SANTA BARBARA ...	SHERIFF OVERTIME	1021215 ...	-133.85
TOTAL								-133.85
102622	01/08/14 12:09:45	1/8/14	82221	SO CA EDISON	SO CA EDISON		1000101 ...	
102520	01/08/14 10:22:40	12/30/13	2-30-652-4281		SO CA EDISON	SEASIDE BUILDING	4865309 ...	-80.11
102522	01/08/14 10:25:32	12/30/13	2-32-832-7564		SO CA EDISON	PROJ 4865 - VETS HALL	1000251 ...	-515.32
102521	01/08/14 10:24:37	12/31/13	2-26-504-7225		SO CA EDISON	VETS HALL UTILITIES	4865309 ...	-438.96
TOTAL							2923210 ...	-37.40
								-1,071.79
102623	01/08/14 12:09:46	1/8/14	82222	STAPLES ADVANTAGE	STAPLES ADVANT...		1000101 ...	
102406	12/30/13 12:06:28	12/18/13	3217765046		STAPLES ADVANT...	POOL RESTROOM SUPPLIES	4866308 ...	-48.27
102407	12/30/13 12:07:26	12/18/13	3217765048		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 ...	-233.35
TOTAL								-281.62
102624	01/08/14 12:09:46	1/8/14	82223	SUN-CHEM INC	SUN-CHEM INC	INVOICE 6573	1000101 ...	
102530	01/08/14 10:30:19	12/16/13	6573		SUN-CHEM INC	CLEANING SUPPLIES	3332224 ...	-1,403.63
TOTAL								-1,403.63
102625	01/08/14 12:09:47	1/8/14	82224	VERIZON CALIFORNIA	VERIZON CALIFOR...	011711128612505709	1000101 ...	
102539	01/08/14 10:36:25	12/25/13	01171112861...		VERIZON CALIFOR...	ASH AVE	1013301 ...	-87.12
TOTAL								-87.12
102626	01/08/14 12:09:48	1/8/14	82225	ZEP SALES & SERVICE	ZEP SALES & SER...	INVOICE #9000685082	1000101 ...	
102555	01/08/14 11:41:27	12/17/13	9000685082		ZEP SALES & SER...	POOL SUPPLIES	4866308 ...	-256.35
TOTAL								-256.35
102627	01/08/14 13:38:03	1/8/14	82226	KIMBALL MIDWEST	KIMBALL MIDWEST	INVOICE 3311975	1000101 ...	
102313	01/08/14 13:37:27	12/10/13	3311975		KIMBALL MIDWEST	PW SHOP SUPPLIES	2531307 ...	-194.14
TOTAL								-194.14

CITY OF CARPINTERIA
Warrant Register
January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
102630	01/09/14 13:40:56	1/9/14	82227	FREITAG, SHERI	FREITAG, SHERI	PROJECT 1694 REFUND	1000101 ...	
102629	01/09/14 13:40:19	1/9/14	PROJ 1694 ...		FREITAG, SHERI	PROJ 1694 REFUND	1000251 ...	-379.33
TOTAL								-379.33
102640	01/10/14 10:38:18	1/10/14	82228	AERIOCONNECT	AERIOCONNECT	701369	1000101 ...	
102485	01/07/14 10:43:54	1/1/14	701369		AERIOCONNECT	DSL MONTHLY SERVICE CHARGE - FEB...	1018222 ...	-66.06
TOTAL								-66.06
102703	01/15/14 11:18:50	1/15/14	82229	76 FLEET SERVICES	76 FLEET SERVICES	ACCT #70201-00-105172-1	1000101 ...	
102674	01/14/14 14:29:28	12/31/13	DEC 2013 BL...		76 FLEET SERVICES	VEHICLE FUEL	1013307 ...	-42.83
					76 FLEET SERVICES	VEHICLE FUEL	2531307 ...	-165.41
					76 FLEET SERVICES	VEHICLE FUEL	3332307 ...	-342.35
TOTAL								-550.59
102704	01/15/14 11:18:51	1/15/14	82230	A-OK POWER EQUIPMENT	A-OK POWER EQU...	INVOICE #31603	1000101 ...	
102692	01/15/14 10:06:01	12/13/13			A-OK POWER EQU...	PUBLIC WORKS SUPPLIES	3332308 ...	-16.85
TOTAL								-16.85
102705	01/15/14 11:18:51	1/15/14	82231	ALAMO SELF STORAGE	ALAMO SELF STO...	FEBRUARY 2014 STORAGE	1000101 ...	
102698	01/15/14 10:14:20	1/14/14	FEB 2014 ST...		ALAMO SELF STO...	FEBRUARY 2014 STORAGE	1013221 ...	-295.00
TOTAL								-295.00
102706	01/15/14 11:18:52	1/15/14	82232	BH SKATING PARKS LLC	BH SKATING PAR...	INVOICE 11221301	1000101 ...	
102470	12/31/13 15:53:12	12/31/13	SNOW DAY2...		BH SKATING PAR...	SNOW DAY SKATING RINK BALANCE	1044302 ...	-819.50
TOTAL								-819.50
102707	01/15/14 11:18:53	1/15/14	82233	BOB TRAUTZ LAND DEV ...	BOB TRAUTZ LAN...		1000101 ...	
102689	01/15/14 10:03:50	10/31/13	4886		BOB TRAUTZ LAN...	FRANKLIN TRAIL	2361221 ...	-1,597.50
102687	01/15/14 10:02:30	12/9/13	4888		BOB TRAUTZ LAN...	BOARDWALK REMOVAL	2862308 ...	-525.00
102690	01/15/14 10:04:51	12/16/13	4889		BOB TRAUTZ LAN...	BLUFFS TREE REMOVAL	2361306 ...	-2,752.70
102688	01/15/14 10:03:05	12/23/13	4890		BOB TRAUTZ LAN...	MOVE BEACH TRAILER	2864308 ...	-1,320.00
TOTAL								-6,195.20
102708	01/15/14 11:18:53	1/15/14	82234	C S M F O	C S M F O	2014 CSMFO MEMBERSHIP RENEWAL	1000101 ...	
102695	01/15/14 10:11:45	1/6/14	2014 MEMB...		C S M F O	2014 CSMFO RENEWAL	1014305 ...	-110.00
TOTAL								-110.00
102709	01/15/14 11:18:54	1/15/14	82235	CARPINTERIA VALLEY LU...	CARPINTERIA VAL...	ACCOUNT 164	1000101 ...	

CITY OF CARPINTERIA

Warrant Register

January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
102699	01/15/14 11:00:19	11/30/13	NOV 2014 BI...		CARPINTERIA VAL...	ROW SUPPLIES	3332308 ...	-8.42
					CARPINTERIA VAL...	PW SUPPLIES	3332308 ...	-111.81
					CARPINTERIA VAL...	PARKS	2361221 ...	-81.49
					CARPINTERIA VAL...	PW SUPPLIES	3332308 ...	-83.01
					CARPINTERIA VAL...	POOL	4866308 ...	-15.95
					CARPINTERIA VAL...	SHERIFF	1013224 ...	-7.69
					CARPINTERIA VAL...	CITY HALL	1013224 ...	-14.20
					CARPINTERIA VAL...	SHOP SUPPLIES	3332308 ...	-32.58
					CARPINTERIA VAL...	UNIT 320	2531307 ...	-19.49
					CARPINTERIA VAL...	PARKS	2361221 ...	-9.89
					CARPINTERIA VAL...	PARKS	2361221 ...	-25.17
					CARPINTERIA VAL...	PARKS	2361221 ...	-25.17
					CARPINTERIA VAL...	SHERIFF	1013224 ...	-19.86
					CARPINTERIA VAL...	ROW	3332308 ...	-7.98
					CARPINTERIA VAL...	ROW	3332308 ...	-14.20
					CARPINTERIA VAL...	BEACH	2862308 ...	-15.76
					CARPINTERIA VAL...	CITY HALL	1013224 ...	-42.91
					CARPINTERIA VAL...	ROW	3332308 ...	-3.78
					CARPINTERIA VAL...	POOL	4866308 ...	-8.90
					CARPINTERIA VAL...	POOL	4866308 ...	-36.87
					CARPINTERIA VAL...	POOL	4866308 ...	-14.11
					CARPINTERIA VAL...	POOL	4866308 ...	-48.40
					CARPINTERIA VAL...	POOL	4866308 ...	-41.61
					CARPINTERIA VAL...	POOL	4866308 ...	-93.00
					CARPINTERIA VAL...	POOL	4866308 ...	-27.88
TOTAL								-810.13
102710	01/15/14 11:18:55	1/15/14	82236	CARPINTERIA VALLEY W...	CARPINTERIA VAL...	DEC 2013 BILLING	1000101 ...	
102666	01/14/14 13:38:21	12/28/13	DEC 2013 BI...		CARPINTERIA VAL...	CIVIC CENTER	1013312 ...	-549.02
					CARPINTERIA VAL...	PARKING	3332312 ...	-1,461.85
					CARPINTERIA VAL...	PARKS	2361312 ...	-5,618.87
					CARPINTERIA VAL...	POOL	4866312 ...	-2,760.23
					CARPINTERIA VAL...	VETS HALL	4865309 ...	-147.82
					CARPINTERIA VAL...	PROJ 4865 - VETS HALL	1000251 ...	-300.11
TOTAL								-10,837.90
102711	01/15/14 11:18:56	1/15/14	82237	CARPINTERIA VETERINA...	CARPINTERIA VET...		1000101 ...	
102648	01/14/14 10:33:40	12/2/13	204865		CARPINTERIA VET...	DOG LICENSE	1047213 ...	-25.00
102645	01/14/14 10:31:56	12/5/13	204997		CARPINTERIA VET...	DOG LICENSE	1047213 ...	-35.00
102646	01/14/14 10:32:25	12/12/13	205437		CARPINTERIA VET...	DOG LICENSE	1047213 ...	-85.00
102647	01/14/14 10:33:07	12/19/13	205835		CARPINTERIA VET...	DOG LICENSE	1047213 ...	-70.00
102649	01/14/14 10:34:13	12/27/13	206221		CARPINTERIA VET...	DOG LICENSE	1047213 ...	-45.00
TOTAL								-260.00
102712	01/15/14 11:18:57	1/15/14	82238	CENTRAL COAST IMAGIN...	CENTRAL COAST I...	INVOICE #AR26181	1000101 ...	
102696	01/15/14 10:12:43	1/8/14	AR26181		CENTRAL COAST I...	COPIER MAINTENANCE	1018222 ...	-241.48
TOTAL								-241.48

CITY OF CARPINTERIA
Warrant Register
January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
102713	01/15/14 11:18:57	1/15/14	82239	COAST SANTA BARBARA	COAST SANTA BA...	FY 2013-2014 SAFE ROUTES TO SCHOOL	1000101 ...	
102650	01/14/14 10:36:27	1/9/14	13-14 SRTS		COAST SANTA BA...	2013-2014 SAFE ROUTES TO SCHOOL	2770412 ...	-4,000.00
TOTAL								-4,000.00
102714	01/15/14 11:18:58	1/15/14	82240	COASTAL VIEW NEWS	COASTAL VIEW N...		1000101 ...	
102671	01/14/14 13:46:04	12/26/13	42410		COASTAL VIEW N...	LEGAL NOTICES	1011303 ...	-108.50
102672	01/14/14 13:46:39	12/26/13	42411		COASTAL VIEW N...	LEGAL NOTICES	1011303 ...	-28.30
102651	01/14/14 10:37:20	1/2/14	42428		COASTAL VIEW N...	TREE ADVISORY BOARD MEETING	1030308 ...	-107.25
102693	01/15/14 10:07:51	1/10/14	42486		COASTAL VIEW N...	PET ADS	1047303 ...	-40.00
TOTAL								-284.05
102715	01/15/14 11:18:59	1/15/14	82241	CONEJO VALLEY SIGNS	CONEJO VALLEY ...	PROJECT 1690 REFUND	1000101 ...	
102652	01/14/14 10:39:52	1/7/14	PROJ 1690 ...		CONEJO VALLEY ...	PROJ 1690 REFUND	1000251 ...	-299.42
TOTAL								-299.42
102716	01/15/14 11:18:59	1/15/14	82242	EARTH ISLAND INSTITUTE	EARTH ISLAND IN...	EIGHTH STREET MAINTENANCE	1000101 ...	
102657	01/14/14 10:55:24	12/20/13	07-01-13 TO ...		EARTH ISLAND IN...	EIGHTH ST MAINTENANCE	3179617 ...	-1,660.00
TOTAL							3100232 ...	-1,660.00
								-3,320.00
102717	01/15/14 11:19:00	1/15/14	82243	EASY LIFT TRANSPORTA...	EASY LIFT TRANS...	INVOICE 6007	1000101 ...	
102679	01/15/14 09:57:19	1/1/14	6007		EASY LIFT TRANS...	CLAIM PER CONTRACT FOR JANUARY	2734221 ...	-1,000.00
TOTAL								-1,000.00
102718	01/15/14 11:19:00	1/15/14	82244	GRANICUS	GRANICUS	INVOICE #51202	1000101 ...	
102673	01/14/14 13:47:35	12/17/13	51202		GRANICUS	JAN - MAR 2014 SERVICES	1018224 ...	-1,743.09
TOTAL								-1,743.09
102719	01/15/14 11:19:01	1/15/14	82245	HANSEN WELL-DO SERVI...	HANSEN WELL-DO...	INVOICE #7657	1000101 ...	
102702	01/15/14 11:15:36	12/12/13	7657		HANSEN WELL-DO...	NEW EL CARRO WELL	2361221 ...	-10,636.88
TOTAL								-10,636.88
102720	01/15/14 11:19:01	1/15/14	82246	HUGO'S AUTO DETAIL	HUGO'S AUTO DE...	VEHICLE MAINTENANCE - NOV-DEC 2013	1000101 ...	
102653	01/14/14 10:40:51	1/7/14	NOV-DEC 20...		HUGO'S AUTO DE...	VEHICLE MAINTENANCE - NOV - DEC 20...	1013307 ...	-120.00
TOTAL								-120.00
102721	01/15/14 11:19:02	1/15/14	82247	LEAGUE OF CA CITIES	LEAGUE OF CA CI...	2014 MEMBERSHIP DUES	1000101 ...	
102663	01/14/14 13:21:23	1/1/14	1482		LEAGUE OF CA CI...	2014 MEMBERSHIP DUES	1051305 ...	-100.00

CITY OF CARPINTERIA
Warrant Register
January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
TOTAL								-100.00
102722	01/15/14 11:19:03	1/15/14	82248	MAINTENANCE SUPERINT...	MAINTENANCE SU...	2014 MSA MEMBERSHIP - MEDEL	1000101 ...	
102654	01/14/14 10:42:55	1/9/14	2014 MSA M...		MAINTENANCE SU...	2014 MSA MEMBERSHIP APPLICATION ...	2531305 ...	-40.00
TOTAL								-40.00
102723	01/15/14 11:19:03	1/15/14	82249	MCCORMIX CORP	MCCORMIX CORP	INVOICE 883035	1000101 ...	
102677	01/15/14 09:56:05	12/31/13	883035		MCCORMIX CORP	PUBLIC WORKS FUEL	3332307 ...	-123.99
TOTAL								-123.99
102724	01/15/14 11:19:04	1/15/14	82250	MONTECITO BANK & TRU...	MONTECITO BANK...		1000101 ...	
102655	01/14/14 10:46:17	12/24/13	4379		MONTECITO BANK...	R/O FILTER MEMBRANES	1013224 ...	-162.37
					MONTECITO BANK...	DIF HWY INTERCHANGE	3100232 ...	-267.78
					MONTECITO BANK...	ANNUAL CHARGE	3179615 ...	-267.78
					MONTECITO BANK...	MUNICODE HANDBOOK	1030308 ...	-12.46
102662	01/14/14 13:15:44	12/24/13	1577		MONTECITO BANK...		1051305 ...	-348.15
TOTAL								-1,058.54
102725	01/15/14 11:19:04	1/15/14	82251	NACA	NACA	2014 MEMBERSHIP	1000101 ...	
102656	01/14/14 10:53:43	1/1/14	2014 MEMB...		NACA	2014 MEMBERSHIP - ECHEVERRIA, TOR...	1022305 ...	-125.00
TOTAL								-125.00
102726	01/15/14 11:19:05	1/15/14	82252	PLAYPOWER	PLAYPOWER	INVOICE #1400177964	1000101 ...	
102700	01/15/14 11:02:06	10/31/13	1400177964		PLAYPOWER	MEMORIAL PARK	2361221 ...	-1,618.40
TOTAL								-1,618.40
102727	01/15/14 11:19:05	1/15/14	82253	PRAXAIR	PRAXAIR	INVOICE #48076219	1000101 ...	
102517	01/08/14 10:20:47	12/20/13	48076219		PRAXAIR	PW SUPPLIES	2531308 ...	-25.05
TOTAL								-25.05
102728	01/15/14 11:19:06	1/15/14	82254	PROFESSIONAL WASH C...	PROFESSIONAL W...	INVOICE 0551	1000101 ...	
102685	01/15/14 10:01:05	1/10/14	0551		PROFESSIONAL W...	DOWNTOWN FLAGS	2531308 ...	-18.13
TOTAL								-18.13
102729	01/15/14 11:19:07	1/15/14	82255	SOUTHERN CALIFORNIA ...	SOUTHERN CALIF ...	2014 REGISTRATION	1000101 ...	
102675	01/14/14 17:12:00	1/14/14	2014 REGIS...		SOUTHERN CALIF ...	2014 REGISTRATION	4868308 ...	-180.00
TOTAL								-180.00

CITY OF CARPINTERIA

Warrant Register

January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
102730	01/15/14 11:19:07	1/15/14	82256	STAPLES ADVANTAGE	STAPLES ADVANT...	INVOICE 3217883681	1000101 ...	
102393	12/30/13 10:43:38	12/20/13	3217883681		STAPLES ADVANT...	OFFICE SUPPLIES	1013308 ...	-816.36
TOTAL								-816.36
102731	01/15/14 11:19:08	1/15/14	82257	SUN COAST RENTALS	SUN COAST RENT...	INVOICE 23634	1000101 ...	
102691	01/15/14 10:05:42	12/13/13	23634		SUN COAST RENT...	EQUIPMENT RENTAL	2361306 ...	-1,210.00
TOTAL								-1,210.00
102732	01/15/14 11:19:08	1/15/14	82258	THE STORAGE PLACE	THE STORAGE PL...	STORAGE RENTAL JANUARY 2014	1000101 ...	
102529	01/08/14 10:29:54	1/3/14	JAN 2014 ST...		THE STORAGE PL...	OFFSITE STORAGE RENTAL	1013221 ...	-305.00
TOTAL								-305.00
102733	01/15/14 11:19:09	1/15/14	82259	VERIZON CALIFORNIA	VERIZON CALIFOR...	01171114329800406	1000101 ...	
102660	01/14/14 13:02:53	12/28/13	01171111432...		VERIZON CALIFOR...	CIVIC CENTER	1013301 ...	-769.77
					VERIZON CALIFOR...	PW	2531301 ...	-34.32
					VERIZON CALIFOR...	BEACH	2862301 ...	-120.80
					VERIZON CALIFOR...	POOL	4866301 ...	-235.25
					VERIZON CALIFOR...	CONCESSION	2864308 ...	-113.71
TOTAL								-1,273.85
102734	01/15/14 11:19:09	1/15/14	82260	WEST COAST ARBORIST L...	WEST COAST ARB...	INVOICE 93694	1000101 ...	
102681	01/15/14 09:58:34	12/31/13	93694		WEST COAST ARB...	TREE MAINTENANCE	2732220 ...	-5,007.82
TOTAL								-5,007.82
102735	01/15/14 13:39:55	1/15/14	82261	CARQUEST OF VENTURA ...	CARQUEST OF VE...	INVOICE #7294-402095	1000101 ...	
102493	01/07/14 10:51:17	12/24/13	7294-402095		CARQUEST OF VE...	GRAFFITI REMOVAL	3332224 ...	-161.19
TOTAL								-161.19
102736	01/15/14 13:39:56	1/15/14	82262	PAVEMENT ENGINEERIN...	PAVEMENT ENGIN...		1000101 ...	
102380	12/30/13 09:08:44	12/23/13	1311-071		PAVEMENT ENGIN...	2013 PAVEMENT REHAB PROJECT	2772221 ...	-3,396.75
102381	12/30/13 09:09:31	12/23/13	1311-072		PAVEMENT ENGIN...	2013 PAVEMENT REHAB PROJECT	2772221 ...	-7,776.25
TOTAL								-11,173.00
102737	01/15/14 13:39:57	1/15/14	82263	SANTA BARBARA PEST C...	SANTA BARBARA ...		1000101 ...	
102518	01/08/14 10:21:16	12/16/13	47239		SANTA BARBARA ...	PEST CONTROL	3332223 ...	-45.00
102519	01/08/14 10:21:37	12/16/13	47238		SANTA BARBARA ...	PEST CONTROL	3332223 ...	-65.00
TOTAL								-110.00
102738	01/15/14 14:12:17	1/15/14	82264	SO CA EDISON	SO CA EDISON	VOID:	1000101 ...	

CITY OF CARPINTERIA
Warrant Register
January 8 - 22, 2014

Trans #	Entered/Last Modified	Date	Num	Name	Source Name	Memo	Account	Paid Amount
TOTAL								
102739	01/15/14 13:39:58	1/15/14	82265	STAPLES ADVANTAGE	STAPLES ADVANT...	INVOICE 3218185786	1000101 ...	0.00
102526	01/08/14 10:27:54	12/24/13	3218185786		STAPLES ADVANT...	PW SUPPLIES	2531308 ...	-44.36
TOTAL								-44.36
102740	01/15/14 13:39:59	1/15/14	82266	WESTERN EXTERMINATO...	WESTERN EXTER...	SB CO CLINIC MONTHLY DECEMBER 20...	1000101 ...	
102701	01/15/14 11:03:37	12/31/13	DEC 2013 BL...		WESTERN EXTER...	PROJ 4865 SB CO CLINIC MONTHLY SE...	1000251 ...	-44.50
TOTAL								-44.50
102741	01/15/14 14:14:10	1/15/14	82267	SO CA EDISON	SO CA EDISON	2-01-201-6465	1000101 ...	
102661	01/14/14 13:08:24	1/8/14	2-01-201-6465		SO CA EDISON	STREET LIGHTING	2923220 ...	-7,359.95
TOTAL								-7,359.95
102742	01/15/14 14:14:11	1/15/14	82268	SO CA GAS COMPANY	SO CA GAS COMP ...	014-914-3239-7	1000101 ...	
102669	01/15/14 14:13:10	1/7/14	014-914-3239...		SO CA GAS COMP ...	SEASIDE BUILDING	4865309 ...	-81.64
TOTAL								-81.64
TOTAL							\$155,618.97	

STAFF REPORT
COUNCIL MEETING DATE:
January 27, 2014

ITEM FOR COUNCIL CONSIDERATION:

Quarterly Review of Investment Results and Certifications Concerning Compliance with Investment Policy and Cash Flow Needs for the next six months

Prepared by: John Thornberry, City Treasurer

Department: Administrative Services

Reviewed by:

City Manager

Signature

Signature

ACTION ITEM ; NON-ACTION ITEM x

STAFF RECOMMENDATION: Receive and file the report

MOTION: None Required

I. BACKGROUND:

California Government Code Section 53600 states that "all governing bodies of local agencies or persons authorized to make investment decisions on behalf of those local agencies are trustees and therefore fiduciaries subject to the "prudent investor standard"

The prudent investor standard states that "a trustee shall act with the care, skill, prudence and diligence that a prudent person acting in a like capacity would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the agency. When investing public funds, the primary objective of a trustee shall be to safeguard the principal of the funds under its control. The secondary objective shall be to meet the liquidity needs of the depositor. The third objective shall be to achieve a return on the funds under its control."

California Government Code Section 53646 mandates that cities annually adopt a written investment policy and that quarterly reports be prepared concerning the City's financial holdings, a statement of compliance with the policy and a statement concerning the City's ability to meet its cash flow needs in the ensuing six months.

This report is no longer mandatory but is provided each quarter because it is informative for the Council and the public, and because the cost of preparation is minimal. However, because the City chooses to prepare the report, copies of the second and fourth calendar quarter reports must be submitted to the California Debt and Investment Advisory Commission within 60 days after the close of the second and fourth calendar quarters.

II. POLICY:

All portfolio investment transactions for the quarter ended December 31, 2013, have been made in full compliance with the Statement of Investment Policy adopted October 28, 2013.

As a part of the City's 2004 work program, the Council directed staff to investigate alternative investment practices that could yield better returns while maintaining security. The first step in this process was the change in the investment policy. On September 27, 2004 the City Council, by adoption of Resolution 4898, modified the City's investment policy, expanding the list of 'Authorized Investments'. Now, in addition to investment in the Local Agency Investment Fund and other FDIC insured accounts, the City can invest in 'Other investments authorized and governed by California Government Code 53601.

On November 22, 2004 the City Council adopted Resolution No. 4910 authorizing investments in the Treasury Direct program whereby Treasury Securities would be purchased directly from the United States Treasury.

III. FINANCIAL CONSIDERATIONS:

Cash Statement: At December 31, 2013, the City held cash investments as shown in the table below.

Table I

	Bank Balance at 12/31/13	Quarterly Earnings	Avg. Annualized Return
Treasury Direct/Schwab	\$11,416,874.74	\$36,008.66	1.32%
LAIF	20,601.42	13.27	0.26%
MB&T Checking	720,439.69	0	0.00%
MB&T Money Market	2,896,687.01	2.26	0.30%
Total	\$15,054,602.86	\$36,024.19	1.01%

Investments:

Changes in the market value of Treasury securities are inversely proportional to changes in interest rates demanded in the open market, i.e. when rates rise market values fall, when rates fall market values rise. The sensitivity of a portfolio's market value to these changes in market rates is a function of its days to maturity and its coupon rate. Securities with longer maturities and/or lower coupon rates are more sensitive to changes in market interest rates. As a security matures, changes in its value become less sensitive to market rate changes.

At December 31 the portfolio's average days to maturity was 1,070 days or 2.93 years, the average coupon rate was 1.24%, the average yield to maturity was 1.32% and the par value was \$11,316,600. The portfolio had a net negative cash flow of (\$389,058) during the quarter.

Staff estimates the market value of the portfolio at \$11,579,218. This represents a net unrealized gain of \$287,109 or 2.54% of the net investment of \$11,292,109. In other words, if the City were to sell all of its holdings, the proceeds from the sale would be approximately this much more than what was paid for them. The City does not intend to sell nor does it have any foreseeable need to sell any securities. The intention of the City's investment policy is to hold all securities to maturity; and securities so held are redeemed at par value resulting in no net market gain or loss.

Attached for your review are statements from Treasury Direct, Charles Schwab, LAIF, and Montecito Bank & Trust as of December 31, 2013. Also attached is a recap of investment activity during the quarter, a subsidiary ledger listing showing the various yields and maturities of securities in the Treasury Direct/Charles Schwab portfolio, a Treasury Note portfolio segment analysis and a market value estimate calculation.

The City of Carpinteria will meet all expenditure obligations that might reasonably be anticipated for the six-month period ending June 30, 2014.

IV. LEGAL ISSUES:

This report has been prepared in compliance with California Government Code Sections 53600 and 53646.

V. ATTACHMENTS:

- A. Treasury Direct statement
- B. Charles Schwab statement
- C. LAIF statement
- D. Montecito Bank & Trust bank statement
- E. Investment Activity Recap
- F. Treasury Direct/Charles Schwab Subsidiary Ledger Listing
- G. Treasury Direct/Charles Schwab portfolio segment analysis
- H. Market value estimate calculation

Attachment A

Legacy Treasury Direct®

www.treasurydirect.gov
1-800-722-2678
1-304-480-6464 (Outside the U.S.)

CITY OF CARPINTERIA CA
5775 CARPINTERIA AVENUE
CARPINTERIA CA 93013

RECEIVED

DEC 13 2013

CITY OF CARPINTERIA**STATEMENT OF ACCOUNT**

Page 1 of 5

Your Site

TREASURY RETAIL SECURITIES SITE
P O BOX 9150
MINNEAPOLIS MN 55480-9150

PHONE: (800) 722-2678

Payment Information

Payments made by direct deposit to:
MONTECITO BANK & TRUST
Routing Number: 122234783
Name on Account: CITY OF CARPINTERIA
CHECKING Account Number: Confidential

Telephone Number: (805) 684-5405

Taxpayer Identification No: Confidential

Tax Withholding Status: No withholding

Legacy Treasury Direct Account Number: 4800-770-3487

Total Par as of 12/06/2013: \$3,385,600

ACCOUNT HOLDINGS

SECURITY		SECURITY SUB-ACCOUNT						SCHED. REINV.		NEXT PAYMENT	
CUSIP	Total Par	No.	Par Amount	Issue Date	Purchase Price/\$100 of Par	Yield/Rate (%)	Disc Rate (%)	No.	Term	Date Type	Amount
912828JW1 1 1/2 NOTE T 13 12/31/13	124,000	01	124,000	12/31/08	99.813005	1.539				12/31/13 Par and Interest	124,930.00
912828JZ4 1 3/4 NOTE G 14 01/31/14	127,000	01	127,000	02/02/09	99.667162	1.82				01/31/14 Par and Interest	128,111.25
912828KF6 1 7/8 NOTE H 14 02/28/14	135,000	01	135,000	03/02/09	99.479306	1.985				02/28/14 Par and Interest	136,265.63
912828KJ8 1 3/4 NOTE J 14 03/31/14	127,000	01	127,000	03/31/09	99.529266	1.849				03/31/14 Par and Interest	128,111.25
912828KN9 1 7/8 NOTE L 14 04/30/14	119,000	01	119,000	04/30/09	99.691687	1.94				04/30/14 Par and Interest	120,115.63

Attachment B

charles SCHWAB

Account Statement

Retain for Your Records

Schwab One® Account
Account Number: 8975-8685

Statement Period: December 1, 2013 to December 31, 2013
Page 1 of 9

Last Statement: November 30, 2013

*Cut paper clutter.
Switch to eStatements at schwab.com/paperless.
Questions? Call 1-800-435-4000*

CITY OF CARPINTERIA
5775 CARPINTERIA AVE
CARPINTERIA CA 93013-2603

Account Value Summary

Cash & Sweep Money Market Funds	\$ (254,800.64)
Total Investments Long	\$ 7,756,930.48
Total Investments Short	\$ 0.00
Total Account Value	\$ 7,502,129.84

Change in Account Value

Starting Account Value	\$ 7,310,038.56
Transactions & Income	\$ 267,658.58
Income Reinvested	\$ 0.00
Change in Value of Investments	\$ (75,567.30)
Ending Account Value	\$ 7,502,129.84
Year-to-Date Change in Value Since 1/1/13	\$ 2,761,828.57

Rate Summary

Value Adv Money Fd SWVXX	0.01%
Sch Investor Money Fund	0.01%

Please see "Endnotes For Your Account" section for an explanation of the endnote codes and symbols on this statement.

12/31-00000-NRSP0302-075203 *1-2

© 2012 Charles Schwab & Co., Inc. All rights reserved. Member SIPC. CS12440-02 (0001-0386) STP67402CS (04/12)



Attachment C



JOHN CHIANG

California State Controller

LOCAL AGENCY INVESTMENT FUND
REMITTANCE ADVICE

Agency Name	CARPINTERIA
Account Number	98-42-142

As of 01/15/2014, your Local Agency Investment Fund account has been directly credited with the interest earned on your deposits for the quarter ending 12/31/2013.

Earnings Ratio		.00000700426120353
Interest Rate		0.26%
Dollar Day Total	\$	1,895,144.16
Quarter End Principal Balance	\$	20,601.42
Quarterly Interest Earned	\$	13.27

Attachment D



Montecito
Bank & Trust

P.O. Box 2460
Santa Barbara, CA 93120-2460
(805) 963-7511

000 00005 01

ACCOUNT:

PAGE: 1

195020078 12/31/2013

001897

RECEIVED

JAN 09 2014

City of Carpinteria
5775 Carpinteria Ave
Carpinteria CA 93013-2603

<T> 30-0
0
0

CITY OF CARPINTERIA

=====
Ring in the new year has an exciting new meaning here at Montecito Bank & Trust. Now when you call our customer service phone number (805-963-7511), you will be connected to our new, locally-staffed telephone Service Center. Our number one priority is serving your financial needs, and our new Service Center helps us do just that. Happy New Year from all of us at Montecito Bank & Trust!
=====

=====
SUMMARY OF ACCOUNTS
=====

ACCOUNT TYPE	ACCOUNT NUMBER	CURRENT BALANCE	MATURITY DATE
Business Money Market ACCOUNT	195020078	1,618,400.28	
Analysis Checking ACCOUNT	195024588	1,530,536.95	
TOTAL CURRENT BALANCE		3,148,937.23	

=====
Business Money Market ACCOUNT 195020078
=====

		LAST STATEMENT 11/29/13	2,856,068.93
INTEREST THIS STATEMENT	581.35	1 CREDITS	581.35
INTEREST PAID 2013	10,534.48	3 DEBITS	1,238,250.00
MINIMUM BALANCE	1,617,818.93	THIS STATEMENT 12/31/13	1,618,400.28
AVG AVAILABLE BALANCE	2,210,357.99		
AVERAGE BALANCE	2,210,357.99		

- - - - - OTHER CREDITS - - - - -		
DESCRIPTION	DATE	AMOUNT
INTEREST	12/31	581.35

- - - - - OTHER DEBITS - - - - -		
DESCRIPTION	DATE	AMOUNT
BUSINESS BANKER TRANSFER TO 195024588 12/11/13 115159586	12/11	410,000.00
BUSINESS BANKER TRANSFER TO 195024588 12/17/13 116988438	12/17	775,250.00

*** C O N T I N U E D ***



EQUAL HOUSING
LENDER MEMBER FDIC
Z0030197

NOTICE: SEE REVERSE SIDE FOR IMPORTANT INFORMATION

Attachment E

December 31, 2013

Investment Activity Recap

Month	Average Daily Investment	Return	ROI %	Ending Balance	% Portfolio
Treasury Direct					
10	\$10,866,850.79	\$12,095.58	1.34%	\$11,019,755.57	75.32%
11	11,019,755.57	11,981.63	1.30%	11,155,732.28	75.20%
12	11,155,732.28	11,931.45	1.28%	11,292,108.89	78.08%
Total / Average	\$11,014,051.55	\$36,008.66	1.31%		
LAIF					
10	\$20,588.15		0.27%	\$20,588.15	0.14%
11	20,588.15		0.26%	20,588.15	0.14%
12	20,588.15	13.27	0.26%	20,601.42	0.14%
Total / Average	\$20,601.42	\$13.27	0.26%		
Montecito Bank & Trust Checking					
10	\$599,137.29			\$735,047.55	5.02%
11	612,555.00			802,626.44	5.41%
12	843,265.46			1,530,536.95	10.58%
Total / Average	\$685,773.21				
Montecito Bank & Trust Money Market					
10	\$2,752,493.46	\$701.32	0.31%	\$2,855,388.33	19.52%
11	2,855,388.33	680.60	0.29%	2,856,068.93	19.25%
12	2,210,357.99	581.35	0.32%	1,618,400.28	11.19%
Total / Average	\$2,603,370.05	\$1,963.27	0.30%		
Recap					
10	\$14,239,069.69	\$12,796.90	1.08%	\$14,630,779.60	100.00%
11	14,508,287.05	12,662.23	1.05%	14,835,015.80	100.00%
12	14,229,943.88	12,526.07	1.06%	14,461,647.54	100.00%
Total / Average	\$14,323,796.23	\$37,985.20	1.06%		

Attachment F

City of Carpinteria
Direct Subsidiary Ledger Listing
Portfolio

December 31, 2013

Line Type	Issue Date	CUSIP	Maturity Date	Net	Discount	Par Value	Coupon \$	Coupon %	Yield %	Days to Maturity	Accrued Interest \$/1,000	Price per \$100	Modified Duration	Next Coupon Date	Line
55	05/31/2011	912828Q28	05/31/2016	100,098.95	301.05	100,400.00	878.50	1.7500%	1.8130%	882	*	99,700,152	2.3736	05/31/2014	55
56	06/30/2011	912828Q34	06/30/2016	104,024.42	575.58	104,600.00	784.50	1.5000%	1.6150%	912	*	99,449,734	2.4630	06/30/2014	56
57	07/31/2011	912828Q41	07/31/2016	96,218.76	(3,218.76)	93,000.00	697.50	1.5000%	0.7926%	943	2.32333	103,461,030	2.5287	01/31/2014	57
58	08/31/2011	912828R99	08/31/2016	93,617.58	(617.58)	93,000.00	485.00	1.0000%	1.0000%	974	0.71430	100,664,060	2.6297	02/28/2014	58
59	09/30/2011	912828R11	09/30/2016	92,932.16	67.84	93,000.00	465.00	1.0000%	1.0150%	1004	*	99,927,052	2.7129	03/31/2014	59
60	10/31/2011	912828R14	10/31/2016	239,358.77	641.25	240,000.00	1,200.00	1.0000%	1.0550%	1035	*	99,732,813	2.7962	04/30/2014	60
61	11/30/2011	912828R16	11/30/2016	241,940.96	731.23	242,000.00	1,058.75	0.8750%	0.9370%	1065	*	99,697,640	2.8842	05/31/2014	61
62	12/31/2011	912828R20	12/31/2016	262,692.06	59.04	263,000.00	1,058.75	0.8750%	0.8800%	1096	0.07212	99,975,602	2.9675	06/30/2014	62
63	01/31/2012	912828S05	01/31/2017	279,658.51	307.94	280,000.00	1,150.63	0.8750%	0.8990%	1127	*	99,882,914	3.0379	01/31/2014	63
64	02/28/2012	912828S10	02/28/2017	279,658.51	341.49	280,000.00	1,225.00	0.8750%	0.9000%	1155	*	99,878,039	3.1212	02/28/2014	64
65	03/31/2012	912828S13	03/31/2017	270,473.67	526.33	271,000.00	1,355.00	1.0000%	1.0400%	1186	0.05464	99,805,782	3.1981	03/31/2014	65
66	04/30/2012	912828S13	04/30/2017	270,841.30	158.70	271,000.00	1,185.63	0.8750%	0.8870%	1216	*	99,941,438	3.2879	04/30/2014	66
67	05/31/2012	912828S17	05/31/2017	285,270.72	1,729.28	287,000.00	896.88	0.6250%	0.7480%	1247	*	99,397,464	3.3840	05/31/2014	67
68	06/30/2012	912828T06	06/30/2017	297,970.79	29.21	298,000.00	1,117.50	0.7500%	0.7520%	1277	0.04076	99,990,200	3.4610	06/30/2014	68
69	07/31/2012	912828T06	07/31/2017	296,768.27	1,231.73	298,000.00	745.00	0.5000%	0.5840%	1308	*	99,586,667	3.5485	01/31/2014	69
70	08/31/2012	912828T02	08/31/2017	293,799.25	1,200.75	295,000.00	921.88	0.6250%	0.7080%	1339	*	99,592,967	3.6232	02/28/2014	70
71	09/30/2012	912828T09	09/30/2017	284,692.16	307.84	285,000.00	890.63	0.6250%	0.6470%	1369	0.01717	99,891,985	3.7066	03/31/2014	71
72	10/31/2012	912828T10	10/31/2017	274,676.92	323.08	275,000.00	1,031.25	0.7500%	0.7740%	1400	*	99,921,392	3.7814	04/30/2014	72
73	11/30/2012	912828U06	11/30/2017	282,777.64	222.46	283,000.00	884.38	0.6250%	0.6410%	1430	*	99,921,392	3.8733	05/31/2014	73
74	12/31/2012	912828U08	12/31/2017	280,738.61	261.39	281,000.00	1,053.75	0.7500%	0.7690%	1461	*	99,906,978	3.9481	06/30/2014	74
75	01/31/2013	912828U11	01/31/2018	291,800.51	199.49	292,000.00	1,277.50	0.8750%	0.8890%	1492	*	99,931,681	4.0057	01/31/2014	75
76	02/28/2013	912828U09	02/28/2018	301,600.88	399.12	302,000.00	1,132.50	0.7500%	0.7770%	1520	*	99,867,840	4.1000	02/28/2014	76
77	03/31/2013	912828U12	03/31/2018	281,861.96	138.04	282,000.00	1,057.50	0.7500%	0.7600%	1551	0.02049	99,951,048	4.1833	03/31/2014	77
78	04/30/2013	912828U21	04/30/2018	280,824.57	1,175.43	282,000.00	881.25	0.6250%	0.7100%	1581	*	99,583,182	4.2775	04/30/2014	78
79	05/31/2013	912828V07	05/31/2018	295,352.75	647.25	296,000.00	1,480.00	1.0000%	1.0450%	1612	*	99,781,333	4.3280	05/31/2014	79
80	06/30/2013	912828V03	06/30/2018	303,404.37	1,595.63	305,000.00	2,096.88	1.3750%	1.4839%	1642	0.03736	99,476,843	4.3787	06/30/2014	80
81	07/31/2013	912828V00	07/31/2018	292,506.59	493.41	293,000.00	2,014.38	1.3750%	1.4100%	1673	*	99,831,599	4.4323	01/31/2014	81
82	08/31/2013	912828R02	08/31/2018	281,264.43	1,735.57	283,000.00	2,197.50	1.5000%	1.6238%	1704	0.12431	99,407,655	4.5018	02/28/2014	82
83	09/30/2013	912828RH5	09/30/2018	286,158.25	841.75	287,000.00	1,973.13	1.3750%	1.4360%	1734	*	99,706,706	4.5889	03/31/2014	83
84	10/31/2013	912828WD8	10/31/2018	133,676.67	323.33	134,000.00	837.50	1.2500%	1.3000%	1765	*	99,587,10	4.6957	04/30/2014	84
85	11/30/2013	912828A34	11/30/2018	125,453.87	546.13	126,000.00	787.50	1.2500%	1.3395%	1795	0.06868	99,566,562	4.7788	05/31/2014	85
86	12/31/2013	912828A75	12/31/2018	124,401.64	598.36	125,000.00	937.50	1.5000%	1.6000%	1826	*	99,521,314	4.8353	06/30/2014	86
87															87
12/05/2016				11,416,874.74	\$24,725.26	\$11,441,600.00	*	1.2427%	1.3181%	1070	0.04287	\$99,783,900	2.8818	25-May-06	

less Maturations	124,765.85	234.15
	\$11,292,108.89	\$24,491.11
		\$11,316,600.00
	\$3,375,380.66	TD 1
	204,123.37	TD 2
	7,837,370.71	Schwab
	\$11,416,874.74	

Attachment G

December 31, 2013

Portfolio Segment Analysis

Month	Average Daily Investment	Return	ROI %	Net Cash Flow
10	\$10,866,850.79	\$12,095.58	1.3357%	(\$140,907.20)
11	11,019,755.57	11,981.63	1.3047%	(124,129.41)
12	11,155,732.28	11,931.45	1.2834%	(124,021.63)
Total / Average	\$11,014,051.55	\$36,008.66	1.3077%	(\$389,058.24)

ALL NOTES / BILLS	
Net Investment	\$11,292,108.89
Discount	24,491.11
Par Value	\$11,316,600.00
Coupon %	1.2427%
Yield to Maturity	1.3181%
Annual Yield \$	\$149,159.55
Days to Maturity	1070
Years to Maturity	2.9325
Modified Duration	2.8629

5 YEAR NOTES				
	All	Most Recent	Maximum	Minimum
Net	\$10,849,739.17	\$124,401.64	\$303,404.37	\$91,760.24
Discount	23,860.83	598.36	1,735.57	(3,218.76)
Par	10,873,600.00	125,000.00	305,000.00	92,300.00
Coupon \$	69,759.73	937.50	2,197.50	465.00
Coupon %	1.2831%	1.5000%	2.6250%	0.5000%
Yield %	1.5754%	1.6000%	2.7000%	0.5840%
Years to Maturity	3.01	5.00	5.00	

2 YEAR NOTES				
	All	Most Recent	Maximum	Minimum
Net	\$442,369.72	\$136,740.82	\$146,786.24	\$997.61
Discount	630.28	259.18	259.18	
Par	443,000.00	137,000.00	147,000.00	1,000.00
Coupon \$	553.78	171.25	183.75	0.63
Coupon %	0.2500%	0.2500%	0.3750%	0.1250%
Yield %	0.3476%	0.3450%	0.3950%	0.2200%
Years to Maturity	1.87	2.00	2.00	

Attachment H

December 31, 2013

Market Value Estimate Calculation

Years/Days	Portfolio Weighted Average Duration	Date	CUSIP	Issue Date	Maturity Date	Coupon %	Market Price per \$100	Market Yield
5	11/28/2016		912828RU6	11/30/2011	11/30/2016	0.8750%	100.3687	0.7469%
2	11/08/2015		912828WB2	10/31/2013	10/31/2015	0.2500%	99.8612	0.3260%

Years/Days	A Actual Yield	B Market Yield	C Duration in years	D Estimated Market Value	E Par Value	F Net Value	G Unrealized Gain / (Loss)
5	1.5754%	0.7469%	2.9132	\$11,136,040	\$10,873,600	\$10,849,739	\$286,301
2	0.3476%	0.3260%	1.8569	443,178	443,000	442,370	808
All			2.8818	\$11,579,218	\$11,316,600	\$11,292,109	\$287,109

Percent of Par 2.54%

$$D=(1+(A-B)*C)*E$$

$$G=D-F$$

RESOLUTION NO. 5499

**A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
COMMENDING AND CONGRATULATING
MATTHEW RODMAN UPON BEING NAMED
JUNIOR CARPINTERIAN OF THE YEAR FOR 2013**

WHEREAS, each year the Carpinteria Valley Chamber of Commerce selects and honors a student as Junior Carpinterian of the Year in recognition of her academic and personal accomplishments, and

WHEREAS, on January 25, 2014, the Chamber announced the selection of Matthew Rodman as Junior Carpinterian of the Year for 2013 and as recipient of a \$4,000 college scholarship in recognition of her many achievements; and

WHEREAS, this year's Junior Carpinterian has excelled despite all odds against him. He has won the 2013 Academic Chemistry Prize, has captured the William College Book Award, and rose to the top of his class, while demonstrating intellectual leadership, plus, according to his teachers, has made a significant contribution to the extracurricular life at Cate School.

WHEREAS, this exceptional scholar has excelled well past his GPA and has become a mentor, friend and leader, to many other students on campus, in addition to capturing a coveted place in honors Calculus BC, while earning a 4.25 GPA.

WHEREAS, this deserving scholar has a soft and kind leadership ability to see what others students need and will immediately act on that need with a solution. In addition, he differs from other mathematical oriented students in that he values feedback from others at Cate School, and invites constructive feedback, always.

WHEREAS, it is certain that this Cate School student will continue to excel throughout his senior year, as he has not only served as an example in the classroom, but as a leader on the playing field of life.

THEREFORE, THE CITY OF CARPINTERIA CITY COUNCIL hereby resolves and recognizes Mr. Matthew Rodman as the "2013 Junior Carpinterian of Year," honoring her outstanding scholarship and personal commitment to giving back to the community.

PASSED, APPROVED AND ADOPTED this 27th day of January, 2014,
by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of February 2014.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

City Attorney

RESOLUTION NO. 5496

**A RESOLUTION OF THE CARPINTERIA CITY COUNCIL
COMMENDING AND CONGRATULATING
JOHN CERDA UPON BEING NAMED
CARPINTERIAN OF THE YEAR FOR 2013**

WHEREAS, each year the Carpinteria Valley Chamber of Commerce selects and honors a person as Carpinterian of the Year in recognition of contributions and volunteer service to the community; and

WHEREAS, on January 25, 2014, the Chamber announced the selection of John Cerda as Carpinterian of the Year for 2013 in recognition of his efforts and contributions to the community and enriching the lives of others; and

WHEREAS, John Cerda has also served as the President of the Santa Barbara County Bowl's Mariache Music Festival, and has also included Carpinteria High School students in the productions. Mr. Cerda has happily served as the Carpinteria High School Booster Club Director and also on the Alumni Football Game Committee, for both Carpinteria and Bishop Diego High Schools.

WHEREAS, John Cerda donates thousands of hours of his own time to support various community needs via his famous family barbeques. He is an active and giving local member of the Carpinteria Kiwanis Club, and has been a dear friend to many, regardless of when called he will be there for you. John Cerda is what a true volunteer is all about.

THEREFORE, THE CITY OF CARPINTERIA CITY COUNCIL hereby resolves and recognizes John Cerda, as the "2013 Carpinterian of the Year," for his years of dedication towards the betterment of the community and its citizens.

PASSED, APPROVED AND ADOPTED this 27th day of January, 2014,
by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of February 2014.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

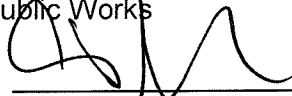
City Attorney

STAFF REPORT
COUNCIL MEETING DATE:
January 27, 2014

ITEMS FOR COUNCIL CONSIDERATION:

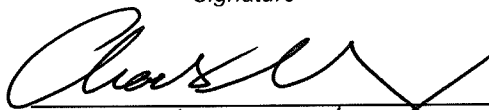
Participation in a Regional Electric Vehicle Charging Station Grant Application

Report prepared by: Erin Maker, Environmental Coordinator
Department: Public Works



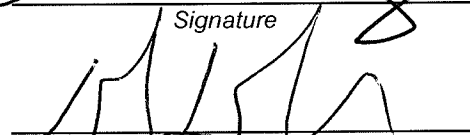
Signature

**Reviewed by
Public Works
Director:**



Signature

**Reviewed by
City Manager:**



Signature

ACTION X **NON-ACTION** ____ **STAFF RECOMMENDATION:**

Recommendation: That the City Council approve signing of the letter of commitment to participate in the Central Coast Charge Ahead Project's regional grant application for electric vehicle charging stations along the central coast.

Sample Motion: I move to approve signing the letter of commitment to participate in Central Coast Charge Ahead Projects' regional grant application for additional electric vehicle charging stations along the central coast.

I. BACKGROUND:

The availability and consumer use of electric vehicles has significantly increased over the last decade, creating a demand for public charging stations that did not previously exist. Currently, most electric vehicles on the market can travel between 80-200 miles on a single charge. For owners and operators of electric vehicles, this means longer road trips are feasible only in areas with electric vehicle charging stations networks. As more electric vehicle charging stations are installed, the range of the vehicles is extended and regional trips become more practicable.

Recognizing the need for additional electric vehicle (EV) charging infrastructure, Plug-in Central Coast, and the EV Communities Alliance, in collaboration with local agencies, is proposing a regional grant application for additional EV charging stations along the Central Coast. The City of Carpinteria was awarded an EV charging station under the ChargePoint America program, as part of a similar regional grant proposal, which awarded charging stations to agencies in Santa Barbara and Ventura counties.

II. DISCUSSION:

The Central Coast Charge Ahead Project (CC-CAP) proposal to fund EV charging infrastructure is specifically for "destination charging". This is defined by the California Energy Commission as destinations that attract people traveling "medium-to-long" distances from home where their vehicles would be parked for more than an hour.

Staff has selected two locations for dual-port charging stations, Parking Lot 1 and Parking Lot 3. Both parking lots are located adjacent to Carpinteria's downtown corridor, within easy walking distance of shopping, restaurants, public parks and the beach, meeting the destination definition. Due to the amount of electricity required to support a dual-port EV charging station, and the capacity of the electrical panels at each location, staff is recommending including one dual-port station in Parking Lot 1 and one in Parking Lot 3.

The CC-CAP Program is structured to be fully turn-key. EV Communities Alliance, the lead consultant for EV planning on the Central Coast, will act as the lead agency on the grant application. If awarded the grant, ChargePoint will supply all hardware and ABM, a national installation partner for ChargePoint, will handle all installation.

Staff recommends approving signing the attached letter of commitment to participate in the Central Coast Charge Ahead Project proposal.

III. POLICY:

Installing electric vehicle charging stations promotes the use of electric vehicles, thereby reducing greenhouse gas emissions and improving air quality. This is consistent with the following General Plan policy:

OSC-11b. Promote the reduction of mobile source emissions related to vehicular traffic.

IV. FINANCIAL CONSIDERATIONS:

There is no financial impact at this time. If Carpinteria locations are awarded stations through this grant application process, the City will be required to pay for the subscription service which operates the station. Currently, this cost is covered by a per-kilowatt hour fee paid by customers charging their vehicles at the station.

V. ATTACHMENTS:

Attachment A: Letter of Commitment

ATTACHMENT A

CITY OF CARPINTERIA, CALIFORNIA

DEPARTMENT OF PUBLIC WORKS



Tatyana Yakshina, Commission Agreement Officer
California Energy Commission
1516 Ninth Street, MS-18
Sacramento, California 95814

Dear Ms. Yakshina:

I am pleased to provide this letter of commitment for City of Carpinteria's participation in the attached proposal to deploy electric vehicle (EV) infrastructure under the CEC's PON 13-606 grant. This deployment will further advance our shared vision for EV readiness and acceleration in the Bay Area, complementing existing efforts underway. We also commit to associated match and support as required under the grant, described further below.

The City of Carpinteria has a substantive commitment to advancing EVs and sustainability. Our efforts include:

- 1) Existing publicly accessible charging station infrastructure in our jurisdiction.
- 2) EV-friendly policies planned.
- 3) Strong community support for expanded EV infrastructure.
- 4) A high number of EV drivers in the community.

For this proposal we commit to deploying two EV chargers – with a total of four individual charge ports -- for public use at strategic "destination" locations, in the following locations and configurations.

Location Name	Address	# of Chargers at this Location	# of Charge Ports	# of Parking Spaces Served	Match – Network Fee (2014-15)*	TOTAL MATCH
Carpinteria Parking Lot 1	4945 Carpinteria Avenue, Carpinteria, CA 93013	1 Chargepoint CT 4000 Gateway (Dual L2)	2	4	\$920	\$920
Carpinteria Parking Lot 3	499 Linden Avenue, Carpinteria, CA 93013	1 Chargepoint CT 4000 Gateway (Dual L2)	2	4	\$920	\$920
TOTAL		2 Chargers	4	8	\$1,840	\$1,840

*The Chargepoint network services fee is \$230 per year per port, and covers software upgrades, station programming, cellular connections, and 24/7 driver support.

This charging infrastructure is anticipated to gain significant use by the public due to the location of both parking lots adjacent to Carpinteria's downtown corridor, within easy walking distance of shopping, restaurants, public parks and the beach.

To deploy this infrastructure, we commit to the following support:

1. Appropriate staff support to permit and oversee deployment and subsequent management of the charging stations
2. Coverage of ongoing network fees and warranty costs, which will be considered a match for the project. During the 2014-15 project period, these fees and costs are projected to total \$1,840 for the two years.
3. Cover normal insurance (liability and uninsured property damage) under our current insurance policy
4. Implement our plan for pricing and payment for use of the EVSE. This plan will conform to the "common plan" defined in the attached grant narrative.
5. Provide CEQA documentation for our planned deployments, per the attached CEC Attachment 7 – CEQA worksheet(s).
6. Provide for a plan to optimize the use of the charging site by multiple vehicles, as indicated in the "common plan" in this narrative.
7. Support the "common plan" for continued and reliable operation of the stations, as defined in this narrative.

We are pleased to participate in this exciting initiative. By advancing electric vehicle support we anticipate major benefit to our residents and the state.

Thank you for the opportunity, and we look forward to the prospective partnership with the CEC.

Sincerely,

Brad Stein, Mayor
City Manager

STAFF REPORT
COUNCIL MEETING DATE:
January 27, 2014

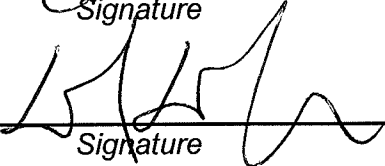
ITEM FOR COUNCIL CONSIDERATION: An amendment to the Carpinteria Municipal Code reassigning the Change of Owner, Operator or Guarantee for Certain Oil and Gas Facilities regulations, currently codified at Chapter 3.45, to Chapter 3.46.

Report prepared by: Fidela Garcia, City Clerk

Department: General Government


Signature

Reviewed by: Dave Durflinger, City Manager


Signature

ACTION ITEM X; NON-ACTION ITEM __

STAFF RECOMMENDATION: Approve on first reading Ordinance No. 666 , as read by title only, an amendment to the Carpinteria Municipal Code, reassigning the Change of Owner, Operator or Guarantee for Certain Oil and Gas Facilities regulations, currently codified at Chapter 3.45 to Chapter 3.46.

MOTION: I move to approve Ordinance No. 666, as read by title only, and to set the date for the second reading of the Ordinance on the regular meeting of February 10, 2014.

I. BACKGROUND:

On two separate dates, City Council approved and adopted two unrelated City ordinances; both ordinances amended the Carpinteria Municipal Code by adding Chapter 3.45.

On January 27, 1997, the City Council approved Resolution No. 4353 (Attachment B) calling and giving notice of holding a special election on June 3, 1997 for the submission of a proposed ordinance to the voters that would allow the collection of a special park maintenance tax to be

collected from residential property owners. The ordinance approved by the voters at the election held June 3, 1997, assigned the new chapter to the City's code as Chapter 3.45, Park Maintenance Tax. Following the election, the City Council approved and certified the result of the election at its regular meeting on June 27, 1997, by Resolution No. 4400 (Attachment C). The result of the election was that the voters had approved and ratified the ordinance; however, the ordinance was not codified in the Code.

In May of 2008, the City Council adopted Ordinance No. 630. The ordinance added a new chapter regulating the process for Change of Owner, Operator or Guarantor for Certain Oil and Gas Facilities; and assigned it under Chapter 3.45, as it appeared it was available for use. The ordinance is currently codified as such.

In order to codify the 1997 Parks Maintenance Tax Ordinance as Chapter 3.45, staff is requesting the City Council approve (first reading) Ordinance No. 666 (Attachment A) reassigning Chapter 3.45, relating to a Change of Owner, Operator or Guarantor for Certain Oil and Gas Facilities, to Chapter 3.46. Should Ordinance No. 666 be approved, the only change the ordinance would make is the location of the regulations related to Change of Owner, Operator or Guarantor for Certain Oil and Gas Facilities, as no other changes are being proposed. The 1997 Parks Maintenance Tax Ordinance could then be codified, as it was originally intended and approved by the voters. The Parks Maintenance Tax has been collected since being approved by the voters in 1997 and no changes would occur as a result of proper codification of the provisions.

II. FINANCIAL CONSIDERATIONS:

There are no financial considerations in addition to what is currently fiscally budgeted for ordinance codification.

III. LEGAL:

The law concerning the adoption of a special tax, such as the parks maintenance tax, requires that the tax be proposed by ordinance of the city council and submitted to the voters. Upon approval by two-thirds vote of the people, the city may levy the tax. (Gov't. Code § 50077(a).) There is no requirement that the ordinance adopted by the people be codified in the city's municipal code. When an ordinance is adopted in accord with proper notice requirements, mere failure to codify it does not lead to a violation of due process. (See *First Assembly of God of Naples, Florida, Inc. v. Collier County Fla.* (11th Cir. 1994) 20 F.3d 419, 422.) Analogy to the initiative laws further supports that no further city council action, aside from declaring the results of the vote, is necessary after the voters approve the tax. An initiative submitted to the voters is considered adopted on the day the vote is declared by the city council and takes effect 10 days later. (Elec. Code § 9217.)

IV. ATTACHMENTS:

- A. Ordinance No. 666, Reassigning Chapter 3.45 of the Municipal Code Regulating the Change of Owner, Operator or Guarantor for Certain Oil and Gas Facilities to Chapter 3.46
- B. Resolution No. 4353
- C. Resolution No. 4400

Attachment "A"

Ordinance No. 666

An Ordinance of the City Council of the City Of Carpinteria, California Reassigning Chapter 3.45 of the Municipal Code Regulating the Change of Owner, Operator or Guarantor for Certain Oil and Gas Facilities to Chapter 3.46

ORDINANCE NO. 666

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA REASSIGNING CHAPTER 3.45 OF THE MUNICIPAL CODE REGULATING THE CHANGE OF OWNER, OPERATOR OR GUARANTOR FOR CERTAIN OIL AND GAS FACILITIES TO CHAPTER 3.46

WHEREAS, on May 27, 2008, the City Council of the City of Carpinteria adopted Ordinance No. 630, adding Chapter 3.45 of the Municipal Code, Regulating the Change of Owner, Operator or Guarantor for Certain Oil and Gas Facilities; and,

WHEREAS, subsequently it was noted that Chapter 3.45 had previously been assigned; and,

WHEREAS, adoption of Ordinance No. 666 reassigns the Municipal Code chapter regulating the change of owner, operator or guarantor for certain oil and gas facilities from Chapter 3.45 to Chapter 3.46.

NOW, THEREFORE, THE CITY COUNCIL HEREBY ORDAINS AS FOLLOWS:

SECTION 1. INCORPORATION OF RECITALS

The above recitals are incorporated herein and are each relied upon independently by the City Council for its adoption of this Ordinance.

SECTION 2. CREATION OF CHAPTER 3.46

Chapter 3.46 of the Carpinteria Municipal Code shall hereby be created and all references to Chapter 3.45 in the attached Exhibit "A" shall be reassigned to Chapter 3.46.

SECTION 3. EFFECTIVE DATE

This Ordinance shall be in full force and effect thirty (30) days following a second reading of the ordinance; and before the expiration of fifteen (15) days of its passage shall be published once with the names of the City Council voting for and against the same in the Coastal View, a newspaper of general circulation, published in the City of Carpinteria.

SECTION 4. SEVERABILITY

If any section, subsection, subdivision, paragraph, sentence, clause or phrase of this chapter, or application thereof to any person or circumstances, is for any reason held to be unconstitutional or invalid or ineffective by any court of competent jurisdiction, such decision shall not affect the validity or effectiveness of the remaining portions of this chapter or any part thereof. The City Council hereby declares that it would have passed such section, subsection, subdivision, paragraph, sentence, clause or phrase

SECTION 5. SEVERABILITY

If any section, subsection, subdivision, paragraph, sentence, clause or phrase of this chapter, or application thereof to any person or circumstances, is for any reason held to be unconstitutional or invalid or ineffective by any court of competent jurisdiction, such decision shall not affect the validity or effectiveness of the remaining portions of this chapter or any part thereof. The City Council hereby declares that it would have passed such section, subsection, subdivision, paragraph, sentence, clause or phrase thereof, irrespective of the fact that any one or more sections, subsections, subdivisions, paragraphs, sentences, clauses or phrases may be declared unconstitutional or invalid or ineffective.

PASSED, APPROVED, AND ADOPTED this ____ day of _____, 2014, by the following called vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

ATTEST:

Mayor of the City of Carpinteria

City Clerk, City of Carpinteria

I hereby certify that the foregoing Ordinance was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held this ____ day of _____, 2014.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

City Attorney, City of Carpinteria

ORDINANCE NO. 630

**AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA,
CALIFORNIA ADDING CHAPTER 3.45 TO THE MUNICIPAL CODE,
REGULATING THE CHANGE OF OWNER, OPERATOR OR GUARANTOR
FOR CERTAIN OIL AND GAS FACILITIES**

WHEREAS, as part of its authority to regulate land use within its jurisdiction, the City of Carpinteria requires discretionary permits for development of oil and gas related onshore facilities that support recovery of offshore reserves;

WHEREAS, such permits contain conditions designed to ensure safe operations;

WHEREAS, such permits were originally issued to major, vertically integrated oil companies who have large amounts of capital and technical expertise required to successfully operate such facilities in full compliance with permit conditions and applicable law;

WHEREAS, trends have emerged wherein oil and gas operations are being acquired by independent companies that are relatively new to the industry and may lack the financial assets and/or technical resources to operate such facilities;

WHEREAS, the U.S. Department of the Interior's Minerals Management Service and the California State Lands Commission have well defined requirements and procedures that address operational safety and financial responsibility for change of owner, operator or guarantor of facilities located on the Outer Continental Shelf or State Tidelands for purposes of recovering oil and gas, but there are currently no parallel state or local requirements for onshore facilities; **WHEREAS**, significant adverse environmental impacts and substantial harm to public health, safety and welfare could result unless owners and operators of local onshore facilities are: a) capable of operating oil and gas facilities in a safe manner and in full compliance with permit conditions and applicable law; b) financially capable of paying the cost of proper abandonment, including remediation of contaminated soils and waters; and, c) financially capable of paying for all legally compensatory damages or injuries suffered by any property or person that result from or arise out of any oil spill or other accident; and

WHEREAS, in public meetings held on May 12 and May 27, 2008, the City Council found and determined that the additions to the Carpinteria Municipal Code proposed in this Ordinance are necessary to advance the public health, safety and welfare by adopting procedures to avoid and/or minimize the above mentioned environmental impacts and other harms that could befall the community by ensuring owners and operators comply with applicable law and are financially responsible;

NOW, THEREFORE, THE CITY COUNCIL HEREBY ORDAINS AS FOLLOWS:

SECTION 1. INCORPORATION OF RECITALS

The above recitals are incorporated herein and are each relied upon independently by the City Council for its adoption of this Ordinance.

SECTION 2. CREATION OF CHAPTER 3.45

Chapter 3.45 of the Carpinteria Municipal Code shall hereby be created and shall read as follows:

3.45.10 Title.

The title of this chapter shall be "Change of Owner, Operator or Guarantor for Certain Oil and Gas Facilities."

3.45.020 Purpose and Intent.

The purposes of this Chapter are to protect public health, safety and welfare and safeguard the natural resources and environment of the City of Carpinteria by ensuring that safe operation, adequate financial responsibility, and compliance with all applicable City laws and permits are maintained during and after all changes of owner, operator or guarantor of certain oil and gas facilities.

3.45.030 Applicability.

a. This Chapter shall apply to any person who owns, operates or guarantees performance for or who seeks to own, operate or guarantee performance for any of the following facilities located in the City of Carpinteria:

1. any facility within the City's jurisdiction involved in exploration, production, processing, storage or transportation of oil or gas extracted from offshore reserves;
2. any pier, supply base, marine terminal or staging area within the City's jurisdiction that supports development of offshore oil and gas reserves.

b. This Chapter shall not apply to:

1. the change of owner, operator or guarantor of the following:
 - A. sales gas pipelines operated by a public utility and regulated by the California Public Utilities Commission;
 - B. trucks, railroads;
 - C. facilities located in state waters;

2. a change of ownership consisting solely of a change in percentage ownership of a facility and which does not entail addition or removal of an owner or affect any financial guarantee for a permit.

3.45.040 Definitions.

The following definitions shall apply to this chapter:

“Director” shall mean the City of Carpinteria Community Development Director.

“Existing guarantor” shall mean a guarantor who has guaranteed performance for an existing owner or operator, on the date of adoption of this chapter, but shall not include any person who is required to but has not yet obtained an amendment to a permit that requires City approval prior to listing that guarantor on the permit.

“Existing owner or operator” shall mean any person who owns or operates a facility identified as subject to this chapter pursuant to Chapter 3.45.030 on the date of adoption of this chapter, but shall not include any person who owns or operates such a facility and is required to but has not yet obtained an amendment to a permit that requires City approval prior to the transfer of the permit to that owner or operator.

“Guarantor” shall mean any person who guarantees performance for any City permit or ordinance requirement for a facility subject to this Chapter. For purposes of this Chapter, guarantor may include any owner, operator or third party.

“Major Incident” shall mean any accident related to the use of the facility that results in one or more of the following:

- a. an oil spill of 50 barrels or more, not including associated water, that escapes any device designed for spill containment and enters the environment;
- b. one or more fatalities or serious injuries that require significant medical intervention to members of the public who were situated outside the facility’s premises when the incident occurred;
- c. evacuation of people outside the boundaries of the facility from which the release occurred;
- d. a fire offsite or that spreads offsite.

“Managing partner” of a partnership shall mean the partner formally designated and vested by the partnership with authority to make ordinary business decisions for the partnership on behalf of all partners.

“Operator” shall mean any person having day-to-day control or management of or responsibility for operations of a facility, or a portion thereof, subject to this Chapter.

“Owner” shall mean any person who owns or leases a facility, or a portion thereof, subject to this Chapter.

“Pending owner or operator” shall mean any person who owns or operates a facility subject to this chapter and is required to but has not yet obtained an amendment to any necessary permit that requires City approval prior to the transfer of the permit to that owner or operator.

“Person” includes, but is not limited to, any individual, proprietorship, firm, corporation, partner, partnership, limited partnership, limited liability company, joint venture, business trust, or other business entity, or an association or other organization.

3.45.050. Requirements.

1. Listing on Permit. Any person who owns or operates a facility that is subject to this Chapter pursuant to Chapter 3.45.030 shall be listed as a permittee on the permit(s) issued for that facility, pursuant to Chapters 14.30 and 14.68 of the Municipal Code. Any guarantor for such facility shall be listed on the applicable permit(s), identifying its responsibilities as guarantor. Should any owner, operator or guarantor consist of a partnership, all partners shall be listed on the permit and the managing partner shall be identified in this list.

2. Acceptance of Permit. Prior to being listed on a permit, any owner or operator of a facility that is subject to this Chapter shall provide the City with a letter from a responsible official of the owner or operator formally accepting all conditions and requirements of the permit. This provision shall not apply to fractional interest owners that are not managing partners.

3. Permits Not Transferable. Any permit issued or authorized pursuant to Chapters 14.30 and 14.68 of the Municipal Code for a facility that is subject to this Chapter shall not be transferable, whether by operation of law or otherwise, from any existing owner, operator or guarantor to a new owner, operator or guarantor, except in accordance with this Chapter.

4. Ongoing Notification. All owners, operators and guarantors shall, as an ongoing requirement, notify the Director in writing of any change in the information listed in Chapter 3.45.070(1)(a) through 3.45.070(1)(e) within 30 days of such change.

5. Change of Owner. Any change of owner, merger of the owner with another company, or change of form of business organization, shall require application and approval as provided in this Chapter. Until a change of owner is approved pursuant to this chapter, the former owner(s) shall continue to be liable for compliance with all terms and conditions of the permit and any applicable City ordinances.

6. Change of Operator. Any change of operator shall not occur until approved in accordance with this Chapter, except as follows: any change of operator that consists solely of a merger, change of form of business organization, or change of a non-

managing partner (in cases where the operator is a partnership), but does not entail a substantive change to operations or personnel of the facility, shall require an application within 30 days of the change, as provided in Chapter 3.45.070(3) for change of owner.

7. Change of Guarantor. Any change of guarantor, including merger of the guarantor with another company or change of form of business organization, shall require application and approval as provided in this Chapter. Until a change of guarantor is approved pursuant to this chapter, the former guarantor(s) listed on the permit shall continue to be liable for compliance with all terms and conditions of the permit and any applicable City ordinance.

8. Liability for Compliance with Permit Conditions. Any owner, operator or guarantor listed on a permit pursuant to this Chapter shall comply with all conditions of such permit, as applicable, to owners, operators and guarantors. Failure to comply with such permit conditions shall subject the owner, operator or guarantor to the applicable penalty and enforcement provisions of the Municipal Code.

9. Liability for Abandonment.

a. The current owner or operator, as determined by the records of the Director, of a facility subject to this chapter shall be responsible for the proper abandonment of the facility. If the Director determines that the current owner or operator does not have the financial resources to fully cover the cost of abandoning the facility, the immediately preceding owner or operator shall be responsible for the cost of abandoning the facility.

b. The Director may continue to look seriatim to previous owners or operators until an owner or operator is found that the Director determines has the financial resources to cover the cost of abandoning the facility. However, the Director may not hold an owner or operator responsible for abandonment under this chapter that made a valid transfer of ownership or operation of the facility prior to May 27, 2008.

3.45.060. Relation to permits and Zoning Ordinance.

1. The provisions of this Chapter shall, for applicable facilities, supersede any provision of the Municipal Code governing the transfer of permits for such facilities. The procedures of this Chapter shall also supersede any procedures specified in any permit governing the transfer of permits for such facilities, but shall not invalidate any substantive requirements of such permits.

2. Permit amendments approved pursuant to this Chapter shall be entitled "Chapter 3.45 Permit Amendments" and shall be enforceable as provided in this Chapter.

3.45.070. Applications.

a. Existing Owners, Operators and Guarantors. Within 30 days of the effective date of this Chapter, any existing owner, operator or guarantor shall submit a certification to the Director, on a form approved by the Director, specifying the following information

regarding the current owner(s), operator(s) and guarantor(s):

1. name and address;
2. role in ownership, operation and management of facility, or in guaranteeing performance for an owner or operator;
3. names and addresses of official company representatives authorized and designated to execute applications, agreements and permits with the City on behalf of the company;
4. description of the company business organization, including relation to parent companies, partnership composition (including percentage of ownership) and other information needed to fully and accurately disclose who it is that owns, operates or is otherwise responsible for the facility;
5. any expiration date of any company described in Chapter 3.45.070(1)(a) through 3.45.070(1)(d) above.

b. Pending Owners and Operators. Within 30 days of the effective date of this Chapter, any pending owner or operator shall submit an application to the Director requesting transfer of the applicable permit(s).

c. Subsequent Owners or Deletion of Owners. Prior to any transfer of a permit to a subsequent owner or deletion of an owner from a permit, the current owner(s) and proposed owner shall submit an application to the Director requesting such transfer or deletion. The application shall be filed before the transfer or deletion of ownership, or if not practicable, in no event later than 30 days after the transfer or deletion of ownership.

d. Subsequent Operators. Prior to any transfer of permit to a subsequent operator, the current permittee(s) and the proposed operator shall submit an application to the Director requesting such transfer.

e. Subsequent Guarantors or Deletion of Guarantors. Prior to the listing of a subsequent guarantor or deletion of a guarantor from a permit, the permittee(s), the current guarantor, and, as appropriate, the proposed guarantor shall submit an application to the Director requesting such transfer or deletion. The application shall be filed before the transfer or deletion of guarantor, or, in no event later than 30 days after the transfer or deletion of guarantor.

f. Application Contents. Applications submitted pursuant to this Chapter shall include the following information:

1. Information Required for Applications for Change of Non-Managing Partners or Non-Managing Fractional Interest Owners Pursuant to Chapter 3.45.100(4).
 - A. All information listed in Chapter 3.45.070(1)(a) through 3.45.070(e) of this Chapter.

- B. A brief statement of the changes or proposed changes.
2. Information Required for All Applications, Except as Provided in Chapter 3.45.070(6)(a):
 - A. All information listed in Chapter 3.45.070(1)(a) through 3.45.070(1)(e) of this Chapter.
 - B. A detailed statement of the changes or proposed changes for which approval is sought.
 - C. General background information on any proposed new or subsequent permittee or guarantor.
 - D. Financial information on any owner, operator or other guarantor needed for the Director or Planning Commission to make the Financial Guarantees finding required by this Chapter. This information shall include, but is not limited to, the previous year's annual report, audited financial statements and required SEC filings.
 - E. Any required letter accepting the permit(s).
 - F. Any other information that the Director or the Planning Commission may require to approve any change in owner, operator or guarantor in accordance with this Chapter.
 3. Additional Information for Temporary Operator:

Evidence demonstrating that the proposed temporary operator has the necessary skills and training, as required by Chapter 3.45.100(6)(c).
 4. Additional Information for Change of Owner Under Chapter 3.45.090(1):

All documentation needed to make the findings required by this Chapter, where applicable, for Facility Safety Audit and Compliance With Existing Requirements.
 5. Additional Information for Change of Operator Under Chapter 3.45.090(2):
 - A. All documentation needed to make the findings required by this Chapter for Facility Safety Audit, Compliance With Existing Requirements and Compliance Plans.
 - B. Proposed transitional plan.
 - C. Evidence demonstrating that the proposed operator has the necessary skills, training and resources as required by Chapter 3.45.110(1)(i).

3.45.080. Listing of owners, operators, guarantors and temporary operators on permits.

a. Existing Owners, Operators and Guarantors. The Director shall list any existing owner, existing operator or existing guarantor, as they are defined in Chapter 3.45.040 of this Chapter, on the appropriate permit(s) upon finding that such person has submitted all information required in Chapter 3.45.070(1) and has complied with Chapter 3.45.050(2), if applicable.

b. Subsequent Owners, Operators, Guarantors and Temporary Operators. The Director shall list any subsequent owner, operator, guarantor or temporary operator on the appropriate permit(s) and remove any previous owner, operator, guarantor or temporary operator that no longer serves such role, upon approval of the permit transfer, pursuant to Chapters 3.45.100 and 3.45.110.

3.45.090. Processing.

a. Applications Under Jurisdiction of the Director.

1. The Director shall approve or deny any application to transfer a permit for changes that consist solely of the following:
 - A. change of ownership, including transfer of facility ownership to subsequent owner(s), change of any partner of an owner, merger of a current owner with another company or change in form of business organization of a current owner, such as change from corporation to limited partnership or limited liability company;
 - B. merger of a current operator with another company, change in form of business organization of a current operator, or change of a non-managing partner (in cases where the operator is a partnership), provided such change does not entail a substantive change to operations or personnel of the facility;
 - C. change of a guarantor;
 - D. substitution of a temporary operator.
2. Prior to approval of such application, the Director shall make all findings required by Chapter 3.45.100(1) through 3.45.100(6), as applicable, and shall take all actions necessary under Chapter 3.45.100(7).
3. A public hearing shall not be required for applications approved or denied by the Director. Notice shall be given, however, at least 10 days prior to the date of the Director's decision, as provided in City of Carpinteria Municipal Code, Chapter 14.76.

b. Applications Under Jurisdiction of the Planning Commission.

1. The Planning Commission shall approve or deny any application to transfer a permit for change of operator, except as specifically placed under the Director's jurisdiction in Chapter 3.45.090(1)(a)(ii) or 3.45.090(1)(a)(iv).
2. Prior to approval of an application for change of operator, the Planning Commission shall make all findings required by Chapter 3.45.110.1 and shall take all actions necessary under Chapter 3.45.110.2.
3. A public hearing shall be required for applications approved or denied by the Planning Commission. Notice shall be given at least 10 days prior to the date of the hearing, as provided in City of Carpinteria Municipal Code, Chapter 14.76.

c. Combined Applications.

Applications that include a component under the Director's jurisdiction and another component under the Planning Commission's jurisdiction may, at the discretion of the Director, be processed with a combined application and decided by the Planning Commission. In such cases, the findings required for approval of the component that falls under the Director's jurisdiction shall be those listed for a Director's Amendment (Chapter 3.45.100(1) through 3.45.100(5), as appropriate).

d. Application Completeness

1. An application shall be deemed accepted unless the Director finds the application incomplete and notifies the applicant of incompleteness by mail within 30 calendar days of receipt of the application. Notice shall be deemed given when mailed.
2. The applicant shall provide any additional information required by the Director in an incompleteness letter within 30 calendar days of issuance of the letter. The Director may extend the time period for good cause.

3.45.100. Director Approval: Findings.

a. Change of Owner. The Director shall approve an application for a change of owner only if the Director makes the following findings, except as provided herein in Chapter 3.45.100(2) through 3.45.100(6):

1. Fees and Exactions. All outstanding City required fees and exactions due for the facility have been paid.
2. Financial Guarantees. All necessary insurance, bonds or other instruments or methods of financial responsibility approved by the City and necessary to comply with the permit and any City ordinance have been updated, if necessary, to reflect the subsequent owner(s) and will remain in full effect

following the ownership change.

3. Acceptance of Permit. The proposed owner has provided a letter from a responsible official representing the proposed owner formally accepting all conditions and requirements of the permit.
4. Facility Safety Audit. The current owner or operator has provided a copy of the most recent City-conducted comprehensive safety audit of the physical facility, along with a description of the status of implementing its recommendations, to the subsequent or proposed owner(s). A Safety Inspection Maintenance and Quality Assurance Program (SIMQAP) audit approved by the appropriate City official shall satisfy this requirement.
5. Compliance With Existing Requirements. As of the date that the application is deemed complete, the current owner(s) are in compliance with all requirements of the permit, including any requirement of a City-required safety audit, any Notice of Violation, and any City ordinance or regulation, or the current and proposed owner(s) have entered into a written agreement acceptable to the Director that specifies an enforceable schedule to come into compliance with such requirements.

b. Mergers and Changes of Business Organization of Owners or Operators. The Director shall approve an application to transfer a permit pursuant to Chapter 3.45.090(1)(a)(ii) for the merger of a current owner or operator with another company or change in form of business organization of a current owner or operator only if the Director makes the following findings:

1. Fees and Exactions. All outstanding City required fees and exactions due for the facility have been paid.
2. Financial Guarantees. All necessary instruments or methods of financial responsibility approved by the City and necessary to comply with the permit and any City ordinance have been updated, if necessary, to reflect the subsequent owner(s) or operator and will remain in full effect following the ownership or operator change.
3. Acceptance of Permit. The proposed owner or operator has provided a letter from a responsible official representing the proposed owner or operator formally accepting all conditions and requirements of the permit. This provision shall not apply to fractional interest owners that are not managing partners.

c. Existing Partner Becomes Managing Partner. The Director shall approve an application to modify a permit for a change of managing partner, where there is no change in partnership composition and the subsequent managing partner has been a partner for one year or more, only if the Director makes the following finding:

1. Acceptance of Permit. The subsequent managing partner has provided a letter from a responsible official representing the subsequent managing partner

formally accepting all conditions and requirements of the permit.

d. Change of Non-Managing Partner of Owner. The Director shall approve an application to transfer a permit for a change of non-managing partner or non-operator under a joint operating agreement, where such person is not a guarantor, upon submission of a complete application and payment of any required application fees.

e. Change of Guarantor. The Director shall approve an application to modify a permit pursuant to Chapter 3.45.090(1)(a)(iii) for a change of guarantor only if the Director makes the following finding:

1. Financial Guarantees. The proposed guarantor has provided all necessary instruments or methods of financial responsibility approved by the City and necessary to comply with the permit and any City ordinance.

f. Temporary Operator. The Director may approve a qualified temporary operator pursuant to Chapter 3.45.090(1)(a)(iv) where the owner demonstrates to the satisfaction of the Director that good cause exists for an immediate change of operator. The temporary operator may operate the facility for a period of no longer than six months. In order to approve a temporary operator, the Director must make the following findings:

1. Financial Guarantees. All necessary insurance, bonds or other instruments or methods of financial responsibility approved by the City and necessary to comply with the permit and any City ordinance have been updated, if necessary, to reflect the new temporary operator and will remain in full effect following the operator change.
2. Acceptance of Permit. The proposed temporary operator has provided a letter from a responsible official representing the proposed temporary operator formally accepting all conditions and requirements of the permit.
3. Operator Capability. The proposed temporary operator has the skills and training necessary to operate the permitted facility in compliance with the permit and all applicable City codes and has a good working knowledge of the compliance plans listed in Chapter 3.45.110(1)(f).

g. Approval. Upon making the findings listed in Chapter 3.45.100(1) through 3.45.100(6) the Director shall approve the change of owner, operator or guarantor, or approve the temporary operator. The Director may impose additional conditions on the permit, except for applications approved under Chapter 3.45.100(4), in order to ensure that any insurance or other financial guarantees that were submitted to and relied on by the Director as a basis to make any finding required by this Chapter are maintained.

3.45.110. Planning Commission Approval: Findings.

a. The Planning Commission shall approve an application for change of operator only if the Planning Commission makes the following findings:

1. Fees and Exactions. All outstanding City required fees and exactions due for the facility have been paid.

2. Financial Guarantees. All necessary insurance, bonds or other instruments or methods of financial responsibility approved by the City and necessary to comply with the permit and any City ordinance have been updated, if necessary, to reflect the subsequent operator and will remain in full effect following the operator change.
3. Acceptance of Permit. The proposed operator has provided a letter from a responsible official representing the proposed operator formally accepting all conditions and requirements of the permit.
4. Facility Safety Audit. The current owner or operator has provided a copy of the most recent City-conducted comprehensive safety audit of the physical facility, along with a description of the status of implementing its recommendations, to the proposed subsequent operator. A Safety Inspection Maintenance and Quality Assurance Plan audit approved by the appropriate City official shall satisfy this requirement.
5. Compliance With Existing Requirements. As of the date that the application is deemed complete, the current operator is in compliance with all requirements of the permit, including any requirements of a City-required safety audit, any Notice of Violation, and any City ordinance, or the owner and proposed operator have entered into a written agreement with the Director that specifies an enforceable schedule to come into compliance with such requirements.
6. Compliance Plans. The current owner and proposed operator have updated, where applicable, any existing, approved Safety Inspection Maintenance and Quality Assurance Program, Emergency Response Plan, Fire Protection Plan, and Oil Spill Contingency Plan, or equivalent approved plans, with current emergency contact information pertaining to the subsequent operator. The current owner and proposed operator have agreed in writing to revise all other plans required by the permit or any City ordinance, as necessary to reflect the change of operator, and to do so with sufficient diligence to obtain approval of the revised plans by the appropriate City official within six months after assuming operations.
7. Transitional Plan. The current owner or operator and proposed operator have submitted a transitional plan that demonstrates that the proposed operator shall receive adequate training, including training by the current operator, where feasible, and shall have a good working knowledge of the compliance plans listed in Chapter 3.45.110(1)(f) before assuming control of operations. The plan shall be approved by the Director. The Planning Commission may exempt the current owner and proposed operator from this requirement, or portions thereof, for good cause.
8. Emergency Response Plan Drills. The proposed operator has adequately performed one or more City- or Fire District-approved emergency response drills demonstrating ability to respond to emergency episodes that may occur at the facility.

9. Operator Capability. The proposed operator has the skills, training and resources necessary to operate the permitted facility in compliance with the permit and all applicable City codes and has demonstrated the ability to comply with compliance plans listed in Chapter 3.45.110(1)(f) and any other required compliance plans. The Director shall require relevant records of compliance, and corrective actions taken subsequent to any major incidents for facilities, if any, that are similar in nature to those that are the subject of the permit, as may be necessary to make findings. These records shall be used to provide sufficient assurance that the proposed operator does not reflect a record of non-compliant or unsafe operations systemic in nature for similar facilities to those being considered for operatorship.

b. Upon making the findings listed in Chapter 3.45.110(1), the Planning Commission shall approve the change of operator. The Planning Commission may impose additional conditions on the permit in order to ensure that any insurance or other financial guarantees that were submitted to and relied on by the Planning Commission as a basis to make any finding required by this Chapter are maintained.

3.45.120. Administration and Fees.

The Director shall administer the procedures established by this chapter. Any applicant shall be assessed fees in an amount necessary to recover costs incurred by the City for processing applications for change of owner, operator or guarantor required by this chapter. No application to change owner, operator or guarantor shall be processed unless the applicant has entered into an Agreement for Payment of Processing Fees with the City and has provided the required deposit for case processing fees.

3.45.130. Appeals.

a. Appeals to the Planning Commission.

1. The decision of the Director to approve or deny an application shall be final subject to appeal to the Planning Commission by the applicant or any interested person adversely affected by such decision. The appeal, which shall be in writing, and accompanying fee shall be filed with the Community Development Department within 10 calendar days following the date of the Director's decision.
2. The appellant shall state specifically in the appeal how; 1) the Director's decision is inconsistent with the provisions or purposes of this Chapter, or 2) there was an error or abuse of discretion by the Director.
3. Prior to the appeal hearing, the Community Development Department shall transmit to the Planning Commission copies of the application, including all attachments and related materials, and a statement setting forth the reasons for the Director's decision.
4. The Planning Commission hearing shall be de novo and the Commission shall affirm, reverse or modify the Director's decision at a public hearing. Notice of the time and place of the hearing shall be given in accordance with City of

Carpinteria Municipal Code, Chapter 14.76 (Noticing), as appropriate. Notice shall also be mailed to the appellant.

b. Appeals to the City Council.

1. The decision of the Planning Commission to approve or deny an application shall be final subject to appeal to the City Council by the applicant or any interested person adversely affected by such decision. The appeal, which shall be in writing, and accompanying fee shall be filed with the City Clerk within 10 calendar days following the date of the Planning Commission's decision.
2. The appellant shall state specifically in the appeal how 1) the Planning Commission's decision is inconsistent with the provisions or purposes of this Chapter or 2) there was an error or abuse of discretion by the Planning Commission.
3. Prior to the appeal hearing, the City Clerk shall notify the Community Development Director that an appeal has been filed. The Community Development Director shall then transmit to the City Council copies of the application, including all attachments and related materials, and a statement of findings setting forth the reasons for the Planning Commission's decision.
4. The City Council hearing shall be de novo and the Council shall affirm, reverse, or modify the Planning Commission's decision at a public hearing. Notice of the time and place of the hearing shall be given in accordance with City of Carpinteria Municipal Code, Chapter 14.76 (Noticing), as appropriate. Notice shall also be mailed to the appellant.

3.45.140. Enforcement.

a. Civil Penalties. Any owner, operator, guarantor or permittee who fails to comply with the provisions of this chapter is subject to a civil penalty not to exceed \$25,000 per day of operation. The Director may elect to pursue any violation of this Chapter, pursuant to Chapter 1.06 (Administrative Remedies) and/or Chapter 1.08 (Penalties) of the Municipal Code.

b. Criminal Penalties. Any owner, operator, guarantor or permittee who fails to comply with the provisions of this chapter shall be guilty of a misdemeanor, punishable in accordance with the provisions of Chapter 1.08 of this Code.

c. Cumulative Remedies and Penalties. The remedies or penalties provided by this chapter are cumulative to each other and to the remedies or penalties available under all other laws of this state.

SECTION 3: EFFECTIVE DATE

This Ordinance shall be in full force and effect 30 days following its enactment in accordance with California law; and before the expiration of 15 days following passage, this Ordinance shall be published once with the names of the members of the City

Council voting for and against the same in The Coastal View, a newspaper of general circulation, published in the City of Carpinteria.

SECTION 4: SEVERABILITY

If any section, subsection, sentence, clause, phrase or word of this Ordinance is for any reason held to be invalid by a court of competent jurisdiction, such decisions shall not affect the validity of the remaining portions of this Ordinance. The City Council hereby declares that it would have passed and adopted this Ordinance, and each and all provisions hereof, irrespective of the fact that one or more provisions may be declared invalid.

SECTION 5: CEQA EXEMPTION

The City Council finds that this Ordinance is not subject to the California Environmental Quality Act ("CEQA") pursuant to State CEQA Guidelines §15060(c)(2) (the activity will not result in a direct or reasonably foreseeable indirect physical change in the environment), §15321(b) (an action by a regulatory agency to enforce a law, general rule, standard, or objective administered or adopted by the regulatory agency), and §15060(c)(3) (this activity is not a "project" as defined in §15378).

PASSED, APPROVED, AND ADOPTED this 27th day of May 2008, by the following called vote:

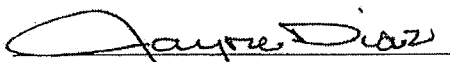
AYES: COUNCILMEMBERS: Stein, Carty, Armendariz, Clark, Ledbetter

NOES: COUNCILMEMBER(S): None

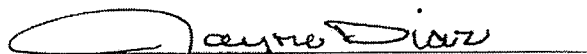
ABSENT: COUNCILMEMBER(S): None


Mayor of the City of Carpinteria

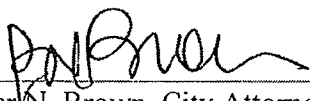
ATTEST:


City Clerk, City of Carpinteria

I hereby certify that the foregoing Ordinance was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of May 2008.


City Clerk, City of Carpinteria

APPROVED AS TO FORM:



Peter N. Brown, City Attorney
City of Carpinteria

Attachment "B"

Resolution No. 4353

A Resolution of the City Council of the City of Carpinteria, Calling and Giving Notice of Holding a Special Municipal Election to be held on June 3, 1997 for the Submission of a Proposed Ordinance to the Qualified Voters

RESOLUTION NO. 4353

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA, CALLING AND GIVING NOTICE OF THE HOLDING OF A SPECIAL MUNICIPAL ELECTION TO BE HELD ON TUESDAY, JUNE 3, 1997, FOR THE SUBMISSION OF A PROPOSED ORDINANCE TO THE QUALIFIED VOTERS

WHEREAS, under the provisions of the laws relating to general law cities in the State of California, a Special Municipal Election shall be held on June 3, 1997, for the submission to the voters of the approval or disapproval of the adoption of an ordinance adding Chapter 3.45 to the Carpinteria Municipal Code, imposing a special tax in the amount of \$36.03 to be levied and collected by the Santa Barbara County Tax Collector on the real property tax bill against all parcels designated for residential purposes within the City. Revenue from the special tax shall be used to continue to maintain the City's public parks;

WHEREAS, the City Council is authorized and directed by statute to submit the proposed ordinance to the voters.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA DOES RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:

SECTION 1: That, pursuant to the requirements of the laws of the State of California relating to General Law Cities, there is called and ordered to be held in the City of Carpinteria, California, on Tuesday, June 3, 1997, a Special Municipal Election for the purpose of submitting the following measure:

Shall an ordinance be adopted amending Title 3 of the Carpinteria Municipal Code by adding Chapter 3.45, imposing an annual special tax in the amount of \$36.03 levied and collected by the Santa Barbara County Tax Collector on the real property tax bill of all parcels designated by the Assessor's Use Code as residential within the City? Revenue shall be used to continue to maintain the City's public parks.	Yes	
	No	

SECTION 2: That the text of the ordinance submitted to the voters is attached as Exhibit "A."

SECTION 3: That the ballots to be used at the election shall be in form and content as required by law.

SECTION 4: That the City Clerk is authorized, instructed and directed to procure and furnish any and all official ballots, notices, printed matter and all supplies, equipment and paraphernalia that may be necessary in order to properly and lawfully conduct the election.

SECTION 5: That the polls for the election shall be open at seven o'clock a.m. of the day of the election and shall remain open continuously from that time until eight o'clock p.m. of the same day when the polls shall be closed, except as provided in § 14401 of the Elections Code of the State of California.

SECTION 6: That, pursuant to Election Code section 12310, a stipend for services for the persons named as precinct board members is fixed at the sum of \$70.00 for each Inspector, and \$50.00 for each Clerk for the election. The rental for each polling place where a charge is made shall be the sum of \$20.00 for the election, when required. The compensation of the custodian of the building shall be \$ n/a for the election.

SECTION 7: That in all particulars not recited in this resolution, the election shall be held and conducted as provided by law for holding municipal elections.

SECTION 8: That notice of the time and place of holding the election is given and the City Clerk is authorized, instructed and directed to give further or additional notice of the election, in time, form and manner as required by law.

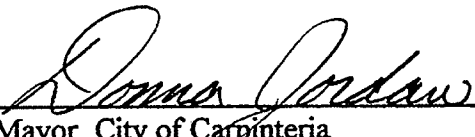
SECTION 9: That the City Clerk shall certify to the passage and adoption of this Resolution and enter it into the books of original Resolutions.

PASSED, APPROVED AND ADOPTED this 27th day of January, 1997, by the following called vote:

AYES: **COUNCILMEMBER:** STEIN, WEINBERG, LEDBETTER, NIELSEN, JORDAN

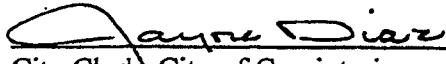
NOES: **COUNCILMEMBER:** NONE

ABSENT: **COUNCILMEMBER:** NONE

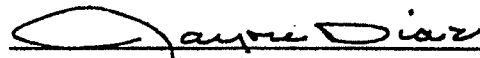


Mayor, City of Carpinteria

ATTEST:


City Clerk, City of Carpinteria

I hereby certify that the foregoing Resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of January, 1997.


City Clerk, City of Carpinteria

APPROVED AS TO FORM:


City Attorney, City of Carpinteria

EXHIBIT "A"

ORDINANCE NO. _____

**AN ORDINANCE OF THE CITY COUNCIL
OF THE CITY OF CARPINTERIA ADDING CHAPTER 3.45
TO THE MUNICIPAL CODE OF THE CITY OF CARPINTERIA**

THE PEOPLE OF THE CITY OF CARPINTERIA DO ORDAIN AS FOLLOWS:

SECTION 1. The Carpinteria Municipal Code is amended to add the following Chapter:

CHAPTER 3.45 - Park Maintenance Tax

Section 3.45.010 - Levy of Tax

The County of Santa Barbara Tax Collector shall levy on each parcel of property (as set forth in Section 3.45.020) located within the City of Carpinteria a special tax in the annual amount of \$36.03. The tax shall be added by the Tax Collector to the parcel's real property tax bill, and the Tax Collector shall take any and all action necessary to collect said tax.

Section 3.45.020 - Levy on Property Designated for Residential Use

The tax shall be levied by the Tax Collector only on those properties designated by the Assessor's Use Code as "residential."

Section 3.45.030 - Use of Tax Revenue

The tax, when collected, shall be used only for purposes of maintaining and improving the City's public parks, including the repair and replacement of playground and other equipment, water, maintenance contracts, and associated administrative costs.

SECTION 2. Effective Date. This ordinance shall be submitted to a vote of the people of the City pursuant to Elections Code section 9222 and Government Code section 53722, to be held on June 3, 1997.

SECTION 3. This ordinance shall be considered as adopted upon the day the vote thereon is declared by the City Council, and shall go into effect ten (10) days after that date, pursuant to Elections Code section 9217.

PASSED, APPROVED AND ADOPTED this ____ day of _____, 1997, by the following called vote:

AYES: COUNCILMEMBER:

NOES: COUNCILMEMBER:

ABSENT: COUNCILMEMBER:

Mayor, City of Carpinteria

ATTEST:

City Clerk, City of Carpinteria

I hereby certify that the foregoing Ordinance was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the ____ day of _____, 1997.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

City Attorney, City of Carpinteria

Attachment "C"

Resolution No. 4400

A Resolution of the City Council of the City of Carpinteria, Reciting the Fact of the Special Election Held on June 3, 1997, Declaring the Result and Such Other Matters as Provided by Law

RESOLUTION NO. 4400

A RESOLUTION OF THE CITY COUNCIL OF THE
CITY OF CARPINTERIA, CALIFORNIA, RECITING THE
FACT OF THE SPECIAL ELECTION HELD
ON JUNE 3, 1997, DECLARING THE RESULT AND
SUCH OTHER MATTERS AS PROVIDED BY LAW

WHEREAS, a Special Municipal Election was held and conducted in the City of Carpinteria, California, on Tuesday, June 3, 1997, as required by law; and

WHEREAS, notice of the election was given in time, form and manner as provided by law; that voting precincts were properly established; that election officers were appointed and that in all respects the election was held and conducted and the votes were cast, received and canvassed and the returns made and declared in time, form and manner as required by the provisions of the Elections Code of the State of California for the holding of elections in general law cities; and

WHEREAS, pursuant to Resolution No. 4354 adopted January 27, 1997, the County Election Department canvassed the returns of the election and has certified the results to this City Council, the results are received, attached and made a part hereof as "Exhibit "A."

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA, CALIFORNIA, DOES RESOLVE, DECLARE, DETERMINE AND ORDER AS FOLLOWS:

SECTION 1. That the whole number of votes cast in the precincts except absent voter ballots and provisional ballots was 1003.

That the whole number of absent voter ballots cast in the City was 528, the whole number of provisional ballots 1,003, making a total of 1531 votes cast in the City.

SECTION 2. That the measure voted upon at the election is as follows:

Shall an ordinance be adopted amending Title 3 of the Carpinteria Municipal Code by adding Chapter 3.45, imposing an annual special tax in the amount of \$36.03 levied and collected by the Santa Barbara County Tax Collector on the real property tax bill of all parcels designated by the Assessor's Use Code as residential within the City? Revenue shall be used to continue to maintain the City's public parks.

SECTION 3. That the number of votes given at each precinct and the number of votes given in the City for and against the measure were as listed in Exhibit "A" attached.

SECTION 4. That as a result of the election, in excess of a two-thirds majority of the voters voting on the measure relating to an ordinance adding Chapter 3.45 to the Carpinteria Municipal Code, imposing a special tax for maintenance of City public parks did vote in favor of it, and that the measure was carried, and shall be deemed adopted and ratified.

SECTION 5. The City Clerk shall enter on the records of the City Council of the City, a statement of the result of the election showing: (1) The whole number of votes cast in the City; (2) The measure voted upon; and (3) The number of votes given at each precinct for and against each measure

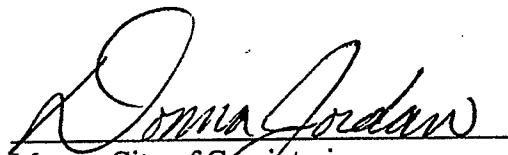
SECTION 6. That the City Clerk shall certify to the passage and adoption of this resolution and enter it into the book of original resolutions.

PASSED, APPROVED AND ADOPTED on the 23rd day of June, 1997, by the following called vote:

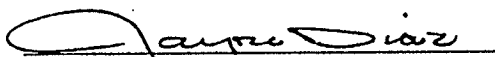
AYES: COUNCILMEMBERS: Weinberg, Ledbetter, Nielsen, Jordan

NOES: COUNCILMEMBERS: None.

ABSENT: COUNCILMEMBERS: Stein


Mayor, City of Carpinteria

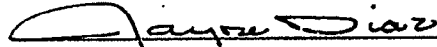
ATTEST:


City Clerk

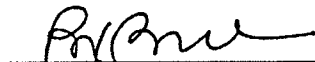
Resolution No. 4400

Page 3

I hereby certify that the foregoing Resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 23rd day of June, 1997..


City Clerk

APPROVED AS TO FORM


City Attorney, City of Carpinteria



SANTA BARBARA COUNTY
OFFICE OF
COUNTY CLERK-RECORDER-ASSESSOR

KENNETH A. PETTIT
County Clerk-Recorder-Assessor
105 E. Anapamu Street, Room 204
P.O. Box 159
Santa Barbara, CA 93102-0159

CERTIFICATION OF ELECTION
RESULTS OF THE OFFICIAL CANVASS

STATE OF CALIFORNIA)
) SS.
COUNTY OF SANTA BARBARA)

I, Kenneth A. Pettit, County Clerk-Recorder-Assessor of Santa Barbara County , do hereby certify:

That the foregoing is a full, true, and correct statement of the results of the official canvass of the returns of the June 3, 1997, Special District Election as directed by Sections 15303 and 15308 of the California Elections Code.

IN WITNESS WHEREOF, I hereunto set my
hand and affix the official seal at
Santa Barbara, California this 9th day
of June, 1997.

KENNETH A. PETTIT

COUNTY CLERK-RECORDER-ASSESSOR

SEAL

ASSESSOR

Santa Barbara — (805) 568-2550
FAX 805 568-3247
Lompoc — (805) 737-7899
Santa Maria — (805) 346-8310
FAX 805 346-8371

ELECTIONS

Santa Barbara — (805) 568-2200
FAX 805 568-2209
Lompoc — (805) 737-7705
Santa Maria — (805) 346-8378
FAX 805 346-8371

RECORDER

Santa Barbara — (805) 568-2250
FAX 805 568-2266
Lompoc — (805) 737-7705
Santa Maria — (805) 346-8370
FAX 805 346-8371

June 3, 1997

```

*****
I ISLA VISTA RECREATION
I AND PARK DISTRICT
I
I TOTAL REGISTERED VOTERS.....11,229
I PRECINCT REGISTRATION.....11,229
I PRECINCT BALLOTS CAST.....871 7.8
I ABSENTEE BALLOTS CAST.....166 1.5
I TOTAL BALLOTS CAST.....1,037
I
I I.V. REC AND PARK DIST
I SPECIAL TAX
I COMPLETE PRECINCTS : 8/ 8
I
I Yes.....895 86.8
I No.....136 13.2
I *****
I CITY OF CARPINTERIA
I
I
I TOTAL REGISTERED VOTERS.....7,830
I PRECINCT REGISTRATION.....7,830
I PRECINCT BALLOTS CAST.....1,003 12.8
I ABSENTEE BALLOTS CAST.....528 6.7
I TOTAL BALLOTS CAST.....1,531
I
I
I CITY OF CARPINTERIA
I SPECIAL TAX
I COMPLETE PRECINCTS : 8/ 8
I
I Yes.....1,181 77.5
I No.....343 22.5
I *****
I S.M. VALLEY WATER CON
I DIST. SPECIAL IMP. DIST1
I
I TOTAL REGISTERED VOTERS.....374
I PRECINCT REGISTRATION.....374
I PRECINCT BALLOTS CAST.....112 29.9
I ABSENTEE BALLOTS CAST.....0 0.0
I TOTAL BALLOTS CAST.....112
I
I
I S.M. VALLEY WATER CON
I DIST SPECIAL ASSESSMENT
I COMPLETE PRECINCTS : 9/ 9
I
I Yes.....66 58.9
I No.....46 41.1
I *****

```


[illegible]

STAFF REPORT
COUNCIL MEETING DATE:
January 27, 2014

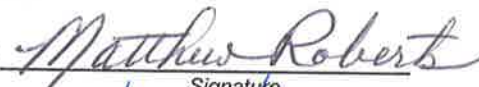
Item for City Council Consideration:

2013 annual Integrated Pest Management Program Report.

Report prepared by: Matthew Roberts

Department: Parks and Recreation

City Manager


Signature

Signature

Staff Recommendation:

Action Item: Non action item: ☒

Accept the report to be made available for public review and kept on file with the City Clerk.

Sample Motion: I move to accept the 2013 annual IPM Program Report to be made available for public review and kept on file in the City Clerk's office.

I. BACKGROUND:

On January 9, 2012, the City Council adopted Resolution No. 5355 thereby establishing an Integrated Pest Management Policy for the City of Carpinteria. The policy is intended to reduce the use of pesticides at City owned facilities including parks and streets while still maintaining safety and aesthetics. This effort requires year round management as well as the publication of an annual report that summarizes the City's pesticide use, employment of IPM practices and recommendations for the next year.

The first annual IPM report was accepted by the City Council on January 14, 2013.

The report has been prepared by City Staff and is presented to the City Council for review, comments and acceptance.

II. POLICY:

The implementation of the IPM policy will have long term beneficial effects on the overall health of the City. IPM does not prohibit the use of pesticides, rather it requires that the least toxic method be considered before more toxic approaches are implemented. Public exposure to pesticides has always been minimized by following product labels and common sense practices; however, implementation of the IPM program can help to reduce exposure potential and encourage Carpinteria residents to enjoy their public parks and facilities without concern of being exposed to harmful substances.

III. FINANCIAL CONSIDERATIONS:

IPM practices have different costs than conventional methods of pest control. In some cases cost can be higher and in others costs can be lower. If practiced over time, IPM tactics should lower costs and simultaneously improve environmental conditions.

IV. LEGAL ISSUES:

No legal issues are presented as a part of this report.

V. ATTACHMENTS:

The 2103 Integrated Pest Management Annual Report.



City of Carpinteria
Integrated Pest Management

Annual Report 2013



5775 Carpinteria Avenue
Carpinteria, CA 93013
(805) 684-5405
www.Carpinteria.ca.us

Integrated Pest Management 2013 Annual Report

Table of Contents

- Committee Member List
- Introduction
- Program Summary and IPM Practices Employed
 - Weed and Invasive Plant Control
 - Rodent and Insect Control
 - Sustainability and Turf Grass Management
 - Signage in Parks
- Conclusions

Appendix

Meeting Notices

IPM Policy Resolution

Green Materials List

IPM Committee Members

Will Carlton, Organic Farmer

Trish Stone-Damen, Co-Director SBCC Center for Sustainability

Michael Gonella, Professor of Environmental Horticulture, SBCC

Ben Pitterele, ChannelKeeper, Director of Watershed Programs,

Mary Ann Rajala, Supervising Biologist Santa Barbara County Agricultural Commission

Jay Sullivan, Former Physical Plant Administration for Goleta Union School District and UCSB

Corey Welles, Plant Healthcare Coordinator, Lotusland

CITY STAFF

Matt Roberts, Parks and Recreation Director

Paul Medel, Public Works Supervisor

Ann Meyer, Management Assistant for the Parks and Recreation Department



City of Carpinteria

Integrated Pest Management

2013 Annual Report

Introduction

The Carpinteria City Council established the Carpinteria City's IPM policy by adopting resolution No.5355 at its regular meeting of January 9, 2013. The policy establishes an IPM committee of up to nine members. The goal of IPM is to mitigate pest damage to City property while protecting human health, the environment and economic viability.

The City policy has ten basic tenants.

1. Establishes the goal of reducing or eliminating the use of toxic pesticides through the use of common sense principals of IPM. Use of IPM tactics such as mulching, hand or mechanical weeding and rodent trapping will be the first line of defense.
2. Establishes the use of IPM methodology for selecting the appropriate pest intervention options.
3. Commits the City to establish pesticide free zones where no pesticides will be used unless an emergency arises. These areas will include park play structures and picnic areas.
4. References the use of IPM tactics from reputable mainstream sources; specifically the University of California at Davis, the California Invasive Plant Council and the California Department of Pesticide regulation.

5. Avoids whenever possible the contamination of buildings, soil, air, and water and protects people, animals and beneficial plants and insects from toxic exposures.
6. Establishes the requirement that all personnel involved in pest management at the City receive training and continuing education on the use of pesticides.
7. Requires cooperation and communication between City departments and City Contractors by setting standard operating procedures for the control of pests.
8. Established an IPM Advisory Committee (IPMAC) with up to nine members that includes representation from the Parks and Recreation Department, The Public Works Department, The Bluffs Advisory committee, and at least two at large community members that can make recommendations to the City Council on implementation and amendment of the IPM policy.
9. Established a public outreach and information program to help others in the community reduce the use of pesticides.
10. Establishes the effective public notification will be implemented when pesticides are used. The utilization of a pesticide Hazard and Exposure reduction (PHAER) Zone system is to be utilized to help accomplish the public notification objective. The PHAER Zone system also included the creation of a color metric pesticide list potentially used by the City that groups pesticides into three groups with low (green) medium (yellow) and high (red) toxicity.

The IPM policy requires the preparation of an annual report to disclose the pest issues encountered and tactics used to combat the problem including pesticide use, if any, in City facilities. This report will be filed with the City Clerk for public inspection and presented to the City Council.

During 2013 the IPM committee met seven times. A wide variety of topics were discussed including park signage, turf grass management and compost tea treatments, honey bees, right of way weed control, soil erosion and other topics. The IPM Committee meeting agendas are included as an appendices to this report.

The City continued maintenance operations with the use of only green material pesticides in almost all areas. Termite control at the lifeguard kiosk at Holly Avenue and the Community Pool Bath House are the exception.

No pesticides were applied to any of the city's parks, picnic areas and playgrounds. These areas did not require much in the way of pest abatement and no request to use pesticides was made. However, during the reporting period, Carpinteria has suffered the impacts of a severe drought. Lack of rainfall has almost completely retarded weed growth thereby minimizing weed control in non-irrigated areas. Some irrigated areas in new native planting are experiencing invasive weeds problems.

2013 Weed and Invasive Plant Control

In the City's right of ways green list or organic herbicides were applied to control weed growth. These were utilized on a trial basis to determine the efficacy of each product. In order for organic herbicides to perform optimally the daytime temperature and the maturity of the target weed must be optimal to achieve the best results. The following product were used;

All Down Herbicide (organic) 5 gallons This product is a nonselective herbicide that acts on contact. It is considered very safe for the environment and has little evidence that exposure risks are present except for the possibility of acute skin or eye irritation. The product is not systemic and will cause a die back but is not considered effective on perennial weeds. It will burn only those plant parts that are coated with spray solution Its active ingredients are Citric Acid $C_6H_8O_7$ + Acetic Acid $C_2H_4O_2$.

Avenger Herbicide (organic) 5 gallons is a non-selective, post-emergence contact herbicide that kills weeds, grasses and broadleaves. The active ingredient d-limonene (citrus oil) naturally strips away the waxy plant cuticle, causing it to dehydrate and die back. The product is not systemic and will cause a die back but is not considered effective on perennial weeds. It will burn only those plant parts that are coated with spray solution

Eco Exempt HC Herbicide (organic) 10 gallons is a contact, non-selective, broad spectrum, foliar-applied herbicide. It will only control actively growing green vegetation. It provides burn down of both annual and perennial broadleaf and grass weeds. The degree of burn down and longevity of control is less when the plants are inactive, mature or biennial/perennial types. It is not systemic. It will burn only those plant parts that are coated with spray solution Its active ingredients are 2-Phenethyl Propionate 21.4% and Eugenol (Clove Oil) 21.4%.

The quality of the weed control using the above materials was far below the results achieved when glyphosate¹ is used. Only the most tender sprouts showed die back. Established weeds were marginally affected and recovered. The application timing and the day time temperatures must be ideal in order to obtain better results adding to the difficulty in their use.

According to the United States Department of Agriculture invasive plants can cause the following damages:

¹ glyphosate is the most popular herbicide in North America. In 2007, as much as 185 million pounds of glyphosate was used by U.S. farmers, double the amount used six years ago, according to Environmental Protection Agency (EPA) data.

Contaminate or outcompete crops.
Decrease forage value of rangeland and pastures.
Displace valuable wildlife habitat.
Eliminate waterfowl migration stops.
Reduce property values and ability to acquire loans.
Alter ground water reserves
Change the aesthetics of the landscape.
Degrade our natural heritage and educational value
Threaten biodiversity and research value.
Increase fire threats
Compromise roadside visibility and safety.
Attract wildlife to roadside and add to cost of roadside maintenance

For information about invasive plants visit the California Invasive Plant Council website.
<http://www.cal-ipc.org/>

Consideration by the City for glyphosate herbicide applications for specific locations with invasive weeds were discussed by the IPM committee in 2013. Invasive weeds are introduced species that become aggressive when moved without their natural competition to a new environment. Bermuda grass is an example of such a plant. It was introduced from Africa (not Bermuda) in 1751 and is threatening the success of the native plantings along the Palm to Linden trail project.

The Palm to Linden Trail project is infested with Bermuda grass especially on its east end. This infestation threatens the viability of the native plantings that were installed as a part of the native landscaping. Over the last eighteen months the bermuda grass has been hand weeded, heavily mulched, and solarized in some locations. These methods are listed by cal-ipc on their website as viable control methods.² Manual removal is effective but perpetual. The cost associated with the hand control is considerable and on-going. The monthly maintenance costs of the trail area is currently \$600 with much of the time allocated dedicated to Bermuda grass control.

Staff recommends making a series of glyphosate applications to gain control of the site. The IPM committee has heard this concern and has been informed of the intent to make glyphosate application when the time is right. The timing of such an effort would be in the mid to late spring of 2014 when the Bermuda grass is actively growing unless winter rains do not come and the area remains dry.

² <http://www.ipm.ucdavis.edu/PMG/PESTNOTES/pn7453.html>

Also in anticipation of winter rains the Public Works Department made a request to use herbicides in the right of way in area displayed on application zone maps. The Department prepared these maps of areas that they believed required glyphosate herbicide application when appropriate after winter rains. The areas excluded application sites near schools. The IPM committee gave consideration to the request at it's November 18 meeting and requested additional information. Rain fall has not occurred yet this winter and weed control in the right of way has not become a safety issue.

2013 Rodent and Insect Control

Rodent and insect control at City Buildings was performed with the following products;

For rats and mice, TeraD3 Rodenticide Bait (organic) 10.8 pounds is the first and only rodenticide registered by the EPA for 'organic production. This product was used around the Veteran's Building and City Hall. It contains Cholecalciferol as its active ingredient. Cholecalciferol is also known as Vitamin D3. High levels of D3 is lethal to rodents. For humans D3 is a dietary supplement that when taken in the correct dose may have health benefits. Gopher control will be discussed later in this report.

For ant control Eco PCO WPX Insecticide (organic) 1.25lb. Made of all natural, botanical insecticides: Phenethyl propionate, 3% Thyme oil, 5%, Pyrethrins, .05%. It is listed as an organic material. This product was used at City Hall and the Veteran's Building.

Spectracide Terminate Termite Killing Foam 32 oz was used for termite control at the Carpinteria Community Pool bathhouse and to an ocean lifeguard kiosk. Terminate Termite Killing Foam contains low concentrations Lambda cyhalothrin. The low concentration solution is considered a non-restrictive use material. This is an insecticide that belongs to the pyrethroid chemical class of pesticides. It was registered with the EPA in 1988 and is widely available at retail hardware stores.

For Psyllid control on Tipuana Tipu trees a trunk injection on Linden and Carpinteria Avenues was conducted with Pointer Insecticide 12 oz. It has as its active ingredient Imidacloprid. For systemic insect control in a wide variety of ornamental trees in utility rights-of-way, urban environments, residential areas, and interior landscapes (such as those in domestic landscape/garden areas, public display plantings, recreation areas, highway and other transportation rights-of-way, scenic corridors, storage areas, forest areas, campgrounds and other uncultivated, nonagricultural areas). It is intended for use by professional arborists/applicators, foresters, grounds maintenance professionals and landscapers. To be used only with

the Arbor Systems Direct-Inject Tree Injection Systems. The application was for a Psyllids³. An injectable insecticide was used instead of spraying as it was thought to be an application technique with the lowest possibility of unintended public exposure.

Another area of constant effort is in the control of gophers. Pocket gophers invade parks, yards and gardens, feeding on many garden crops, ornamental plants, vines, shrubs, and trees. A single gopher can inflict considerable damage in a very short time. Gophers gnaw and damage plastic water lines and lawn sprinkler systems. Their tunnels may divert and carry off irrigation water, which leads to soil erosion and inefficient water use. Mounds on lawns interfere with mowing equipment and collapsing tunnels can create tripping hazards. Sports fields can suffer expensive damage if a gopher infestation occurs.

The California Fish and Wildlife Code classifies pocket gophers as nongame mammals. This means that if a gopher is causing property damage you can control them at any time and in any legal manner.

City staff has invested considerable time in trapping gophers with an emphasis on the El Carro, Viola and Linden Fields. In 2013, the parks department set and checked gopher traps at least 77 different days catching over 150 gophers. The maintenance service that cares for Monte Vista and Carpinteria Creek Park invested additional time and resources. Consequently the estimated cost for gopher control using trapping methods including the cost of traps has exceeded \$7,000.00 for the calendar year. In addition, traps are being lost due to theft and in some cases the traps have been removed by folks hoping to protect the gophers. Because trapping techniques require observing active gophers, field damage is going to occur.

One option is to hire a gopher trapping service to assist staff in gopher control. Staff is investigating costs at this time.

Staff is also considering that in conjunction with trapping, fumigation with aluminum phosphide be utilized in the sports fields at least once a year during low park use periods to reduce the hours required to maintain control by trapping. This material was

³ Psyllids resemble miniature cicadas and are sometimes called jumping plantlice. Over 100 species occur on both native and introduced landscape plants in the United States. Several psyllid species are pests of crops such as pear, potato, and tomato. Each kind of psyllid feeds on only one plant species or closely related group of plants. Most psyllids native to the United States are relatively uncommon and rarely become pests. Most pest psyllids in California are exotic species inadvertently introduced from other countries. Psyllids suck plant juices. Some secrete a white wax and all produce honeydew, sometimes in pelletized or crystallized form, on which blackish sooty mold grows. High psyllid populations reduce plant growth or cause terminals to distort, discolor, or die back. The sidewalks under the treated trees had developed a unattractive sticky black coating on the sidewalks in the downtown resulting in the decision to treat.

used for many years prior in the City's Park system with reliable and safe results. According to the US-EPA, it is non-persistent and non-mobile in the soil environment and poses no risk to groundwater. Aluminum phosphide is registered for use against gophers. Applicators must be certified to use this material.

Use of aluminum phosphide must be done in strict accordance with safety protocols to prevent accidental exposure. The material is considered highly toxic if inhaled. It is not considered a carcinogen. Accidental exposure risk is low for dermal or oral modes. The material is placed underground and evaporates so when properly applied accidental exposure risk is low. As with any toxic material, use would be accompanied with ample onsite signage advising the public of its use.

Sustainability and Turf Grass Management

Viola Fields and El Carro Park

Viola Fields suffers from marginal or poor soils. Over the last four years the Parks Department has applied compost as a soil amendment immediately following an aeration. In clay soils, good quality compost will improve structure, reduce surface crusting and compaction, promote drainage, and provide nutrients. In sandy soils, compost increases water and nutrient retention, supplies nutrients, and increases microbial activity. These improvements promote faster turf establishment, improved turf density and color, increased rooting, and less need for fertilizer and irrigation. The Viola Field effort continues to prove successful. In all, over four years, 280 cubic yards of compost have been added to the field.

At El Carro Park, the compost applications have also been made but with less frequency. In 2011 an application was made to the soccer field. In 2013, an application was made to the baseball outfields. In total 140 cubic yards have been added to the fields there. The purpose of the application in this park was to not only get all of the benefits of adding organic materials to improve the soil, but also to provide additional soils to improve the condition of the playing surface. These applications have resulted in good results with greater turf vigor, color and with a reduction of compaction.

The quantity of compost applied to the fields could be increased substantially in order to achieve more rapid results but is now applied within budget constraints.

Compost Tea Trial at Viola Fields

Compost tea is an organic solution of organic matter mixed with water and air. It is often used as an alternative to other fertilizing and pesticide applications. The benefits associated with compost tea include reduction in chemical runoff from the root zone of plants and turf. The main difference between an organic compost application and an

organic tea application is that the tea is intended to introduce desired live bio-organisms into the soil matrix to promote soil health. Organic compost, on the other hand, is introducing organic material and assists the bio-organisms already present in the soil to thrive.

During this past year, the Parks Department conducted a compost tea test on Viola Fields, with the assistance and supervision of Corey Welles and Mike Gonella of the IPM Committee. The tea was sprayed over a test area of approximately 2,500 sq. ft and was applied several times over the course of six weeks. The areas that received the brew are being observed for effects to determine the level of benefits that may be derived from the application. The Santa Barbara City College sustainability class has visited the site to make close observations and expects to generate a report on their findings. In order to be highly effective in the long term, the applications would need to be repeated routinely, as often as monthly.

The IPM Committee reached a consensus of a recommended progressive three tier turf program which includes the following:

1. Tier One
 - Mulch all clippings back into lawn
 - Aerate twice annually
 - Fertilize using organic granular material
 - Top dress with organic compost annually
2. Tier Two
 - Vertically cut soil and combine and over-seed in winter with grasses designed for winter growth
3. Tier Three
 - Monthly soaking with compost tea

The Parks Department is currently proceeding using tiers one and two and will observe the test area to help determine whether compost tea is be an effective constituent of the turf program.

Mulching

Using mulch is a good park, garden and right of way maintenance practice. The benefits include:

- Reduces weed growth thereby reducing the need for herbicides or mowing.
- Holds in soil moisture and conserves water.

- Helps to keep the root zone temperatures at a beneficial level.
- Organic mulch such as wood chips adds nutrition to your garden as it breaks down.

The City continues to stockpile wood chip mulch at Carpinteria City hall that is then used for park and right of way uses and is made available to the public for free. The wood chip mulch is generated from City street and park tree maintenance work. The general guidelines for the free pick up and use is that the material is for private residential use and not for professional landscapers. Truckloads of wood chip mulch have been utilized in several city parks such as Tar Pits Park and El Carro Park.

Honey Bees Presentation

In March the committee received a presentation from Nick Wagle of the Santa Barbara Bee Keepers Association. It was discussed how bees are an important element in our agricultural food chain and how the bee population has been on a steady decline over the last number of years due to several factors, including the use of pesticides. This decline may not seem evident when bees are swarming at our schools and in our parks or even at home. There are several alternatives to preserve bee hives instead of exterminating them and resources are available for free bee collection services. Installing bee boxes is another way to collect and help save the bees. The City has had a couple instances of bee swarms in our parks over the past few years, most recently at Heath Ranch Park. The bees were properly collected, removed and preserved.

Park Signage Development

In order to inform the public about the IPM policy adopted by the City, the IPM Committee has designed some park signage to be installed in the City's park system. In 2013, the IPM committee reviewed park signage that will inform park visitors of the IPM goals. The sign for each location shares the same design but with a unique park photo. El Carro Park, Carpinteria Creek Park, Heath Ranch Park, Memorial Park and Monte Vista Park are scheduled to have these signs installed. The signs feature a QR Code that if scanned by a smart phone, will take the viewer to the City's Park Department website for additional park information. An example of the Monte Vista park sign is below.

This Park is Green for your Health and Safety MONTE VISTA PARK



This Park is operated as a GREEN park by the City of Carpinteria. Only GREEN materials are used here unless 48 hours notice is posted. For more information about GREEN materials visit our website or call.

Este parque es operado como un parque VERDE por la Ciudad de Carpinteria. Sólo los materiales VERDES se utilizan aquí menos 48 horas de aviso se publica. Para obtener más información acerca de los materiales VERDES visite nuestro sitio web o llame al.

http://www.carpinteria.ca.us/parks_rec/index.shtml

(805) 684 5405 x449

Conclusions.

1. Use of manual methods to remove rodents and weeds continues to be the first line of defense. With weeds and invasive plants, the lack of rain has helped tremendously to keep the need for herbicides minimal. When rains return, the challenge will be greater. The opportunity to become familiar with green list herbicides with their use in 2013 yielded poor results, but as little weed growth has occurred due to the lack of rain, the situation has been manageable.

2. With gophers, the challenge has been in keeping up with the population that can invade from all adjacent properties. Staff time has been diverted to contain heavy populations and the cost is higher than anticipated. Staff is considering outsourcing some of the trapping and also the use of rodenticide to get an upper hand while continuing trapping to save staff time and money.
3. Park signage will soon be installed to promote the safer healthful park land found in Carpinteria.

**Integrated Pest Management
2013 Annual Report**

IPM Committee Meeting Agendas

Meeting Notice

IPM Advisory Committee

Monday, January 14, 2013

3:30- 5:00 PM

**City Of Carpinteria
City Hall
5775 Carpinteria Avenue
Carpinteria, CA 93013**

(805) 684-5405 x449

AGENDA

- 1) Call to Order/Roll Call / Announcements
- 2) Public Comments (regarding matters not on this agenda)
- 3) Review IPM 2012 Annual Report
- 4) Review sample signage for Green Parks/Fields/Open Spaces
- 5) Radiant Heat WEEDERS For Hardscape
 - a) <http://www.pesticide.org/solutions/home-and-garden-toolbox/landscape-and-plant-solutions/radiantheatweeders.pdf>
 - b) <http://www.thermalweedcontrol.com/>
 - c) http://www.chemfree-weedcontrol.com/lady_page.php
- 6) Gas Blowers
 - a) <http://www.greenwichcalm.org/apps/blog/entries/show/6583443-health-hazards-of-leaf-blowers>
- 7) Coordination with CUSD
- 8) Topics for Next Meeting
- 9) Next Meeting Date: _____
- 10) Adjournment

Meeting Notice

IPM Advisory Committee

Monday, March 11, 2013

3:30- 5:00 PM

**City Of Carpinteria
City Hall
5775 Carpinteria Avenue
Carpinteria, CA 93013**

(805) 684-5405 x449

AGENDA

- 1) Call to Order/Roll Call / Announcements
 - 2) Public Comments (regarding matters not on this agenda)
 - 3) Park signage for Green Parks/Fields/Open Spaces - time frame
 - 4) Review turf compost tea test parameters/time frame/goals
 - 5) Coordination with CUSD
 - 6) Coordination with State Parks
 - 7) Weeding 8th Street (flamer, volunteers, BurnOut)
 - 8) Beekeeping - Nick Wigle
 - 9) Topics for Next Meeting
 - 10) Next Meeting Date:
 - 11) Adjournment
-

Meeting Notice

IPM Advisory Committee

Monday, April 29, 2013

3:30- 5:00 PM

City Of Carpinteria

City Hall

5775 Carpinteria Avenue

Carpinteria, CA 93013

(805) 684-5405 x449

AGENDA

- 1) Call to Order/Roll Call / Announcements
 - 2) Public Comments (regarding matters not on this agenda)
 - 3) Park signage for Green Parks/Fields/Open Spaces
 - a) Add to other city properties that are Green (8th street, Linden, etc.)
 - 4) Review turf compost tea test parameters/time frame/goals
 - 5) CUSD and 8th Street Spraying
 - 6) Weed Volunteers
 - 7) Add Beekeepers to IPM Plan and Bee boxes to city
 - 8) Response to Request for Exemption to Green List
 - a) Andrea (Kikuyu on Bluffs)
 - b) Matt Roberts - Bermuda on Palm to Linden Trail
 - c) Paul Medel - weeds on ROW.
 - 9) Topics for Next Meeting
 - 10) Next Meeting Date: Suggested - May 27, 2013
 - 11) Adjournment
-

Meeting Notice

IPM Advisory Committee

Monday, June 17, 2013

3:30- 5:00 PM

**City Of Carpinteria
City Hall**

**5775 Carpinteria Avenue
Carpinteria, CA 93013**

(805) 684-5405 x449

AGENDA

- 1) Call to Order/Roll Call / Announcements
 - 2) Public Comments (regarding matters not on this agenda)
 - 3) Park signage for Green Parks/Fields/Open Spaces
 - a) Add to other city properties that are Green (8th street, Linden, etc.)
 - 4) Review turf compost tea test Results/plan/equipment
 - 5) Weed eradication (Palm to Linden trail- Glyphosate/sheet mulching)
 - 6) Weeding (public works) - Plan
 - 7) Native Plant Restoration Plan For City Property (Public Works and Parks)
 - 8) Honey Bee Haven
 - 9) Topics for Next Meeting
 - 10) Next Meeting Date: Suggested - July 29, 2013
 - 11) Adjournment
-

Meeting Notice

IPM Advisory Committee

Monday, August 5, 2013

3:30- 5:00 PM

**City Of Carpinteria
City Hall
5775 Carpinteria Avenue
Carpinteria, CA 93013**

AGENDA

- 1) Call to Order/Roll Call / Announcements
 - 2) Public Comments (regarding matters not on this agenda)
 - 3) Update on Park signage for Green Parks/Fields/Open Spaces and other city properties that are Green (8th street, Linden, etc.)
 - 4) Review turf compost tea test Results/plan/equipment
 - 5) Restoration of tarpits park “wetland” -Visit
 - 6) Weeding (public works) – Extreme product?
 - 7) Native Plant Restoration Plan For City Property (Public Works and Parks)
- Update
 - 8) Topics for Next Meeting
 - 9) Next Meeting Date: Suggested – September 16, 2013
 - 10)Adjournment
-

Meeting Notice

IPM Advisory Committee

Monday, October 7, 2013

3:30 - 5:00 PM

**City Of Carpinteria
City Hall**

**5775 Carpinteria Avenue
Carpinteria, CA 93013**

(805) 684-5405 x449

AGENDA

- 1) Call to Order/Roll Call / Announcements
 - 2) Public Comments (regarding matters not on this agenda)
 - 3) Update on Park signage for Green Parks/Fields/Open Spaces and other city properties that are Green (8th street, Linden, etc.)
 - 4) Review turf compost tea test Results/plan/equipment
 - 5) Restoration of Tarpits Park “wetland” -Visit
 - 6) Discuss Ban on Gas Blowers
 - 7) Topics for Next Meeting
 - 8) Next Meeting Date: Suggested -
 - 9) Adjournment
-

Meeting Notice

IPM Advisory Committee

Monday, November 18, 2013

3:30- 5:00 PM

**City Of Carpinteria
City Hall
5775 Carpinteria Avenue
Carpinteria, CA 93013**

(805) 684-5405 x449

AGENDA

- 1) Call to Order/Roll Call / Announcements
- 2) Public Comments (regarding matters not on this agenda)
- 3) **New-** Reports by community committee members

Old Business

- 4) Update on Park signage for Green Parks/Fields/Open Spaces and other city properties that are Green (8th street, Linden, etc.)
- 5) Review turf Plan Proposal
- 6) Restoration of Tarpits Park “wetland”

New Business

- 7) Annual report Topics
- 8) Zone Maps - locations for Sraying/Non-Spraying
- 9) Topics for Next Meeting
- 10) Next Meeting Date: Suggested - January 6 or 13
- 11) Adjournment

**Integrated Pest Management
2013 Annual Report**

IPM Policy Resolution

RESOLUTION NO. 5355
A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA
ESTABLISHING AN INTEGRATED PEST MANAGEMENT POLICY FOR
CARPINTERIA OPERATIONS AND MAINTENANCE

WHEREAS, The City of Carpinteria has an interest in maintaining a healthy, safe, and sustainable environment in the City and has historically used Integrated Pest Management (IPM) strategies to achieve recreational (both active and passive), ornamental, habitat, public health and safety and operational benefits; and

WHEREAS, Exposure to toxic pesticides can be harmful to people, animals and the environment and the reduction thereof can be beneficial to the health and safety of the community; and

WHEREAS, Well established IPM protocols exist that reduce or eliminate the use of toxic pesticides and can be successful in the management and elimination of invasive and dangerous pests such as weeds, destructive insects or destructive animals; and

WHEREAS, IPM protocols, can result in a significant reduction in the use of toxic pesticides thereby reducing the potential for exposure to people, animals and the environment; and

WHEREAS, the Carpinteria City Council after receiving a staff report from City Staff on October 10, 2011, outlining the practices of an Integrated Pest Management Policy has directed staff to prepare a written IPM policy for adoption:

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CARPINTERIA DOES RESOLVE AS FOLLOWS:

To adopt this Integrated Pest Management Policy (IPM) that reduces or eliminates toxic pesticide use by the City and that incorporates IPM into all City maintenance and operations of parks, public road right-of-way, landscapes, open spaces and buildings. This policy will apply to work by contractors hired by the City, volunteers working on behalf of the City, and by City employees.

IPM Defined

IPM is a problem-solving approach to property management intended to prevent, control and eliminate undesirable weeds, insects and rodents. IPM practices will result in the reasonable use of the least toxic method to achieve the desired pest control goal, but doesn't necessarily eliminate the use of pesticides. When a pest or weed is expected to exceed a predetermined damage threshold, appropriate pest control strategies are to be considered in a hierarchical order with the least toxic method first. Public notifications and site controls are advantageous when pesticides are used in parks. In public road

right-of-ways these tactics may not be consistent with traffic safety operations. Informing the public about right-of-way applications may be made by general public notice. Disinfectants used to protect human health are excluded.

Pests Defined

Pests are undesirable plants, insects, fungi, molds and rodents. Common examples in the landscapes are kikuyu grass, crabgrass, various ivies, poison oak, termites, gophers, moles and ground squirrels. Weeds can become a significant problem in athletic fields and other public grounds. They can destroy or overtake large areas of turf resulting in lack of playability, large renovation costs, and unsafe conditions. Tall weeds along roadways can block the line of sight views of motorists and create safety issues for pedestrians and bicyclists. Invasive weeds in natural areas can destroy sensitive native habitat and reduce populations of native plants and animals. The Common pests in buildings are ants, termites, mice and other rodents that thrive when food and other conditions are available. They can create hygiene and safety problems, cause damage to building structures and spread disease.

This Policy hereby;

1. Establishes the goal of reducing or eliminating the use of toxic pesticides through the use of common sense principles of IPM. Use of IPM tactics such as mulching, hand or mechanical weeding and trapping will be the first line of defense.
2. Establishes the use of IPM methodology for selecting the appropriate pest intervention options.
3. Commits the City to establish pesticide free zones where no pesticides will be used unless an emergency arises. These areas will include park play structures and picnic areas.
4. References the use of IPM tactics from reputable mainstream sources; specifically the University of California at Davis⁶ the California Invasive Plant Council⁷ and the California Department of Pesticide Regulation⁸.
5. Avoids whenever possible the contamination of buildings, soil, air, and water and protect people, animals and beneficial plants and insects from toxic exposures.
6. Establishes the requirement that all personnel involved in pest management at the City receive training and continuing education on the use of pesticides.
7. Requires cooperation and communication between City Departments and City contractors by setting standard operating procedures for the control of pests.
8. Establishes an IPM Advisory Committee (IPMAC) with up to nine members that includes representation from the Parks and Recreation Department, The Public Works Department, The Bluffs Advisory Committee, and at least two at large community members that can make recommendations to the City Council on implementation and amendment of the IPM policy.

⁶ UC Davis IPM website: <http://www.ipm.ucdavis.edu>

⁷ California Invasive Weed Council website: <http://www.cal-ipc.org>

⁸ California Department of Pesticide Regulation website: <http://apps.cdpr.ca.gov>

9. Establishes a public outreach and information program to help others in the community reduce the use of pesticides.
10. Establishes that effective public notification will be implemented when pesticides are used. The utilization of a Pesticide Hazard and Exposure Reduction (PHAER) Zone system is to be utilized to help accomplish the public notification objective. The PHAER zone system also includes the creation of a color metric pesticide list potentially used by the City that groups pesticides into three groups with low (green) medium (yellow) and high (red) toxicity.
Requires the preparation of an annual report to disclose pest issues encountered and tactics used to combat the problem including the pesticide use, if any in City facilities. This report will be filed with the City Clerk for public inspection and presented to the City Council if desired.

The City will minimize toxic pesticide use for aesthetic purposes. The City recognizes that the safe use of playing fields to prevent injuries from recreational and organized team activities may require the use of pesticides. In the event this is necessary the application will follow the IPM application and notification guidelines as outlined in this Policy.

PASSED, APPROVED AND ADOPTED 9th day of January, 2012 by the following called vote:

AYES : COUNCILMEMBER: Armendariz, Carty, Reddington, Stein, Clark

NOES: COUNCILMEMBER: None

ABSENT: COUNCILMEMBER: None



MAYOR, CITY OF CARPINTERIA

ATTEST:



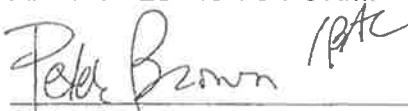
City Clerk, City of Carpinteria

I hereby certify that the foregoing Ordinance was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 9th day of January, 2012.



City Clerk, City of Carpinteria

APPROVED AS TO FORM:



Peter Brown, City of Carpinteria

**Integrated Pest Management
2013 Annual Report**

Green List of Pesticides

City Of Carpinteria Proposed Green Materials List.

Product Name	Active Ingredient	ZONE	Tier	Type
Acelepryn	Chlorantraniliprole	Green	3	Insecticide
Advance Ant Bait	Orthoboric Acid	Green	3	Insecticide
Advion Roach Stations (enclosed)	Indoxacarb	Green	3	Insecticide
All Down	citric acid, acetic acid, garlic	Green	3	Herbicide
Any brand name	Orthoboric Acid	Green	3	Insecticide
Avert Cockroach Bait Station	Abamectin B1 0.05%	Green	3	Insecticide
Avert Cockroach Gel Bait	Abamectin B1 0.05%	Green	3	Insecticide
Bactimos Pellets	Bt	Green	3	Insecticide
Bactimos Wettable	Bt	Green	3	Insecticide
Bio-Weed	corn gluten	Green	3	Herbicide
Borid Turbo	Orthoboric Acid	Green	3	Insecticide
BurnOut 2	clove oil	Green	3	Herbicide
Cease Biofungicide	B. subtilis	Green	3	Fungicide
Cinnamite	cinnamaldehyde	Green	3	Insect/Fung
Conserve	spinosad	Green	3	Insecticide
Dipel Flowable	Bt	Green	3	Insecticide
Drax Ant Kill	PF Orthoboric Acid	Green	3	Insecticide
EcoExempt	Wintergreen Oil	Green	3	Herbicide
EcoExempt	D 2-Phenethyl propionate / Euginol	Green	3	Insecticide
Embark	mefluidide	Green	3	Growth Regulator
GreenErgy	Citric, Acetic Acid	Green	3	Herbicide
Kaligreen	potassium bicarbonate	Green	3	Fungicide
Matran	clove oil	Green	3	Herbicide
Natura Weed-A-Tak	clove oil	Green	3	Herbicide
Niban	Isoboric Acid 5%	Green	3	Insecticide
Safer Soap	potassium salts of fatty acids	Green	3	Insecticide
Sluggo	iron phosphate	Green	3	Insecticide
Summit BTI Briquets	Bt	Green	3	Insecticide
Teknar HP-D	Bti	Green	3	Insecticide
Terro II	Orthoboric Acid	Green	3	Insecticide
Vectobac G	Btk	Green	3	Insecticide
VectoLex CG	Green 3 bacillus sphaericus	Green	3	Insecticide
Victor Wasp and Hornet Killer	Mint Oil 8% & Sodium Lauryl Sulfate 1%	Green	3	Insecticide

STAFF REPORT
COUNCIL MEETING DATE
January 27, 2014

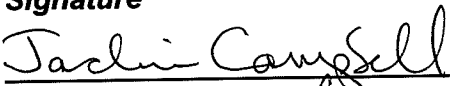
ITEM FOR COUNCIL CONSIDERATION

ADOPTION OF RESOLUTION No. 5500, ESTABLISHING A SUSTAINABLE COMMUNITY POLICY

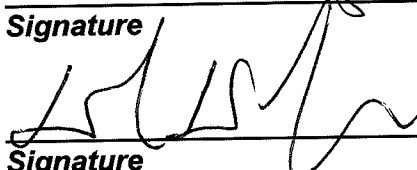
Report prepared by: Shanna R. Farley-Judkins, Assistant Planner
Department: Community Development


Signature

Reviewed by: Jackie Campbell
Community Development Director


Signature

City Manager: Dave Durflinger


Signature

STAFF RECOMMENDATION

ACTION ITEM X ; NON-ACTION ITEM ____

ADOPT RESOLUTION 5500, ESTABLISHING A SUSTAINABLE COMMUNITY POLICY.

SAMPLE MOTION: I MOVE THAT THE CITY COUNCIL ADOPT RESOLUTION No. 5500, A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA ESTABLISHING A SUSTAINABLE COMMUNITY POLICY, AS READ BY TITLE ONLY.

I. BACKGROUND

At the City Council's Annual Work Plan Meeting last year on January 26, 2013, the Council approved a three-pronged approach to develop a Sustainable Community Policy as a goal of the General Government – Legislative and Policy Program. The City Council identified that the Sustainable Community Policy would include an overarching set of policies which would provide a structure to develop programs and projects that support and promote the concepts of sustainability and resiliency in the community. The three-pronged approach would include the following areas of focus: Economic Vitality, Environmental Stewardship, and Community Health, Safety and Wellness.

The purpose of this agenda item is to allow the City Council to consider taking action that would implement public policy by establishing a Sustainable Community Policy. The proposed Sustainable Community Policy creates an umbrella program that will guide and direct policy and regulation while complementing existing guidance in the General Plan and Municipal Code, as well as promoting the intent of the City's Mission Statement.

The primary intent of the policy is to develop a cohesive list of actions to be achieved by the City in the next 10 to 20 years and provide the basis from which effective and sustainable decisions can be made. It is envisioned that the document would evolve with the needs and desires of the community and would remain dynamic to meet the changing needs of the community and to keep the document current as new data and information emerge. The policy will also help direct the next General Plan visioning process given that climate change adaptation may be included as a new General Plan Element addressing the concepts of sustainability and resiliency.

The City Council has previously developed and implemented many programs and actions, as noted below, which are generally considered sustainable in nature. These are often interpreted as environmental policies, although actions related to successful financial planning and community organization fall under this umbrella as well. These programs have in themselves a positive impact through encouraging thoughtful decisionmaking in supporting the City in serving the public. The policy is intended to serve as a guide for considering future choices and decisions in allocating limited resources and outlines various general actions which require additional analysis and development prior to implementation.

II. DISCUSSION

Over time, the City has made significant progress in the development of programs that support the concept of sustainability. Many goals and objectives of the proposed Sustainable Community Policy have already been met through adoption and implementation of programs and actions that promote the concept of sustainability as follows:

Environmental Stewardship

- Single-Use Bag Regulations
- Curbside Recycling
- Take-out Food Container Regulation (Polystyrene Ban)
- South Coast Energy Efficiency Partnership (SCEEP)
- Watershed Management Program
- Creeks Preservation Program
- Solid Waste Hauler Franchise Agreement
- Recycled Rubber-Asphalt Grant Program
- LED Lighting Retrofits
- City Street Tree Program
- Fuel Efficient/Hybrid Vehicle Purchase Policy

Economic Vitality

- Carpinteria First Committee Promotions including the Buy Local Program, First Friday Events, and City Local Vendor Preferred Policy
- Parking and Business Improvement Area (PBIA) Promotions
- Development of Downtown and Beach Public Parking Resources
- Regional and local promotion through participation in the Santa Barbara Regional Tourism Business Improvement District (TBID)

- Reduction in certain Development Impact Fees applicable to commercial development
- Affordable Housing Inclusionary and Down Payment Assistance Programs
- HOST Program

Community Health, Safety and Wellness

- Participation in THRIVE, Cradle to Career Community Education Collaborative
- Partnering with CUSD and CADA on CalGRIP Grant
- Community Garden Program and weekly Farmers Market
- Emergency Preparedness Program including CERT and Don't Panic, Prepare!
- Second Hand Smoke Regulations
- Integrated Pest Management Program
- Anti-Freeze, Battery, Oil and Paint (ABOP) Collections
- Annual Household Goods and Hazardous Waste Day
- Electric Shuttle Bus System
- Bike and Walking Path and Trail System Development

The City Council, its Boards, Commissions and staff are dedicated to a culture and development of actions which show a high commitment to community, environment and economy. The City's Mission Statement directs the City to provide services consistent with the community need as well as to protect the social and physical environment of Carpinteria. The statement also requires that government strive to enhance the City's economic base and adopt a budget that reflects the goals and priorities of the majority of City residents.

City of Carpinteria Mission Statement

Government in Carpinteria shall be open, honest and equitable and shall encourage, to the fullest extent possible, public participation in the decision making process. Government shall make judicious use of the City's limited resources to promote the highest possible quality of life for all of Carpinteria's residents. This includes providing services consistent with community needs as well as protecting the social and physical environment. Government shall strive to enhance the City's economic base in a manner that is consistent with the needs and preferences of the community. The City budget shall reflect the goals and priorities of the majority of the City residents and shall be managed in a professional and business-like manner. The diversity of the community shall be recognized, and City government shall serve the interest of all residents, maintaining an atmosphere in which the residents feel the City has their best interests at heart at all times. The long-range vision and course of action for Carpinteria's future shall continue to be articulated and implemented through an ongoing process of communitywide consensus building. [Adopted January 25, 1993]

Through its visioning process in the 1990s and adoption of the General Plan in 2003, the City of Carpinteria has already used many concepts now associated with the term "sustainability." Although the term sustainability is relatively new, the ideals behind sustainability have been imbedded in the concept of sound land use planning for many years. Principles including preservation of resources, importance of community well-being, emergency preparedness and development of the local economy have all been part of the Carpinteria policy setting and development review process. Although these concepts have existed, the concept of the interconnectedness of all of these programs and policies may have been lacking. While the General Plan includes focus topics related to land use planning, community design, traffic, safety, open space, recreation, conservation, noise and public facilities, the proposed Sustainable

Community Policy provides the link between the General Plan and actions of the City that support the health and vitality of the local economy, the community in general, and the natural environment.

As mentioned above, the concepts behind the sustainability movement have been included in many local government plans and policies for years, including the Ahwahnee Principles. Developed in 1991, the Ahwahnee Principles developed by the Local Government Commission outlined smart planning guidance that included approaches to ensure resource efficient communities, ongoing economic development, sound land use planning and adaptation to climate change. Many of these principles have been included in the General Plan, through the City of Carpinteria's visioning efforts, and although not directly identified as "sustainable," do share many of the same principles that create conscious, safe, thriving and livable communities.

In the past few years, many governmental and rule making agencies have adopted sustainability plans and policies that create an overarching planning approach that connects the various aspects of a successful and sustainable community, and which otherwise may not be easily connected. As a local government, the City plays an important role in raising public awareness as well as leading by example in its goals and objectives. The City acknowledges the importance of understanding and addressing ever-changing scientific understanding about climate change and its impacts, particularly on the local level that will impact the lives and property values of Carpinterians. Adaptation to these changes will make Carpinteria sustainable and resilient into the future. To that end, through this Sustainability Policy, future planning projects may include the development of climate adaptation and resiliency strategies, visioning for the next General Plan Update and prioritizing staff efforts for implementation of the actions set forth in the Policy.

III. FINANCIAL

There is no financial impact of adopting the Sustainable Community Policy as an action alone. The City may consider future financial impacts as part of projects or actions to implement various components of the policy. As part of the ongoing efforts to implement the policies into the future, the resulting projects and plans will likely result in resource allocation and budgeting considerations in the coming years.

IV. LEGAL ISSUES

Attached is a Resolution (Attachment A) establishing a Sustainable Community Policy. This Resolution is not subject to the California Environmental Quality Act ("CEQA") pursuant to CEQA Guidelines §§15307 and 15308 as the project consists of actions taken by regulatory agencies, as authorized by state or local ordinance, to assure the maintenance, restoration, enhancement or protection of the environment where the regulatory process involves procedures for protection of the environment and natural resources. The action would not implement any regulation or physical project and therefore is exempt from environmental review. Any future project or amendment to the regulatory documents of the City may require independent CEQA analysis.

V. ATTACHMENT

Attachment A Resolution No. 5500

RESOLUTION NO. 5500
A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CARPINTERIA
ESTABLISHING A SUSTAINABLE COMMUNITY POLICY

WHEREAS, the City of Carpinteria has an interest in promoting environmental stewardship, economic strength and social equity as fundamental to a thriving and sustainable community; and

WHEREAS, the City of Carpinteria recognizes a sustainable community as one that conducts its activities in a manner that meets its existing needs without compromising the ability of future generations to meet their own needs; and

WHEREAS, the 1993 President's Council on Sustainable Development was established to recommend approaches for achieving national economic, environmental and equity goals that establish sustainable communities; and

WHEREAS, the City of Carpinteria is determined to prepare for and provide consistent guidance regarding the resiliency of the community in light of evolving economic, social and physical conditions and the potential for significant disruptions from natural and manmade disasters; and

WHEREAS, the City recognizes its role and responsibility under the California Coastal Act to implement priorities through development review and code compliance activities including maintaining and improving public access, preserving and enhancing visitor serving accommodations and preserving unique coastal environmental resources and agricultural land; and

WHEREAS, there are many state and federal regulations that provide guidance to local governments for planning for the long-term health and success of the community in light of finite natural resources and the estimated impacts of climate change including but not limited to Assembly Bill 32, The Global Warming Solutions Act of 2006, The Sustainable Communities and Climate Protection Act of 2008, the 2008 California Public Utilities Commission Strategic Plan, January 1, 2014 updated Title 24 Regulations adopted by the California Energy Commission, the March 11, 2011 California Ocean Protection Council Resolution on Sea Level Rise; and the March 2013 State of California Sea Level Rise Guidance document, which in general guide local regulations to reduce greenhouse gas emissions, address sea level rise and reduce energy consumption; and

WHEREAS, local governments play an important role in reducing greenhouse gas emissions through efficient operation of public facilities and assets, effective land use and transportation planning, integrated waste management, protection of natural habitat and resources, promotion of renewable energy and efficient energy use; and

WHEREAS, the City of Carpinteria recognizes the growing public awareness and concerns regarding climate change and potential environmental and economic impacts that may result in changes to the global climate which may directly impact coastal communities such as Carpinteria; and

WHEREAS, the City of Carpinteria's Mission Statement, adopted January 25, 1993, acknowledges the responsibility of the City of Carpinteria to make judicious use of the City's limited resources to promote the highest possible quality of life for all of Carpinteria's residents; and

WHEREAS, the City of Carpinteria, its Council, Boards, Commissions and staff are dedicated to a culture and actions committed to a high standard of community, environment and economy through its applicable policy and regulations; and

WHEREAS, the City of Carpinteria continually works to develop programs that are consistent with the ideals of efficient resource allocation, environmental consciousness and economic development, including efforts to improve access to recreation and education opportunities and governmental support and services; and

WHEREAS, the City Council, as part of its 2013 Annual Work Program, outlined an approach to develop a Sustainable Community Policy to include three areas of service: Economic Vitality, Environmental Stewardship, and Community Health, Safety and Wellness, providing a structure that supports a variety of important local services from tourism promotion to energy conservation to public safety; and

WHEREAS, the subject policy is exempt from the California Environmental Quality Act (CEQA) under CEQA Guidelines §§15307 and 15308 as the project consists of actions taken by regulatory agencies to assure the maintenance, restoration, enhancement or protection of the environment where the regulatory process involves procedures for protection of the environment and natural resources. The action would not implement any regulation or physical development and therefore is exempt from environmental review and therefore would not result in any physical changes to the environment. Any future project or amendment to regulatory documents of the City may require independent CEQA analysis; and

WHEREAS, the City Council has reviewed the proposed Sustainable Community Policy, as presented in a staff report on January 27, 2014.

NOW, THEREFORE, THE CITY COUNCIL HEREBY RESOLVES AS FOLLOWS:

The Carpinteria Sustainable Community Policy is an initial step that recognizes the need to implement and/or change public programs and services in order to effectively address community interests in the long-term. This policy has been developed in the context of current conditions, including guidance from existing studies, policies and regulations. It is intended to set the stage for effective collaboration and building on existing community efforts to attain sustained positive impacts. The Policy is designed to assist the City in making plans for a future Sustainable Community Element of the General Plan based on the tenets set forth in the policy. It recognizes the importance of community resiliency and is intended to be the impetus for development of strategies that allow the City to adapt to ever-changing environmental, economic, social and physical stressors.

The Carpinteria Sustainable Community Policy also serves as the City's commitment to the community to achieve sustainable outcomes that are capable of supporting property values and important sectors of the local economy, protecting and enhancing cultural, historical and environmental resources, and allowing all Carpinterians to lead fulfilling lives and have opportunities to be active contributors to the community.

Carpinteria Sustainable Community Policy

The City of Carpinteria will encourage people to work together to create a thriving community where natural, cultural and historic resources are preserved, jobs are available, development patterns support convenience and efficiency, neighborhoods are secure, education is lifelong, transportation and health care are accessible, and all citizens have opportunities to improve the quality of their lives.

The three interdependent elements of Economic Vitality, Environmental Stewardship and

Community Health and Wellness serve to focus the vision and guide decisionmaking and resource allocation per the City's Mission Statement and the community vision established in the Vision 2020 document, "On Track for the Future."

Goals

- Develop an annual Sustainability Work Plan that identifies, coordinates and accounts for initiatives throughout the City organization, providing annual reports, measurements and tracking.
- Guide future policy development and goal setting in order to integrate sustainability principles into the City's decision making processes and budgeting.
- Educate/train City employees to understand their work in terms of sustainability and empower them to implement sustainable initiatives through their jobs.
- Initiate and lead collaborative sustainability initiatives and communicate efforts to residents and business owners and operators, becoming a resource to the community.

The following policy actions shall be integrated into the development of plans and strategies to achieve the goals of this policy.

Economic Vitality

The City of Carpinteria will support and encourage a local economy that reflects its unique attributes, including rich agricultural resources, an optimal coastal location and climate for tourism, and a quaint, small town character. With a diversity of business sizes and types that reflect the needs and desires of the community, the City has a well-prepared group of business owners and operators capable of contributing to overall community resiliency in light of the potential for economic and natural calamities.

1. Promote a diversified and resilient local economy that reflects the unique attributes of the region and provides necessary and desirable products and services for both residents and visitors;
2. Attract and retain downtown retailers, hotels, corporate headquarters and Research and Development uses that can be successful in and complementary to the community;
3. Support property values through development of hazard preparedness and resiliency strategies;
4. Establish support for small business development;
5. Maintain and enhance the unique identity of the community and built environment to sustain a strong sense of place and community;
6. Stay informed on and promote sustainable economic development best practices such as Extended Producer Responsibility (EPR);
7. Support and develop outdoor athletic and entertainment events that attract visitors and create social opportunities for locals in the off-season months;
8. Improve off-season visitorship and tourism;
9. Develop revenue generation and savings plans to ensure sustainable community services and amenities;
10. Establish expanded local hiking trails, biking trails, public transit and other alternative transportation modes that are attractive and convenient to residents, employees and visitors;
11. Improve transit opportunities for the local workforce, including but not limited to bus, rail, and improvements to the Highway 101 corridor;
12. Develop collaboration between local schools, employers and community groups to cultivate work force mentorship and education programs that encourage youth to explore various occupations and skills and prepare local residents for local employment opportunities;

13. Promote infill development to enhance existing commercial districts and prevent blight;
14. Encourage new investment through private development in the City's neighborhoods, districts and major street corridors by establishing policies and regulations and implementing them through a thorough, effective process; and
15. Maintain a balance of jobs and housing in the community with housing types available to those with varied household incomes, especially aiming to improve the availability of low and moderate income housing that meets the needs of the local workforce.

Environmental Stewardship

The City will be a leader of environmental stewardship by reducing its consumption of finite resources, diverting material from landfills through reuse and recycling programs, and promoting the same to the Carpinteria community. The City will identify important local environmental resources, plan for, implement and support efforts such as the storm water management program and the creeks preservation program, to ensure that they thrive. The City will work with other public agencies, private utilities and non-governmental organizations (NGOs) to reduce local energy consumption, use more renewable energy sources, and protect and restore important local habitats.

Energy Conservation

1. Reduce greenhouse gas emissions through various activities which may include improved mass transit systems, reduced vehicle trips, improved alternative transportation systems for biking, walking and low emission vehicles, etc.;
2. Prepare and promote municipal plans to develop well connected streets and sidewalks, cool road and parking lot programs, and plant street trees to reduce heat island effects and enhance the pedestrian experience;
3. Develop and implement standards to reduce energy use;
4. Publicize energy efficient building design and construction practices that allow for future adaptation to efficient energy solutions such as solar electric or grey water systems for landscape irrigation;
5. Continue to collaborate with regional and state entities to reduce impacts to the environment and reduce energy consumption;
6. Streamline permitting for energy efficient improvements to existing structures; and
7. Develop a municipal/government agency efficiency program to improve and update existing facilities and fleets to more energy efficient alternatives and "lead by example" for the community and other local agencies.

Waste Reduction

1. Implement new and expanded waste stream reduction and recycling programs;
2. Develop and encourage food system waste minimization and reuse through food share programs and food scrap to compost programs;
3. Implement post-construction waste minimization and storm water runoff regulations;
4. Develop and implement standards to reduce waste production;
5. Support and enhance local litter and waste reduction education and outreach programs; and
6. Support and Promote Extended Producer Responsibility (EPR) regulations that encourage the manufacture of environmentally superior products, and ultimately eliminate products that create hazardous materials handling and disposal costs for local governments and the communities they serve.

Pollution Prevention

1. Implement the latest watershed management mandates via updated City watershed management and creek protection policies;

2. Increase educational outreach to schools, businesses and local community groups regarding pollution, natural resources, environmental stewardship, recycling and habitat;
3. Develop and implement standards to reduce runoff and pollution in local streams and watersheds;
4. Enhance educational outreach and information regarding local environmental concerns including clean water in creeks and at beaches, energy use, habitat and protected species, risk management and other specific environmental concerns that impact the Carpinteria Valley; and
5. Enhance local habitat and environmental protections through collaboration with local community groups and development of regulations and policies in the City's General Plan and Municipal Code.

Community Health, Safety and Wellness

The City of Carpinteria will develop and maintain a diverse open space, park and recreation system that respects local environmental resources and supports the recreational needs and expectations of the community. The City of Carpinteria will plan for a variety of housing types that are built to leading safety and energy efficiency standards in order to encourage a healthy, socio-economically diverse population and to promote a jobs/housing balance. The City of Carpinteria will strive to ensure community members are able to meet basic needs, have adequate access to health care, education and employment, and have opportunities to enhance the quality of their lives.

1. Promote and protect local historical and cultural resources, including physical structures, places and ideas;
2. Protect and enhance local parks, trails, beaches and community spaces;
3. Develop improved park and open space connections which encourage pedestrian access;
4. Improve and enhance connections to neighboring communities through coastal access; trail systems and bike paths;
5. Improve the quality of life of all members of the community through education, services and accessibility to resources;
6. Provide law enforcement services to ensure safety for residents, business operators and visitors;
7. Implement programs which promote interactions and communication between neighbors, including internet-based social programs and local community groups;
8. Improve safety preparedness awareness and education in case of natural disaster or other hazards;
9. Collaborate with other local agencies in a County-wide hazard mitigation and preparedness program;
10. Develop sea level rise adaptation strategies for potential future impacts to the Carpinteria Salt Marsh and our local creeks and beaches;
11. Develop a public safety and hazard resiliency plan to anticipate and plan for future natural hazards and risks;
12. Support residents and property owners' needs related to infrastructure improvements and maintenance to ensure safe and viable neighborhoods;
13. Continue to advance the emergency response system and education of emergency responders and the general public;
14. Promote and enhance local community events and activities;
15. Develop and enhance local food access and growing programs, which may include community gardens, local food vendor or swap opportunities, local school cooking and nutrition programs and other similar healthful sustenance programs;
16. Through Zoning Code and General Plan updates, encourage land use development

which creates a compact and accessible community that encourages walking and cycling and promotes an active lifestyle;

17. Develop education and improve outreach regarding local resources, activities and events to improve access to and use of services available in the community;
18. Promote social equity which addresses the fair distribution of benefits and costs among different social and cultural groups, recognizing that these groups have different needs, interests, rights to and responsibilities over resources, and that they experience different impacts of development interventions which must be considered to build a solid base for sustainability and socio-environmental equity;
19. Encourage and promote community participation in planning and policy development to ensure that a wide spectrum of the community is represented and participates in local government to ensure goals and objectives meet the desires of the community as a whole; and
20. Cultivate inter-agency programs and regulations to support sustainable goals and objectives of this policy throughout the community.

PASSED, APPROVED AND ADOPTED this 27th day of January 2014 by the following vote:

AYES: Councilmembers:

NOES: Councilmember(s):

ABSENT: Councilmember(s):

Mayor, City of Carpinteria

Attest: _____
City Clerk, City of Carpinteria

I hereby certify that the foregoing resolution was duly and regularly introduced and adopted at a regular meeting of the City Council of the City of Carpinteria held the 27th day of January 2014.

City Clerk, City of Carpinteria

APPROVED AS TO FORM:

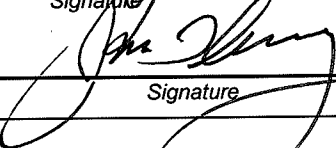
City Attorney

STAFF REPORT
COUNCIL MEETING DATE:
January 27, 2014

ITEM FOR COUNCIL CONSIDERATION:

Review of Fiscal Year 2013-14 Mid-Year Financial Results and Adjustment of Appropriations

City Manager 
Signature

Administrative Services 
Signature

ACTION ITEM x ; NON-ACTION ITEM

STAFF RECOMMENDATION: Approve mid-year adjustments to the budget as summarized in Attachment A.

MOTION: I move to amend the adopted budget as provided for in Attachment A

I. BACKGROUND/DISCUSSION:

Each fiscal year the City conducts a mid-year review of the budget in order to examine financial results and trends as they compare to budget projections. This report compares mid-year results of the current budget year to the full year revenue and expenditure estimates in order to identify corrections or adjustments that may be necessary.

This report also reviews the condition of significant revenue and expenditure categories and other important conditions known or anticipated at this time that are expected to impact the budget. In this context, the mid-year budget also informs early staff work being done for the 2014-15 fiscal year Budget.

The mid-year results indicate that the City's budget projections within each fund were generally sound. Of primary interest is the General Fund, which is the funding source for a majority of the City's basic services including law enforcement. The adopted 2013-14

budget projected General Fund revenues exceeding expenditures by approximately \$6,235.

General Fund revenues for the first half of the year are \$525K or 16.8% greater than last year. Of this, \$232K is due to the increased TOT tax rate which did not take effect until January 2013. Expenditures are \$53K or 1.4% less than last year.

Attachment I shows the Adopted budget, the Mid Year budget with recommended changes and the variance of the Mid Year to the Adopted budget for each of the City's funds. Overall, with the recommended adjustments to revenue and expense accounts, the General Fund is expected to show an excess of revenues over expenditures and other fund subsidies of \$74,021.

II. FINANCIAL CONSIDERATIONS:

Property Tax Adopted Budget - \$2,692,954

The adopted budget projected an increase of a little less than 1% in property tax over the prior year. The County sets the adjusted tax role annually for the coming year and therefore actual collections vary little from this estimate during the year. Year to date results indicate that this forecast will hold.

Staff recommends no change in the budgeted amount.

Sales Tax Adopted Budget - \$1,843,000

Sales tax revenues are currently running slightly behind budget projections but as mentioned earlier there are significant triple-flip revenues that are received later in the year. Sales tax revenues can be very volatile due to numerous factors such as late payments, misallocations, double up payments, etc. Our sales tax consultant Hinderliter de Llamas has made a recent projection of sales tax being approximately \$58K less than the adopted budget.

Staff recommends adjusting the budget down by this \$58K amount.

Transient Occupancy Tax Adopted Budget - \$1,937,239

The TOT rate increased to 12% effective January 2013. Revenues to date are in keeping with this increase in the tax rate

Staff recommends no change in the budgeted amount.

Franchise Fees Adopted Budget - \$556,009

The City collects franchise fees from various private business operators that use the public rights-of-way in order to bring their services to customers in the City. Year to date receipts suggest that the budgeted will be realized. The City currently has franchise agreements with Harrison Industries (trash), Cox Cable (cable television), Southern California Edison (electricity), and Southern California Gas Company (natural gas). Electric and gas franchise revenues are received later in the year. To date franchise fee revenues are tracking right at prior year same period levels.

Staff recommends no change in the budgeted amount.

Investment Income Adopted Budget - \$97,511

The City invests primarily in the Local Agency Investment Fund (LAIF) and Treasury Notes. The City relies on interest income to provide revenue to the operating budget and seeks to maximize returns within the parameters established by law and the City's investment policy.

Staff recommends a decrease of \$3,066 in the budgeted amount.

Licenses & Permits Adopted Budget - \$121,894

Year to date receipts are running at about 80% of the annual budget amount.

Staff recommends no change in the budgeted amount.

Charges for Services Adopted Budget - \$197,200

Year to date results compare favorably to prior year and to budget estimates.

Staff recommends no change in the budgeted amount.

GENERAL FUND OPERATING EXPENSES

Personnel Costs

The Adopted budget anticipated a 14% increase in health, dental and life insurance costs. Actual costs are running below budgeted and prior year costs.

Staff recommends decreases of \$21,613 in the budgeted amount for Salaries, \$85,442 for Pension costs \$127,171 for health, dental and life insurance costs (Wellness). See Attachments B, C and D for details.

Other General Fund Expenses

Attachment E shows details for several other General Fund expenses that are recommended to be changed, netting to an increase of expenses in the amount of \$133,480.

Some of the more significant items are:

Interior Maintenance	\$36,605	Replacement of carpeting and chairs for the Council Chambers as well as office furniture
System Administration	19,240	Increase in the cost for contract IT Services
Personnel Services	30,000	Labor Negotiation Legal Fees and Compensation Survey Fees
Software Maintenance	7,003	Purchase of Multi User Accounting Software to increase staff access to financial information
Equipment Maintenance	2,013	Purchase of higher capacity tape backup hardware
Supplies & Materials 42	5,500	Building Records Digital Conversion

OTHER FUNDS:

Attachment F shows details of accounts in the Parks Maintenance (23), ROW (33) and Recreation Services (48) Funds requiring budget changes. Changes to these funds result in a greater or lesser General Fund Subsidy. Net of all changes is an increased General Fund subsidy of \$27,092.

Similarly Attachment G shows details of accounts in the Gas Tax (25), Tidelands (28), Street Lighting (29) and Measure A (27) Funds. These changes result in no General Fund subsidy.

III. ATTACHMENTS:

Attachments A thru G

Adopted Budget

id	Available Fund Balance July 1, 2013			Transfers In	Expenditures	Transfers Out	Excess / (Deficit)	Subsidies Received/(Provided)	
	Revenue								
	AFB								
TOTAL	\$5,102,338	\$13,890,044	100.0%	\$1,140,583	\$14,900,715	100.0%	\$1,140,583	(\$1,010,671)	\$0
GENERAL FUND	1,362,456	7,685,165	55.3%	735,278	7,883,036	52.9%	131,124	406,283	(400,048)
GENERAL RESERVE FUND	0	0	0.0%	0	0	0.0%	0	0	0
TRAFFIC SAFETY FUND	12,476	20,848	0.2%	0	25,383	0.2%	0	(4,535)	0
REVOLVING FUND	43,287	2,400,000	17.3%	0	1,803,100	12.1%	117,148	479,752	0
REPLACEMENT FUND	6,277	1,458	0.0%	0	0	0.0%	0	1,458	0
PARK DEVELOPMENT FUND	0	3,000	0.0%	0	35,149	0.2%	0	(32,149)	32,149
PARK MAINTENANCE FUND	0	221,500	1.6%	26,926	307,887	2.1%	74,684	(134,145)	134,145
GAS TAX FUND	83,545	384,549	2.8%	172,135	529,089	3.6%	154,735	(127,140)	0
LOCAL TRANSPORTATION FUND	30,474	12,160	0.1%	0	10,000	0.1%	544	1,616	0
MEASURE A FUND	1,022,991	723,011	5.2%	40,084	1,353,252	9.1%	148,734	(738,891)	0
TIDELANDS TRUST FUND	228,235	375,000	2.7%	0	284,095	1.9%	136,088	(45,183)	0
STREET LIGHTING FUND	959,899	288,539	2.1%	0	221,000	1.5%	128,426	(60,887)	0
TRUST & AGENCY FUND	0	1,006,858	7.2%	0	967,792	6.5%	39,066	0	0
R-O-W ASSESSMENT DISTRICT FUND	0	194,200	1.4%	116,170	336,206	2.3%	60,644	(86,480)	86,480
PBIA FUND	16,679	29,919	0.2%	0	29,949	0.2%	0	(30)	0
AB 939 FUND	265,237	133,424	1.0%	0	62,500	0.4%	97,078	(26,154)	0
MEASURE-D FUND	1,070,782	23,088	0.2%	0	520,000	3.5%	0	(496,912)	0
CAPITAL IMPROVEMENT FUND	0	63,000	0.5%	0	63,000	0.4%	0	0	0
RECREATION SERVICES FUND	0	324,325	2.3%	49,990	469,277	3.1%	52,312	(147,274)	147,274

Mid Year Budget

id	Available Fund Balance								
	July 1, 2013			Transfers in		Transfers Out		Excess / (Deficit)	Subsidies Received/(Provi
	Revenue			Expenditures					
	AFB								
TOTAL	\$5,102,338	\$13,910,456	100.0%	\$1,140,583	\$14,863,862	100%	\$1,140,583	(\$953,406)	\$0
GENERAL FUND	1,362,456	7,646,231	55.0%	735,278	7,782,290	52.4%	131,124	468,095	(394,074)
GENERAL RESERVE FUND	0	0	0.0%	0	0	0.0%	0	0	0
TRAFFIC SAFETY FUND	12,476	20,598	0.1%	0	25,383	0.2%	0	(4,785)	0
REVOLVING FUND	43,287	2,400,000	17.3%	0	1,803,100	12.1%	117,148	479,752	0
REPLACEMENT FUND	6,277	1,179	0.0%	0	0	0.0%	0	1,179	0
PARK DEVELOPMENT FUND	0	3,013	0.0%	0	35,149	0.2%	0	(32,136)	32,136
PARK MAINTENANCE FUND	0	221,651	1.6%	26,926	329,726	2.2%	74,684	(155,833)	155,833
GAS TAX FUND	83,545	383,800	2.8%	172,135	473,330	3.2%	154,735	(72,130)	0
LOCAL TRANSPORTATION FUND	30,474	11,689	0.1%	0	10,000	0.1%	544	1,145	0
MEASURE A FUND	1,022,991	731,280	5.3%	40,084	1,414,053	9.5%	148,734	(791,423)	0
TIDELANDS TRUST FUND	228,235	374,383	2.7%	0	284,206	1.9%	136,088	(45,911)	0
STREET LIGHTING FUND	959,899	283,145	2.0%	0	252,648	1.7%	128,426	(97,929)	0
TRUST & AGENCY FUND	0	1,045,924	7.5%	0	967,792	6.5%	39,066	39,066	0
R-O-W ASSESSMENT DISTRICT FUND	0	223,309	1.6%	116,170	398,977	2.7%	60,644	(120,142)	120,142
PBIA FUND	16,679	30,138	0.2%	0	29,949	0.2%	0	189	0
AB 939 FUND	265,237	131,627	0.9%	0	62,500	0.4%	97,078	(27,951)	0
MEASURE-D FUND	1,070,782	11,371	0.1%	0	520,000	3.5%	0	(508,629)	0
CAPITAL IMPROVEMENT FUND	0	63,000	0.5%	0	63,000	0.4%	0	0	0
RECREATION SERVICES FUND	0	328,118	2.4%	49,990	411,759	2.8%	52,312	(85,963)	85,963

Mid Year Variance to Adopted Budget

	Available Fund Balance July 1, 2013			Transfers In	Expenditures		Transfers Out	Excess / (Deficit)	Subsidies Received/(Provided)
	Revenue								
TOTAL	\$5,102,338	\$20,412	100.0%	\$0	\$36,853	100%	\$0	\$57,265	\$0
GENERAL FUND	1,362,456	(38,934)	-0.5%	0	100,746	1.3%	0	61,812	(5,974)
GENERAL RESERVE FUND	0	0	0.0%	0	0	0.0%	0	0	0
TRAFFIC SAFETY FUND	12,476	(250)	-1.2%	0	0	0.0%	0	(250)	0
REVOLVING FUND	43,287	0	0.0%	0	0	0.0%	0	0	0
REPLACEMENT FUND	6,277	(279)	-19.1%	0	0	0.0%	0	(279)	0
PARK DEVELOPMENT FUND	0	13	0.4%	0	0	0.0%	0	13	13
PARK MAINTENANCE FUND	0	151	0.1%	0	(21,839)	-7.1%	0	(21,688)	(21,688)
GAS TAX FUND	83,545	(749)	-0.2%	0	55,759	10.5%	0	55,010	0
LOCAL TRANSPORTATION FUND	30,474	(471)	-3.9%	0	0	0.0%	0	(471)	0
MEASURE A FUND	1,022,991	8,269	1.1%	0	(60,801)	-4.5%	0	(52,532)	0
TIDELANDS TRUST FUND	228,235	(617)	-0.2%	0	(111)	-0.0%	0	(728)	0
STREET LIGHTING FUND	959,899	(5,394)	-1.9%	0	(31,648)	-14.3%	0	(37,042)	0
TRUST & AGENCY FUND	0	39,066	3.9%	0	0	0.0%	0	39,066	0
R-O-W ASSESSMENT DISTRICT FUND	0	29,109	15.0%	0	(62,771)	-18.7%	0	(33,662)	(33,662)
PBIA FUND	16,679	219	0.7%	0	0	0.0%	0	219	0
AB 939 FUND	265,237	(1,797)	-1.3%	0	0	0.0%	0	(1,797)	0
MEASURE-D FUND	1,070,782	(11,717)	-50.7%	0	0	0.0%	0	(11,717)	0
CAPITAL IMPROVEMENT FUND	0	0	0.0%	0	0	0.0%	0	0	0
RECREATION SERVICES FUND	0	3,793	1.2%	0	57,518	12.3%	0	61,311	61,311

STATEMENT OF REVENUES & EXPENDITURES

Jul - Dec 13

DESCRIPTION	YTD	BUDGET	Mid Year	VAR
REVENUES	0	0	0	0
TRANSFERS IN	0	0	0	0
EXPENSES	614,832	1,287,569	1,265,956	21,613
TRANSFERS OUT	0	0	0	0
NET CHANGE IN AFB	(614,832)	(1,287,569)	(1,265,956)	21,613

FUND	DEPT	OBJ	SUB	DESCRIPTION	AMOUNT	BUDGET	Mid Year	VAR
10	11	16	2	Regular Salary 11	64,348	132,983	130,839	2,144
10	11	16	7	Special Pay 11	1,212	854	2,424	(1,570)
10	12	16	2	Regular Salary 12	123,188	227,597	250,623	(23,026)
10	12	16	5	Overtime Pay 12	8		8	(8)
10	12	16	7	Special Pay 12	2,494	1,739	4,988	(3,249)
10	13	16	2	Regular Salary 13	26,364	52,640	52,684	(44)
10	13	16	5	Overtime Pay 13	3,747		7,400	(7,400)
10	14	16	7	Special Pay 14	2,356	1,702	4,712	(3,010)
10	16	16	2	Regular Salary 16	45,322	88,699	90,687	(1,988)
10	16	16	7	Special Pay 16	1,295	854	2,590	(1,736)
10	22	16	2	Regular Salary 22	88,014	180,352	174,460	5,892
10	22	16	4	Part-time Wages 22	914		914	(914)
10	22	16	5	Overtime Pay 22	1,011	1,000	1,011	(11)
10	22	16	7	Special Pay 22	903	711	1,806	(1,095)
10	30	16	2	Regular Salary 30	162,302	404,155	357,412	46,743
10	30	16	4	Part-time Wages 30	0	17,805	0	17,805
10	30	16	7	Special Pay 30	2,741	2,641	5,482	(2,841)
10	41	16	7	Special Pay 41	2,521	1,872	5,042	(3,170)
10	42	16	7	Special Pay 42	953	757	1,906	(1,149)
10	44	16	7	Special Pay 44	1,102	874	2,204	(1,330)
10	69	16	2	Regular Salary 69	82,468	169,337	165,946	3,391
10	69	16	4	Part-time Wages 69	320		320	(320)
10	69	16	7	Special Pay 69	1,249	997	2,498	(1,501)

STATEMENT OF REVENUES & EXPENDITURES
Jul - Dec 13

DESCRIPTION	YTD	BUDGET	Mid Year	VAR
REVENUES	0	0	0	0
TRANSFERS IN	0	0	0	0
EXPENSES	153,154	449,311	363,869	85,442
TRANSFERS OUT	0	0	0	0
NET CHANGE IN AFB	(153,154)	(449,311)	(363,869)	85,442

FUND	DEPT	OBJ	SUB	DESCRIPTION	AMOUNT	BUDGET	Mid Year	VAR
10	11	19	2	Paid PERS-Employee	4,641	5,327	9,383	(4,056)
10	11	19	3	Paid PERS-Employer 11	6,212	19,977	12,516	7,461
10	12	19	2	Paid PERS-Employee-12	7,905	9,117	16,124	(7,007)
10	12	19	3	Paid PERS-Employer 12	10,684	34,190	21,383	12,807
10	13	19	2	Paid PERS-Employee13	1,835	2,109	3,669	(1,560)
10	13	19	3	Paid PERS-Employer 13	2,486	7,908	4,981	2,927
10	14	19	2	Paid PERS-Employee14	7,099	7,904	14,275	(6,371)
10	14	19	3	Paid PERS-Employer 14	9,287	29,640	18,642	10,998
10	16	19	2	Paid PERS-Employee16	3,116	3,553	6,315	(2,762)
10	16	19	3	Paid PERS-Employer 16	4,136	13,325	8,341	4,984
10	21	19	3	Safety Plan - PERS	0	57,709	66,832	(9,123)
10	22	19	2	Paid PERS-Employee22	6,467	7,225	12,971	(5,746)
10	22	19	3	Paid PERS Employer 22	8,517	27,093	11,067	16,026
10	30	19	2	Paid PERS-Employee30	5,459	16,190	7,597	8,593
10	30	19	3	Paid PERS Employer 30	11,045	60,713	20,951	39,762
10	41	19	2	Paid PERS-Employee41	13,098	14,701	26,258	(11,557)
10	41	19	3	Paid PERS Employer 41	17,330	55,129	34,728	20,401
10	42	19	2	Paid PERS-Employee42	5,276	5,895	10,583	(4,688)
10	42	19	3	Paid PERS Employer 42	6,949	22,105	13,925	8,180
10	44	19	2	Paid PERS-Employee44	3,256	3,638	6,531	(2,893)
10	44	19	3	Paid PERS Employer 44	4,288	13,641	8,593	5,048
10	69	19	2	Paid PERS-Employee69	6,072	6,784	12,179	(5,395)
10	69	19	3	Paid PERS Employer 69	7,996	25,438	16,025	9,413

STATEMENT OF REVENUES & EXPENDITURES
Jul - Dec 13

DESCRIPTION	YTD	BUDGET	Mid Year	VAR
REVENUES	0	0	0	0
TRANSFERS IN	0	0	0	0
EXPENSES	220,903	556,504	429,333	127,171
TRANSFERS OUT	0	0	0	0
NET CHANGE IN AFB	(220,903)	(556,504)	(429,333)	127,171

FUND	DEPT	OBJ	SUB	DESCRIPTION	AMOUNT	BUDGET	Mid Year	VAR
10	11	19	4	Wellness 11	9,356	19,391	16,739	2,652
10	12	19	4	Wellness 12	13,467	32,587	25,667	6,920
10	13	19	4	Wellness 13	9,368	22,364	17,797	4,567
10	14	19	4	Wellness 14	41,063	71,199	84,780	(13,581)
10	16	19	4	Wellness 16	6,976	18,695	13,496	5,199
10	22	19	4	Wellness 22	15,942	39,741	30,443	9,298
10	30	19	4	Wellness 30	27,208	116,228	55,015	61,213
10	41	19	4	Wellness 41	32,388	78,076	59,474	18,602
10	42	19	4	Wellness 42	10,339	27,078	18,392	8,686
10	44	19	4	Wellness 44	9,002	22,364	17,163	5,201
10	51	19	4	Wellness 51	32,105	74,503	62,840	11,663
10	69	19	4	Wellness 69	13,689	34,278	27,527	6,751

STATEMENT OF REVENUES & EXPENDITURES
Jul - Dec 13

DESCRIPTION	YTD	BUDGET	Mid Year	VAR
REVENUES	0	0	0	0
TRANSFERS IN	0	0	0	0
EXPENSES	204,597	250,237	383,717	(133,480)
TRANSFERS OUT	0	0	0	0
NET CHANGE IN AFB	(204,597)	(250,237)	(383,717)	(133,480)

FUND	DEPT	OBJ	SUB	DESCRIPTION	AMOUNT	BUDGET	Mid Year	VAR
10	12	30	5	Dues & Subscriptions 12	7,813	1,200	7,813	(6,613)
10	12	30	6	Meetings & Travel 12	1,148	400	1,148	(748)
10	13	22	4	Interior Maintenance	9,361	40,000	76,605	(36,605)
10	13	30	1	Telephone 13	8,262	13,800	18,086	(4,286)
10	13	30	2	Postage 13	4,274	10,000	12,000	(2,000)
10	13	30	8	Supplies & Materials 13	9,670	8,000	17,476	(9,476)
10	13	31	2	Utility - Water 13	4,044	5,500	10,826	(5,326)
10	13	31	3	Utility - Electric 13	14,679	21,000	24,356	(3,356)
10	14	22	9	Annual Audit	22,750	25,500	22,750	2,750
10	14	30	3	Printing & Advertising 14	2,175	5,600	2,600	3,000
10	14	30	5	Dues & Subscriptions 14	340	600	400	200
10	14	30	8	Supplies & Materials 14	555	1,087	1,000	87
10	15	32	3	Insurance/Bond Premiums	38,838	38,230	38,838	(608)
10	17	22	3	MHRS Ordinance	13,241	8,000	13,241	(5,241)
10	17	22	7	Third Party Attorney Service	6,245	5,000	6,245	(1,245)
10	18	22	0	System Administration	19,368	13,260	32,500	(19,240)
10	18	22	2	Equipment Maintenance	9,099	18,360	20,373	(2,013)
10	18	22	4	Software Maintenance	7,873	5,000	12,003	(7,003)
10	18	30	8	Supplies & Materials 18	1,260	3,500	2,521	979
10	22	22	9	Vehicle Towing/Storage	1,085	1,000	1,085	(85)
10	22	30	3	Printing & Advertising	0	1,000	566	434
10	22	30	6	Meetings & Travel 22	2,034	1,200	2,034	(834)
10	22	30	8	Supplies & Materials 22	(1,004)	(2,000)	(2,400)	400
10	30	30	6	Meetings & Travel 30	1,628	1,000	1,628	(628)
10	30	30	8	Supplies & Materials 30	3,020	2,500	3,020	(520)
10	42	30	8	Supplies & Materials 42	5,456	2,800	8,300	(5,500)
10	46	22	4	South Coast Task Force on	10,703	10,700	10,703	(3)
10	72	21	1	Personnel Services	680	8,000	38,000	(30,000)

STATEMENT OF REVENUES & EXPENDITURES

Jul - Dec 13

DESCRIPTION	YTD	BUDGET	Mid Year	VAR
REVENUES	0	0	0	0
TRANSFERS IN	0	0	0	0
EXPENSES	205,569	365,167	392,259	(27,092)
TRANSFERS OUT	0	0	0	0
NET CHANGE IN AFB	(205,569)	(365,167)	(392,259)	(27,092)

FUND	DEPT	OBJ	SUB	DESCRIPTION	AMOUNT	BUDGET	Mid Year	VAR
23	61	16	4	Part-time Wages 61	1,834		1,834	(1,834)
23	61	16	5	Overtime Pay 61	2,046		4,000	(4,000)
23	61	18	4	Unemployment Insurance 61	0	97	0	97
23	61	19	2	Paid PERS-Employee 61	1,798	2,009	3,606	(1,597)
23	61	19	3	Paid PERS-employer 61	2,368	7,532	4,745	2,787
23	61	19	4	Wellness 61	9,373	22,364	17,975	4,389
23	61	22	2	Equip/Vehicle Maintenance	0	255	6,255	(6,000)
23	61	31	7	Utility - Sewer 23	2,681	2,000	2,681	(681)
33	32	16	2	Regular Salary 32	67,351	100,663	145,109	(44,446)
33	32	16	5	Overtime Pay 32	2,034	2,000	4,000	(2,000)
33	32	18	4	Unemployment Insurance 32	150	198	314	(116)
33	32	19	2	Paid PERS-Employee32	5,663	4,032	11,171	(7,139)
33	32	19	3	Paid PERS Employer 32	7,239	15,122	14,507	615
33	32	19	4	Wellness 32	14,008	39,469	26,876	12,593
33	32	22	4	Graffiti Removal	286	2,500	4,000	(1,500)
33	32	22	5	ROW Maintenance 32	46,234	30,000	50,000	(20,000)
33	61	31	7	Utility - Sewer 61	1,778	1,000	1,778	(778)
48	63	30	2	Softball League Expenses	2,599	2,500	2,599	(99)
48	66	16	5	Overtime Pay 66	799	1,000	1,600	(600)
48	68	16	2	Regular Salary 68	27,169	95,931	54,875	41,056
48	68	16	5	Overtime Pay 68	1,585		3,000	(3,000)
48	68	19	2	Paid PERS-Employee68	1,931	3,843	3,873	(30)
48	68	19	3	Paid -PERS Employer 68	2,543	14,411	5,096	9,315
48	68	19	4	Wellness 68	3,833	18,241	7,365	10,876
23	79	61	1	Irrigation Water Well	267		15,000	(15,000)

Attachment F

Net Increase in General Fund Subsidies

STATEMENT OF REVENUES & EXPENDITURES
Jul - Dec 13

DESCRIPTION	YTD	BUDGET	Mid Year	VAR
REVENUES	0	0	0	0
TRANSFERS IN	0	0	0	0
EXPENSES	274,123	386,492	423,293	(36,801)
TRANSFERS OUT	0	0	0	0
NET CHANGE IN AFB	(274,123)	(386,492)	(423,293)	(36,801)

FUND	DEPT	OBJ	SUB	DESCRIPTION	AMOUNT	BUDGET	Mid Year	VAR
25	31	16	2	Regular Salary 31	65,698	176,622	124,289	52,333
25	31	16	5	Overtime Pay 31	509	2,000	1,100	900
25	31	16	7	Special Pay 31	995	757	1,990	(1,233)
25	31	19	2	Paid PERS-Employee31	4,173	7,075	8,515	(1,440)
25	31	19	3	Paid PERS-Employer 31	5,855	26,533	11,732	14,801
25	31	19	4	Wellness 31	28,939	66,189	49,743	16,446
25	31	22	5	Thermoplast/Striping	29,798	25,000	49,798	(24,798)
25	31	30	8	Supplies & Materials 31	21,260	20,000	21,250	(1,250)
28	62	16	5	Overtime Pay 62	2,195	3,000	2,200	800
28	62	18	4	Unemployment Insurance 62	160	216	207	9
28	62	30	5	Dues & Subscriptions 62	9,000	8,000	9,000	(1,000)
28	62	31	5	Utility - Sewer	1,020	1,000	1,020	(20)
28	64	16	5	Overtime Pay 64	0	100	0	100
29	23	21	0	Traffic Signals	66,648	35,000	66,648	(31,648)
27	72	22	1	Pavement Management Sys	25,801	15,000	25,801	(10,801)
27	79	61	8	Storm Water Projects	12,072		50,000	(50,000)